



**NATIONAL
ENDOWMENT
FOR THE
HUMANITIES**

**BUDGET REQUEST
FOR FISCAL YEAR 2010**

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MAY 2009**

NATIONAL ENDOWMENT FOR THE HUMANITIES

Fiscal Year 2010 Appropriation Request

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NATIONAL ENDOWMENT FOR THE HUMANITIES

Fiscal Year 2010 Appropriation Request

SUMMARY AND HIGHLIGHTS

The Administration and the National Endowment for the Humanities (NEH) request an appropriation totaling \$171.315 million for the agency for fiscal year 2010:

- \$119.515 million for the Endowment's grant programs in support of high quality education, preservation and access, public programming, and research in the humanities and for the projects and programs of the 56 state humanities councils;
- \$9.500 million for the NEH Challenge Grants program to stimulate and match private, nonfederal donations in support of humanities institutions and organizations;
- \$4.800 million to stimulate and match nonfederal contributions to humanities projects supported by the Endowment;
- \$10.000 million for the National Capital Arts and Cultural Affairs program in support of arts, historical, and cultural institutions in the nation's capital; and
- \$27.500 million for administrative expenses necessary to operate the agency.

Overview

With a budget of \$171.315 million in fiscal year 2010, including \$10 million to manage the National Capital Arts and Cultural Affairs program, NEH would have a significant impact on the cultural and intellectual life of the nation by

- improving the quality of humanities education offered to American schoolchildren and college students;
- strengthening and broadening the programs of the 56 state humanities councils;
- preserving and democratizing Americans' access to important cultural and intellectual resources in the humanities;
- providing opportunities for citizens to engage in a lifetime of learning in the humanities;

- enhancing the agency's role as a leader in humanities research and scholarship and creating new opportunities for scholars to advance our knowledge and understanding of the humanities;
- encouraging innovative uses of digital technology in humanities research, education, preservation and access, and public programming;
- reinvigorating the study and understanding of the nation's history and culture and the history and culture of other nations;
- enhancing the federal government's support for arts, historical, and cultural institutions in Washington, DC; and
- stimulating nonfederal support for the nation's cultural and educational institutions and for humanities projects and programs.

FY 2010 Program and Budget Highlights

NEH's FY 2010 budget and program request would enable the agency to support excellent projects in all areas of the humanities, provide budget increases to each of the state humanities councils, and support new grant programs that will expand the American people's knowledge of and access to the humanities. A brief overview of the Endowment's strategic objectives and plans for FY 2010 follows. These plans are discussed in more detail in the individual division, office, and program sections of this budget submission.

- Strengthen humanities teaching and learning in the nation's schools and colleges. In FY 2010, NEH will continue to be a critical resource for projects to improve the quality of humanities instruction at all levels of the nation's educational system. NEH grants support teacher and faculty development and curricular resources projects that are based on rigorous humanities scholarship. The ultimate beneficiaries of these projects are the hundreds of thousands of American students who annually are taught by teachers who have attended NEH-sponsored enrichment programs. The FY 2010 request will enable the Endowment to maintain support for its highly respected professional development programs for teachers, including summer seminars and institutes for school teachers and college and university faculty, as well as the outreach programs of Humanities Initiatives for Faculty at Historically Black, Hispanic-Serving, and Tribal colleges and universities. Support also will be provided for three new programs the agency put in place in FY 2009: "Enduring Questions" Pilot Course grants, Teaching Development Fellowships, and Picturing America Collaboration Projects.

- Expand the programs and activities of the state humanities councils. NEH proposes a 10 percent increase in the budget for the agency's Federal/State Partnership, which supports the programs and projects of the 56 state humanities councils. These funds will enable the state councils to expand their work and reach millions of Americans with high quality humanities programs. With their strong networks of cultural and educational institutions, the councils are well positioned to ensure that these funds, and the programs they support, are widely available to citizens in every state and territory. These increased funds will support reading and discussion programs for children and families; state and local book festivals; educational institutes for elementary and secondary school teachers; scholarship on state and local history; Chautauqua-style historical performances; and radio and film projects on humanities themes. With their excellent record of matching NEH funds, the councils can be expected to match the additional funding provided in FY 2010, thus greatly leveraging the federal government's investment.
- Preserve and increase access to cultural and intellectual resources essential for the American people. In FY 2010, the agency's preservation and access programs will continue to focus on supporting projects that preserve and reformat the intellectual content of historically significant books and periodicals; preserve and provide access to important archival materials and library special collections; create humanities research tools and reference works such as dictionaries, bibliographies, and encyclopedias; record, document, and archive information relating to the estimated 3,000 of the world's 6,000-7,000 current spoken languages that are on the verge of extinction; offer preservation education and training opportunities; and provide grants to smaller institutions in support of their special preservation and training needs. Also to be supported is the fifth round of grants in the National Digital Newspaper Program, a joint NEH-Library of Congress program that is converting millions of pages of historically significant U.S. newspapers into digital files and mounting them on a national, web-accessible database.

The FY 2010 request will enable the Endowment to establish two new grant categories—Sustaining Cultural Heritage Collections, which will support projects for institutions to plan or implement preventive conservation measures that prolong the useful life of humanities collections, and Research and Development grants, which will fund projects that address major challenges in preserving and providing access to humanities collections and resources.

- Provide opportunities for Americans to engage in lifelong learning in the humanities. In FY 2010, the Endowment will continue to provide support for projects—such as television and radio documentaries, exhibitions in museums and other historical and cultural institutions, and reading and discussion programs in libraries—that help the nation's citizens engage in a lifetime of

learning. NEH's public programs support humanities projects that enable millions of Americans learn more about important historical and cultural works, ideas, and events. These programs contribute to the agency's broad strategic goals of advancing knowledge and understanding of the humanities in the U.S. and increasing public awareness of, access to, and support for the humanities.

In FY 2010, the Endowment's programs for general audiences also will continue to play an important role in the agency's efforts to plan for and observe key moments in the nation's history. For example, several years ago the Endowment began encouraging and providing support for projects related to the bicentennial of the birth of Abraham Lincoln. Many NEH-supported exhibitions, reading and discussion programs, and other projects are now available to the nation's citizens during the current Lincoln bicentennial year. Building on this effort, the agency is encouraging the development of projects for public audiences in anticipation of the 150th anniversary of the Civil War, which will be observed between 2011 and 2015.

- Facilitate basic research and original scholarship in the humanities. The Endowment's FY 2010 budget request will strengthen the agency's role as a critical source of support for advanced research and scholarship in the humanities. The Endowment annually makes hundreds of fellowship awards and summer stipends to individual scholars, as well as awards to teams of scholars engaged in collaborative projects, to explore subjects that enrich our knowledge of the people, ideas, and events that make up the record of civilization. By supporting humanities research and scholarship, the Endowment also contributes to faculty enrichment, improved teaching, and quality public programming in the humanities.

At the FY 2010 request level, NEH proposes a 10 percent increase in the budget for our Research Programs division. These funds will enable the agency to support many more highly meritorious advanced research projects than we are currently able to fund, as well as provide viable funding for two new grant categories—Fellowships at Digital Centers and Teaching Development Fellowships, which is jointly administered with the Endowment's Education Programs division. In FY 2010, we would increase the number of fellowships and summer stipends awards to humanities scholars and make additional funds available in support of scholarly editions and other large-scale collaborative research projects in the humanities.

- Encourage innovative uses of digital information technology. In 2006, the Endowment launched a major effort to encourage and support projects that utilize or study the impact of digital technology on research, education, preservation and access, and public programming in the humanities. Through our new Office of Digital Humanities, NEH is fostering the growth of a wide variety of digital humanities projects, such as, for example, those that deploy

technologies and methods to enhance our understanding of a topic or issue in the humanities; those that study the impact of digital technology on the humanities; and those that digitize important materials, thereby increasing the public's ability to search and access humanities information. In FY 2010, we will build on and extend the success of the first two years of this effort. NEH will continue to play an important role in encouraging and supporting digital technologies that advance Americans' understanding of the humanities.

- Reinvigorate the teaching, study, and understanding of American history and culture. The Endowment requests funding in FY 2010 to continue its innovative *We the People* program, which is helping to reinvigorate the teaching, study, and understanding of American history and culture. Since its inception in 2002, *We the People* has supported more than 1,800 projects undertaken by scholars, teachers, filmmakers, museums, libraries, and other individuals and institutions.

Since FY 2004, Congress has appropriated more than \$81 million in funding for *We the People*. The Endowment requests \$14.5 million to continue this important effort in FY 2010. These funds will help to support American history and culture projects and programs throughout the agency, including, for example, projects sponsored by the state humanities councils; Landmarks of American History and Culture workshops for school and community college teachers; the National Digital Newspaper program (in partnership with the Library of Congress); scholarly editions of the papers of U.S. Presidents and other figures important to our history; and the *We the People Bookshelf* program, which annually provides sets of humanities books to libraries and encourages young people to read great literature based on themes central to American history and culture.

- Support international initiatives in the humanities and other special projects. Funds are requested in FY 2010 for NEH's Program Development budget account to help support the agency's many new efforts to promote international humanities projects and programs. For example, NEH has recently forged partnerships with the National Research Council of Italy to support a series of scholarly conferences in the humanities and a program of fellowships on Italian cultural heritage; with the Joint Information Systems Committee of England to support a program of transatlantic digital collaboration grants; with the German Research Foundation to support a joint grant program and a series of scholarly symposia; and with the Arts and Humanities Research Council of the United Kingdom to support two scholarly conferences on the ways that art can illuminate a nation's history. We also sponsored a scholarly conference in China in December 2008 and have had follow-up discussions about mounting a conference with Chinese scholars in the United States on shared interests in the humanities. Preliminary talks have also been conducted with potential partners in Canada, Japan, and Mexico about joint projects in support of the humanities.

NEH also uses Program Development funds to support special initiatives and programming opportunities that arise from time to time but that fall outside our regular, established grant categories. For example, in recent years, in addition to supporting various international partnerships, funds appropriated for Program Development have helped to launch the agency's *We the People* initiative, to make awards under our 2003-2004 special initiative for projects related to "Recovering Iraq's Past," to support the first grants awarded under the new Digital Humanities effort, and to provide special emergency grants to humanities institutions in the Gulf Coast region that were damaged by Hurricanes Katrina and Rita in 2005 and institutions in the Midwest that were ravaged by the historic floods of 2008.

- Enhance the federal government's support for arts, historical, and cultural institutions in Washington, DC. In FY 2010, the Administration proposes to transfer oversight responsibility for the National Capital Arts and Cultural Affairs program (NCACA) from the Commission of Fine Arts to the National Endowment for the Humanities. Under this new arrangement, NEH will administer a redesigned program of competitive grants to arts, historical, and cultural institutions in the District of Columbia. The National Endowment for the Arts will advise NEH in the administration of the program. The new NCACA program will promote educational and public outreach on the part of grant recipients, employing a wide range of formats that will reach diverse audiences in District of Columbia and the nation at large.
- Strengthen the institutional base of the humanities. The NEH Challenge Grants program in FY 2010 will continue to assist nonprofit institutions in developing new sources of long-term support for their humanities programs. Challenge grants, which require \$3 or \$4 in gifts for every NEH dollar awarded to a humanities institution, are recognized for their success in encouraging private giving to the humanities. Funding for Challenge Grants will support a variety of institutional needs in the humanities: increasing nonfederal donations to the humanities; enhancing humanities holdings and collections; establishing or augmenting institutional endowments; renovating or constructing facilities; and purchasing or upgrading capital equipment. In FY 2010, the program also will continue to encourage and provide support for institutions to take advantage of the latest innovations in digital technology.
- Leverage third-party contributions to humanities projects. For FY 2010, NEH requests funding for its Treasury funds account to match nonfederal contributions to individual projects in the humanities. Encouraging private-sector support for cultural activities is an important goal of the agency. NEH Treasury matching grants, which match nonfederal donations on a one-to-one basis, have proven to be an effective mechanism for leveraging the contributions of businesses, foundations, and individuals on behalf of the humanities. At the FY 2010 request level, Treasury funds totaling \$4.8

million would leverage an equal amount in nonfederal contributions to humanities projects.

- Provide administrative funds to operate the agency effectively and efficiently.
The NEH budget request includes funds needed to support the cost of operating the agency at the FY 2010 request level while maintaining a high level of customer service. Funds requested would support personnel compensation and benefits; building rent and security; the agency's grant application review system; NEH's participation in the government-wide Grants.gov program; and other expenses.

NATIONAL ENDOWMENT FOR THE HUMANITIES

Summary of FY 2010 Appropriation Request

(in thousands of dollars)

	<u>FY 2008 Appropriation</u>	<u>FY 2009 Appropriation</u>	<u>FY 2010 Request</u>
Education Programs	\$12,601	\$14,500	\$14,750
Federal/State Partnership	31,712	35,000	38,515
Preservation and Access	18,380	16,000	16,250
Public Programs	12,709	14,500	14,750
Research Programs	13,003	14,500	16,000
Digital Humanities	1,969	4,000	4,000
We the People	15,001	15,800	14,500
<u>Program Development</u>	<u>356</u>	<u>400</u>	<u>750</u>
Subtotal	105,731	114,700	119,515
Challenge Grants	9,331	9,300	9,500
<u>Treasury Funds</u>	<u>4,953</u>	<u>5,000</u>	<u>4,800</u>
Subtotal	14,284	14,300	14,300
<u>Administration</u>	<u>24,692</u>	<u>26,000</u>	<u>27,500</u>
Subtotal	144,707	155,000	161,315
National Capital Arts and <u>Cultural Affairs Program*</u>	N/A	N/A	10,000
GRAND TOTAL	<u>\$144,707</u>	<u>\$155,000</u>	<u>\$171,315</u>

*In FY 2010, oversight responsibility for this program will be transferred from the Commission of Fine Arts to the National Endowment for the Humanities.

EDUCATION PROGRAMS

FY 2010 Request: \$14,750,000

Programs/Grant Categories:

- Summer Seminars and Institutes for School Teachers
- Summer Seminars and Institutes for College and University Teachers
- Landmarks of American History and Culture
- Picturing America School Collaboration Projects
- Humanities Initiatives for Faculty at Presidentially Designated Colleges and Universities
- “Enduring Questions” Pilot Course Grants
- Teaching Development Fellowships

Through its Division of Education Programs, NEH supports teacher and faculty professional development, model curricula, and classroom resources that are based on rigorous humanities scholarship. These programs address one of the Endowment’s key strategic objectives: to strengthen teaching and learning of the humanities at all grade levels, from elementary through graduate training, in the nation’s schools, colleges, and universities. Endowment-funded projects help educators become more knowledgeable in their subject areas. NEH’s humanities education programs focus on deep subject matter training for teachers, often through projects involving collaboration between scholars and teachers. The ultimate beneficiaries of such projects are the hundreds of thousands of American students—in rural, inner-city, and other classrooms, as well as in colleges and universities—who annually are taught by reinvigorated and intellectually engaged humanities teachers.

While the federal government provides support to education in many forms, NEH’s programs uniquely focus on the importance of deep knowledge of humanities subject matter and on the necessity that humanities faculty be well versed in the subjects they teach. Over the years, the Endowment has helped foster important and far-reaching improvements in American education, such as the creation of web-based humanities teaching and learning tools and the revival of interest in core curricula and foreign-languages-across-the-curriculum programs. NEH supports content-based improvements in the teaching of English and other languages, history, literature, philosophy, and other humanities subjects. NEH projects ultimately help students learn more about the core disciplines that are crucial for an educated citizenry in the twenty-first century.

The Endowment has forged collaborative relationships with the private sector to leverage new resources for humanities education. The EDSITEment website, for example, is a nationally recognized gateway for teachers seeking rich humanities resources on the Internet. Through a partnership with the Verizon Foundation’s *Thinkfinity* website, EDSITEment (<http://edsitement.neh.gov>) now contains over 250 sites selected by peer review panels for their excellent humanities content, interactive design, and usefulness in the classroom. EDSITEment also includes 450 extensive

learning units comprising rich material spanning the humanities curriculum for grades K-12. The continually expanding EDSITEment website receives approximately 600,000 site visits per month.

In conjunction with the Endowment's Picturing America initiative, EDSITEment offers a variety of materials that feature individual great works of art from the Picturing America collection in lessons that are meant to be integrated into a teacher's standing curriculum. Most are also accompanied by interactive materials in which students can learn to "read" a work of art for its style as well as its content. For example, the *Picture Lincoln* lesson plan, which is based on Alexander Gardner's iconic photograph of the president, is a rich source of visual and historical knowledge; *Midnight Ride of Paul Revere* — Fact, Fiction, and Artistic License, takes the student through Grant Wood's fairy-tale painting and asks pertinent questions about myth and history; and Norman Rockwell, *Freedom of Speech* — Know It When You See It, takes the student into the Constitution to ask pertinent questions about freedom of speech.

In FY 2008, 362 grant applications were submitted to the Endowment's Education Programs division, of which 97 were funded. In FY 2009, 566 applications have been received, and we anticipate funding 128. In FY 2010, 660 grant applications are expected and, with 160 are anticipated for funding at the request level.

Seminars and Institutes

NEH Summer Seminars and Institutes have long been the nation's premier form of professional development in the humanities for elementary and secondary school teachers. NEH offers college and school teachers opportunities to pursue serious, substantive intellectual inquiry in fields such as history, foreign languages, literature, philosophy, and political science. Working with distinguished scholars, participants deepen their knowledge of the subjects they teach and explore effective ways of bringing this understanding to their students. Increasingly, both seminars and institutes have been integrating the use of digitized materials with printed books and articles. Each year, NEH seminars and institutes make available a variety of learning opportunities for the nation's teachers.

Summer Seminars enable fifteen school or college teachers to study for two to six weeks under the guidance of a senior scholar. The principal goal is to engage teachers in the scholarly enterprise, thus equipping them for deeper understanding of their subject areas and more effective teaching. In Summer Institutes, school or college teachers participate in an intensive program of study with teams of humanities scholars who present a broad range of perspectives on a given topic. Well suited to larger groups (as many as thirty-five school teachers or twenty-five college teachers), institutes also last from two to six weeks and are a particularly appropriate mechanism for creating foreign language immersion opportunities.

During four weeks in the summer of 2008, for example, Harvard University hosted twenty-five college and university faculty members in a four-week institute on the

Civil Rights Movement in twentieth-century America. The participants closely studied civil rights issues during the Jim Crow era, including the foundation of the NAACP in the early twentieth century and the impact of American migration to urban areas in the North; the New Deal and World War II years, focusing on labor and legal issues and desegregation in the armed forces; and the struggles of the 1950s and 1960s, including *Brown v. Board of Education*, activism and resistance in the South and the North, community and national leadership, and the rise of Black Power. Visiting faculty Leon Litwack (history, University of California, Berkeley), Julian Bond (history, University of Virginia), Gerald Early (English, Washington University), and Deborah McDowell (English, University of Virginia) joined a project team headed by Henry Louis Gates, Jr. (Director of Harvard's W.E.B. Du Bois Institute for African and African American Research).

For the summer of 2009, pre-collegiate literature teachers will be able to choose from seminars or institutes focusing on Cervantes's *Don Quixote*; the plays of William Shakespeare; classical, English and American poetry; Dante's *Commedia*; J. R. R. Tolkien's *The Lord of the Rings*; and the works of John Steinbeck. High school history teachers will be able to choose seminars or institutes focusing on such topics as World War II and its legacy in France; George Washington and his legacy; and the frontier experience in the American Midwest. Scholars at the collegiate level will be able to study the social, cultural, political, and literary contexts of Anglo-Irish identities in the early modern period; philosophical questions about the nature of the mind; American jurisprudence; the cultural interactions between the United States and Russia in the late nineteenth and early twentieth centuries; and the environmental ethics of Aldo Leopold, on the occasion of the 50th anniversary of his classic text *A Sand County Almanac*.

Teachers who are excited about the subjects they teach remain in the profession and communicate their enthusiasm to students, making the classroom an exhilarating place while also fostering understanding and retention of the material that is taught. The transforming impact that NEH seminars and institutes have on teachers is communicated to their students for many years thereafter. In the summer of 2008, for example, 50 NEH-supported seminars and institutes served approximately 1,000 school and college teachers. By June of next year, it is estimated that these teachers will have reached approximately 150,000 students.

Landmarks of American History and Culture

In FY 2003, as part of the agency-wide *We the People* initiative, NEH established the Landmarks of American History grant category. The goals of this program of summer workshops for K-12 educators are to train teachers to employ historical sites as the basis for communicating central themes and issues of American history, to increase the knowledge and appreciation of these sites, and to encourage staff at historical sites to develop greater capacity and scale for professional development programs. Landmarks workshops are held at or near presidential residences, colonial-era settlements, major battlefields, and presidential libraries. Projects accommodate a minimum of forty teachers at one-week sessions, which are repeated between two and four times during the

summer. They are academically rigorous, involve leading scholars, and help participants develop new teaching resources.

