

**National Endowment for the Humanities
Transition Handbook
November, 2016**

NEH at a Glance

1. The Basics
2. NEH by the Numbers
3. Revised Strategic Plan
4. Organizational Chart

Organizational Overview

5. NEH Chairman
6. Programmatic Divisions and Offices
 - Office of Challenge Grants
 - Office of Digital Humanities
 - Division of Education Programs
 - Office of Federal State Partnership
 - Division of Preservation and Access
 - Division of Public Programs
 - Division of Research Programs
7. Other Offices (selected)
 - Communications/Publications
 - EDSITEment
 - General Counsel
 - Inspector General
 - Planning and Budget
8. National Council on the Humanities
 - Roles and Responsibilities
 - Current Members
9. Common Good Initiative
10. Application Review Process
11. Jefferson Lecture and National Humanities Medals

Staffing Overview

12. Workforce Summary
 - Profile of NEH Staff
 - Best Places to Work
 - Non-career SES and Schedule C Positions
13. Staff Directory
14. NEH Union
15. Order of Succession

Budget Overview

16. Budget process
17. FY 2017 Congressional Budget Request
18. Summary Budget Charts

Congressional Relations

- 19. Key House and Senate Committees
- 20. Key House and Senate Committee Staff
- 21. Supportive Caucuses

External Stakeholders

- 22. National Trust for the Humanities
- 23. President's Committee on the Arts and the Humanities
- 24. Partnerships
- 25. Federal Agencies with Complementary Missions
- 26. Advocacy groups

Important Matters for New Leadership

- 27. Upcoming Dates
- 28. Priority Issues

Addendum: Documents

- 29. List of Chairpersons
- 30. Report on NEH Strategic Planning, 2015-16
- 31. NEH History and Timeline
- 32. Summary of recent grants
- 33. Floorplan
- 34. Authorizing Legislation

Back Pocket: *Humanities* magazine

NEH: The Basics

I. What is NEH?

The National Endowment for the Humanities (NEH) is an independent Federal agency created in 1965. It is one of the largest funders of humanities programs in the United States.

II. What does NEH do?

The National Endowment for the Humanities serves and strengthens our nation by supporting high quality projects and programs in the humanities and by making the humanities available to all Americans. NEH accomplishes this mission by supporting research in the humanities, nurturing the nation's humanities infrastructure, and expanding the reach of the humanities. NEH's core funding areas include advanced research, education, public programs, the digital humanities, and cultural preservation and access.

NEH grants typically go to cultural institutions, such as museums, archives, libraries, colleges, universities, public television, and radio stations. NEH also provides grants to individual scholars. NEH grants strengthen teaching and learning in the humanities in schools and colleges across the nation; facilitate research and original scholarship; provide opportunities for lifelong learning; preserve and provide access to cultural and educational resources; and strengthen the institutional base of the humanities.

III. What are the humanities?

According to the 1965 National Foundation on the Arts and the Humanities Act, "The term 'humanities' includes, but is not limited to, the study of the following: language, both modern and classical; linguistics; literature; history; jurisprudence; philosophy; archaeology; comparative religion; ethics; the history, criticism and theory of the arts; those aspects of social sciences which have humanistic content and employ humanistic methods; and the study and application of the humanities to the human environment with particular attention to reflecting our diverse heritage, traditions, and history and to the relevance of the humanities to the current conditions of national life."

In other words, NEH grants support programs that seek to understand and explain the significance of what people have thought, done, and achieved, both in the past and in our time. Humanities fields explore topics like these: the philosophy, literature, art, and music that people create; the battles that they fight; the politics and societies in which they live; the social forces that unite and divide them; the work that they do; and the religions in which they believe. Humanities fields approach topics like these primarily by means of qualitative (interpretive, critical, speculative, historical) methods rather than exclusively by means of quantitative methods.

