# <u>Public Humanities Projects Grants: Instructions for Preparing a Humanities Discussions Application (Note that applicants for Humanities Discussions grants may apply only at the implementation level—not at the planning level.)</u>

Grants in this category support sustained public programming and audience engagement with significant humanities resources, such as historic artifacts, artworks, literature, musical compositions, or films. These resources should be chosen to engage diverse public audiences and be anchored through perspectives drawn from humanities disciplines. Programs should feature humanities experts as speakers, panelists, or discussion leaders. Projects should also create resources for the public and for institutions interested in creating similar programming (for example websites, podcasts, recordings, reading lists, curriculums, or discussion guides).

Public discussion programs are eligible for Implementation awards of up to \$250,000, or \$310,000 if requesting a Position in Public Humanities. Awards are made for a period of performance of three to twenty-four months.

To be competitive, applicants for Humanities Discussions grants (or their partner organizations) must have demonstrated prior experience

- producing discussion or public programming toolkits informed by humanities scholarship;
- recruiting and training humanities experts as discussion facilitators and speakers; and
- forming partnerships to bring programming to multiple sites.

## Consider the following examples:

- A literature center partners with twenty high schools to develop a statewide project
  exploring the history of African-American writing. The project is centered on a carefully
  chosen set of novels, poems, letters, journals, and historic documents. Occurring over the
  course of a school year, the project involves scholars, students, and educators in
  discussing the historical experiences depicted in the readings.
- An art museum partners with a state humanities council to create public programs about
  the museum's collection of western American paintings and drawings. Experts on art
  history, history, and anthropology lead conversations about the artworks at the museum
  and around the state as they relate to questions of history, national identity, and
  memory.
- A national cultural organization designs a year-long series of public programs to explore
  the legacy of war and military service, as depicted in a series of films. Recognizing this as
  a critical issue that also has powerful local currency, the organization partners with
  libraries nationwide to produce oral history workshops, lectures, and reading programs,
  all carefully designed to help residents listen to and understand the experiences of
  veterans.

#### **Program Expectations:**

A fully-realized, nonpartisan discussion series or other face-to-face public program consisting of at least fifteen national, regional, or state- or territory-wide events; resources to extend the life of the discussion program; vigorous marketing and outreach activities; and evaluation of the project's impact.

#### **Principles of Civility**

All Humanities Discussions are required to adhere to NEH's Principles of Civility, which are detailed <u>here</u>. NEH expects project directors to take responsibility for encouraging an ethos of openness and respect, upholding the basic norms of civil discourse.

#### Humanities Discussions should be

- centered on specific humanities resources;
- firmly grounded in rigorous scholarship and thoughtful analysis;
- guided by humanities scholars;
- conducted without partisan advocacy;
- respectful of divergent views;
- free of ad hominem remarks; and
- devoid of bias based on ethnicity, religion, gender, disability, or race.

# **Application instructions**

#### Please note:

 As a taxpayer-funded federal agency, NEH endeavors to make the products of its grants available to the broadest possible audience. Discussion programs and other public programs should be free and open to the public.

Please include the following application components:

SF-424 Application for Federal Domestic Assistance – Short Organizational

In section 6.a of the SF-424 Application for Federal Assistance — Short Organizational (part of the Grants.gov application package), include a project title not to exceed 125 characters (including spaces and punctuation). (Information about this form is available in the NEH Grants.gov Instructions for Applicant Organizations document. You can find a link to that document on the <u>program resource page</u>, by clicking on the down arrow across from the words "Step 2.")

Successful proposals will typically have titles that are descriptive of the project, substantive, and free of specialized language. Most importantly, your title should be easily understood by the general public. NEH reserves the right to retitle funded projects for clarity when announcing its funding decisions and in its own reports and communications, but recipients are permitted to use their preferred title for any award products.

Provide a one-paragraph description (up to one thousand characters, including spaces) written for a nonspecialist audience, clearly explaining the project's principal activities and its expected results. In the SF-424 form you should place the paragraph in section 6.B, Project Description. Do not attach the description to the Attachments Form, a form that is also explained in the NEH Grants.gov Instructions for Applicant Organizations document.

In addition to the SF-424 Short Organizational form, your application should include the following parts.

Attachment 1) Table of Contents (name the file "contents.pdf")

List all parts of the application in order with corresponding page numbers.

Attachment 2) Application Narrative (name the file "narrative.pdf")

The narrative should not exceed **fifteen** single-spaced pages with one-inch margins and at least 11-point type. The narrative should not assume specialized knowledge, and it should be free of jargon. It should clearly define technical terms so that they are comprehensible to a nonspecialist audience. NB: A separate Walkthrough (Attachment #3) is also required.

## *A)* Nature of the request

In a page or less, provide an overview of the project and its interpretive goals.

- State that the request is for a Humanities Discussions award and include the amount that you are requesting. If you are applying for a Position in Public Humanities, indicate that.
- o Identify the formats, the subject, and the main themes.
- o If your project responds to one of the NEH areas of interest described in section I of the guidelines, indicate how it does so.