In 2008, for example, Southern Illinois University-Edwardsville conducted workshops on Abraham Lincoln and his role in American history, using sites in and near Springfield, Illinois. Four central themes of Lincoln's public life united the project: nationalism, power, freedom, and race. In addressing these themes, the project examined differing definitions of freedom in the North and the South; Lincoln's use of history in defense of the Union; the relationship between freedom and power, including Lincoln's use of extralegal powers; Emancipation; and African-American women's experiences as an example of racial issues during the Civil War era. Participants visited the Lincoln Presidential Library and Museum, the Lincoln Home, and the Lincoln Law Office in Springfield, Illinois, and the historical reconstruction of New Salem Village, where Lincoln grew up.

In 2005, NEH expanded the Landmarks concept to include workshops that provide opportunities for community college teachers to deepen their knowledge of the nation's history and culture. Projects accommodate a minimum of twenty-five faculty at one-week sessions, which are repeated between two and four times during the summer. Enrolling more than half of America's undergraduate students, community colleges are a cornerstone of undergraduate teaching and learning. These institutions also are where a significant proportion of the nation's college students receive their first, and often only, contact with college courses in the humanities.

For example, a workshop in 2008, "Concord, Massachusetts: A Center of Transcendentalism and Social Reform in the 19th Century," enabled fifty community college faculty to focus on the role of Transcendentalist thinkers in social reform movements and Utopian communities in 19th-century Concord and its vicinity. The participants visited related sites in Concord, including the School of Philosophy, Walden Pond, and the homes of Ralph Waldo Emerson, Henry David Thoreau, the Alcott family, and Nathaniel Hawthorne; the site of Brook Farm, a Utopian community; and the Black Heritage Trail in Boston. With their readings grounded in an anthology of writings by the major Transcendentalist thinkers and selections from works by visiting scholars, the participants had the opportunity to pursue individual research and teaching projects at the Concord Library, the Concord Museum, and Massachusetts Historical Society.

In the summer of 2009, school teachers will be able to choose from such workshops as "Emily Dickinson: Person, Poetry and Place"; "Shaping the Constitution: A View from Mount Vernon, 1783-1789"; "Jump at the Sun: Zora Neale Hurston and Her Eatonville Roots"; "A Revolution in Government: Philadelphia, American Independence, and the Constitution, 1765-1791"; and Women's Suffrage on the Western Frontier." Community College faculty will be able to choose from several subjects, including "Encountering John Adams: Boston and Braintree"; "Landmarks of American Democracy: From Freedom Summer to the Memphis Sanitation Workers' Strike"; and "Progress and Poverty: The Gilded Age in American Politics and Literature, 1877-1901."

For the FY 2006 grant competition, the Endowment re-designated the program as Landmarks of American History and Culture to include workshops at sites associated with notable writers, architects, and artists who have made major contributions to the nation's culture. The Endowment also has forged a partnership with the United States Department of State to include a number of teachers and other humanities practitioners from other nations in selected NEH-supported Landmarks of American History and Culture workshops. Seventeen foreign visitors participated in three workshops in the summer of 2008 and explored significant events and themes in American history. This innovative partnership helped these educators have a better understanding of the history and culture of the United States and our democratic principles. We anticipate that this partnership with the Department of State and its Global Cultural Initiative will continue in the summers of 2009 and 2010.

Picturing America School Collaboration Projects

The Endowment established a special grant category in 2008 keyed to the agency's Picturing America initiative. Through this program, scholars in American art history, American history, and American studies are encouraged to develop summer seminars, institutes, and workshops for school teachers that relate to the initiative. Projects may include professional development opportunities that focus on the art works and artists featured in Picturing America and studies of the periods of American art depicted and the humanities themes represented. Other projects may focus on one or more of the artists and their works and their physical context, including the homes and studios of the artists and the settings depicted in their works.

Picturing America School Collaboration Projects provide opportunities to strengthen understanding of the connections between Picturing America and significant events, themes, and topics in the American experience. Projects also encourage collaboration between K-12 educators and humanities experts who can bring appropriate knowledge to the integration of American artworks in core subjects and to provide access to rich scholarly resources and primary materials to support teaching. In its inaugural 2009 iteration, grants will be aimed at a national audience; in the second round of awards in 2010, regional projects will be featured. In FY 2010, we anticipate making more numerous but much smaller awards.

Humanities Initiatives at Presidentially Designated Colleges and Universities

In 2003, in response to special Presidential Executive Orders to all federal agencies, NEH established a program to extend the reach of its grant opportunities to three types of institutions: historically black colleges and universities, institutions with high Hispanic enrollments, and tribal colleges and universities. Humanities Initiatives for Faculty awards may be used to enhance the humanities content of existing programs, develop new programs, or lay the foundation for more extensive endeavors in the future. Each project is organized around a core topic or set of themes.

Humanities Initiatives grants also may create opportunities for faculty members to study together while improving their capacity to teach the humanities, support collaborative work among faculty members to devise ways for strengthening humanities programs, and fund visiting scholars to help improve or redefine an institution's humanities programs. Also supported are projects to help faculty develop new humanities programs or take advantage of underused humanities resources, train staff and faculty members in the use of humanities materials and technologies, or build ties among faculty at several institutions.

For example, with its recent Humanities Initiative at Historically Black Colleges and Universities award, faculty and staff of the Virginia State University (VSU) collaborated with community leaders in the City of Petersburg and various regional and national universities in order to design and develop a program on African-American history in the context of the Atlantic world, using Petersburg, Virginia, as a case study. With a goal of creating a field of specialization for the VSU Graduate Program in History, project staff mounted four workshops: “Slavery and the Emergence of the Atlantic Economy”; “Establishing Black Institutions and Leadership”; “War, Reconstruction, and Civil Rights”; and “Education and Economics, 1950-Present.” The workshops resulted in a resource package, an educational website, a model for teaching local history in a global context, and instructional materials for high school, college, and graduate training in American history.

John Jay College of Criminal Justice received a grant through the Humanities Initiatives for Institutions with High Hispanic Enrollment grant category to support a one-year series of faculty seminars to develop a concentration in the English major on law and literature. During 2008, six distinguished scholars led the faculty in an examination of such canonical works as Sophocles’ *Antigone*, Charles Dickens’ *Great Expectations*, John Locke’s *Essay Concerning Human Understanding*, William Shakespeare’s *Measure for Measure*, Herman Melville’s “Bartleby the Scrivener” and “Billy Budd,” and Franz Kafka’s *The Trial*.

Fort Peck Community College in Montana used its Humanities Initiatives at Tribal Colleges and Universities award to offer a series of professional development activities for Montana school teachers on the history and culture of the Nakona (Assiniboine) and Dakota (Sioux) people, as well as the nearby Turtle Mountain tribe. Visiting scholars, college faculty, and tribal elders made presentations on such topics as the history of the Fort Peck reservation, kinship roles and death rituals, traditional and medicinal use of plants, the history and contemporary state of tribal leadership.

“Enduring Questions” Pilot Course Grants

The Endowment recently established a special grant program—“Enduring Questions” Pilot Course Grants—to provide opportunities for higher educational institutions to design a new course for undergraduate teaching and learning that promotes

engagement with fundamental issues in the humanities. The purpose of this program is to encourage faculty and students at the undergraduate level to grapple with important humanities issues and to join together in deep, sustained programs of reading in order to encounter influential thinkers over the centuries and into the present day.

Enduring Questions are questions that have more than one plausible answer. They have long held interest for young people, and they allow for a special, intense dialogue across generations. Some of these questions are: What is the good life? What is justice? What is freedom? What is happiness? What is friendship? What is dignity? Is there a human nature, and, if so, what is it? Is there such a thing as right and wrong, good and evil? What is good government?

This new program will make its first awards in 2009.

Teaching Development Fellowships

In 2008, NEH established a new grant program, Teaching Development Fellowships, to provide grants to college and university teachers to conduct research aimed specifically at deepening their core knowledge of the humanities in order to improve their undergraduate teaching. The program has three broad goals: 1) to improve the depth and quality of humanities education in the United States; 2) to strengthen the link between research and teaching in the humanities; and 3) to foster excellence in undergraduate instruction. Directed primarily towards course improvement rather than scholarly publication, the research undertaken as a part of a project may involve engaging with fundamental texts or sources, exploring related subjects or academic disciplines, or cultivating neglected areas of learning.

This new program received 98 applications at its FY 2009 deadline, and the Endowment made ten awards.

FEDERAL/STATE PARTNERSHIP

FY 2010 Request: \$38,515,000

The NEH Federal/State Partnership is a model of American federalism in action. This collaborative effort dedicated to the study and enjoyment of the humanities links a national federal agency with fifty-six state and jurisdictional humanities councils. The councils were established to fulfill the requirement in NEH's enabling legislation—the National Foundation on the Arts and the Humanities Act of 1965, as amended—that the Endowment support humanities programs “in each of the several states.”

The Federal/State Partnership helps the Endowment realize its two primary strategic goals: (1) to advance knowledge and understanding of the humanities, and (2) to increase public awareness of, access to, and support for the humanities in the United States. The Partnership makes humanities education and lifelong learning readily available at the local level, tailored to local interests and needs and drawing upon local resources, traditions, and heritage.

State humanities councils are nonprofit 501(c) (3) organizations governed by volunteer boards of directors. They operate in each of the fifty states as well as in the District of Columbia, Puerto Rico, the U.S. Virgin Islands, Guam, the Commonwealth of the Northern Mariana Islands, and Amerika Samoa. Each council receives funds annually from NEH according to a statutory formula. In accordance with the federal mandate, every NEH dollar a council receives is matched by local contributions of cash, goods, or services. The Endowment protects the federal investment by ensuring that state councils are strong organizations capable of delivering high quality humanities programming. It does so by collaborating with them on a regularly updated, state-of-the-art self-assessment and evaluation process, and by maintaining high levels of accountability.

State humanities councils have two major ways to support the humanities in their jurisdictions: They grant a portion of their funds on a competitive basis to locally initiated programs, and they develop and carry out their own programs. In their grant-making role, they act as foundations from which eligible organizations and individuals seek funding; in their program-generating role, they are nonprofit service providers drawing on their own resources and looking to the public to support the benefits they offer. Schools, libraries, historical societies, museums, literacy programs, filmmakers, teachers, researchers, writers, and storytellers are among the organizations and people with which the state humanities councils work. State humanities councils strengthen the bonds of community by bringing citizens together to discuss issues of importance in the humanities.

The councils support thousands of humanities projects and programs every year that reach tens of millions of Americans in rural areas, urban neighborhoods, and suburban communities. With funds provided through the NEH Federal/Partnership, the councils provide support for: reading and discussion programs for children, families, and

the newly literate that take place in libraries and other civic places; state and local book festivals, as well as the participation of a number of councils in the annual National Book Festival sponsored by the Library of Congress's Center for the Book; educational institutes and seminars for elementary and secondary school teachers; scholarship on state and local history and culture, such as comprehensive online state encyclopedias; Chautauqua-style historical performances and exhibitions at museums, libraries, and historical sites; and radio, television, and film projects on humanities themes.

With their strong networks of cultural and educational institutions, state humanities councils are especially well-positioned to ensure that NEH's *We the People* program continues to reach throughout every state and jurisdiction. Since funds were first appropriated for *We the People* in FY 2004, the Endowment has provided significant funding each year to support a wide array of state programs focused on topics related to American history and culture. State humanities councils are also energetically promoting NEH's programming by alerting the citizens of their states to the agency's *We the People Bookshelf* program for public and school libraries and other specific funding opportunities related to this Endowment-wide program.

The state councils have put their *We the People* funds to work in a variety of inventive ways. The humanities council in the state of Washington, for example, developed an expanded grantmaking program that may support the only humanities programs in towns or counties in remote and rural areas of the state. The Idaho Humanities Council sponsored an interdisciplinary teacher development institute that explored environmental literature. The North Carolina Humanities Council expanded the reach and content of its publications and website and supported the Museum on Main Street exhibition, "New Harmonies: Celebrating American Roots Music." The "We Are California: Stories of Immigration and Change" website of the California Council for the Humanities is the first to be devoted to the history of California immigration and migration as well as the first where Californians can tell their own coming-to-California stories. And, the Ohio Humanities Council is planning for the state's observance of the Sesquicentennial of the Civil War and supporting public television programs on Ohio's historic places.

Because the written word is the currency of ideas, most state humanities councils promote reading and literacy. Many councils support such family literacy programs as Motherhead, a nationally acclaimed non-profit organization that combines the teaching of literacy skills with child development and family empowerment issues, and Prime Time Family Reading Time, developed by the Louisiana Endowment for the Humanities, which provides reading, discussion, and storytelling programs for young children and their parents in public libraries and other venues. In all, thirty-eight councils support literacy programming and all sponsor discussion programs, many of which are based on the common reading of texts.

State humanities councils across the country make it possible for citizens to come together to address issues related to the economy, health care, demographics, energy, and our schools, through discussions informed by history and literature. Such in-depth

explorations of critical and potentially divisive issues serve purposes beyond creating more thoughtful and better-informed citizens. They also increase citizen engagement in public life and bring citizens together to work toward common goals in shaping the future of their community and nation. The Arizona Humanities Council's "Project Civil Discourse" is an example of a statewide effort to create respectful dialogue and discourse on public issues. Working with a broad array of partners, including the Arizona School Boards Association, Arizona Town Hall, and the Arizona State University Center for Civic Education and Leadership, among many others, the council offers training, forums on specific topics, speaker presentations, book discussions, and special events that provide insight into collaborative problem-solving.

To ensure depth and seriousness of reflection, some councils have selected topics of specific concern to citizens of their states and shaped year-long events to explore each topic. The Illinois Humanities Council conducted such an initiative, in conjunction with involving 34 partner organizations, on the meaning of the genetics revolution. The council is following up this year with a one-year series of programs entitled "All Consuming: Conversations on Oil and Water," which invites citizens of the state to join the council in using the humanities "to ask how access to and control and consumption of oil and water play out in the past, current, and future geopolitical environment." The Virginia Foundation for the Humanities uses electronic media to initiate discussions through their "Backstory with the American History Guys" radio call-in show, which features three prominent historians to provide perspective on "events happening around us every day." Recent programs have featured discussions of the history of presidential transitions and the history of financial crises.

Councils take an active role in providing K-12 teachers in their states and jurisdictions professional development opportunities. Most of the councils support programs that provide K-12 teacher support. The Minnesota Humanities Center, for example, offers teacher institutes that range from short half-day programs to week-long institutes. All are led by regional and national humanities scholars and take place both in St. Paul and around the state. The Alabama Humanities Foundation offers both week-long and one-day teacher seminars. It is also linked with the statewide interactive resource database and the distance learning network, making professional development opportunities widely available throughout the state. As part of the celebration of the 50th anniversary of statehood, the Alaska Humanities Forum is holding a teacher institute in the summer of 2009 to prepare high school teachers to teach Alaska history. The Forum also provides a web-based Alaska History and Cultural Studies curriculum. The Connecticut Humanities Council offers a web-based resource center that allows users to search by grade level or topic to find lesson plans, field trips and professional development opportunities for teachers and students offered by Connecticut's museums, historical societies, and cultural organizations. During the summer of 2009, the councils in Florida and Wyoming will hold NEH Landmarks of American History and Culture workshops for teachers and the Virginia Foundation for the Humanities will sponsor the NEH-supported seminar for college and university teachers "Roots 2009: African Dimensions of the History and Culture of the Americas."

At the FY 2010 request level, the Endowment will provide funding increases to each of the 56 state and territorial humanities councils. These funds will enable the councils to support additional high quality state and local projects and address unmet needs in community humanities programming. Additional funding in FY 2010 will help to meet needs such as the following:

- Opportunities to build community through civic conversations. Discussions can be launched through dynamic speakers, exhibits, films, books, dramatic presentations, or other formats that provide a historical or cultural context for looking at basic human questions.
- Expanded reading and literacy programs that bring people together to discuss books and ideas with their neighbors and that create lifelong readers. Such programs often blend reading training with participation in the vital civic experience of community discussion and are based on the notion that literate citizens are more likely to be engaged citizens. Increased funding would allow the state councils to reach more parents and young children through family literacy programs and to bring more people together to explore the literature that defines our cultural heritage.
- New media and technology resources that offer millions of Americans access to historical and cultural knowledge through web-based technologies, documentary films, radio programs, and electronic state encyclopedias. Councils use these and other technologies to attract new audiences and offer new ways of exploring our history and communicating with each other about common concerns.

PRESERVATION AND ACCESS

FY 2010 Request: \$16,250,000

Programs/Grant Categories:

- Humanities Collections and Reference Resources
- Documenting Endangered Languages
- National Digital Newspaper Program
- Preservation and Access Education and Training
- Preservation Assistance Grants for Smaller Institutions
- Sustaining Cultural Heritage Collections
- Preservation and Access Research and Development

The Endowment supports projects that preserve and increase the availability of resources important for research, education, and public programming in the humanities. These resources include books, journals, newspapers, manuscript and archival collections, maps, photographs, films, sound recordings, oral histories, and objects of material culture. They are held by libraries, archives, museums, historical organizations, and other repositories. The Endowment also makes grants to create databases, dictionaries, atlases, encyclopedias, and other major reference works that preserve and portray the history and culture of the United States, as well as the history and culture of other nations.

By ensuring the long-term and wide availability of primary resources, Preservation and Access grants help NEH achieve one of its major strategic goals: to advance knowledge and understanding of the humanities in the United States. These grants are based on the principle that preserving a collection without creating an adequate description of its location and holdings for the prospective user is ultimately as futile as establishing access to materials that are too fragile to be handled. A significant part of the nation's cultural legacy is at risk: printed holdings in research libraries are deteriorating because of the acidity of their paper, and materials such as photographs, films, and sound recordings are vulnerable because of their composition. Newer digital resources pose particular challenges related to permanence, authenticity, and rapid technological change.

Funds requested for FY 2010 would enable the Endowment to continue to support projects that preserve and increase access to important cultural and intellectual resources. In FY 2010, the agency will also establish two new grant categories. One of the new programs—Sustaining Cultural Heritage Collections—will support planning and implementation of preventive conservation measures that prolong the useful life of humanities collections. The other new category—Research and Development grants—will fund projects that address major challenges in preserving or providing access to humanities collections and resources.

The Endowment's Preservation and Access division received 532 applications and made 187 grants in FY 2008. In FY 2009, 509 applications were received and 171 grants are anticipated. At the FY 2010 request level, approximately 561 applications and 166 awards are anticipated.

Humanities Collections and Reference Resources

The Endowment provides grants to projects that preserve and create intellectual access to collections that, because of their content and value as cultural artifacts, are considered highly important to the humanities. Grants support the digitization of collections to enhance their accessibility, as well as the integration of humanities materials that are geographically dispersed. Humanities Collections and Reference Resources awards also support preservation reformatting and deacidification of humanities collections; arranging and describing archival and manuscript collections; and cataloging collections of printed works, photographs, recorded sound, moving images, and other materials important for humanities research and education. For example, a grant was recently awarded to the Newberry Library in Chicago to digitize for online access 120,000 pages of translated articles from the 1860s through the 1930s in Chicago's foreign language press, which help to document the activities and opinions of twenty-two ethnic communities originating mainly from Europe and Latin America. Another recent award to the American Antiquarian Society in Worcester, Massachusetts, is helping to catalog and digitize engravings in 19th-century gift books and literary annuals, thus revealing how middle-class American families learned about and lived with art.

The Endowment has long supported the creation of a wide array of humanities research tools and reference works. Some of these resources, such as ancient language historical dictionaries and descriptive catalogs of manuscripts and rare books, serve primarily the needs of scholars. Others have been widely acclaimed for their contributions to education and lifelong learning in the humanities. Among the reference works that serve both specialist and generalist audiences are the *Dictionary of American Regional English*, which describes the rich array of regional and folk varieties of American speech, and the *History of Cartography*, a comprehensive account of the evolution of maps and map-making through history and around the world.

Humanities research tools and reference works increasingly appear in electronic form. Indeed, for many years NEH has been on the forefront in encouraging digital projects. Endowment funds have supported the development of online encyclopedias and dictionaries, as well as databases of bibliographical information, digital archives of textual and visual materials, and historical atlases. For example, the Virginia Foundation for the Humanities received a grant to launch the *Encyclopedia Virginia*, an online reference work that will use the latest digital technology to shed light on four hundred years of Virginia history, beginning with the pre-colonial and colonial periods. A grant to Brigham Young University, Provo, Utah, is supporting the multispectral imaging of

illegible papyri in order to discover ancient texts that cannot be deciphered even with high-resolution color photography. This innovative marriage of digital technology and traditional humanities scholarship will have far-reaching impact beyond Egyptian and Classical studies.

The potential of digital technology to unite significant humanities materials that are dispersed across the globe has led NEH to embark on collaborative efforts with its counterparts in other countries. To that end, the Division of Preservation and Access has contributed to NEH's partnership with the Joint Information Systems Committee in the United Kingdom to support collaboration between institutions of higher education in the United States and those in England and Wales in the design and implementation of digitization projects. These projects create digital archives, develop tools to improve humanities research online, and rejoin "split" humanities collections currently located on both sides of the Atlantic. For example, a grant to the Thomas Jefferson Foundation in Charlottesville, Virginia, is supporting the development of an integrated digital archive of diverse archaeological and historical data related to the experiences of African slaves who labored on 17th-, 18th- and 19th-century sugar plantations in the Caribbean.