V. What projects has NEH supported?

Since 1965, NEH has opened new worlds of learning for the American public, enabling them to benefit from the latest discoveries in the humanities, while advancing the frontiers of research and knowledge. Some prominent examples of NEH's support of the humanities include the following projects:

- **Treasures of Tutankhamen** was a landmark museum exhibition that drew more than a million visitors to the Metropolitan Museum of Art. The "King Tut" exhibit, as it came to be known, attracted record-breaking crowds and fascinated visitors as it traveled to Chicago, New Orleans, Washington, D.C. and Seattle.
- The **Library of America** began with seed money from the NEH and the Ford Foundation in 1972. More than 200 volumes documenting America's literary heritage have been published since then, many with NEH support, including the work of Henry James, Eugene O'Neill, and Isaac Bashevis Singer, among others.
- **The Civil War**, a nine-part series by acclaimed filmmaker Ken Burns, examines the history and meaning of the American Civil War from its complex causes to the war's impact on the nation's political and social life. Originally broadcast in 1990, with nearly 40 million people tuning in, *The Civil War* remains the most celebrated documentary in public television's history.
- **Created Equal** brought four award-winning NEH-funded documentaries about the history of America's Civil Rights movement to nearly 500 communities across the nation. In small towns and large cities, more than 1500 film screenings and public programs engaged diverse audiences in discussions of the complex and painful legacy of race relations.
- The **Dead Sea Scrolls**, considered to be one of the most singular archeological finds of our age, has transformed our understanding of the Bible. NEH support has included preservation and translation of the Scrolls, creation of critical editions, and many scholarly studies of the Scrolls that have deepened our understanding of the Hebrew Bible, Judaism, and early Christianity.
- The **Trans-Atlantic Slave Trade Database** contains the world's only comprehensive documentation of one of the largest forced movements of people in world history. The database includes information on 36,000 slaving voyages that brought over 10 million Africans to the Americas between the sixteenth and nineteenth centuries.
- The **Livingstone Diary Project** pioneered the use of spectral imaging technology to uncover the hidden text in explorer Stanley Livingstone's diary. Not only has the project led to new insights about Livingstone's time in Africa, but the spectral imaging techniques developed for the project are now being used on many other historic but unreadable documents.
- Also, eighteen Pulitzer prize-winning books, including by James M. McPherson, Louis Menand, and Bernard Bailyn, as well as numerous authoritative editions of such major historical figures as George Washington, Abraham Lincoln, Thomas Edison, Martin Luther King, Jane

Addams, Mark Twain, Walt Whitman, Albert Einstein, Ernest Hemingway, Eleanor Roosevelt, and Robert Frost.

IV. How is NEH structured?

NEH is directed by a Chairman, who is appointed by the President and confirmed by the U.S. Senate, for a term of four years. Advising the Chairman is the National Council on the Humanities, a board of 26 distinguished private citizens who are also appointed by the President and confirmed by the U.S. Senate. The National Council members serve staggered six-year terms.

NEH by the Numbers

Budget:

FY 2015 appropriation: \$146.021 million
FY 2016 appropriation: \$147.942 million
FY 2017 request: \$149.848 million

Program Divisions/

Offices:	Education Programs	Research Programs
	Federal/State Partnership	Office of Digital Humanities
	Preservation and Access	Office of Challenge Grants
	Public Programs	

Special Initiatives

The Common Good: The Humanities in the Public Square
(includes *Standing Together: The Humanities and the Experience of War*)

Administrative

Budget: The FY 2017 NEH administrative budget request is \$27.948 million—
75.1% for personnel costs, 11.1% for space rental

Staff: 146 (on board, as of 10/31/2016)

Review Panels: 222 panels in FY 2016 (110 sitting, 61 electronic, 35 video, 16 conference calls)
(FY 16)

928 individual panelists served in FY 2016
Panelists receive \$250 honorarium; sitting panelists also receive airfare and per diem

197,852 names in the NEH computer “rolodex” of panelists/reviewers

Applications: 5,304 grant applications reviewed in FY 2016; 847 recommended for funding to the NEH
Chairman by the agency’s advisory group, the National Council on the Humanities

NEH application-success ratio in FY 2016 = 15.9 percent

Historical Data

(1965-present): ~285,220 applications

~61,470 grants

\$5.982 billion total appropriations (in nominal dollars): \$5.260 billion in program funds,
\$723 million in admin funds.