#### B) Humanities content

- Describe the intellectual content and significance of the project, using theme statements to identify the major humanities ideas, artifacts, books or collections, and questions to be explored.
- Address why this topic is important to the intended audiences and what you expect them to learn.
- Demonstrate how ideas and insights drawn from humanities scholarship inform your project and discuss examples of the ways in which the specific resources selected for the project illustrate the project's humanities themes.

#### C) Project formats

o Briefly describe all the formats and techniques that you will use to engage general public audiences.

#### D) Project resources

- Describe the resources available at your institution or elsewhere and how they will support your project. Identify such materials as major book titles, films, archival collections, images, or digital material to be used.
- o If applicable, explain how you will secure permissions or rights for key materials.

# *E)* Project history

- o Give a brief history of the project to date. Describe the relationship of the project to others on the topic and explain what its particular contribution would be.
- Briefly describe your organization's (or a partner organization's) experiences conducting public discussions or other types of face-to-face public programming; if

applicable, include information about recruiting and training discussion facilitators and program presenters.

#### F) Audience, marketing, and promotion

- Provide an estimate of the anticipated number of program participants and explain the basis for this estimate. Describe the expected audience and present a plan for reaching this audience. If applicable, discuss partnerships that would help promote the project.
- o If you are targeting a specific audience or proposing special outreach to underserved or at-risk communities, outline the community need that the project addresses. Describe your outreach plan, including the history of relationships you have built with those audiences and communities. Outline the approaches to engagement that you have developed based on these relationships. Alternatively, describe your strategies for establishing productive connections in the community. Explain how you will engage new audiences in thinking about and discussing humanities ideas.

#### *G)* Evaluation of the project's impact

- o Describe the process and results of any evaluation already conducted.
- Explain how you plan to measure the project's efficacy in meeting the stated learning goals and engaging audiences in thinking about humanities ideas and questions.

#### H) Organizational profile

- Provide a short profile of your organization and other major partner organizations (not to exceed one page for your organization and a half page for each collaborating organization). These descriptions should include the institutional mission, origin, and size; annual operating budget; and current activities.
- For partner organizations discuss past collaborations or previous relationships (if any), and indicate the role that each organization will play in the project.

#### I) Project team

- Identify the key individuals who will be involved in the project. Briefly describe each person's qualifications and expected contribution to the project.
- o List presenters and discussion leaders who would conduct programs and are not part of the core project staff listed elsewhere in the application.

# J) Humanities scholars and consultants

 Identify the consultants (including humanities scholars) who will be involved in the project. Briefly describe the qualifications and expected contribution to the project of each consultant.

#### K) Work plan

- Provide a detailed month-by-month schedule of the major work to be done during the
  period of performance, the amount of time it will take, and the specific people involved.
  State clearly when the meetings with scholars and other consultants will occur, and how
  the meetings will advance the project.
- Include activities related to planned project evaluation and indicate who will be responsible for each.

#### L) Project funding

Specify the source and amount of all funds raised to date for all aspects of the project.
 Describe how project costs in excess of the requested NEH grant would be met. Include

information about any previous NEH funding for the project, as well as support from other sources. If applicable, identify voluntary cost share, institutional resources, or other significant "in-kind" support. Provide specific plans for raising funds from outside sources to cover the costs that will exceed NEH support.

Attachment 3. Project Walkthrough (name the file "walkthrough.pdf")

*Up to* **fifteen** single-spaced pages *Use one-inch margins and at least 11-point type.* 

- o Describe how the audience will experience the program and how humanities content will be delivered.
- O Provide draft agendas for the public programs, including the subject matter of the events or questions to be addressed, and the names of speakers (including the humanities scholars) who will address the audience or guide discussions. If all speakers have not been confirmed, describe the criteria that will be used to identify them or list the individuals being considered, explaining why they are appropriate choices. Describe how the session(s) will be organized (that is, their duration, format, etc.).
- o Identify the questions or prompts that will guide audience discussion.
- O Include the specific titles of and brief annotations about the texts, films, plays, pieces of music, etc., to be discussed. Explain how the works in question relate to the project's main theme. If you will be making use of collections of historical artifacts or artworks, indicate their significance and identify the pieces from the collection(s) that will be discussed.
- o Provide lists of supplementary readings or visual materials to be made available to members of the audience.
- o Identify any venues selected for programs, and describe the criteria that you will use to select additional venues.
- If applicable, describe training workshops and other activities to prepare discussion facilitators, scholars, presenters, docents, and other project staff.
- O Describe the resources that would be made available to extend the life of the project.

Attachment 4. Résumés and letters of commitment (name the file "resumesandlettersofcommitment.pdf")

- List on one page the project team, humanities scholars, and consultants, using the following format: Joan Smith (American studies, University of Maryland), or John Smith (designer, ABC Designs).
- o Include résumés (of two pages or less) for each key member of the project team.
- For each humanities scholar and consultant provide a two-page résumé and a letter of commitment stating his or her intention to work on the project and his or her contribution. Letters from paid consultants other than scholars should include estimated fees and deliverables.