Documenting Endangered Languages

The Endowment supports the creation of tools—such as bilingual dictionaries, grammars, and text collections—that document languages threatened with extinction. Of the 6,000 to 7,000 currently spoken languages, at least 3,000 are endangered, including hundreds of American Indian languages. These lesser known languages constitute an irreplaceable treasure for scholars who need to consider evidence from past and presently spoken languages in order to understand the nature of language. Moreover, a language embodies unique local knowledge of the cultures and natural systems of the region in which it is spoken. In an effort to address this issue, in 2005 NEH and the National Science Foundation established a joint special initiative, “Documenting Endangered Languages.” Grants support fieldwork and other activities relevant to recording, documenting, and archiving endangered languages, including the preparation of lexicons, grammars, text samples, and databases. In each documentation project, academic linguists work closely with native speakers in the language community, and all products of these awards are made available to that community to increase their knowledge of their own heritage and to help them with any efforts they might undertake to pass on information about their language to younger generations. Recent awards support the preparation of a grammar, recordings, a lexicon, and other resources on Chimiini, an endangered Bantu language formerly spoken in Somalia; the creation of a multilingual dictionary of the Nahuatl language of Mexico; and the digital archiving of materials on other endangered languages in Bolivia, Brazil, Ecuador, Mexico, and Peru. The Endowment is also supporting the development of an online dictionary of Omaha and Ponca, two Siouan languages spoken in Nebraska and Oklahoma, and fieldwork on the grammar, lexicon, and storytelling traditions of the Plains Apache.

National Digital Newspaper Program

Newspapers chronicle the daily life of our citizens in towns and cities, and it is the stories of those towns and cities that together make up the history of our nation. They also document the civic, legal, historical, and cultural events in every region during the past 300 years. For nearly thirty years, the Endowment has helped to preserve the content of historically important American newspapers. NEH support has enabled organizations and institutions in 50 states, the Virgin Islands, and Puerto Rico to locate, catalog, and microfilm their newspaper holdings and to create a centralized bibliographic record of all newspaper titles published in America since 1690.

With the advent of digital technology, there is now a means of providing full text searching of newspaper content. In pursuit of this objective, NEH and the Library of Congress signed a memorandum of understanding in 2004 establishing a partnership to create the National Digital Newspaper Program (NDNP). Under the terms of this partnership, over a period of approximately 20 years, the Endowment will provide grants to an institution or organization in each state and territory to digitize titles published between 1836 and 1922 and to prepare fully searchable files that the Library of Congress would permanently maintain on the World Wide Web.

The NDNP is a complex undertaking that will be implemented in successive phases. To date, the NEH has provided support under this grant category for fifteen state projects (Arizona, California, Florida, Hawaii, Kentucky, Minnesota, Missouri, Nebraska, New York, Ohio, Pennsylvania, Texas, Utah, Virginia, and Washington), which have created so far a collection of approximately one million pages of digitized newspapers published between 1880 and 1910. The selected pages, along with title essays and a directory of papers published in the United States from 1690 to the present, are now publicly available through the Library's "Chronicling America" website.

Preservation and Access Education and Training

Complementing the Endowment's support for preserving and establishing access to a variety of cultural resources are its grants for projects to increase the ability of the nation's libraries, archival repositories, and museums to care for their collections. NEH has always considered support for education and training to be an important component of its national preservation effort. Grants are made for regional preservation field services to help ensure that smaller cultural institutions across the country receive the kind of advice and knowledge they need to preserve their collections. For example, the Northeast Document Conservation Center in Andover, Massachusetts, provides surveys, workshops, seminars, technical consultations, and disaster assistance to libraries, archives, and historical organizations in the Northeast. The Balboa Art Conservation Center in San Diego offers similar services for the staffs of museums and historical organizations in California, Arizona, Oregon, and Washington.

NEH also supports academic programs that train the next generation of conservators responsible for the upkeep of the nation's humanities collections. Among

these are the graduate program in art conservation sponsored by the University of Delaware and the Winterthur Museum and the graduate program at Buffalo State College in New York for conservators working with books, photographs, and ethnographic and archaeological materials. Finally, grants support specialized education and training programs such as the highly regarded workshops on digital preservation administered by the University of Michigan, Ann Arbor, for librarians, archivists, and museum specialists from across the country.

In addition, NEH has helped museums, libraries, archives, and historical organizations improve their ability to plan and respond to disasters. Inadequate planning for emergencies remains a serious problem for the nation's cultural institutions. At present, four out of five cultural repositories in the United States lack disaster or emergency plans and the trained staff to carry them out. The Endowment helps to integrate emergency management into all aspects of a collecting institution's operations by supporting preservation education programs and the work of the regional preservation field services that provide the training needed to plan effectively for disaster preparedness and response. In 2005 and 2008, for example, the Endowment provided much-needed emergency grants to educational and cultural institutions that were affected by Hurricanes Katrina and Rita and historic floods in the Midwest.

Preservation Assistance Grants for Smaller Institutions

Smaller cultural repositories constitute the large majority of collecting institutions in the United States. These organizations often lack the resources to address the preservation needs of their collections. The Endowment's program of Preservation Assistance Grants provides small and mid-sized libraries, archives, museums, and historical organizations with grants of up to \$6,000. Funds support on-site consultation by a preservation professional, enable staff to attend preservation training workshops, and help purchase preservation supplies and equipment. Since FY 2000, 1,313 grants have been made to institutions in 50 states, the District of Columbia, and Puerto Rico.

Recent awards include a grant to the Gig Harbor Historical Society in Washington for the purchase of equipment to monitor environmental conditions in the Harbor History Museum, which houses collections documenting the area's Native American and immigrant heritage, and a grant to the Adirondack Museum in Blue Mountain Lake, New York, to purchase storage furniture and preservation supplies to rehouse a collection of 70,000 photographs detailing the history and culture of the region. The Starkville Public Library in Mississippi used its award to conduct a preservation assessment and staff training to ensure proper care for its collections of periodicals, scrapbooks, voting records, newspapers, and other materials related to the history of Oktibbeha County. Finally, another preservation assistance grant enabled Louisiana State University at Alexandria, which was affected by Hurricane Katrina in 2005, to train its library staff and campus emergency response personnel in disaster preparedness and to create a disaster response plan.

Sustaining Cultural Heritage Collections

Libraries, archives, museums, and historical organizations across the country manage collections of books and manuscripts, photographs, sound recordings and moving images, archaeological and ethnographic artifacts, historical objects, and art that facilitate research, strengthen teaching, and provide opportunities for lifelong learning in the humanities. The challenge of preserving such large and diverse holdings for future generations is enormous. In FY 2010, NEH will be offering a new grant program, Sustaining Cultural Heritage Collections, to help institutions meet that challenge by supporting planning and implementation of preventive conservation measures that prolong the useful life of collections.

Ongoing conservation research in the United States and abroad demonstrates the value of preventive conservation measures, which typically include managing relative humidity and temperature levels in collection spaces, anticipating changes in conditions that may result from natural disasters, providing protective storage enclosures and systems for collections, and safeguarding collections from theft and fire. NEH support for preventive conservation would ensure the long-term preservation of significant collections.

The Sustaining Cultural Heritage Collections program will also promote preservation projects that reduce energy consumption and greenhouse gas emissions. To encourage such projects, planning grants would be available to develop sustainable preservation strategies, which are based on an understanding of the materials in collections, the performance of the building systems, the nature of the climate, the economic costs, and the impact on the environment.

Preservation and Access Research and Development

In FY 2010, a new grant category of Research and Development awards will address major challenges in preserving or providing access to humanities collections and resources. Supported last year within the category of Humanities Collections and Reference Resources, research and development projects will assist in finding better ways to preserve materials of critical importance to the nation's cultural heritage—from fragile artifacts and manuscripts to analog recordings and digital assets subject to technological obsolescence—as well as developing advanced modes of discovering and using such materials. Research and Development grants may help, for example, devise more effective ways to protect and slow the deterioration of humanities collections through the use of sustainable preservation strategies; develop technical standards, best practices, and tools for preserving humanities materials that are "born digital"; and ensure that collections of recorded sound and moving images that represent a major part of the record of the 20th century will remain accessible to future generations.

PUBLIC PROGRAMS

FY 2010 Request: \$14,750,000

Programs/Grant Categories:

- America's Historical and Cultural Organizations (museums, historical organizations, libraries, community and cultural organizations, and historic sites)
- America's Media Makers (television and radio documentaries and digital projects)
- Chairman's Special Awards (large-scale, collaborative, multiformat projects or large-scale traveling exhibitions)
- Small Grants to Libraries and NEH on the Road (extend the reach of NEH grants)

NEH's Division of Public Programs supports activities that engage millions of Americans in the study and interpretation of significant humanities works, ideas, and events. By providing opportunities for citizens to engage in lifelong learning in history, literature, comparative religion, philosophy, and other fields of the humanities, these programs address the Endowment's two strategic goals: to advance knowledge and understanding of the humanities in the United States; and to broaden public awareness of, access to, and support for the humanities.

The Endowment supports a variety of public humanities programs, including interpretive exhibitions, reading and discussion programs, the interpretation of historic sites, radio and television documentaries, and history and literature programs for families. The agency also supports collaborative, multiformat projects, large-scale traveling exhibitions of national visibility, and the use of new technologies for the delivery of content to the American public.

The America's Historical and Cultural Organizations program supports interpretive exhibitions about important subjects in the nation's history, as well as the history and culture of other nations. The America's Media Makers program supports exciting documentary films and radio programs on subjects in the humanities. Also supported are Chairman's Special Awards that provide grants of up to \$1 million for projects of national visibility that are of compelling interest to the general public, show exceptional promise of dealing with important humanities ideas in new ways, and reach large numbers of Americans. Two small programs are extending the reach of excellent projects supported by NEH. The Small Grants to Libraries category, for example, enables individual libraries to take part in exemplary programs, such as exhibitions on important topics in the humanities, circulated by national organizations and major institutions. Similarly, the NEH on the Road program is designed to extend the life and geographic reach of selected NEH-funded exhibitions by reconfiguring them to fit into

spaces of about 2,000-square feet so that small and mid-sized museums can host NEH exhibits.

As part of the agency-wide *We the People* initiative, the Public Programs division also helps to support the *We the People Bookshelf*. Through this program, public and school libraries throughout the country receive a set of featured books and use them in programs designed for their communities. Undertaken with the collaboration of the American Library Association, the *Bookshelf* encourages young people to read and understand carefully chosen books that explore themes in American history and democratic principles. "Created Equal," the *Bookshelf* theme chosen to help celebrate the Abraham Lincoln bicentennial in 2009, proved to be extremely popular; and in anticipation of a similar success on the occasion of the upcoming 150th anniversary of the Civil War, NEH plans to award 4,000 sets of books for the 2010 theme, "A More Perfect Union."

The sesquicentennial of the Civil War will be observed between 2011 and 2015. The Endowment has already supported several projects for public audiences to interpret our epic American trauma. For example, a recent award to the Virginia Sesquicentennial of the American Civil War Commission will support planning of a traveling exhibition on *An American Turning Point: The Civil War in Virginia*. Another grant provided support for *Robert E. Lee*, a two-hour documentary that will be broadcast nationally in 2011 on the acclaimed PBS series *American Experience*. The film examines the extraordinary life and enigmatic personality of the Confederacy's pre-eminent general, looking at Lee as a son, as a Virginian and Southerner, as a soldier, as a symbol, and as a man.

In FY 2008, the Endowment made 47 major awards, including 16 radio and television projects; 22 exhibitions, reading and discussion programs, web-based programs, and other public education programs; and 9 grants to America's historic sites. Within these grant categories, 3 Chairman's Special Awards also were made. In addition, 22 NEH on the Road grants were made to museums and 45 Small Grants to Libraries. In FY 2009, 48 major awards are anticipated, including 16 radio and television projects; 24 exhibitions and reading, viewing, discussion, and web-based programs; and 8 grants to America's historic places. Within these categories, 3 Chairman's Special Awards are anticipated. In addition, 35 NEH on the Road grants will be made to museums, and approximately 105 Small Grants to Libraries will be awarded. In FY 2010, 53 major awards are anticipated, including 22 radio and television projects; 23 exhibitions and reading, viewing, discussion, and web-based programs; and 8 grants to America's historic places. Within these categories, 3 Chairman's Special Awards are anticipated. In addition, 60 NEH on the Road grants would be made to museums, and approximately 165 Small Grants to Libraries would be awarded.

At the FY 2010 request level, the Endowment would be able to extend the reach and impact of its lifelong learning programs by increasing the number of awards through the Small Grants to Libraries and NEH on the Road programs, which would bring high quality humanities projects to more communities throughout the nation. We also plan to encourage emerging and accomplished media makers who have not previously explored

the planning and production of humanities-rich projects to avail themselves of NEH's grant opportunities.

America's Historical and Cultural Organizations

The Endowment is a major source of support for substantive humanities projects and programs in the nation's historical and cultural institutions and organizations including museums, libraries and archives, historic sites, and community centers. These projects, all grounded in sound humanities scholarship, include exhibitions of artistic, cultural, and historical artifacts; the interpretation of American historic sites; and reading and discussion programs in the nation's libraries, a natural setting to increase public understanding of the humanities through the discovery and interpretation of significant texts, media, and special collections. Also supported are book and film discussion series, traveling exhibitions, lecture series, and other lifelong learning activities. The Endowment also encourages collaborations among community libraries and museums, school systems and home schooling groups, parent-teacher organizations, television and radio stations, and literacy coalitions.

At any time, hundreds of NEH-sponsored exhibitions are on view at large and small museums and historical sites throughout the country, enabling millions of Americans to learn more about their nation and the world through the humanities. For example, *Tipi of the Great Plains*, a traveling exhibition from the Brooklyn Museum of Art, examines the Indian tipi, its historical role in Plains cultures, and its continued importance as a symbol of identity. The Endowment also provided support to the Newberry Library in Chicago to implement online and traveling exhibitions and public programs about the United States' first comprehensive urban master plan, architect Daniel Burnham's 1909 *The Plan of Chicago*.

NEH also provides support for projects in America's historic sites and public libraries. For example, *Page and Stage: Theater, Tradition and Culture in America* from the Aquila Theatre Company in collaboration with the American Philological Association and the Urban Libraries Council will take combined theater and library programs to sixteen venues across the nation. Sites in seven states will host a theatrical performance of Shakespeare's *Comedy of Errors* to compliment a series of reading and performance discussion programs and a website about how the themes of classical Greek and Roman drama continue to resonate today across a variety of racial and ethnic subcultures. Similarly, the Maine Humanities Council received an award for a reading and discussion series, *Humanities at the Heart of Health Care for Veterans*, with venue training workshops of medical professionals using humanities literature to improve the understanding of patients at 15 Veterans Administration hospitals in 10 states.

America's Media Makers

NEH supports media projects—principally film documentaries and radio series—that explore significant figures and events in the humanities and examine the history and culture of America and other nations. Programs present fresh approaches to interpreting

the humanities and provide stimulating and substantive educational opportunities for Americans of all ages. The Endowment also encourages and supports creative approaches—especially those that use new digital technologies—that expand the content and reach of television and radio programs in the humanities. To ensure that humanities themes and questions are well conceived, the agency requires that projects draw their content from humanities scholarship and use a team of scholars who are knowledgeable of the subject matter and that have diverse perspectives and approaches.

Endowment-supported media projects continue to garner national acclaim and recognition for excellence. *The American Experience, Twentieth-Century Presidents: A Multi-Platform Initiative* was developed around previously broadcast television biographies about seven U.S. presidents: Franklin Roosevelt, Harry Truman, Lyndon Johnson, Richard Nixon, Jimmy Carter, Ronald Reagan, and George Herbert Walker Bush. This project's multiformat approach includes a national television broadcast of the seven programs as a series; distribution on new digital television channels, such as *PBS World*, and on DVD; podcasts; and digital media streaming. Final Nielsen audience data indicates that the series yielded 25 million broadcast viewers on PBS; 104,000 full program downloads on iTunes; 155,000 podcast downloads; and, 829,000 streaming video chapters. *The Rape of Europa*, an NEH-supported documentary film about the systematic theft, deliberate destruction, and survival of Europe's art treasures during the Third Reich, was nominated for Best Documentary Screenplay from the Writers Guild of America. The film also was included in the "shortlist" round of the Academy Award nominations for Best Documentary and received an Audience Award for Best Documentary at RiverRun International Film Festival.

Another recent NEH-supported project, *Postcards from Buster*, produced by WGBH Educational Foundation demonstrates that children can appreciate historical and cultural analysis and interpretation at an early age. The Endowment provided funding for three half-hour episodes in this television series for children six to eight years old. Through a combination of animation and live-action documentary photography, the viewer follows Buster Baxter, a rabbit, as he experiences the music, art, language, religion, history, and cultural traditions of India, Kenya, and Chile. Excellent scholars, educators, and media specialists collaborated to create a film of exceptional educational value. The Endowment also provided support to Connecticut Public Broadcasting for the post-production of *Young American Heroes*, a 28-minute pilot television program for PBS aimed at students aged eleven to fourteen. The proposed film, treatments, and two websites will depict extraordinary Americans in pivotal moments of eighteenth- and nineteenth-century American history.

Notable NEH-supported television programs currently in development include a documentary that examines the history of *The Latino Americans* through the lens of immigration; a one-hour film television documentary on the life and work of architect and urban planner Daniel Burnham; and, *Into the Deep: America, Whaling and the World*, a two-hour look at the whaling industry over the span of two centuries.

The NEH also supports radio programs that examine the lives of important individuals, significant events, notable developments in the humanities, or the critical analysis of themes or genre. These projects draw their content from humanities scholarship. Awards are made to radio organizations and stations as well as to independent radio producers affiliated with non-profit institutions. Recent radio projects supported by the NEH include *Afropop Worldwide*, which will produce six new one-hour episodes, develop a set of interactive maps on the history of music in Mali, and revise the program's website to enhance user-friendly access and interactivity. The Virginia Foundation for the Humanities recently received support from NEH for a series of hour-long radio programs, *BackStory with the American History Guys*, featuring interviews and discussions with three eminent American historians on topics taken from today's headlines. These entertaining and enlightening programs are designed to provide perspective on the present by connecting current circumstances with the broad sweep of U.S. history.

Chairman's Special Awards

In FY 2007, the Endowment established a new category of Chairman's Special Awards of up to \$1 million for projects that will increase the impact and reach of public programming in the humanities. Through these awards, NEH encourages the creation of large-scale, collaborative, complex projects with national visibility and compelling interest to the general public that show exceptional promise of dealing with humanities topics in new ways. The first Chairman's Special Award was made in 2007 to the Asian Art Museum of San Francisco for an exhibition exploring the arts and cultural heritage of ancient Afghanistan. A recent grant to the WGBH Educational Foundation in Boston is supporting the production of a film and related programming for the *American Experience* television series on the Freedom Riders of 1961. This is an ambitious multiplatform project on the hundreds of civil rights activists who challenged segregation in interstate transport in the American South during the spring and summer of 1961. The centerpiece of the project is a special two-hour national PBS broadcast scheduled to coincide with the Riders' fiftieth anniversary in 2011. Another recent Chairman's Special Award was made to The Solomon R. Guggenheim Museum for *The Third Mind: American Artists Contemplate Asia, 1860–1989*, which opened in February 2009, attracted large audiences, and received dozens of favorable reviews in the national press. This large-scale exhibition with accompanying catalog, website, symposium, and related educational and public programs examines the impact of Asian art, literature, and philosophy on American art from 1860 to 1970.

Small Grants to Libraries and NEH on the Road

The Endowment is committed to extending the reach of high quality educational exhibitions to audiences throughout the country. To achieve this objective, the agency supports two special programs, NEH on the Road and Small Grants to Libraries.

NEH on the Road sends intellectually engaging, scaled-down versions of major NEH-funded exhibitions to cultural organizations at sites across America. The first of

these exhibitions began circulating in 2005; currently, NEH has eight exhibitions available. The Endowment supplements these exhibitions by awarding a grant of \$1,000 for local public programming and scholarly activities. To date, NEH on the Road exhibitions have traveled to over 100 sites. One of the exhibitions currently on travel, *Lee and Grant*, compares and contrasts Civil War generals Robert E. Lee and Ulysses S. Grant.

The Small Grants to Libraries program provides support for libraries and other cultural institutions to receive traveling panel exhibitions or pre-packaged reading and film discussion programs. The program helps smaller, less-experienced organizations bring strong humanities programming to their communities. For example, the American Library Association received support to develop a panel exhibition on Lincoln and the National Constitution Center received support for an exhibition on the African American baseball experience. Each of these panel exhibitions will travel to libraries throughout the nation. These small, 1,000-square-foot exhibitions are designed to educate audiences in selected communities about the life and works of the subjects, focusing on the breadth of their accomplishments. Beyond the panel exhibition, selected sites also receive an array of educational and promotional materials keyed to the themes of the exhibitions, as well as staff training that includes presentations by scholars and curators, exhibit designers, and librarians from around the country with experience in public programming. An online site support notebook for libraries features program ideas, speaker lists, bibliographies, film lists, exhibit set-up instructions, and other aid for the selected sites. Grants of \$2,500 are made to the selected sites, which are used to defray the cost of travel to the training sessions and the cost of local programming.