~\$2.42 billion in total gifts generated by NEH matching offers: more than \$2.0 billion in
Challenge Grants, ~\$419 million in project matching. (*Note: These figures are exclusive
of cost sharing by our grantees.*)

NEH Strategic Plan, Revised a/o 2015

NEH Mission Statement

The National Endowment for the Humanities serves and strengthens our nation by supporting high quality projects and programs in the humanities and by making the humanities available to all Americans.

Goal #1: Foster humanities knowledge

To foster the expansion of knowledge and understanding of the humanities through our grant-making and other activities and to lead in the search for new research methodologies and areas of inquiry.

Vision Statement: NEH expands knowledge and understanding of the human condition and enriches the life of the nation by igniting collaborative and individual projects across disciplines, institutions, and borders. As a proven leader in recognizing and supporting the pioneering work of academic, educational, and cultural heritage communities, NEH has partnered with key organizations domestically and abroad and encourages investigation of new methods of study, research, pedagogy, and preservation.

Strategies—FY16 and 17

1. The divisions and offices will listen, observe and communicate with humanities fields, in order to inform our comprehensive understanding of innovative work being planned or carried out, and ensure that we can assess needs and respond to developing opportunities.
2. The agency will highlight grants and products that showcase the important work of the humanities for many audiences.
3. The divisions and offices will work together to improve service to applicants through increased attention to the panel process, application process and outreach.

Goal #2: Nurture humanities infrastructure

To nurture the nation's humanities infrastructures and support producers of significant humanities content.

Vision Statement: Using its peer-review process, NEH supports excellence across a full humanities spectrum: from libraries, museums, educational institutions, and research and development centers, to scholars, teachers, and documentary filmmakers. We tap into and respond to needs that people in the field express and support excellent projects that make a difference in the cultural and intellectual life of the nation.

Strategies, FY16 and 17

1. Collaborate across divisions and offices to improve service to applicants through increased attention to the panel process, application process, and outreach.
2. Expand structures for collaboration and knowledge exchange within the NEH, including state humanities councils.
3. Raise awareness of NEH's important role, and of the role of the humanities domestically, internationally, and in underserved areas, through relationships, partnerships, and technology.
4. Target critical needs in the humanities fields.
5. Build capacities among individuals and institutions for showcasing NEH-funded work and work in the humanities

Goal #3: Expand humanities reach

To provide a humanities experience to all Americans, where they are.

Vision Statement: NEH works to broaden and demonstrate the impact and importance of the humanities on public life in the United States. Through NEH, individuals and communities know what the humanities are, and through their experiences generally acknowledge the humanities as a fundamental part of America's DNA. These experiences involve diverse Americans where they live, foster a common understanding of life and culture, and elevate the level of public discourse.

Strategies, FY16 and FY17

1. Through more effective use of application, grant, and other data from the past five years, each division determines and prioritizes which regions, areas, and populations are underserved by its programs.
2. In light of this information, each division evaluates existing programs and either revises them or creates new ones intended to reach these underserved regions, areas, or populations.
3. Through targeted outreach, the agency seeks to reach new audiences—both producers and users—in the regions, areas, and populations that have been identified as underserved.
4. Raise awareness of the humanities and of NEH's important role domestically, internationally, and in underserved areas, through relationships, partnerships, and technology.

Goal #4: Enhance NEH's quality of service

To enhance quality of service and efficiency of operations

Vision Statement:

To ensure that NEH has the right people, resources, tools, processes and systems to provide outstanding service to the American people.