Attachment 5. Budget and budget notes (name the file "budget.pdf")

Using the instructions and the sample budget, complete the budget form (MS Excel format) or a format of your own that includes all the required information. (You can find links to the budget instructions, sample budget, and budget form on the program resource page.)

- Staff costs should include the position title, name (if possible), percent of full time equivalent or total number of hours charged to the project. Indicate in the budget if any individuals will perform multiple, separately budgeted functions.
- o If you are requesting funding for a Position in Public Humanities, include in the budget line items for the new hire's full-time compensation for up to two years of the grant. (Up to \$30,000 may be charged to NEH for a project with a one-year period of performance. Up to \$60,000 may be charged to NEH for a project with a two- or three-year period of performance.) Applicants that wish to increase the compensation above \$30,000 per year must use their own funds to do so.
- o If there will be travel for any project team members, the budget should name the travelers (if possible) or their positions. Reflect the travel expenses (for example, airfare, lodging, parking, per diem, etc.) for each person and trip; briefly describe the purpose of the travel; and list the destinations.
- List equipment costs and provide a justification for the need to purchase the
  equipment to carry out the program's goals. Consistent with Executive Order
  13788 ("Buy American and Hire American"), grant recipients and
  subrecipients who purchase equipment and products with NEH funds
  should purchase only American-made equipment and products.
- o For applicants seeking reimbursement for indirect costs: Please review carefully your institution's negotiated indirect cost rate(s) to make sure you are using the most appropriate rate in your application budget. Many institutions negotiate multiple rates—for example, "Research," "Instruction," and "Other Sponsored Activities." With rare exceptions, your institution's "Research" rate will not be the appropriate rate for inclusion in your NEH project budget, as the use of this rate is reserved for projects involving scientific research, not scholarly inquiry of the type most often supported by NEH.
- O Applicants that have never had a negotiated indirect-cost rate agreement may use the government-wide de minimis rate of 10 percent of the total direct costs, less distorting items (including equipment, capital expenditures, rental costs, tuition remission, participant support costs, scholarships and fellowships, and the portion of each subaward in excess of \$25,000). See 2 CFR §200.414 (f) for additional information regarding the de minimis rate.
- If the applicant institution has a federally negotiated indirect-cost rate agreement and is claiming indirect costs, submit a copy of the agreement.
   Do not attach the agreement to your budget form. Instead you must attach it to the Attachments Form as Attachment 10.

If you wish, you may attach separate pages with notes to explain any of the budget items in more detail.

Reminder: Overlapping project costs between two or more applications for federal funding and/or approved federal award budgets is not permitted.

Attachment 6. Bibliography (name the file "bibliography.pdf")

Include a short bibliography (not to exceed three pages) of the most significant humanities scholarship that informs the project.

Attachment 7. Additional Information (if applicable) (name the file "additionalinformation.pdf")

# If applicable, you must submit the following additional information in a single attachment:

#### o Social Media and Audience-Generated Content

If the project includes social media and/or audience-generated content, describe how it will add to the humanities content and explain the criteria and process to be used for selecting and monitoring the content that will ultimately be made available to the public. Describe the policies and process to be used to prevent the dissemination of obscene, libelous, indecent, or defamatory content (including hate speech, personal attacks, or material constituting harassment).

# Digital Media

If your project includes significant digital media components, provide an example through a URL of previously completed work from the principal members of the digital team. (Be sure to test the URL in both PC and MAC environments from the final PDF version of the document that you will submit.) Clearly indicate that this URL is your digital work sample. Identify the project personnel who worked on this sample and, if relevant, include additional information on the audience or distribution of the work sample.

#### Publications

If applicable, describe the content (including brief abstracts of essays), author(s), format, estimated print run, distribution plans, and sale price of any publications for which funding is requested. Explain how these publications will complement the project's other formats.

Proceeds from the sale of any publications will be subject to the NEH policy on program income, which is outlined here.

Attachment 8. Supplementary material (name the file "supplementarymaterial.pdf")

Applicants may choose to submit no more than **one** supplementary item, such as a facilitator's guidebook from a previous discussion program, lecture program flyer, detailed outlines of previously conducted programs, or recommended reading lists for past programs. Provide a brief description of the supplementary item. Provide a URL for the supplementary item or submit it as a PDF via Grants.gov.

Remember to test URLs in both PC and MAC environments from the final PDF version of the document that you will submit.

Attachment 9. Information about a requested Position in Public Humanities (if applicable) (name the file "publicpositions.pdf")

Applicants seeking support to create a Position in Public Humanities should justify the request in this section of the application. You can find detailed instructions about the information to provide on the <u>program resource page</u>.

Attachment 10. Federally negotiated indirect-cost rate agreement (if applicable)

If the applicant institution is claiming indirect costs using a current federally negotiated indirect-cost rate agreement, submit a copy of the agreement.