RESEARCH PROGRAMS

FY 2010 Request: \$16,000,000

Programs/Grant Categories:

- Fellowships and Summer Stipends Programs
 - Fellowships
 - Summer Stipends
 - Documenting Endangered Languages
 - Faculty Research Awards (for Historically Black Colleges and Universities, Institutions with High Hispanic Enrollment, and Tribal Colleges and Universities)
 - Teaching Development Fellowships

- Collaborative Research Programs
 - Collaborative Research
 - Scholarly Editions
 - Fellowship Programs at Independent Research Institutions
 - Fellowships at Digital Humanities Centers

Advancing knowledge and understanding in the humanities is a major strategic goal of NEH and the principal mission of the agency's Division of Research Programs. The Endowment upholds the very highest standards of scholarly excellence and intellectual significance. As a result, NEH awards for advanced research in the humanities have become among the most coveted by American scholars. Grants support research by individual scholars (Fellowships and Summer Stipends); long-term, complex projects carried out by teams of scholars (Collaborative Research and Scholarly Editions); and focused, individual projects that draw upon the collections and expertise of leading humanities institutions and overseas research centers (Fellowship Programs at Independent Research Institutions and Fellowships at Digital Humanities Centers).

Supported projects cover all areas of the humanities from history, philosophy, literature, and the arts to classics, Western civilization, and Asian studies. Many of these projects involve the most significant political, philosophical, and literary ideas in the American intellectual tradition. For example, NEH is a major source of funding for authoritative editions of the papers of important historical figures, including Martin Luther King, Jr., George C. Marshall, Eleanor Roosevelt, and Albert Einstein, and such notable statesmen as Benjamin Franklin, George Washington, Thomas Jefferson, James Madison, and Abraham Lincoln, as well as editions of the writings of quintessential American authors such as Emily Dickinson, Walt Whitman, Mark Twain, and Robert Frost.

The results of humanities research are typically communicated through books and articles, and each year NEH-supported scholars produce hundreds of such publications,

many with leading trade and academic publishers. Notable publications that have enjoyed wide readership recently include Howard Pollack's *George Gershwin: His Life and Work*, Marcus Rediker's *The Slave Ship: A Human History*, and Michael Honey's *Going Down Jericho Road: The Memphis Strike, Martin Luther King's Last Campaign*.

Grantees are increasingly making their research available in electronic formats, such as interactive sites on the World Wide Web. The Endowment encourages applicants to harness the vast potential of advanced digital technology in the conduct and dissemination of research. For example, Robert Allen of the University of North Carolina, Chapel Hill, received an NEH grant to help produce an interactive digital collection of records from African-American movie theaters in early 20th-century North Carolina, documenting the spending habits and social interactions of African-Americans during segregation. His work will become part of the "Documenting the American South" website maintained by the University of North Carolina, Chapel Hill (see <http://docsouth.unc.edu/>). A grant to Richard Salomon at the University of Washington in Seattle is supporting work on the Early Buddhist Manuscripts Project (see <http://www.ebmp.org/>). Using fragments of Buddhist manuscripts on birch-bark scrolls, Salomon's team will digitally reconstruct the earliest surviving Buddhist documents. And James Secord, an American scholar working at the University of Cambridge, heads an international collaboration on the Charles Darwin Correspondence Project, jointly managed by the American Council of Learned Societies and Cambridge University. The project publishes both a print edition and a fully searchable online database of the 19th-century naturalist's work at <http://www.darwinproject.ac.uk>.

In FY 2008, NEH awarded 174 Fellowships and Stipends from a pool of 2,177 applications. In FY 2009, 163 grants are expected from a pool of 2,185 applications. In FY 2010, the agency anticipates receiving approximately 2,300 applications and awarding 250 Fellowships and Stipends.

In FY 2008, the Endowment funded 48 large-scale collaborative research projects from a pool of 210 applications. In FY 2009, approximately 62 awards and 215 applications are anticipated. And, in FY 2010, approximately 60 awards would be made from an estimated 215 applications.

Fellowships and Summer Stipends Programs

Fellowships and Summer Stipends

NEH Fellowships and Summer Stipends advance knowledge and understanding in all fields of the humanities. Over the years, these awards have proven to be an extremely effective and efficient means of supporting excellent humanities research, resulting in the publication of more than 6,800 books. Nearly all grantees report success in publishing books and articles or making presentations based on their research for both specialized and general audiences, and many also report that their research enriched their teaching. Grants are awarded to a wide range of scholars in diverse settings, from colleges and

universities to research institutes, and to independent scholars without teaching appointments.

The intellectual impact of NEH Fellowships and Summer Stipends is not confined to the academy. Many NEH-supported projects have enjoyed broad appeal and have been published by major trade publishers, including, most recently, Joseph Horowitz's *Classical Music in America* (W.W. Norton), Joel Kupperman's *Six Myths about the Good Life: Thinking about What Has Value* (Hackett Publishing), Carole Levin's *Dreaming the English Renaissance* (Palgrave Macmillan), and Woody Holton's *Unruly Americans and the Origin of the Constitution* (Hill and Wang). Fellowships and Stipends have supported the preparation of several books that have been widely recognized for their quality. In the last 30 years, fourteen NEH-supported books have received the Pulitzer Prize, and seventeen have received the Bancroft Prize, generally recognized as the most prestigious award in the field of American history writing.

The Endowment is widely respected for its rigorous review process, a strength that has led to strategic partnerships that further extend the agency's ability to support advanced research in the humanities. For example, the agency currently administers the evaluation of applications to the Library of Congress's John W. Kluge Fellowships Program. This program provides stipends to junior scholars from the United States and abroad to conduct research in the Library's rich humanities collections. NEH also carries out the evaluation of applications and serves as fiscal agent for fellowships awarded by the Japan-United States Friendship Commission. These awards encourage American scholars to pursue advanced research on Japan and U.S.-Japan relations. The Endowment's collaborations with the Library of Congress and the Japan-U.S. Friendship Commission also provide for several jointly funded fellowship awards.

Documenting Endangered Languages

The Endowment continues its multi-year funding partnership with the National Science Foundation in support of Documenting Endangered Languages, a program to provide awards to scholars engaged in recording and preserving key languages before they become extinct. This collaboration is made urgent by the imminent demise of an estimated half of the 6,000 to 7,000 currently used languages worldwide. Documenting Endangered Languages fellowships support fieldwork and other activities relevant to recording, documenting, and archiving endangered languages, including the preparation of lexicons, grammars, text samples, and databases. For example, with a grant from NEH, Gary Holton of the University of Alaska, Fairbanks, is conducting field work to document two Papuan languages on remote islands in eastern Indonesia. Bryant Garrett, an independent scholar working in collaboration with scholars at the University of Maine, Orono, is completing the first language primer for Penobscot, a language spoken by the Algonquian tribe in Maine. Colleen Fitzgerald of Texas Tech University is completing the first comprehensive linguistic analysis of Tohono O'odham, an endangered language spoke in southern Arizona and northern Sonora, Mexico.

Faculty Research Awards at Historically Black Colleges and Universities, Institutions with High Hispanic Enrollment, and Tribal Colleges and Universities

As part of the agency's efforts to extend the reach of its grant opportunities, the Endowment has established a program to support faculty research at institutions designated by Presidential Executive Orders. This program currently provides fellowship opportunities to humanities scholars at the nation's 430 historically black colleges and universities, institutions with high Hispanic enrollment, and tribal colleges and universities. Recent recipients have included, for example, Dina Le Gall, who is studying the Sufi order in Islamic history, and Chris Naticchia, who is investigating the legal and moral challenges of recognizing states and governments under international law. Le Gall works in the history department at Lehman College of the City University of New York, and Naticchia is professor of philosophy at California State University in San Bernadino.

Teaching Development Fellowships

In 2008, the Endowment established a new grant category—Teaching Development Fellowships—to recognize the important connection of scholarship to teaching. With most humanities education occurring at two-year and four-year colleges, the need for improving the skills and knowledge of America's college teachers is a high priority. Thus, NEH created Teaching Development Fellowships to support college and university teachers pursuing research that will have an immediate and lasting impact on their undergraduate classrooms. The program, which is administered jointly by the Endowment's Research Programs and Education Programs divisions has three broad goals: 1) to improve the depth and quality of humanities education in the United States; 2) to strengthen the link between research and teaching in the humanities; and 3) to foster excellence in undergraduate instruction. Among the first award winners were Geraldine Gutwein of Harrisburg Area Community College in Pennsylvania, who is conducting research on the intersection of Native American art and literature for her course, "Native American Literature from a Multidisciplinary Approach." Rhonda Knight of Coker College in Hartsville, South Carolina, received an award to conduct archival research on the staging of Elizabethan drama in England in order to improve her course on the plays of William Shakespeare.

Collaborative Research Programs

Modern scholarly endeavors increasingly require the collaboration of many scholars working across a wide range of specialties or scholars working alongside one another in research centers and archives. The Endowment nurtures such collaborative efforts through four programs—Scholarly Editions, Collaborative Research, Fellowship Programs at Independent Research Institutions, and Fellowships at Digital Humanities Centers.

Scholarly Editions and Collaborative Research

Scholarly Editions grants support the preparation of important texts and documents that would otherwise be inaccessible to the public. Projects involving significant literary, philosophical, and historical materials are typical, with the majority being in U.S. history and literature. Most are produced in print editions, but increasingly also in a variety of digital formats. Recent grants have supported, for example, editions of the papers of such major historical figures as George Washington, John Adams, Dolley Madison, Abraham Lincoln, Andrew Jackson, and Thomas Edison, and such literary figures as Ralph Waldo Emerson, Elizabeth Barrett and Robert Browning, and Ernest Hemingway. Other projects are preparing editions of documents important to the nation's history. For example, the Freedmen and Southern Society project at the University of Maryland is documenting the transition from slavery to freedom in the American South in the years 1861-1867. The project will consist of nine volumes of some 50,000 documents selected by the editors from materials at the National Archives of the United States, including a large number of letters, affidavits, and other kinds of direct testimony by slaves and ex-slaves. Another scholarly edition, The First Federal Congress Project, is publishing the complete record of the First Federal Congress, 1789-1791, including unofficial records and primary material such as letters and diaries, documenting the actions, debates, and thoughts of that body and its members. And, the Papers of the War Department, 1784-1800, a digital open access edition at <http://wardepartmentpapers.org/>, is reconstructing an archive of documents that was destroyed by fire in that department in 1800. Copies of original documents have been located in over 3,000 European and American collections and some 45,000 of these will be made available online to scholars, students, and the general public.

Collaborative Research grants support teams of researchers involved in a variety of large-scale domestic and international projects, including translations of important works, archaeological excavation and interpretation, scholarly conferences, and wide-ranging original and synthetic research that significantly adds to our understanding of great historical questions and cultural concerns. The results of these projects are published increasingly in digital format on the Internet. Lynn Rainville's project, "Investigating Historic African-American Mortuary Traditions," for example, combines archaeological fieldwork and archival research to document enslaved, free black, and 20th-century African-American traditions in central Virginia. Rainville, an Assistant Professor of Archaeology at Sweet Briar College in Virginia, has not only posted the scholarly results at <http://www2.vcdh.virginia.edu/cem/>, but she has created a second site at <http://www.locohistory.org/Albemarle/> to enable many American families to trace their ancestry through forgotten African-American burial sites. Art historian John Clarke at the University of Texas, Austin, with a team of American and European scholars, is developing "The Oplontis Project" (<http://www.oplontisproject.org/>), the study and publication of the largest and best-preserved villa excavated in the area buried by the eruption of Vesuvius, Italy, in 79 CE. In addition to a multi-authored monograph, the project is creating a complete digital archive of records, photographs, and previous scholarship all linked to a navigable virtual computer model of the existing state of the site, the first of its kind to use avatars to test the social and functional uses of the villa's spaces.

Both programs—Scholarly Editions and Collaborative Research—are contributing to NEH’s efforts in the area of digital humanities. Collaborative Research encourages applications for projects that employ digital technology in the research methodology and the dissemination of project results, while Scholarly Editions encourages applications that employ digital technology in both the management and online publication of critical editions and documentary editions. In the evaluation of grant applications, preference is given to projects that include Text Encoding Initiative-conformant transcription (a set of standards that guides the encoding and transmission of humanities materials in digital formats), free access, online publication, and advanced searching technology.

Fellowship Programs at Independent Research Institutions

Grants through Fellowship Programs at Independent Research Institutions support residential fellowships at major U.S. research centers located at home and abroad as well as fellowships awarded under the auspices of U.S. organizations that facilitate international research. The program was created partly in recognition of the fact that intellectual exchange and collaboration are vital to the scholarly enterprise and to advanced research in the humanities. These partnerships expand the access of American scholars to important research collections and scholarly communities, including many with rare and specialized expertise. NEH funds partially support the costs of fellowship stipends, while the partner institution covers such costs as meals, lodging, copying and library services, computer access, and, in the case of organizations supporting international research, assistance in securing the necessary visas and research permits. NEH grants to the institutions support approximately 75-80 fellows annually.

Awards through Fellowship Programs at Independent Research Institutions yield a wide range of published scholarship. For example, Timothy Gilfoyle of Loyola University in Chicago, who held a fellowship at the Newberry Library in Chicago, produced a prize-winning book—*A Pickpocket's Tale: The Underworld of Nineteenth-Century New York* (W. W. Norton)—using the extensive collections of correspondence and court records held at the Newberry. Albert Ascoli of the University of California, Berkeley, used his 2005 fellowship at the American Academy in Rome to research and write *Dante and the Making of a Modern Author* (Cambridge University Press), in which he offers the first treatment of Dante's conception and definition of modern authorship. Christopher Brown of Columbia University used his fellowship at the Omohundro Institute of Early American History and Culture in Williamsburg, Virginia, to produce *Moral Capital: Foundations of British Abolitionism* (University of North Carolina Press). The book received the Frederick Douglass Book Prize from the Gilder Lehman Institute of American History for the best book on slavery or abolition and the James A. Rawley Prize in Atlantic History from the American Historical Association.

Fellowships at Digital Humanities Centers

The Endowment recently introduced a new program, Fellowships at Digital Humanities Centers, to support residencies for humanities scholars at centers that specialize in the use of technology to advance humanistic understanding. Among the program's goals are increasing the general public's access to the humanities, enhancing the nation's cyber infrastructure, nurturing digital literacy and expertise, encouraging innovative digital humanities projects, and promoting the work of digital humanities centers. Grants will have a wide range of potential outcomes—from building digital collections or displays to developing new analytical digital tools and innovative digital products. The program made its initial awards in FY 2009, including an award to the Institute for Advanced Technology in the Humanities at University of Virginia to support the work of Gardiner Hallock, Director of Architectural Research at the Montpelier Foundation, who is creating the first online scholarly resource for the study of the architecture and construction of Montpelier, James Madison's family estate. The collaboration uses the Internet to make freely available a record of the complete restoration of the Montpelier mansion to its condition when James and Dolley Madison lived there in Madison's post-presidential years. Highly realistic and interactive 3D digital models are linked to historical records, maps, and images for use at the new visitor center at Montpelier and for online users of the website, who can take "virtual fieldtrips" to Montpelier. The project will help further understanding of, for example, the economics of 18th-century estates and James Madison's finances, tastes, and values at different points in his career.

OFFICE OF DIGITAL HUMANITIES

FY 2010 Request: \$4,000,000

The National Endowment for the Humanities supports projects that utilize or study the impact of digital technology on research, education, preservation, and public programming in the humanities. Through the Office of Digital Humanities, NEH is fostering the growth of digital technology in the humanities and supporting a wide variety of projects including, for example, those that deploy technologies and methods to enhance our understanding of a topic or issue in the humanities; those that study the impact of digital technology on the humanities—exploring the ways technology changes how we read, write, think, and learn; and those that digitize important materials, thereby increasing the public's ability to search and access humanities information. Much of the work in the area of digital humanities is interdisciplinary and transnational. Funded projects often bring together scholars and scientists from across the United States, as well as from around the world. Hence, many of the programs supported represent partnerships with other funding agencies both domestic, such as the National Science Foundation, and international, such as the Social Sciences and Humanities Research Council of Canada, the Joint Information Systems Committee of the United Kingdom, and the German Research Foundation.

While the emergence of the Internet and information technologies has begun to transform the ways in which history, literature, philosophy, and other humanities subjects are studied, taught, and disseminated, the humanities disciplines have lagged far behind the sciences in promoting innovation and building the infrastructure needed to hasten and sustain this transformation. NEH's digital humanities programs are designed to address this need. They build on the Endowment's many contributions to the digital humanities over the years. Indeed, NEH's experience in supporting humanities projects that employ electronic technologies has enabled the agency to define many needs and opportunities for expansion in this area that are being met with strategically targeted funds.

In 2006, the Endowment convened a special conference on the digital humanities, which brought together some of the best minds in the field. The conference produced a number of recommendations for how NEH could use its good offices to spur the growth of information technology and computer networks in humanities research, education, preservation and access, and public programming. Conferees identified gaps in the Endowment's programs and grant categories and suggested a number of ways the agency could play a more prominent leadership role. Many of these recommendations are reflected in programs and special projects of NEH's Office of Digital Humanities.

The Endowment's work also is informed by the findings of a national Commission on Cyberinfrastructure for the Humanities and Social Sciences that was sponsored by the American Council of Learned Societies, a private nonprofit federation of 69 national scholarly organizations. The commission's report posits that greater investment in cyberinfrastructure is a matter of strategic priority for the United States and urges federal and private funding agencies, as well as the nation's colleges and

universities, to increase their support for the digital humanities infrastructure over the next several years.

The Endowment's Office of Digital Humanities features a number of cross-cutting grant categories and special programming emphases:

Digital Humanities Start-Up Grants. This innovative program invites proposals for the planning or early stages of digital projects in all areas of the humanities. The program provides seed grants that enable humanities practitioners with fresh ideas to get their projects off the ground quickly. Projects may involve research that brings new digital approaches to the study of the humanities; new digital modes of publication and dissemination of humanities scholarship; explorations of digital methods or approaches to preserve, archive, and make accessible traditional (i.e., analog) and "new media" resources in the humanities; and programs that address the innovative use of emerging digital technologies in formal and informal educational settings. These small grants (typically ranging from \$25,000 to a maximum of \$50,000) result in plans, prototypes, or demonstration models for long-term digital humanities projects. Successful Start-Ups may later move on to receive larger implementation funding from other NEH programs. In terms of applications, this program has quickly become one of the largest at the NEH, with nearly 300 applications received and about 34 awards made each year. The large number of applications and the high quality of awards suggests that the Endowment has tapped an important unmet need in the humanities. A few examples of recent grants include:

- Lake Forest College in Illinois received a grant to develop the Virtual Burnham Initiative, a multimedia project that will examine the history and legacy of architects Daniel H. Burnham's and Edward H. Bennett's early 20th-century "Plan of Chicago," the first comprehensive plan for controlled growth of an American city. This exciting project involves both university and high school students who are working to build a virtual model of what Chicago would have looked like under the original Burnham plan, along the way learning about history, art, design, architecture, and the politics that influenced how Chicago was ultimately developed.
- The University of Kentucky received a grant to create digital, web-based tools to enable students to study medieval Latin legal manuscripts, many of which form the basis for modern-day law.
- The City College of New York received a grant to develop a series of courses on the life of poet Walt Whitman. The students, located at four different colleges near locations where Whitman lived, will visit places where Whitman wrote his poetry, and use Web technology to interact with each other and learn how geography impacted Whitman's work.

Institutes for Advanced Topics in the Digital Humanities. This grant category encourages the sharing of best technology practices among humanities scholars. More

and more, humanities scholars are using sophisticated technology tools and techniques. This program sponsors workshops and institutes that allow scholars to learn how to apply technology to the study of the humanities. For example, the University of Southern California recently received a grant to host a four-week summer institute where faculty from across the country will learn scholarly research methods in the digital age. The workshop will include thematic discussion seminars and hands-on collaborations with technologists.

Transatlantic Collaboration Grants. The NEH and the Higher Education Funding Council for England, acting through the Joint Information Systems Committee in the United Kingdom, are working together to offer support for digitization projects in the humanities. This program provides funding for development in any of the following areas: new digitization projects and pilot projects, the addition of important materials to existing digitization projects, or the development of infrastructure to support U.S.-England digitization work. Some examples of recent awards include:

- The Folger Shakespeare Library and the University of Oxford, with the Maryland Institute for Technology in the Humanities at the University of Maryland and the Shakespeare Institute at the University of Birmingham, England, plan to create the Shakespeare Quartos Archive, a freely-accessible, high-resolution digital collection of the seventy-five quarto editions of William Shakespeare's plays. The project will also develop an interactive interface and toolset for the detailed study of the quartos, with full-functionality applied to all thirty-two copies of one play, Hamlet, held at participating institutions, including the British Library, the University of Edinburgh Library, the Huntington Library in California, and the National Library of Scotland.
- A team of scholars from the Digital Archaeological Archive of Comparative Slavery at the Thomas Jefferson Foundation in Virginia, the University of Southampton's Nevis Heritage Project, and the International Slavery Museum in Liverpool are working together on the St. Kitts-Nevis Digital Archaeology Initiative. Together, they plan to develop an integrated digital archive of diverse archaeological and historical data related to the experiences of slaves on sugar plantations in the Caribbean by digitizing and delivering on the Web information from two 18th-century plantations.