Strategies, FY 16 and 17

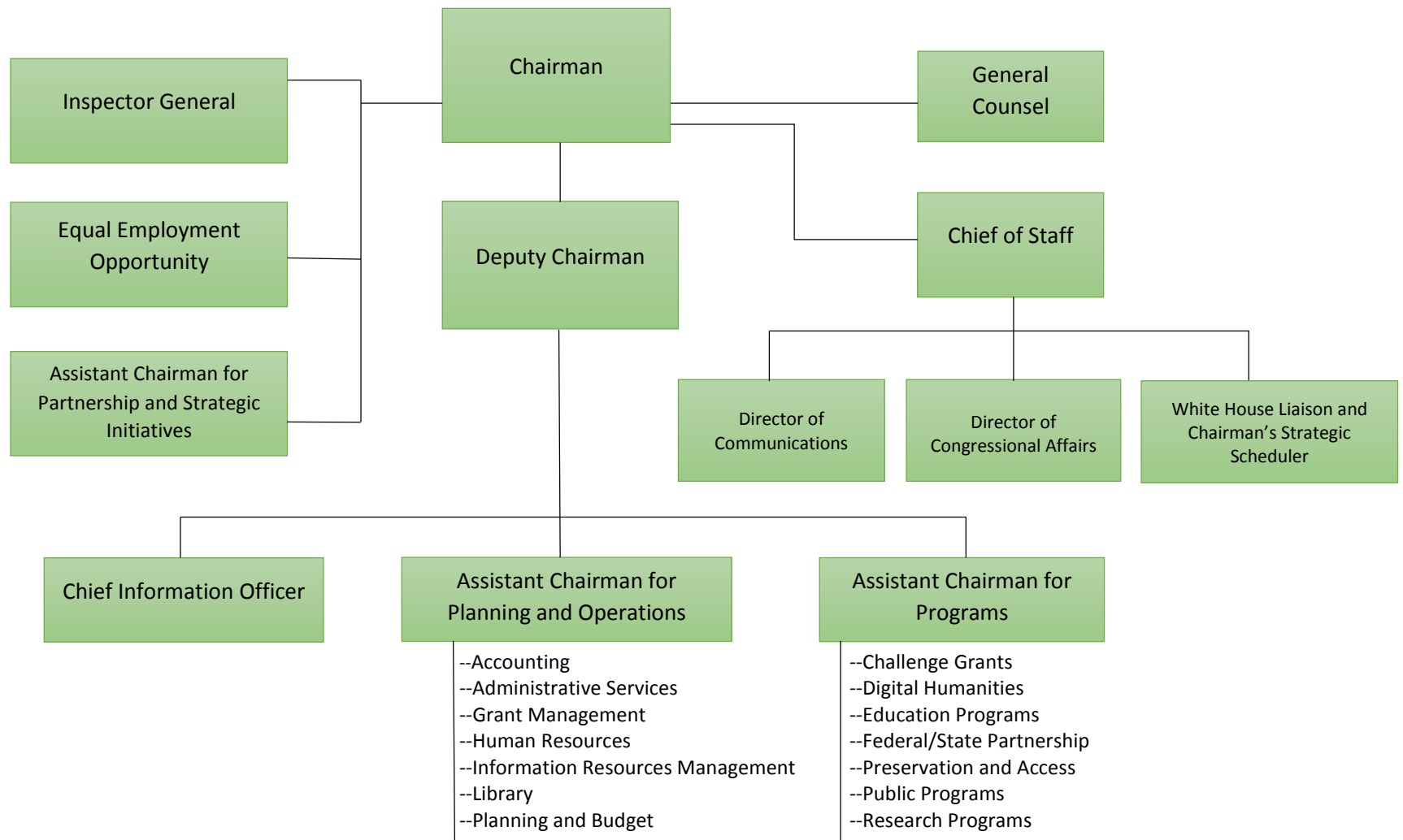
People

- 1) Ensure collaboration among Human Resources, management and others as appropriate to identify skills, knowledge, and competencies necessary to recruit and hire superior qualified people for the NEH workforce.
- 2) Provide high quality and innovative services and programs to attract, develop, motivate, and retain a diverse and talented workforce within the NEH.
- 3) Where appropriate, work in consultation with NEH members of the AFGE Local 3403 to enhance quality of service and efficiency of operations.