Bilateral Symposia and Workshops. The NEH and the German Research Foundation are working together to encourage collaborative digital humanities projects between American and German institutions. This program provides funding to enable researchers to begin initial planning and testing for major transatlantic humanities projects. Some examples of recent awards include:

- A team of scholars from the American School of Classical Studies at Athens and the German Archaeological Institute are planning a project, called “The

‘Big Digs’ Go Digital,” on the application of digital technologies to better preserve, study, and make accessible the data from large-scale, long-term archaeological digs.

- Teams from the University of Virginia and the Universities of Paderborn and Detmold in Germany are planning a project called “Digital Music Notation Data Model and Prototype Delivery System,” which will develop methods, standards, and software for a scholarly music notation system.

Digging into Data Challenge. This program, which will make its initial awards in FY 2010, is an international collaboration that links NEH and the National Science Foundation of the United States, the Social Sciences and Humanities Research Council (SSHRC) of Canada, and the Joint Information Systems Committee (JISC) of the United Kingdom. The competition is open only to international partnerships involving research teams in at least two of the three participating countries: Canada, England or Wales in the United Kingdom, and the United States. The partners collaborate to write a single application package, to be sent to (at least) two funding agencies. The competition will bring humanities and social science scholars together with scientists and technologists to explore how vast libraries of digitized books, newspapers, art, and music can be used for advanced scholarship.

With the funds requested for FY 2010, the Endowment will be able to strengthen these innovative programs and enhance its leadership role in the digital humanities.

We regard our new digital programs as a logical extension of our commitment to using information technology and the Internet to transform the relationship between citizens and their government. While the Endowment has been on the forefront in implementing some of the federal government’s recent digital initiatives—notably, as a leader in efforts to develop Grants.gov—the Office of Digital Humanities takes this one step further by using our grant-making function to support digital projects that will increase Americans’ access to important historical and cultural information and materials.

WE THE PEOPLE

FY 2010 Request: \$14,500,000

We the People is an Endowment-wide program that seeks to reinvigorate the teaching, study, and understanding of American history and culture. The program achieves this goal by supporting exhibitions, films, library programs, and other lifelong learning opportunities for the public; providing content-rich professional development programs for teachers; encouraging scholarly research on important topics in American history and culture; and preserving collections representing America's cultural heritage.

Since *We the People* was launched in September 2002, the Endowment has established a variety of special programs and provided support for projects in American history and culture in every NEH grant program. Since FY 2004, Congress has appropriated more than \$81 million to NEH for *We the People*. The Endowment requests \$14.5 million to continue this important effort in FY 2010.

Some of *We the People*'s notable accomplishments to date and plans for the coming year include:

- To date, more than 1,800 projects awarded through the agency's grant programs have received funds appropriated for *We the People*. These humanities projects are broadening our citizens' knowledge and understanding of the nation's history and culture. Notable projects include support for editions of Walt Whitman's Civil War writings and an online archive of the works of Jonathan Edwards; television documentaries on the life and writing of Thomas Paine, women reporters of World War II, and seven episodes on PBS's *American Experience* television series on twentieth-century Presidents Franklin Roosevelt, Harry Truman, Lyndon Johnson, Richard Nixon, Jimmy Carter, Ronald Reagan, and George Herbert Walker Bush; seminars and institutes for teachers on such topics as George Washington and slavery and antislavery in early New England; reading and discussion programs, a traveling exhibition, and a website on Mark Twain at 100 libraries nationwide; and Challenge Grants to institutions to strengthen their programs on American history and culture, such as an endowment to St. John's College of Annapolis, Maryland, for faculty study groups, acquisitions, lectures, and outreach programs dealing with American founding documents and topics.
- All of the state humanities councils have embraced the goals of *We the People*. The Endowment has provided a significant portion of funds appropriated for *We the People* each year, as well as a portion of the agency's annual Treasury matching funds appropriation, to the state councils to help the councils develop local and statewide projects and programs on American history and culture. These funds are supporting expanded Chautauqua programs; reading and discussion programs on important events and themes in

the nation's history; public symposia; and teacher institutes that enable humanities teachers to be more effective instructors of important topics in American history and culture.

- In FY 2004, NEH established a new program, Landmarks of American History and Culture, to support enrichment workshops for K-12 school teachers at important historical and cultural sites around the nation. At these workshops, teachers gain direct experience in interpreting significant historical sites, using archival and other primary historical evidence, connecting what they learn with what they teach, and developing new teaching materials for their classrooms. Teachers participating in workshops during the summer of 2009, for example, will explore such topics as “Abraham Lincoln and the Forging of Modern America,” “Traveler's Rest, Occupied Nashville, and the Civil War and Emancipation in the Upper South,” “Inventing America: Lowell and the Industrial Revolution,” and “The American Skyscraper: Transforming Chicago and the Nation.” The Endowment expanded the Landmarks program in 2005 to include faculty at community colleges, where more than half of the nation's postsecondary students receive their first, and often only, contact with college courses in the humanities. Through the summer of 2009, 139 Landmarks workshops will have been offered at 57 locations nationwide, serving more than 10,000 school teachers and community college educators.
- NEH and the Library of Congress forged a long-term partnership to support a National Digital Newspaper Program, which is converting microfilm of U.S. newspapers from 1836 to 1922 into fully searchable digital files and mounting the files on the Internet. Under this partnership, NEH awards grants for individual state projects and the Library of Congress provides technical assistance and mounts and maintains the database of digitized files on a website called “Chronicling America.” This database will be a permanent resource for the American people and for education, scholarship, and public programming in the humanities. To date, approximately one million pages of digitized newspapers have been posted on the Library's website.
- NEH has helped to expand opportunities for lifelong learning in American history and culture through programs for the general public. Through recent awards, for example, libraries and historical societies in more than 200 communities are hosting one of four separate traveling exhibitions on Abraham Lincoln; a new multiplatform media project will provide opportunities for the public to explore in depth the stories of the 1961 Freedom Riders; and the visitors to the Jane Addams Hull-House in Chicago will benefit from enhanced interpretation of the site through a new core exhibition and public programs. In 2004, the Endowment established a new grant category, “Interpreting America's Historic Places,” which uses one or more historic sites to address themes and issues central to American history and culture. Another new category, “Family and Youth Programs in

American History,” has supported after-school programs on humanities topics; history exhibits at children’s museums; and history camps for young people on civil rights and other significant chapters in American history.

- In partnership with the American Library Association, the Endowment annually makes available free sets of classic works of literature to public and school libraries through the *We the People Bookshelf* program. In addition to the books, NEH provides materials to help with publicity and the organization of public programs tied to the readings. Since 2003, books have been provided to more than 13,000 schools and public libraries nationwide.

NEH is currently selecting books for a new Bookshelf on the theme “A More Perfect Union,” which we will distribute to 4,000 libraries in April 2010. Historical touchstones for the book selections are the framing of the Constitution (whose purpose was to “form a more perfect union”), the Civil War (in which Lincoln sought to preserve the Union), and subsequent movements aimed at making the reality of America live up to the ideals of its Founding. The Bookshelf will also include stories that illuminate other ways in which America is both a *One* and a *Many*. NEH has selected this theme as part of its strategy for commemorating the Civil War Sesquicentennial.

- In 2008, NEH launched its major Picturing America initiative, which is introducing young people to American history through images of the nation’s art. The centerpiece of Picturing America is a set of high-quality, laminated color reproductions of forty masterpieces of American art. A teachers resource book, which helps instructors use the images in their core curriculum classes, accompanies the reproductions. The book, thumbnail representations of the images, and additional curricular resources are available on the NEH website.

In its pilot phase, Picturing America materials were awarded to 1,558 schools representing every state in the Union. In its two-stage implementation phase, the Endowment provided Picturing America to another 55,406 schools and libraries and 20,000 Head Start centers, for a grand total of nearly 77,000 institutions. In FY 2009 and FY 2010, Picturing America will be featured in a number of the Endowment’s grant programs. For example, the Endowment will support Picturing America School Collaboration Projects, which provide opportunities for school teachers to attend workshops focused on the art, artists, and themes contained in the initiative; summer seminars and institutes and Landmarks of American History and Culture workshops for teachers related to the initiative; and the state humanities councils will be developing programs on Picturing America themes.

In 1989, much of the American public found itself riveted to Ken Burns’ compelling television series on *The Civil War*. NEH was an early funder of that series, and as we approach the 150th anniversary of the Civil War in 2011-2015, NEH again

finds itself poised for leadership in commemoration of this signal chapter in American history. As a participant in the key networks of sesquicentennial planners, NEH stays abreast of plans in the various states and invites proposals for projects that promise to enrich the commemoration intellectually and as a nation-wide experience. Among the projects already funded are planning initiatives for public programs in states such as Maryland, Missouri, Ohio, Pennsylvania, Vermont, and Virginia; professional development programs for teachers on memory and commemoration in Mississippi, the abolitionist movement, and the sectional battles leading up to the Civil War; a comprehensive electronic edition of Walt Whitman's Civil War writings; and planning for a one-hour television film on "Remembering the Civil War." A \$375,000 NEH grant to the Pennsylvania Heritage Society is helping to conserve 2,568 Civil War muster rolls, representing all the soldiers who served in the 215 regiments and battalions raised by the Commonwealth of Pennsylvania. NEH funds have also made possible a major exhibition comparing the lives and careers of Robert E. Lee and Ulysses S. Grant. Developed through a collaboration of the Virginia Historical Society and the New-York Historical Society, this exhibit is traveling to St. Louis, Houston, and Atlanta, in addition to Richmond and New York. NEH has also supported the design and construction of a scaled-down version of this exhibit that is available for small to mid-size museums through its NEH on the Road program. Throughout 2010, NEH will intensify its efforts to attract high-quality projects that contribute to a substantive and national sense of commemoration.

NATIONAL CAPITAL ARTS AND CULTURAL AFFAIRS PROGRAM

FY 2010 Request: \$10,000,000

In FY 2010, the Administration proposes to redesign the National Capital Arts and Cultural Affairs (NCACA) program and relocate the program from the Commission of Fine Arts to the National Endowment for the Humanities. Under this new arrangement, \$10 million is requested for the program in FY 2010.

The National Capital Arts and Cultural Affairs program was established in FY 1986 and administered by NEH for its first two years. The Commission of Fine Arts has had oversight authority since FY 1988. The program currently provides general operating support grants to a limited number of non-profit, non-academic institutions in the District of Columbia that are engaged primarily in performing, exhibiting, and/or presenting the arts. Grants are awarded by a formula based on the size of the institution's annual operating budget and a sustained record of activity in the District of Columbia (more than 10 years). Beginning in FY 2010, NEH will administer, with the advice of the National Endowment for the Arts, a redesigned program of competitive grants to arts, historical, and cultural institutions located in the District of Columbia. The new program will:

- expand its focus from one that is formula-driven and limited to general operating support to one that is competitive and open to special projects and initiatives in addition to funds used for operating support;
- encourage applications from a variety of institutions, including history museums, heritage sites, preservation groups, and historical organizations, as well as institutions engaged in performing, exhibiting, and/or presenting the arts;
- place a greater emphasis on educational and public outreach on the part of grant recipients, employing a wide range of formats that would reach diverse public audiences throughout the District of Columbia and, in many cases, beyond;
- encourage the creative use of new and emerging technologies to enhance content and expand the reach of Washington's cultural organizations beyond the borders of the District of Columbia; and
- provide a range of awards that will ensure that a variety of institutions and project formats are supported, including performances and exhibitions, innovative interpretive programming and projects employing websites, radio and television, virtual classrooms for lifelong learning, podcasting, and other electronic delivery systems.

The Administration and NEH believe these changes will improve the NCACA program by ensuring that excellent arts and cultural projects and programs are available to citizens of the District of Columbia and to the American people. The expansion of the current eligibility criteria, together with the introduction of a merit-based review system will breathe new life into this program by stimulating new projects and initiatives and encouraging greater public interest in the recipient organizations' offerings. Similarly, a new emphasis on outreach will ensure that grantee organizations are making concerted and collaborative efforts to ensure that their interpretive programs and performance activities reach the widest number of citizens.

NATIONAL ENDOWMENT FOR THE HUMANITIES

Authorizing Language

National Capital Arts and Cultural Affairs Program

Sec. 416. Public Law 99-190 (99 Stat. 1261; 20 U.S.C. 956a), as amended, is further amended as follows:

(a) by striking, in the first sentence of the first paragraph, "Commission of Fine Arts" and inserting in lieu thereof "National Endowment for the Humanities, with the advice of the National Endowment for the Arts";

(b) by striking, in the second sentence of the first paragraph, "\$7,500,000" and inserting in lieu thereof "\$10,000,000";

(c) by striking, in the second sentence of the first paragraph, "grants for general operating support to eligible organizations located in the District of Columbia whose primary purpose is performing, exhibiting and/or presenting arts" and inserting in lieu thereof "competitively awarded grants to arts, historical, and cultural organizations located in the District of Columbia"; and

(d) by deleting the second, third, fourth, and fifth paragraphs.

OFFICE OF CHALLENGE GRANTS

FY 2010 Request: \$9,500,000

Support for Long-Term Institutional Development:

- Encouraging financial planning
- Strengthening humanities education
- Facilitating humanities research and scholarship
- Preserving and increasing access to cultural resources
- Providing opportunities for lifelong learning in the humanities
- Enhancing infrastructure for digital humanities
- *We the People* Challenge Grants

A tribal college in South Dakota raises \$1.5 million to earn a \$500,000 match from NEH for faculty positions in indigenous language and culture. A small public library in Alaska constructs a new facility. A regional historical society in Arkansas expands its public programming by endowing a position of humanities educator. A major university in Indiana conducts research and public outreach on the place of religion in American civic life. A new museum of African American history in Maryland raises funds to support educational programming in partnership with the state's Department of Education. A small college in Ohio creates a new Center for the Study of American Democracy. Consortia of research libraries join together to underwrite—and thus to ensure open access to—an online encyclopedia of philosophy.

This brief sampling of recent NEH Challenge Grants illustrates how the Endowment helps local, state, and national institutions secure their humanities resources and activities for the long term. Crucial to achieving this goal is the “multiplier effect”: Recipients of a challenge grant must match every federal dollar with three nonfederal dollars. (Different matching ratios may obtain in special initiatives.) In fact, many grantees raise even more than the required amount.

Both the NEH challenge funds and the matched nonfederal funds can be used for a variety of long-term institutional purposes. The money may be used to purchase capital equipment and upgrade technology, renovate or construct facilities, and add to library holdings or museum collections. Challenge grants can also augment or establish endowments or spend-down funds that support basic humanities needs such as staff and programming. A wide array of nonprofit organizations have taken up the NEH “challenge,” including museums, tribal centers, libraries, colleges and universities, scholarly research organizations, state humanities councils, public radio and television stations, and historical societies and historic sites.

In FY 2008, the Office of Challenge Grants received 109 applications and made 17 awards. The program anticipates receiving 120 applications and making 20 awards in FY 2009. In FY 2010, 115 applications for 18 awards are anticipated.

Encouraging financial planning

The Endowment's enabling legislation calls for it to encourage “administrative and management improvements . . . particularly in the field of long-range financial planning.” The Challenge Grants program fulfills this mission by requiring applicants to demonstrate how their planning—for fund raising, program development, and resource allocation—will sustain their endeavors well into the future. The Library Company of Philadelphia, founded by Benjamin Franklin in 1731, has sustained its endeavors up to the present day. An NEH challenge grants awarded in 2004, for example, has allowed the Library Company to establish permanent support for its Program in Early American Economy and Society. The challenge grant of \$1,000,000 (matching \$3,000,000 in nonfederal gifts) created an endowment that supports research, public and educational programs, and publications in a subject fundamental to American history.

Strengthening humanities education

In accord with a key element of the Endowment's strategic plan, the NEH Challenge Grants program helps strengthen humanities teaching and learning across the nation. For example, Swarthmore College received a \$600,000 challenge grant (to match \$2,400,000 in nonfederal funds) for teaching positions in Modern Standard Arabic. The college currently has substantial enrollments in Islamic and Middle Eastern Studies, and it seeks to address a national need by offering instruction in first- and second-year Arabic in a tri-college consortium with Haverford College and Bryn Mawr College. The consortium seeks to integrate language and cultural study and to encourage participation by its students in overseas residential programs. The grant will support a full-time faculty position at Swarthmore, a part-time faculty position to be shared with Haverford and Bryn Mawr, and Arabic language drill instructors.

Facilitating humanities research and scholarship

To help NEH achieve its strategic objective of facilitating basic research and original scholarship, challenge grants support institutions that serve humanities researchers and make scholarly resources available to the public. NEH awarded a grant of \$500,000 (to match \$2 million in nonfederal funds) to the Wisconsin Historical Society to endow the preservation of its important collections. The Society's North American history collections, which include four million library items and 130,000 cubic feet of archival materials, document the development of communities, nations, and social groups from the first contact between European and Native American cultures to the present. The challenge grant will provide continuing support for the protection of these valuable collections and making them accessible not only for research but also for classroom use, exhibits, and public programs.

Preserving and increasing access to cultural resources

The Challenge Grants program supports long-term institutional capacity to preserve manuscripts, art works, artifacts, documents, and other collections important to our cultural heritage. Conservation facilities, programs, and staff have become an important part of a significant number of challenge grants. The Endowment has supported conservation education and training; it has helped museums establish conservation departments; and it has strengthened conservation centers across the nation. An example of the latter is the Nebraska State Historical Society, which received a challenge offer of \$550,000, to match \$1,650,000 in nonfederal donations, to hire a paintings conservator and related conservation expenses at the Gerald R. Ford Conservation Center's (GRFCC) laboratory. Established in 1995, GRFCC is one of twelve regional conservation centers in the United States and one of only three west of the Mississippi River. As a regional facility, GRFCC plays a significant role in the conservation of humanities materials in the central and northern states. The center offers workshops, lectures, and educational programs on conservation, and the staff teaches preventive conservation to students in graduate-level museum studies programs.

Providing opportunities for lifelong learning in the humanities

Challenge grants also help institutions—such as libraries—enhance their capacity to facilitate lifelong learning. Throughout our nation's history, libraries have played a crucial role as repositories of knowledge and as resources for learning by members of the public. Port Townsend, Washington, is one of three Victorian seaports to be listed on the National Register of Historic Places. The Jefferson County Historical Society, which operates a history museum in the 1892 Port Townsend City Hall, received a challenge grant of \$200,000 (to match \$600,000 in nonfederal gifts) to purchase a building to house archives and a research library. The grant will enable the Society to maintain the building's historic integrity and yet improve access to its valuable collection of artifacts and documents. The City of Homer, in Alaska, used a recent NEH challenge grant of \$400,000 to construct a new public library for the city and surrounding area. The NEH grant required as match \$1,200,000 in nonfederal donations; the city utilized the leveraging power of the NEH challenge to raise over \$400,000 more than required. Despite rising construction costs, the city completed fundraising for and construction of the new library ahead of schedule. The city reports that usage of the library's services and programs has increased dramatically with the new facility.

Enhancing institutional infrastructure for the digital humanities

In FY 2010, the Office of Challenge Grants will continue to emphasize digital humanities. The program invites applications to enhance the institutional infrastructure that makes sustained use of advanced technology possible. For example, the University of Virginia has been offered a \$750,000 NEH Challenge Grant (to match \$2,250,000 in nonfederal gifts) to support the university's highly regarded Institute for Advanced Technology in the Humanities (IATH). IATH has been the sponsor of some of the most defining projects of the digital revolution in the humanities, and scholars affiliated with IATH have been recognized for their efforts in the digital humanities as recipients of

such prizes as the Richard W. Lyman Award of the National Humanities Center, E-Lincoln Awards, and the Modern Language Association Prize for a Distinguished Scholarly Edition. The challenge grant will support IATH's efforts to advance digital technology in the humanities through pioneering collaborative projects in multisensory 3D user interfaces that integrate analytical tools with texts, images, maps, and dynamic 3D representations. The grant will be used to support IATH's staff costs and the maintenance and enhancement of IATH's networking hardware and software.

We the People Challenge Grants

In FY 2010, the Challenge Grants program will continue to encourage applications under the Endowment's *We the People* program. Proposals are welcomed in a special competition to strengthen programs that enhance our understanding of the nation's founding principles, events, democratic institutions, and cultural heritage. One hundred and thirty-three applications have been submitted in the first five years of the *We the People* Challenge Grants special initiative, and the Endowment has made twenty-six awards. For example, NEH has offered a *We the People* Challenge Grant to the Historic Preservation Trust of Lancaster County, Pennsylvania, for the restoration of the home of Thaddeus Stevens. The Honorable Thaddeus Stevens (1792-1868) demonstrated an unyielding commitment to freedom and equal opportunity for Americans. He is considered the father of the 13th, 14th, and 15th amendments to the U.S. Constitution. With the aid of a \$750,000 challenge grant (plus \$2,250,000 in nonfederal donations) the Trust will restore and adapt Stevens' house to create an interpretative and educational center that will address themes central to his legacy, including the Underground Railroad Movement. Another example is Washington College in historic Chestertown, Maryland. The college is renovating the 1735 Buck-Chambers house for fellows in residence at the C.V. Starr Center for the Study of the American Experience. The fellows who will be living in this 18th-century house will be conducting research and writing on the nation's Founding Era. The impact of this grant will be felt far beyond the college campus: because the fellowship opportunity is open to nonacademic historians, it will benefit a group that has produced some of the preeminent and most popular books on the Founding Era of our nation's history.

TREASURY FUNDS

FY 2010 Request: \$4,800,000

NEH uses Treasury funds to match nonfederal contributions in support of humanities projects. Encouraging private-sector support for cultural activities is an important goal of the Administration and of Congress, and NEH Treasury funds and Challenge Grants have proven to be an effective means of leveraging the contributions of the nation's businesses, foundations, and individuals on behalf of the humanities. From the establishment of the agency in 1965 through fiscal year 2008, Endowment matching grants for humanities projects have stimulated \$410 million in third-party donations. (NEH Challenge Grants have leveraged another \$1.62 billion in institutional support.) In order to build on that record of success, we are requesting an appropriation of \$4.8 million for the Endowment's Treasury funds program in FY 2010.

Matching grants are awarded in most programs of the Endowment. A matching award entails an offer of NEH funding that is conditioned on an equivalent amount of fund-raising by the recipient. A matching offer may comprise the entire amount of the Endowment's support. More often, however, it is combined with an outright grant that permits the project activity to begin while a fund-raising effort is being organized.

Although Treasury matching funds support discrete projects rather than basic institutional needs, matching grants awarded with Treasury funds generally have the effect of improving the financial stability of humanities institutions. By providing incentives for fund-raising, Treasury funds help humanities institutions establish long-term relationships with potential donors.

In FY 2008, NEH matching grants, leveraging an equivalent amount in nonfederal giving, supported humanities activities of every kind. Awards of Treasury matching funds included the following:

- \$1.995 million awarded to the state humanities councils for projects conducted by local organizations and groups or by the councils themselves. These sums include \$657,945 awarded in support of such NEH-approved *We the People* projects as the Alaska Humanities Forum's commemoration of fifty years of statehood; the Kentucky Humanities Council's sponsorship of Prime Time Family Reading programs for at-risk youth; and the South Dakota Humanities Council's annual Festival of Books.
- \$40,406 awarded to Arhoolie Foundation for a project that is digitizing and cataloging the Frontera Collection of historic Mexican American Recordings. The collection's 10,000 45-rpm audio recordings will be mounted on the bilingual UCLA Digital Library Website.

- \$75,000 awarded to Frederick Community College of Maryland to produce a website, conference, publications, guided tours, lecture series, and educational materials exploring the Civil War in the interior Mid-Atlantic region.
- \$200,000 awarded to the Boston Children’s Museum to implement *Children of Hangzhou: Connecting with China*, an interactive, multilingual traveling exhibition on contemporary life in Boston’s Sister City in the People’s Republic of China. Children aged 12 to 16 will share the lives of their Chinese contemporaries via interactive video applications in English, Spanish, Mandarin, and Cantonese.
- 81,000 awarded to the National Humanities Center in Research Triangle Park, North Carolina, to support research fellowships for humanities faculty members resident at the Center.
- \$120,000 awarded to Yale University for a long-term project to edit and publish *The Papers of Benjamin Franklin*.
- \$57,420 awarded to the University of Virginia to publish *The Papers of Abraham Lincoln*. The project is digitizing Lincoln-related documents found in many different repositories and making the documents available on a freely accessible website.



NATIONAL
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MISSION STATEMENT

Because democracy demands wisdom, the National Endowment for the Humanities serves and strengthens our Republic by promoting excellence in the humanities and conveying the lessons of history to all Americans.

NATIONAL ENDOWMENT FOR THE HUMANITIES

FY 2010 PERFORMANCE BUDGET

I. INTRODUCTION

The National Endowment for the Humanities is pleased to present the agency's FY 2010 Performance Budget. This document provides a measure of the Endowment's progress toward attaining the goals and objectives of the NEH multi-year strategic plan. In form and content, it conforms to the requirements of the Government Performance and Results Act of 1993 (GPRA) and to the published guidance of the Office of Management and Budget. The annual performance goals and indicators below are integrally connected to the long-range goals, objectives, and strategies detailed in the Endowment's FY 2007-FY 2012 strategic plan. FY 2010 performance data are consistent with the program activities planned at the Endowment's FY 2010 Congressional request level. FY 2009 performance data are consistent with the program activities currently planned at the Endowment's current-year appropriation. Also shown are actual performance results for fiscal year 2008.

II. PERFORMANCE GOALS AND INDICATORS

In the tables that follow, nine objectives of the Endowment's strategic plan are expressed as goals of the NEH performance budgets for FY 2010 and for the two preceding fiscal years. Annual progress toward the attainment of those goals is calibrated with reference to numerical or qualitative indicators. The integration of the Endowment's performance budget and its "current services" budget submission is fully evident in these tables. In each, the left-most column explicitly relates requested (or appropriated) funding for each of the major programmatic areas of the Endowment to a specific performance goal and to anticipated (or actual) performance levels. The nine strategic objectives/performance goals below all address the Endowment's primary strategic goal: **To advance knowledge and understanding in the humanities in the United States.** They are:

- To facilitate basic research and original scholarship in the humanities.
- To strengthen teaching and learning in the humanities in schools and colleges across the nation.
- To preserve and increase the availability of cultural and intellectual resources essential to the American people.
- To provide opportunities for Americans to engage in lifelong learning in the humanities.

- To create new program initiatives that respond to needs and opportunities in American society.
- To strengthen the institutional base of the humanities.
- To maintain and strengthen partnerships with the state humanities councils.
- To establish collaborative partnerships with individuals and institutions in support of the humanities.

NATIONAL ENDOWMENT FOR THE HUMANITIES
FY 2010 PERFORMANCE BUDGET

II. Table A

PROGRAM DIVISION/ REQUIRED FUNDING	PERFORMANCE GOALS AND INDICATORS	FY 2010 PLANNED PERFORMANCE LEVELS
RESEARCH PROGRAMS \$16,000,000	A: To facilitate basic research and original scholarship in the humanities.	
	1) Support is provided that enables scholars-- both those affiliated with educational institutions and those working independently-- to devote a concentrated period of time to research and writing on significant subjects in all fields of the humanities.	Support would be provided for 250 individual scholars to make significant progress on important humanities research projects through fellowships and stipends.
	2) Support is provided for collaborative research projects that develop significant intellectual advances and resources for scholars, teachers, students, and the general public.	Support would be provided for 60 important long-term collaborative projects in the humanities such as scholarly editions, translations, archaeological excavations and analyses and other complex, large-scale undertakings. In addition, 30 previously awarded grants would receive ongoing support through NEH matching funds, in part through the use of We the People funding.
	3) Support is provided for overseas research in the humanities by American scholars and, where appropriate, encouragement offered for international collaboration in research on significant topics in the humanities.	Awards to 27 humanities fellowship programs at independent research institutions would support the work of 83 humanities scholars who would make significant contributions to scholarship in the humanities.
	4) Support is provided for humanities scholarship by faculty members at Historically Black, Hispanic-serving, and Tribal colleges and universities.	Support would enable up to 10 individual scholars who teach at historically black colleges and universities, at institutions with high Hispanic enrollment, and at tribal colleges and universities to make significant progress on important scholarly projects in the humanities through faculty research awards.

PROGRAM DIVISION/ REQUIRED FUNDING	PERFORMANCE GOALS AND INDICATORS	FY 2010 PLANNED PERFORMANCE LEVELS
EDUCATION PROGRAMS \$14,750,000	B: To strengthen teaching and learning in the humanities in schools and colleges across the nation.	
	1) Teachers are provided opportunities to renew and deepen their knowledge of the humanities.	<p>Support for 65 NEH summer seminars and institutes would enable 500 college teachers and 750 school teachers to revitalize their knowledge and teaching of the humanities. College teachers participating in seminars and institutes during the summer of 2011 would reach approximately 87,500 students annually; school teacher participants would reach approximately 93,750 students annually.</p> <p>Support for 22 “Landmarks of American History and Culture” workshops to take place in the summer of 2011 would enable approximately 1,760 school teachers to revitalize their knowledge and teaching of American history, particularly as it relates to the relationship between specific sites and the episodes in history, the writers, and/or the artists associated with that location. These teachers would annually reach approximately 220,000 students. Through a partnership of NEH and the U.S. Department of State, selected “Landmarks” workshops will invite participation by teachers from other nations.</p> <p>Support for 7 “Landmarks of American History and Culture for Community College Faculty” workshops to take place during the summer of 2011 would enable 350 community college teachers to reach 61,250 students annually with their revitalized knowledge, understanding, appreciation, and teaching of American History, particularly as it relates to the relationship between specific sites and the episodes in history, the writers, and/or the artists associated with them.</p> <p>Fifteen Teaching Development Fellowships would enable recipients to pursue research aimed specifically at deepening their core knowledge in the humanities to improve their undergraduate teaching. These teachers would reach 2,625 students annually.</p> <p>Support to 28 Enduring Questions award recipients would enable individual faculty members to develop a new course at the undergraduate level to grapple with the most fundamental concerns of the humanities, and to join with their students in deep, sustained programs of reading in order to encounter influential thinkers over the centuries and into the present day. These questions would include: What is the good life? What is justice? What is friendship? Is there a human nature, and, if so, what is it? These teachers would reach 4,900 students annually.</p> <p>Support for 8 Picturing America School Collaboration Projects would enable approximately 350 teachers in a local area or region to attend workshops that would enhance their incorporation of Picturing America into core curriculums. These teachers would reach 43,750 students annually.</p>

PROGRAM DIVISION/ REQUIRED FUNDING	PERFORMANCE GOALS AND INDICATORS	FY 2010 PLANNED PERFORMANCE LEVELS
EDUCATION PROGRAMS \$14,750,000	2) Support is provided for efforts to develop new teaching and learning resources, particularly in digitized forms.	The Teaching and Learning Resources (Materials Development and Curriculum Development) category has been discontinued in FY 2009.
	3) Support is provided for humanities education programming in Historically Black, Hispanic-serving, and Tribal colleges and universities across the country.	Humanities Initiatives at Presidentially Designated Institutions (a modification of the Humanities Initiatives for Faculty) would provide 10 grants to support faculty professional development activities for improvement in humanities instruction, as well as other capacity building activities at these institutions.
PRESERVATION AND ACCESS \$16,250,000	C: To preserve and increase the availability of cultural and intellectual resources essential to the American people.	
	1) Support is provided to preserve and create intellectual access to humanities collections and resources. Supported activities include digitizing collections; arranging and describing archival and manuscript collections; cataloging collections of printed works, photographs, recorded sound, moving image, art, and material culture; preservation reformatting; deacidification of collections; preserving and improving access to humanities resources in “born digital” form; creating research tools and reference works; and developing technical standards, best practices, and tools for preserving and enhancing access to humanities collections.	<p>Grants would be made to 8 projects to begin or continue work on the preparation of dictionaries, atlases, encyclopedias, and textbases central to knowledge and understanding of the humanities.</p> <p>Twelve projects would preserve and/or provide access to 2,000 hours of recorded sound and video collections; 3,000 linear feet of archival documents; and 600,000 manuscripts, broadsides, oversize volumes, and other non-print materials.</p> <p>Cooperative agreements supported through the We the People program would digitize hundreds of thousands of microfilm pages of historic newspapers.</p> <p>Support would be provided for 3 research and development projects concerned with standards and procedures.</p>

PROGRAM DIVISION/ REQUIRED FUNDING	PERFORMANCE GOALS AND INDICATORS	FY 2010 PLANNED PERFORMANCE LEVELS
PRESERVATION AND ACCESS \$16,250,000	2) Support is provided to train staff from the nation's cultural repositories in the appropriate procedures for preserving and enhancing access to the humanities collections for which they are responsible.	Six awards would be made for regional and national education programs that would provide training for 8,000 people in U.S. museums, libraries, archives, and historical organizations.
	3) The Endowment extends its reach to institutions across the country by providing support for basic preservation activities to small and mid-sized libraries, archives, museums, and historical organizations.	Projects supported would assist in preserving collections at 100 institutions in all fifty states and two U.S. Territories.
PUBLIC PROGRAMS \$14,750,000	D: To provide opportunities for Americans to engage in lifelong learning in the humanities.	
	1) Substantive media presentations, exhibitions, reading and discussion programs, and other public projects advance public understanding of the humanities.	<p>Twenty-two television/radio projects would produce 59 broadcast hours and draw a cumulative audience of approximately 80 million people.</p> <p>Twenty-three exhibitions, reading, viewing, and discussion programs, web-based programs, and other public education programs would employ various delivery mechanisms at venues across the country.</p> <p>Eight historic site-specific interpretations and programming would attract over 1.5 million people.</p>
	2) Support is provided for projects that use digital technologies to convey the humanities to the American public.	Awards will be made in conjunction with the Endowment's Digital Humanities program.
	3) High quality interpretative panel exhibitions and public programs are circulated to libraries through Small Grants to Libraries, and selected sites that receive smaller versions of NEH-funded exhibitions through the NEH on the Road cooperative agreement receive funds for additional public programming.	Sixty NEH on the Road grants would be made to museums, and approximately 165 Small Grants to Libraries would be awarded. In addition, through the We the People office, small grants for the We the People Bookshelf and the Picturing America project and for projects focusing on the Lincoln bicentennial celebration will be made.

PROGRAM DIVISION/ REQUIRED FUNDING	PERFORMANCE GOALS AND INDICATORS	FY 2010 PLANNED PERFORMANCE LEVELS
NEW PROGRAM INITIATIVES \$18,500,000	E: To create new program initiatives that respond to needs and opportunities in American society.	
	1) New initiatives and programs that address important concerns and opportunities in the humanities are established.	<p>Significant funding would be provided through We the People to support a variety of new programmatic initiatives: 1) We the People Bookshelf grants for up to 4,000 public and school libraries; 2) “Landmarks of American History and Culture” workshops for K-12 teachers and community college faculty, several of which will invite participation by teachers from other nations; 3) public programs supported through the “America’s Historic Places” and “Family and Youth Programs in American History” categories; 4) additional awards through the NEH/Library of Congress National Digital Newspaper Program; 5) special NEH Challenge Grants for educational and cultural institutions working to advance knowledge of the founding principles of the United States; and 6) an Endowment-wide effort to contribute to national planning for the sesquicentennial of the Civil War in 2011-2015. Additional humanities projects supported by NEH programs would receive We the People grants. The state humanities councils also would receive significant funding to support projects and programs related to the initiative.</p> <p>Funding would be provided through a major Digital Humanities program to support projects that utilize or study the impact of digital technology on research, education, preservation, and public programming in the humanities. Digital Humanities would support a variety of new funding categories or programmatic emphases: 1) Digital Start-Up Grants to encourage innovative work at the nexus of information technology and the humanities; 2) Institutes for Advanced Topics in the Digital Humanities to encourage the sharing of best practices among humanities scholars; 3) Transatlantic Collaboration Grants supported jointly by NEH and the Higher Education Funding Council for England; 4) Bilateral Symposia and Workshops jointly supported by NEH and the German Research Foundation to encourage collaborative digital humanities projects; 5) Digging into Data Challenge, an international collaboration among research teams in Canada, England, or Wales in the United Kingdom, and the United States to explore how vast libraries of digitized books, newspapers, art, and music can be used for advanced scholarship; and 6) an effort to support digital projects by the state humanities councils.</p>
	2) Agency-wide initiatives are developed in selected humanities areas.	<p>“Rediscovering Afghanistan,” will promote research, education, and public programs about Afghanistan and encourage U.S. institutions to assist that country in its effort to preserve and document its cultural resources. Additional awards would be made through the NEH/NSF “Documenting Endangered Languages” special initiative.</p>

PROGRAM DIVISION/ REQUIRED FUNDING	PERFORMANCE GOALS AND INDICATORS	FY 2010 PLANNED PERFORMANCE LEVELS
\$9,500,000 CHALLENGE GRANTS	F: To strengthen the institutional base of the humanities.	By FY 2013, NEH Challenge Grants awarded in FY 2010 would generate more than \$31 million in nonfederal donations to recipient institutions in support of their humanities activities.
	Support is provided for institutions to increase nonfederal contributions for their humanities activities and enhance their resources over the long term.	
\$38,515,000 FEDERAL/STATE PARTNERSHIP	G: To maintain and strengthen partnerships with the state humanities councils.	Support for the programs and operations of 56 state humanities councils would make possible high quality state and local humanities projects throughout the nation, including 17,700 reading and discussion programs, 4,600 exhibitions, 5,700 literacy programs, 5,800 speakers bureau presentations, 3,700 teacher institutes and workshops, 5,800 conferences and symposia, 2,300 Chautauqua events, 7,120 media program events, 660 technology projects, 700 preservation projects and 6,300 local history projects.
	Support is provided to the councils to encourage locally initiated, substantive humanities programs for the people in each state.	
\$750,000 PROGRAM DEVELOPMENT	H: To establish collaborative partnerships with individuals and institutions in support of the humanities.	The Endowment would develop of a number of new partnerships with other institutions and organizations, leveraging approximately \$1.5 million in new funding for humanities programs. NEH partnerships would include the following: 1) a program of fellowships to be sponsored jointly by NEH and the Library of Congress to support humanities scholars who wish to conduct research at the Library of Congress; 2) the National Digital Newspapers Program, a multi-year collaboration with the Library of Congress to digitize and make publicly available on the World Wide Web newspapers already preserved on microfilm through the United States Newspapers Program; 3) the Advanced Research Fellowships on Japan Program to be jointly sponsored by NEH and the Japan-United States Friendship Commission; 4) the interagency Save America's Treasures initiative; 5) a "Cultural Diplomacy" partnership of NEH and the U.S. Department of State that would enable teachers from other nations to participate in "Landmarks of American History and Culture" workshops; 6) EDSITEment; and 7) programmatic collaboration with the National Trust for the Humanities.
	1) Partnerships are forged to leverage new resources for the humanities and expand audiences for the humanities.	
	2) New programming, funding, and administrative partnerships are established with other agencies, foundations, and organizations, both public and private.	

II. Table B		NATIONAL ENDOWMENT FOR THE HUMANITIES FY 2009 PERFORMANCE BUDGET	
PROGRAM DIVISION/ REQUIRED FUNDING	PERFORMANCE GOALS AND INDICATORS	FY 2009 PLANNED PERFORMANCE LEVELS	
RESEARCH PROGRAMS \$14,500,000	A: To facilitate basic research and original scholarship in the humanities.		
	1) Support is provided that enables scholars-- both those affiliated with educational institutions and those working independently-- to devote a concentrated period of time to research and writing on significant subjects in all fields of the humanities.	Support will be provided for 163 individual scholars to make significant progress on important humanities research projects through fellowships and stipends.	
	2) Support is provided for collaborative research projects that develop significant intellectual advances and resources for scholars, teachers, students, and the general public.	Support will be provided for 62 important long-term collaborative projects in the humanities such as scholarly editions, translations, archaeological excavations and analyses and other complex, large-scale undertakings. In addition, 30 previously awarded grants would receive ongoing support through NEH matching funds, in part through the use of We the People funding.	
	3) Support is provided for overseas research in the humanities by American scholars and, where appropriate, encouragement offered for international collaboration in research on significant topics in the humanities.	Awards to 25 humanities fellowship programs at independent research institutions will support the work of 77 humanities scholars who would make significant contributions to scholarship in the humanities.	
	4) Support is provided for humanities scholarship by faculty members at Historically Black, Hispanic-serving, and Tribal colleges and universities.	Support will enable up to 2 individual scholars who teach at historically black colleges and universities, at institutions with high Hispanic enrollment, and at tribal colleges and universities to make significant progress on important scholarly projects in the humanities through faculty research awards.	