Other resources

- 1) Collaborate agency-wide to develop smart systems and applications that provide easily accessible on-demand information to the NEH staff, NEH constituents, and the public, while maintaining agency controls to protect sensitive information.
- 2) Acquire technologies and develop processes that most effectively improve operations through automation, standardization, and integration with work practices at NEH.
- 3) Maintain strong internal controls and financial integrity and accountability in the agency's operations.
- 4) Systematically review and update policies, programs, and activities to ensure transparency, effectiveness, and compliance with Federal laws, rules, and regulations in fulfillment of the NEH mission.

National Endowment for the Humanities



Authorities and Responsibilities of the Chairman

The principal authorities and responsibilities of the Chairman are enumerated in section 956(b) of NEH's enabling legislation. (*For a complete copy of the statute, please see the attachments to this briefing book.*)

In brief, the NEH Chairman, often working in concert with his senior staff and members of the National Council on the Humanities, has the authority to:

- Establish and carry out policies and procedures for the administration of the agency's grant-making programs and its administrative operations;
- Make final decisions on all funding applications recommended for approval at the conclusion of NEH's grant review process;
- Award Chairman's grants, not to exceed \$30,000 each, and cumulatively not to exceed 10% of NEH's annual appropriation of program funds, to deserving institutions and individuals whose funding proposals are submitted outside of NEH's normal grant-making process;
- Enter into interagency agreements with other federal agencies to promote or assist with the humanities-related activities of such agencies;
- Select, with the advice of the National Council on the Humanities, each year's Jefferson Lecturer in the Humanities;
- Make recommendations to the President, with the advice of the National Council, concerning each year's recipients of the National Humanities Medal;
- Serve as Chairman of the National Council, and as such, preside over the Council's regular meetings;
- Accept donations of money and property that advance the goals and functions of the Endowment;
- Maintain a national system of data collection on the humanities, and from the data collected through this system submit to the President and Congress quadrennial reports on the state of the humanities; and
- Serve on various federal Boards and Commissions.

In addition, there are various head-of-agency responsibilities found in a number of statutes and regulations governing matters such as ethics, civil rights, and equal opportunity.

Pertinent excerpts from the NEH statute:

§ 956. National Endowment for the Humanities

(b) Chairperson of the Endowment; appointment, terms, reappointment; vacancy; expiration of term.

(1) The Endowment shall be headed by a chairperson, who shall be appointed by the President, by and with the advice and consent of the Senate.

(2) The term of office of the Chairperson shall be four years, and the Chairperson shall be eligible for reappointment. The provisions of this paragraph shall apply to any person appointed to fill a vacancy in the office of the Chairperson. Upon expiration of the Chairperson's term of office the Chairperson shall serve until the Chairperson's successor shall have been appointed and shall have qualified.

(c) Functions of the Endowment; publications; traditionally underrepresented recipients of financial assistance. The Chairperson, with the advice of the National Council on the Humanities ... is authorized to enter into arrangements, including contracts, grants, loans, and other forms of assistance, to—

(1) develop and encourage the pursuit of a national policy for the promotion of progress and scholarship in the humanities;

(2) initiate and support research and programs to strengthen the research and teaching potential of the United States in the humanities by making arrangements with individuals or groups to support such activities; any loans made by the Endowment shall be made in accordance with terms and conditions approved by the Secretary of the Treasury;

(3) initiate and support training and workshops in the humanities by making arrangements with institutions or individuals (fellowships awarded to individuals under this authority may be for the purpose of study or research at appropriate nonprofit institutions selected by the recipient of such aid, for stated periods of time);

(4) initiate and support programs and research which have substantial scholarly and cultural significance and that reach, or reflect the diversity and richness of our American cultural heritage, including the culture of, a minority, inner city, rural, or tribal community;

(5) foster international programs and exchanges;

(6) foster the interchange of information in the humanities;

(7) foster, with groups, education in, and public understanding and appreciation of the humanities;

(8) support the publication of scholarly works in the humanities;

(9) insure that the benefit of its programs will also be available to our citizens where such programs would otherwise be unavailable due to geographic or economic reasons; and

(10) foster programs and projects that provide access to, and preserve materials important to research, education, and public understanding of, the humanities....