PROGRAM DIVISION/ REQUIRED FUNDING	PERFORMANCE GOALS AND INDICATORS	FY 2009 PLANNED PERFORMANCE LEVELS
EDUCATION PROGRAMS \$14,500,000	B: To strengthen teaching and learning in the humanities in schools and colleges across the nation.	
	1) Teachers are provided opportunities to renew and deepen their knowledge of the humanities.	<p>Support for 60 NEH summer seminars and institutes will enable 440 college teachers and 725 school teachers to revitalize their knowledge and teaching of the humanities. College teachers participating in seminars and institutes during the summer of 2010 will reach approximately 77,000 students annually; school teacher participants will reach approximately 90,625 students annually.</p> <p>Faculty Humanities Workshops were discontinued in FY 2008.</p> <p>Support for 21 “Landmarks of American History and Culture” workshops to take place in the summer of 2010 will enable approximately 1,680 school teachers to revitalize their knowledge and teaching of American history, particularly as it relates to the relationship between specific sites and the episodes in history, the writers, and/or the artists associated with that location. These teachers will annually reach approximately 210,000 students. Through a partnership of NEH and the U.S. Department of State, selected “Landmarks” workshops will invite participation by teachers from other nations.</p> <p>Support for 6 “Landmarks of American History and Culture for Community College Faculty” workshops to take place during the summer of 2010 will enable 300 community college teachers to reach 52,500 students annually with their revitalized knowledge, understanding, appreciation, and teaching of American History, particularly as it relates to the relationship between specific sites and the episodes in history, the writers, and/or the artists associated with them.</p> <p>Digital Humanities Workshops were discontinued in 2008.</p> <p>The inaugural competition for the Teaching Development Fellowships yielded 10 awards. These projects enabled the recipients to pursue research aimed specifically at deepening their core knowledge in the humanities to improve their undergraduate teaching. These teachers will reach 1,750 students annually.</p> <p>Support to 19 inaugural Enduring Questions award recipients would enable individual faculty members to develop a new course at the undergraduate level to grapple with the most fundamental concerns of the humanities, and to join with their students in deep, sustained programs of reading in order to encounter influential thinkers over the centuries and into the present day. These questions would include: What is the good life? What is justice? What is friendship? Is there a human nature, and, if so, what is it? These teachers would reach 3,325 students annually.</p>

PROGRAM DIVISION/ REQUIRED FUNDING	PERFORMANCE GOALS AND INDICATORS	FY 2009 PLANNED PERFORMANCE LEVELS
EDUCATION PROGRAMS \$14,500,000		The inaugural competition for the Picturing America School Collaboration Projects yielded 3 awards. These workshops will enable a projected 430 teachers to attend workshops that would enhance their incorporation of Picturing America into core curriculums. These teachers will reach approximately 53,750 students annually.
	2) Support is provided for efforts to develop new teaching and learning resources, particularly in digitized forms.	The Teaching and Learning Resources (Materials Development and Curriculum Development) category has been discontinued in FY 2009.
	3) Support is provided for humanities education programming in Historically Black, Hispanic-serving, and Tribal colleges and universities across the country.	The Humanities Initiatives at Presidentially Designated Institutions (a modification of the Humanities Initiatives for Faculty) will provide 9 grants to support faculty professional development activities for improvement in humanities instruction, as well as other capacity building activities at these institutions.
PRESERVATION AND ACCESS \$16,000,000	C: To preserve and increase the availability of cultural and intellectual resources essential to the American people.	
	1) Support is provided to preserve and create intellectual access to humanities collections and resources. Supported activities include digitizing collections; arranging and describing archival and manuscript collections; cataloging collections of printed works, photographs, recorded sound, moving image, art, and material culture; preservation reformatting; deacidification of collections; preserving and improving access to humanities resources in "born digital" form; creating research tools and reference works; and developing technical standards, best practices, and tools for preserving and enhancing access to humanities collections.	Grants will be made to 9 projects to begin or continue work on the preparation of dictionaries, atlases, encyclopedias, and textbases central to knowledge and understanding of the humanities. Twenty-five projects will preserve and/or provide access to 3,553 hours of recorded sound and video collections; 6,178 linear feet of archival documents; and 359,592 manuscripts, broadsides, oversize volumes, and other non-print materials. Cooperative agreements supported through the We the People program would digitize hundreds of thousands of microfilm pages of historic newspapers. Support would be provided for 4 research and development projects concerned with standards and procedures.

PROGRAM DIVISION/ REQUIRED FUNDING	PERFORMANCE GOALS AND INDICATORS	FY 2009 PLANNED PERFORMANCE LEVELS
PRESERVATION AND ACCESS \$16,000,000	2) Support is provided to train staff from the nation's cultural repositories in the appropriate procedures for preserving and enhancing access to the humanities collections for which they are responsible.	Seven awards would be made for regional and national education programs that will provide training for 15,300 people in U.S. museums, libraries, archives, and historical organizations.
	3) The Endowment extends its reach to institutions across the country by providing support for basic preservation activities to small and mid-sized libraries, archives, museums, and historical organizations.	Projects supported would assist in preserving collections at 110 institutions in all fifty states and two U.S. Territories.
PUBLIC PROGRAMS \$14,500,000	D: To provide opportunities for Americans to engage in lifelong learning in the humanities.	
	1) Substantive media presentations, exhibitions, reading and discussion programs, and other public projects advance public understanding of the humanities.	Fourteen television/radio projects will produce 54 broadcast hours and draw a cumulative audience of approximately 35 million people. Twenty-one exhibitions, reading, viewing, and discussion programs, web-based programs, and other public education programs will employ various delivery mechanisms at venues across the country. Eight historic site-specific interpretations and programming will attract over 1.5 million people.
	2) Support is provided for projects that use digital technologies to convey the humanities to the American public.	Awards will be made in conjunction with the Endowment's Digital Humanities program.
	3) High quality interpretative panel exhibitions and public programs are circulated to libraries through Small Grants to Libraries, and selected sites that receive smaller versions of NEH-funded exhibitions through the NEH on the Road cooperative agreement receive funds for additional public programming.	Thirty-five NEH on the Road grants will be made to museums, and approximately 105 Small Grants to Libraries would be awarded. In addition, through the We the People office, small grants for the We the People Bookshelf and the Picturing America project and for projects focusing on the Lincoln bicentennial celebration will be made.

PROGRAM DIVISION/ REQUIRED FUNDING	PERFORMANCE GOALS AND INDICATORS	FY 2009 PLANNED PERFORMANCE LEVELS
NEW PROGRAM INITIATIVES \$19,800,000	E: To create new program initiatives that respond to needs and opportunities in American society.	
	1) New initiatives and programs that address important concerns and opportunities in the humanities are established.	<p>Significant funding will be provided through We the People to support a variety of new programmatic initiatives: 1) We the People Bookshelf grants for up to 3,000 public and school libraries; 2) “Landmarks of American History and Culture” workshops for K-12 teachers and community college faculty, several of which will invite participation by teachers from other nations; 3) Picturing America, a special program that would provide educational materials on key works of American art ultimately to 77,000 school and public libraries; 4) public programs supported through the new “America’s Historic Places” and “Family and Youth Programs in American History” categories; 5) additional awards through the NEH/Library of Congress National Digital Newspaper Program; 6) special NEH Challenge Grants for educational and cultural institutions working to advance knowledge of the founding principles of the United States; and 7) an Endowment-wide effort to contribute to the celebration of the Abraham Lincoln Bicentennial in 2009. Additional humanities projects supported by NEH core programs would receive We the People grants. The state humanities councils also would receive significant funding to support projects and programs related to the initiative.</p> <p>Funding would be provided through a major Digital Humanities program to support projects that utilize or study the impact of digital technology on research, education, preservation, and public programming in the humanities. Digital Humanities would support a variety of new funding categories or programmatic emphases: 1) Digital Start-Up Grants to encourage innovative work at the nexus of information technology and the humanities; 2) Institutes for Advanced Topics in the Digital Humanities, a new program to encourage the sharing of best practices among humanities scholars; 3) Transatlantic Collaboration Grants supported jointly by NEH and the Higher Education Funding Council for England; 4) Bilateral Symposia and Workshops jointly supported by NEH and the German Research Foundation to encourage collaborative digital humanities projects; 5) Digging into Data Challenge, an international collaboration among research teams in Canada, England or Wales in the United Kingdom, and the United States to explore how vast libraries of digitized books, newspapers, art, and music can be used for advanced scholarship; and 6) an effort to support digital projects by the state humanities councils. Enhanced funding would also be provided for humanities projects supported by NEH core programs.</p>
	2) Agency-wide initiatives are developed in selected humanities areas.	<p>“Rediscovering Afghanistan,” will promote research, education, and public programs about Afghanistan and encourage U.S. institutions to assist that country in its effort to preserve and document its cultural resources. Additional awards would be made through the NEH/NSF “Documenting Endangered Languages” special initiative.</p>

PROGRAM DIVISION/ REQUIRED FUNDING	PERFORMANCE GOALS AND INDICATORS	FY 2009 PLANNED PERFORMANCE LEVELS
CHALLENGE GRANTS \$9,300,000	F: To strengthen the institutional base of the humanities.	By FY 2012, NEH Challenge Grants awarded in FY 2009 would generate more than \$35 million in nonfederal donations to recipient institutions in support of their humanities activities.
	Support is provided for institutions to increase nonfederal contributions for their humanities activities and enhance their resources over the long term.	
FEDERAL/STATE PARTNERSHIP \$35,000,000	G: To maintain and strengthen partnerships with the state humanities councils.	Support for the programs and operations of 56 state humanities councils will make possible high quality state and local humanities projects throughout the nation, including 16,400 reading and discussion programs, 4,350 exhibitions, 5,280 literacy programs, 5,400 speakers bureau presentations, 3,460 teacher institutes and workshops, 5,800 conferences and symposia, 2,600 Chautauqua events, 6,660 media program events, 560 technology projects, 650 preservation projects and 5,850 local history projects.
	Support is provided to the councils to encourage locally initiated, substantive humanities programs for the people in each state.	
PROGRAM DEVELOPMENT \$400,000	H: To establish collaborative partnerships with individuals and institutions in support of the humanities.	
	1) Partnerships are forged to leverage new resources for the humanities and expand audiences for the humanities.	The Endowment would develop of a number of new partnerships with other institutions and organizations, leveraging approximately \$1.5 million in new funding for humanities programs.
	2) New programming, funding, and administrative partnerships are established with other agencies, foundations, and organizations, both public and private.	NEH partnerships would include the following: 1) a program of fellowships to be sponsored jointly by NEH and the Library of Congress to support humanities scholars who wish to conduct research at the Library of Congress; 2) the National Digital Newspapers Program, a multi-year collaboration with the Library of Congress to digitize and make publicly available on the World Wide Web newspapers already preserved on microfilm through the United States Newspapers Program; 3) the Advanced Research Fellowships on Japan Program to be jointly sponsored by NEH and the Japan-United States Friendship Commission; 4) the interagency Save America's Treasures initiative; 5) a "Cultural Diplomacy" partnership of NEH and the U.S. Department of State that would enable teachers from other nations to participate in "Landmarks of American History and Culture" workshops; 6) EDSITEment; and 7) programmatic collaboration with the National Trust for the Humanities.

NATIONAL ENDOWMENT FOR THE HUMANITIES
FY 2008 PERFORMANCE BUDGET

II. Table C

PROGRAM DIVISION/ REQUIRED FUNDING	PERFORMANCE GOALS AND INDICATORS	FY 2008 PLANNED PERFORMANCE LEVELS
RESEARCH PROGRAMS \$13,003,000	A: To facilitate basic research and original scholarship in the humanities.	
	1) Support is provided that enables scholars-- both those affiliated with educational institutions and those working independently-- to devote a concentrated period of time to research and writing on significant subjects in all fields of the humanities.	Support was provided for 171 individual scholars to make significant progress on important humanities research projects through fellowships and stipends.
	2) Support is provided for collaborative research projects that develop significant intellectual advances and resources for scholars, teachers, students, and the general public.	Support was provided for 35 important long-term collaborative projects in the humanities such as scholarly editions, translations, archaeological excavations and analyses and other complex, large-scale undertakings. In addition, 29 previously awarded grants received ongoing support through NEH matching funds, in part through the use of We The People funding.
	3) Support is provided for overseas research in the humanities by American scholars and, where appropriate, encouragement offered for international collaboration in research on significant topics in the humanities.	Awards to 18 humanities fellowship programs at independent research institutions are supporting the work of 73 humanities scholars who making significant contributions to scholarship in the humanities.
	4) Support is provided for humanities scholarship by faculty members at Historically Black, Hispanic-serving, and Tribal colleges and universities.	Support enabled up to 3 individual scholars who teach at historically black, Hispanic-serving, and tribal colleges and universities to make significant progress on important scholarly projects in the humanities through faculty research awards.

PROGRAM DIVISION/ REQUIRED FUNDING	PERFORMANCE GOALS AND INDICATORS	FY 2008 PLANNED PERFORMANCE LEVELS
EDUCATION PROGRAMS \$12,601,000	B: To strengthen teaching and learning in the humanities in schools and colleges across the nation.	
	1) Teachers are provided opportunities to renew and deepen their knowledge of the humanities.	<p>Support for 50 NEH summer seminars and institutes enabled 500 college teachers and 500 school teachers to revitalize their knowledge and teaching of the humanities. College teachers participating in seminars and institutes during the summer of 2009 will reach approximately 87,500 students annually; school teacher participants would reach approximately 62,500 students annually.</p> <p>Seven Faculty Humanities Workshops enabled groups of school and/or college teachers totaling 191 to work together on the study of specific humanities topics or the planning and designing of new courses. These teachers will reach approximately 23,875 students annually.</p> <p>Support for 20 “Landmarks of American History and Culture” workshops during the summer of 2009 will enable approximately 2,000 school teachers to revitalize their knowledge and teaching of American history, particularly as it relates to the relationship between specific sites and the episodes in history, the writers, and/or the artists associated with that location. These teachers will annually reach approximately 250,000 students. Through a partnership of NEH and the U.S. Department of State, selected “Landmarks” workshops will invite participation by teachers from other nations.</p> <p>Support for 6 “Landmarks of American History and Culture for Community College Faculty” workshops during the summer of 2008 will enable 300 community college teachers to reach 52,000 students annually with their revitalized knowledge, understanding, appreciation, and teaching of American History, particularly as it relates to the relationship between specific sites and the episodes in history, the writers, and/or the artists associated with them.</p> <p>Two Digital Humanities Workshops would enable groups of school teachers (totaling approximately 55) to work together on the study of specific humanities topics, with an emphasis on the use and development of digital humanities resources. These teachers will reach approximately 6,875 students annually.</p>
	2) Support is provided for efforts to develop new teaching and learning resources, particularly in digitized forms.	Six Teaching and Learning Resources (Materials Development and Curriculum Development) projects would improve the quality and dissemination of instructional models and materials for more than 168 school and college teachers of the humanities, reaching more than 21,000 students annually.
	3) Support is provided for humanities education programming in Historically Black, Hispanic-serving, and Tribal colleges and universities across the country.	The Humanities Initiatives for Faculty provided 6 grants to support faculty professional development activities for improvement in humanities instruction.

PROGRAM DIVISION/ REQUIRED FUNDING	PERFORMANCE GOALS AND INDICATORS	FY 2008 PLANNED PERFORMANCE LEVELS
\$18,380,000 PRESERVATION AND ACCESS	C: To preserve and increase the availability of cultural and intellectual resources essential to the American people.	
	1) Support is provided to preserve and create intellectual access to humanities collections and resources. Supported activities include digitizing collections; arranging and describing archival and manuscript collections; cataloging collections of printed works, photographs, recorded sound, moving image, art, and material culture; preservation reformatting; deacidification of collections; preserving and improving access to humanities resources in "born digital" form; creating research tools and reference works; and developing technical standards, best practices, and tools for preserving and enhancing access to humanities collections.	<p>Grants were made to 13 projects to begin or continue work on the preparation of dictionaries, atlases, encyclopedias, and textbases central to knowledge and understanding of the humanities.</p> <p>Fourteen projects preserved and/or provided access to 8,950 hours of recorded sound collections and television programming; 1,981 cubic feet of archival documents; and 815,028 manuscripts, broadsides, oversize volumes, images, and other non-print materials.</p> <p>Cooperative agreements supported through the <i>We the People</i> program will digitize hundreds of thousands of microfilm pages of historic newspapers.</p> <p>Support was provided for 3 research and development projects concerned with standards and procedures.</p>
	2) Support is provided to stabilize fragile collections held by American museums, libraries, archives, historical organizations, and other cultural institutions.	<p>Projects supported stabilized or provided documentation for collections at 9 institutions, helping to preserve and making available approximately 296,143 historically significant objects as well as 383,250 photographs, books, and library collections.</p>
	3) Support is provided to train staff from the nation's cultural repositories in the appropriate procedures for preserving and enhancing access to the humanities collections for which they are responsible.	<p>Eleven awards were made for regional and national education programs that will provide training for 5,000 people in U.S. museums, libraries, archives, and historical organizations.</p>
	4) The Endowment extends its reach to institutions across the country by providing support for basic preservation activities to small and mid-sized libraries, archives, museums, and historical organizations.	<p>Projects supported will assist in preserving collections at 119 institutions in all fifty states and two U.S. Territories. Approximately 34 percent of the awards went to first-time NEH grantees.</p>

PROGRAM DIVISION/ REQUIRED FUNDING	PERFORMANCE GOALS AND INDICATORS	FY 2008 PLANNED PERFORMANCE LEVELS
PUBLIC PROGRAMS \$12,709,000	D: To provide opportunities for Americans to engage in lifelong learning in the humanities.	
	1) Substantive media presentations, exhibitions, reading and discussion programs, and other public projects advance public understanding of the humanities.	Sixteen television/radio projects will produce 56 broadcast hours and draw a cumulative audience of approximately 25 million people. Thirty-one exhibitions, site interpretations, reading and discussion programs, web-based programs, and other public education programs will be presented at 343 sites involving approximately 3 million visitors and participants.
	2) Support is provided for projects that use digital technologies to convey the humanities to the American public.	Initial awards were made in conjunction with the Endowment's Digital Humanities Initiative.
	3) High quality interpretative panel exhibitions and public programs are circulated to libraries through Small Grants to Libraries, and selected sites that receive smaller versions of NEH-funded exhibitions through the NEH on the Road cooperative agreement receive funds for additional public programming.	Forty-five grants to small and mid-sized libraries are reaching tribal communities, rural, and inner-city audiences; and 22 grants were awarded to NEH on the Road participating institutions.

PROGRAM DIVISION/ REQUIRED FUNDING	PERFORMANCE GOALS AND INDICATORS	FY 2008 PLANNED PERFORMANCE LEVELS
NEW PROGRAM INITIATIVES \$16,970,000	E: To create new program initiatives that respond to needs and opportunities in American society.	
	1) New initiatives and programs that address important concerns and opportunities in the humanities are established.	<p>Significant funding was provided through <i>We the People</i> to support a variety of new programmatic initiatives: 1) <i>We the People Bookshelf</i> grants for up to 3,000 public and school libraries; 2) “Landmarks of American History and Culture” workshops for K-12 teachers and community college faculty, several of which will invite participation by teachers from other nations; 3) Picturing America, a new program to provide elementary and middle schools with educational materials on key works of American art; 4) public programs supported through the “America’s Historic Places” and “Family and Youth Programs in American History” categories; 5) additional awards through the NEH/Library of Congress <i>National Digital Newspaper Program</i>; 6) special NEH Challenge Grants for educational and cultural institutions working to advance knowledge of the founding principles of the United States; and 7) an Endowment-wide effort to contribute to the celebration of the Abraham Lincoln Bicentennial in 2009. Additional humanities projects supported by other NEH programs would receive <i>We the People</i> grants. The state humanities councils also would receive significant funding to support projects and programs related to the initiative.</p> <p>Funding was provided through a new, major Digital Humanities program to support projects that utilize or study the impact of digital technology on research, education, preservation, and public programming in the humanities. Digital Humanities would launch a variety of new funding categories or programmatic emphases: 1) Digital Start-Up Grants to encourage innovative work at the nexus of information technology and the humanities; 2) Digital Humanities Fellowships to encourage humanities scholars to use advanced electronic technologies and to work collaboratively with scholars in computing and other fields; 3) Digital Humanities Workshops for the nation’s elementary and secondary school teachers to help them deepen their knowledge, understanding, and skills in using digital resources in their classrooms; and 4) an effort to support digital projects by the state humanities councils. Enhanced funding was also be provided through <i>We the People</i> for humanities projects supported by other NEH programs.</p>
	2) Agency-wide initiatives are developed in selected humanities areas.	<p>“Rediscovering Afghanistan” is promoting research, education, and public programs about Afghanistan and encouraging U.S. institutions to assist that country in its effort to preserve and document its cultural resources. Additional awards would be made through the NEH/NSF “Documenting Endangered Languages” special initiative.</p>

PROGRAM DIVISION/ REQUIRED FUNDING	PERFORMANCE GOALS AND INDICATORS	FY 2008 PLANNED PERFORMANCE LEVELS
CHALLENGE GRANTS \$9,331,000	F: To strengthen the institutional base of the humanities.	By FY 2011, NEH Challenge Grants awarded in FY 2008 will generate \$40.25 million in nonfederal donations to recipient institutions in support of their humanities activities.
	Support is provided for institutions to increase nonfederal contributions for their humanities activities and enhance their resources over the long term.	
FEDERAL/STATE PARTNERSHIP \$31,712,000	G: To maintain and strengthen partnerships with the state humanities councils.	Support for the programs and operations of 56 state humanities councils would make possible high quality state and local humanities projects throughout the nation, including 15,228 reading and discussion programs, 4,041 exhibitions, 4,897 literacy programs, 5,010 speakers bureau presentations, 3,204 teacher institutes and workshops, 5,457 conferences and symposia, 2,547 Chautauqua events, 6,168 media program events, 512 technology projects, 608 preservation projects and 5,415 local history projects.
	Support is provided to the councils to encourage locally initiated, substantive humanities programs for the people in each state.	
PROGRAM DEVELOPMENT \$356,000	H: To establish collaborative partnerships with individuals and institutions in support of the humanities.	The Endowment developed of a number of new partnerships with other institutions and organizations, leveraging approximately \$1.5 million in new funding for humanities programs. NEH partnerships included the following: 1) a program of fellowships to be sponsored jointly by NEH and the Library of Congress to support humanities scholars who wish to conduct research at the Library of Congress; 2) the Advanced Research Fellowships on Japan Program to be jointly sponsored by NEH and the Japan-United States Friendship Commission (JUSFC); 3) a "Cultural Diplomacy" partnership of NEH and the U.S. Department of State that will enable teachers from other nations to participate in "Landmarks of American History and Culture" workshops; 4) the National Digital Newspapers Program, a multi-year collaboration with the Library of Congress to digitize and make publicly available on the World Wide Web newspapers already preserved on microfilm through the United States Newspapers Program; 5) EDSITEMent; 6) the interagency Save America's Treasures initiative; and 7) programmatic collaboration with the National Trust for the Humanities.
	1) Partnerships are forged to leverage new resources for the humanities and expand audiences for the humanities.	
	2) New programming, funding, and administrative partnerships are established with other agencies, foundations, and organizations, both public and private.	

III. MEETING THE PERFORMANCE GOALS: REQUIRED RESOURCES AND OPERATIONAL PROCESSES

A. **Required Resources.** NEH promotes national progress in research, education, and lifelong learning in the humanities by awarding project grants to organizations and individuals in all parts of the United States. A program appropriation adequate to provide for a sufficient range of grant opportunities across all disciplines of the humanities is, thus, the Endowment's most important resource requirement. For each performance goal, we have estimated a sum that would be required to produce the desired outcomes in FY 2010:

<u>Performance Goal</u>	<u>Funding Required*</u>
1. To facilitate basic research and original scholarship in the humanities.	\$16,000,000
2. To strengthen teaching and learning in the humanities in schools and colleges across the nation.	\$14,750,000
3. To preserve and increase the availability of cultural resources for the American people.	\$16,250,000
4. To provide opportunities for Americans to engage in lifelong learning in the humanities.	\$14,750,000
5. To create new program initiatives that respond to emerging needs and opportunities in American society.	\$18,500,000
6. To strengthen the institutional base of the humanities.	\$9,500,000
7. To maintain and strengthen partnerships with the state humanities councils.	\$38,515,000

* In FY 2010, the Endowment would allocate \$128,265,000 in definite and Challenge funds among programmatic activities that address the above performance goals. Another \$750,000 in definite funds would be provided for Program Development. During the year, Treasury funds totaling \$4,800,000 would also be allocated among program areas of the Endowment as needed to match nonfederal contributions in support of NEH-sponsored projects.

Also important are the kinds of administrative resources that it make possible for the Endowment's staff to advance the agency's performance goals through our daily work. Chief among these resources are the following:

- A highly trained **staff**, many of whom are credentialed practitioners of a humanities discipline;
- access to the new **information technologies** that reinforce the agency's efforts to serve the public efficiently; and
- a **physical office environment** conducive to productive work.

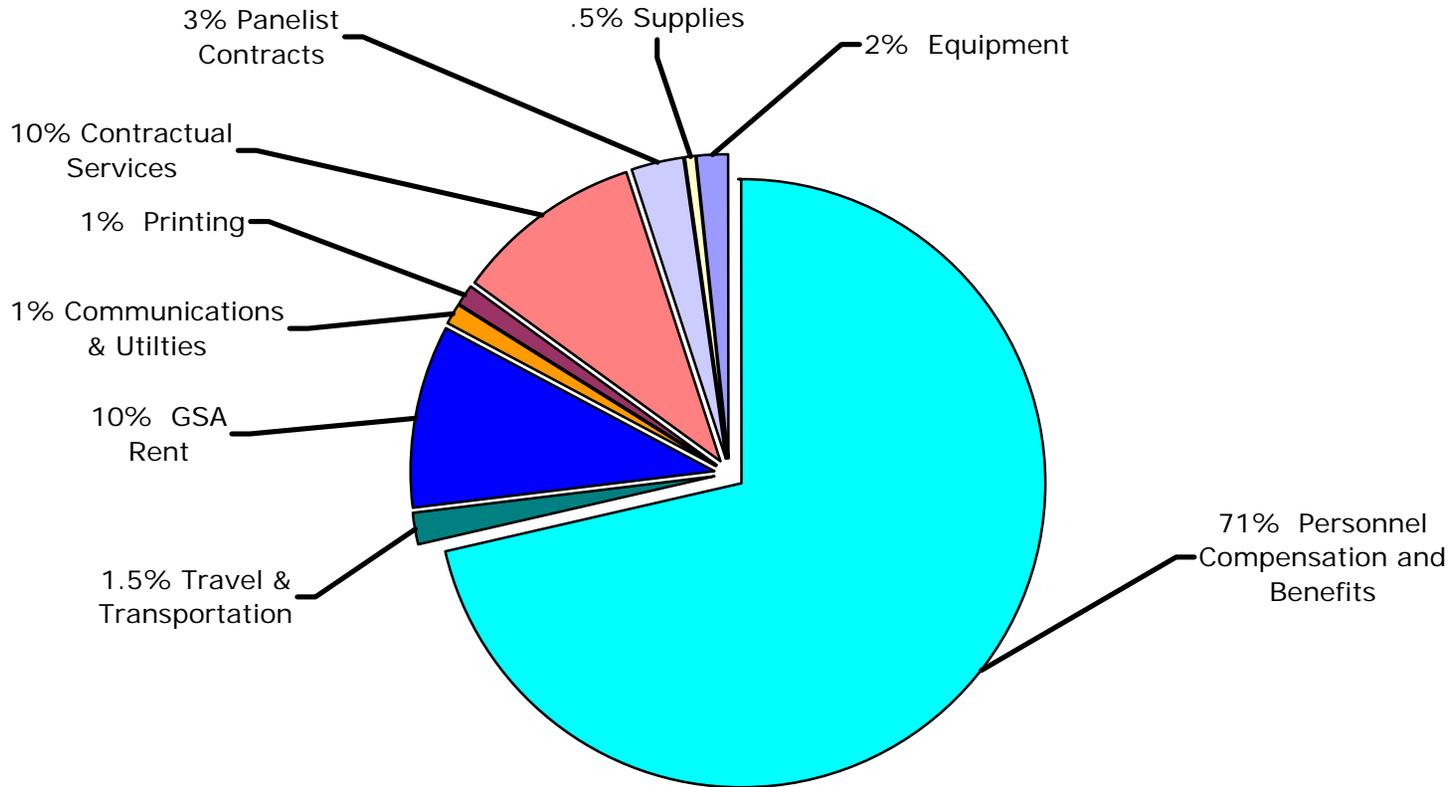
B. **Operational Processes.** NEH support for the humanities is provided through an annual sequence of highly selective grant competitions. Because insuring the fairness and rigor of that award process is of paramount importance—both for the sake of taxpayer value and for the integrity of the humanities—the Endowment's most essential operational process is that of conducting a national, merit-based system of grant application review. Through this system, we annually draw upon the services of hundreds of humanities practitioners from outside the agency in order knowledgeably to evaluate each project proposal submitted to NEH. The judgments of panelists and reviewers from all humanities disciplines and every part of the country weigh heavily in the agency's funding decisions.

IV. MEANS OF VERIFYING AND VALIDATING PERFORMANCE MEASURES

The Endowment will use a variety of means to collect and verify information that measures our progress in attaining our performance goals:

- **Interim and final reports of grantees** will provide the most comprehensive source of information about the outcomes of NEH-supported work. From these reports the Endowment will regularly compile data about the results of activities undertaken in connection with each of our performance goals.
- To systematize the information collected from grantee reports, NEH continues to develop an **outcomes database** for its grant programs. This database is capturing information about the short- and long-term results of funded projects. At present, it links information about nearly 6,000 humanities research projects in the Endowment's grant information database to bibliographic information about approximately 5,000 published books that these projects produced between 1980 and 2008.
- The Endowment will conduct **site visits** as a means of documenting the progress and accomplishments of selected grantees.
- **Evaluations** of selected projects and programs will be conducted to determine whether program goals are being met.
- Information on **awards, prizes, and other forms of recognition**, such as favorable press articles, will be collected so that the agency can gain a better sense of the impact and quality of our funded projects.

**FY 2010 Administrative Budget
\$27,500,000**



Administrative Budget by Object Classification
(\$ in thousands)

OBJECT CLASSES		FY 2008 Actual	FY 2009 Estimated	FY 2010 Request
11.1	PERSONNEL COMPENSATION	14,720	15,396	15,800
12.1	BENEFITS	3,659	3,700	3,803
	TOTAL-PERSONNEL COMPENSATION	18,379	19,096	19,603
21.0	TRAVEL AND TRANSPORTATION	492	405	425
23.1	GSA RENT	2,168	2,671	2,734
23.3	COMMUNICATIONS AND UTILITIES	272	279	284
24.0	PRINTING	416	260	275
25.1	OTHER CONTRACTUAL SERVICES	2,533	2,560	2,785
25.9	PANELIST CONTRACTS	693	739	775
26.0	SUPPLIES	161	165	169
31.0	EQUIPMENT	590	275	450
	TOTAL	25,704¹	26,450²	27,500

¹ Includes \$24.692 million appropriation, \$698 thousand in FY 2007 carry-over funds, and \$326 thousand of reprogrammed funds.

² Includes \$26.000 million appropriation, \$32 thousand in FY 2008 carry-over and \$418 thousand in prior year de-obligated funds.

ADMINISTRATIVE BUDGET

FY 2010 Request: \$27,500,000

Introduction

The administrative budget for the National Endowment for the Humanities (NEH) supports staff salaries and benefits, rental of office space, building security at the Old Post Office Building, staff travel, contractual services, equipment, supplies, and related program support activities that enable the agency to achieve its legislated mission to support and advance high quality research, education, preservation, and public programming in the humanities. For fiscal year 2010 NEH is requesting \$27,500,000 in administrative funds to support the agency's program request level of \$143,815,000 (inclusive of the \$10 million requested for the National Capital Arts and Cultural Affairs program).

The Endowment's grant-making function is the single most important factor shaping the agency's administrative budget. This function directly involves the majority of NEH's overall staff and begins with the planning of programs and special initiatives and continues through the development of application guidelines, receipt and processing of proposals, review of applications, awarding of grants, and the management and oversight of the awards themselves. These varied labor-intensive tasks require a highly trained program staff that is knowledgeable about the content and methods of the humanities. Most NEH program staff hold Ph.D.s or other advanced degrees in the humanities; many other NEH staff also hold advanced degrees in the humanities and other disciplines. In addition to this highly specialized staff, the Endowment retains the services of personnel needed to perform the everyday but essential activities involved in operating a federal agency, such as budgeting, accounting, and other financial functions; human resources; information resources management; legal counsel; public affairs and communications; and administrative services.

Personnel compensation and benefits account for more than 70 percent of agency administrative expenses. A significant portion of our budget also is taken up with "fixed" costs—that is, items over which the agency has no control, including annual rent payments to the General Services Administration, building security payments to the Department of Homeland Security, mandated transfers to other agencies for various E-Gov services, and annual increases to the federal general schedule pay scale.

Because NEH is a grant-making agency, there are a number of items in our administrative budget that are not typically included in other federal agencies' overhead. The most prominent of these is the annual cost of operating our system for evaluating grant applications. The Endowment currently administers more than three dozen discrete grant categories that annually receive approximately 4,300 applications. These applications are all processed and read by NEH staff and then evaluated by knowledgeable persons outside the agency who are asked for their judgments about the quality and significance of the proposed projects. Approximately 800 scholars, teachers, museum curators, filmmakers, and other humanities professionals and experts serve on the more than 175 panels we convene throughout the course of a typical year. Each of these evaluators receives a \$250 honorarium. Since most of

our panels are sitting panels—that is, are convened at the Endowment’s offices in D.C., to review applications to various grant programs—we also incur substantial travel and per diem expenses for each panelist. In FY 2010, we estimate that panelists will cost us approximately \$754,000, or about 3 percent of our total overhead.

Another cost directly related to our grant-making function is the expense of convening the National Council on the Humanities. The 26-member Council—which is statutorily mandated to advise the NEH Chairman on all grant applications—meets in Washington four times annually. Each Council member is compensated for his or her service. The Endowment also incurs travel and per diem expenses for the preponderance of Council members who live outside of the Washington metropolitan area. The annual cost of convening these meetings is approximately \$90,000.

Other expenses that are directly related to our role as a grant-making agency include the cost of participating in the government-wide online grant application portal, Grants.gov. This transfer of funds to the Department of Health and Human Services, as well as administrative support provided internally for the Grants.gov program, will cost NEH approximately \$158,000 in FY 2010. The FY 2010 level is identical to the FY 2009 level but is subject to change as redistributions to meet changes in resource demands are assessed.

Administrative Highlights

NEH is an efficient and well-managed agency. We have an exemplary record of economizing and extracting the most value from our administrative resources, while providing quality service to our customers. We make every effort to reduce overhead costs whenever possible, and we continually stress this priority to all our program and office managers. In the face of upward pressures in various cost categories in recent years, we have made a conscientious effort to limit other administrative cost increases, including holding the number of NEH staff level in spite of recent increases to our program budget as a result of our *We the People* program; and increasing our use of nontraditional panel arrangements, such as mail panels and conference panels, as a means of keeping our panel costs in check.

Among the key factors accounting for the Endowment’s FY 2010 administrative budget increase are the following:

- The Administration’s proposed 2.0 percent 2010 federal pay raise, as well as the final quarter of the 4.78 percent 2009 pay increase.
- An increase of 2.4 percent in GSA rent charges in FY 2010, which follows an increase of 23% in the NEH 2009 GSA rent schedule.
- The cost of a much-needed upgrade and redesign of the agency’s website.

- Additional peer review panels needed to evaluate grant applications to new grant programs and special grant categories planned for 2010.
- Higher equipment costs associated with the replacement of the agency's aging desktop computers.

Budget Estimates in Detail
(\$ in thousands)

	FY 2008	FY 2009	FY 2010
PERSONNEL COMPENSATION AND BENEFITS	18,379	19,096	19,603

These object classes fund the salaries and benefits of all employees of the Endowment, including full-time permanent, part-time permanent, temporary, and intermittent appointments (for example, the members of the National Council on the Humanities). The estimates for personnel benefits provide for the Endowment's share of contributions toward employees' retirement, health, and life insurance plans.

The FY 2010 request includes funding for the Administration's proposed 2.0 percent 2010 federal pay raise, as well as the final quarter of the 4.78 percent increase in 2009. This funding level will provide for 168 FTEs. This staffing level will be sufficient for the Endowment to continue to administer all of its current programs and the new grants categories planned for FY 2010, as well as an estimated one additional FTE needed to administer the NCACA program.

	FY 2008	FY 2009	FY 2010
TRAVEL AND TRANSPORTATION	492	405	425

Travel funds support local and out-of-town travel of NEH staff to make site visits to perform grant audits, make presentations on Endowment programs, conduct outreach activities, attend conferences and training courses, and conduct other business critical to the mission of the agency. Also supported are the travel expenses for the members of the National Council on the Humanities, who come to Washington four times per year to discuss Endowment policies, review applications, and provide advice to the NEH Chairman concerning funding of specific projects. Most of the relatively high travel costs in 2008 reflects the cost of increased travel for outreach and public relations activities related to the launching of the agency's major Picturing America initiative.

	FY 2008	FY 2009	FY 2010
GSA RENT	2,168	2,671	2,734

The FY 2010 space rental is in keeping with the occupancy agreement that NEH recently negotiated with GSA. The estimate reflects GSA's recent reassessment of local commercial values for business space, which resulted in an increase in the Endowment's rental costs starting in 2009. Our FY 2010 expense represents an increase of 26 percent since FY 2008.

	FY 2008	FY 2009	FY 2010
COMMUNICATIONS AND UTILITIES	272	279	284

This object class primarily funds telecommunications and postage expenses. NEH telecommunications costs include local call message units, telephone lines, instruments, installation/service, Federal Telecommunication Service, mobile phones, and Internet line access fees. Our FY 2010 request will fund the customary level of expenditures for telephones, postage and courier charges, and continued charges for Internet access. Also, the Endowment continues to achieve success in directing more of our promotional efforts toward the Internet, thereby holding expected cost increases to a minimum. Expected website expenses for FY 2010 are discussed in the Other Contractual Services section.

	FY 2008	FY 2009	FY 2010
PRINTING	416	260	275

This object class covers the costs of printing NEH publications such as the Endowment's award-winning, bimonthly periodical *Humanities*; posters, program announcements, and program brochures; and stationery and envelopes. Budget increases in this area in 2008 were largely due to increased costs incurred for outside printing services following the NEH migration to the Grants.gov system. As a result of the recent acquisition of a new in-house copier, however, these costs have been reduced substantially. A modest increase in FY 2010 will cover inflationary increases.

	FY 2008	FY 2009	FY 2010
OTHER CONTRACTUAL SERVICES	2,533	2,560	2,785

The Endowment supports a wide range of contractual services under this object classification. These services include: (1) support, maintenance, and improvement of information technology systems; (2) annual support for the agency's Oracle financial system; (3) employee training; (4) fees for site visitors who evaluate selected NEH grants; (5) security guards at the Old Post Office building; (6) agency contracts for temporary personnel assistance; (7) support of humanities-related activities of the President's Committee on the Arts and the Humanities; (8) improving and updating the agency website; (9) maintenance and repair of agency-owned equipment; and (10) interagency transfers in support of the Grants.gov and Grants Management Line of Business programs.

Anticipated costs in this object classification for FY 2010 include:

- A major updating of the agency website to facilitate an increased public presence for the Endowment. Our current website has not been redesigned in nearly a decade.
- Continued participation in Grants.gov, which is managed by the Department of Health and Human Services.
- The cost of continued contract assistance to help NEH fulfill the mandates for its annual audit of its financial statements.
- Resources needed to support slight increases in ongoing service expenses, such as IT support, delivery services, office equipment maintenance service contracts and repairs, consultation services and maintenance for the Oracle accounting system, and the like.

	FY 2008	FY 2009	FY 2010
PANELIST CONTRACTS	693	739	775

These funds provide for the contract costs (travel, subsistence, honoraria) of panelists. NEH convenes many panels each year to evaluate the quality of grant applications submitted to our programs. Panelists are selected from a database that includes scholars, teachers, librarians, archivists, curators, media producers, and other humanities professionals. NEH panelists represent a diversity of disciplinary, institutional, and regional backgrounds. Sufficient funding for panel reviews of grant applications is one of the Endowment’s highest priorities. We strive to recruit the most competent panelists and to give them the time needed to perform a thorough evaluation of proposals. Our overriding goal is to ensure that the projects ultimately receiving federal support will be those most likely to make significant contributions to the humanities.

Panelist expenses are expected to increase moderately in FY 2009 and FY 2010 as a result of several new programs and grant categories. Also included in this request are additional costs expected for higher transportation and per diem expenses.

	FY 2008	FY 2009	FY 2010
SUPPLIES	161	165	169

The estimates in this object class provide for the cost of expendable supplies required to carry out the daily business of the Endowment. In addition to supporting the purchase of routine office supplies, funds in this category cover IT supplies, including disks and toner cartridges for printers, and the cost of maintaining the agency's subscriptions to essential periodicals.

	FY 2008	FY 2009	FY 2010
EQUIPMENT	590	275	450

This spending category provides for all equipment, furniture, and office machines having a useful life in excess of one year—items such as chairs, desks, file cabinets, computers, software, and miscellaneous equipment. The FY 2008 budget outlays included the cost of purchasing a new photocopying machine for in-house printing of Grants.gov applications. In FY 2010, we expect to enter into a long-term agreement to replace our desktop computers. The Endowment will need to capitalize this purchase using current year (FY 2010) funds, thus accounting for the increased estimate for FY 2010.

NEH Inspector General Budget Request

The NEH Office of the Inspector General (OIG), while operating under the general NEH administrative budget, is governed by the provisions of the Inspector General Act of 1978. In accordance with Section 6 of the Act, NEH is itemizing the following estimated OIG expenses for FY 2010, which are included in the estimates for the various budget object classes previously described:

- \$681,000 to support all salaries and administrative costs;
- To provide for appropriate training of all OIG staff, \$10,000; and
- To provide support for the Council of Inspectors General on Integrity and Efficiency (CIGIE), \$1,600.

National Endowment for the Humanities