(d) Coordination and development of Endowment programs with other Federal and non-Federal programs. The Chairperson shall coordinate the programs of the National Endowment for the Humanities, insofar as practicable, with existing Federal programs, designated State humanities agencies and with those undertaken by other public agencies or private groups...

(f) Grants-in-aid programs; designation of State administrative agency; matching funds; applications and plans; allotments; cost limitations; grants to regional groups; non-Federal funding; definitions; suspension of grants; single entity limitation.

(1) The Chairperson, with the advice of the National Council on the Humanities, is authorized, in accordance with the provisions of this subsection, to establish and carry out a program of grants-in-aid in each of the several States ...

(h) Program of contracts or grants-in-aid to public agencies and private nonprofit organizations; limitation on payments.

(1) The Chairperson of the National Endowment for the Humanities, with the advice of the National Council on the Humanities, is authorized, in accordance with the provisions of this subsection, to establish and carry out a program of contracts with, or grants-in-aid to, public agencies and private nonprofit organizations for the purpose of—

(A) enabling cultural organizations and institutions to increase the levels of continuing support and to increase the range of contributors to the program of such organizations or institutions;

(B) providing administrative and management improvements for cultural organizations and institutions, particularly in the field of long-range financial planning;

(C) enabling cultural organizations and institutions to increase audience participation in, and appreciation of, programs sponsored by such organizations and institutions;

(D) stimulating greater cooperation among cultural organizations and institutions especially designed to serve better the communities in which such organizations or institutions are located;

(E) fostering greater citizen involvement in planning the cultural development of a community....

(i) Interagency agreements. The Chairperson may enter into interagency agreements to promote or assist with the humanities-related activities of other Federal agencies, on either a reimbursable or nonreimbursable basis, and may use funds authorized to be appropriated for the purposes of subsection (c) for the costs of such activities....

(k) National information and data collection system on humanities, scholars, educational and cultural groups, and audiences; development and implementation plan; state of the humanities reports. The Chairperson of the National Endowment for the Humanities shall, in ongoing consultation with State and local agencies, other relevant organizations, and relevant Federal agencies, continue to develop and implement a practical system of national information and data collection and public dissemination on the humanities, scholars, educational and cultural groups, and their audiences. Such system shall include cultural and financial trends in the various humanities fields, trends in audience participation, and trends in humanities education on national, regional, and State levels. Such system shall be used, along with a summary of the data submitted with plans under subsection (f), to prepare a report on the state of the humanities in the Nation. The state of the humanities report shall include a description of the availability of the Endowment's programs to emerging and culturally diverse scholars, cultural and educational organizations, and communities and of the participation of such scholars, organizations, and communities in such programs. The state of the humanities report shall be submitted to the President and the Congress, and provided the States, not later than October 1, 1992, and quadrennially thereafter....

(m) Annual awards. The Chairperson, with the advice of the National Council on the Humanities, is authorized to make the following annual awards:

(1) The Jefferson Lecture in the Humanities Award to one individual for distinguished intellectual achievement in the humanities. The annual award shall not exceed \$ 10,000.

(2) The Charles Frankel Prize [*later changed to the National Humanities Medal*] to honor individuals who have made outstanding contributions to the

public understanding of the humanities. Not more than 5 individuals may receive such prize each year. Each prize shall not exceed \$ 5,000. [*The National Humanities Medals no longer include a monetary prize.*]

§ 959. Administrative provisions

(a) General authority of Chairpersons. In addition to any authorities vested in them by other provisions of this Act, the Chairperson of the National Endowment for the Arts and the Chairperson of the National Endowment for the Humanities in carrying out their respective functions, shall each have authority—

(1) to prescribe such regulations as the Chairperson deems necessary governing the manner in which the Chairperson's functions shall be carried out;

(2) in the discretion of the Chairperson of an Endowment, after receiving the recommendation of the National Council of that Endowment, to receive money and other property donated, bequeathed, or devised to that Endowment with or without a condition or restriction....

(3) to appoint employees, subject to the civil service laws, as necessary to carry out the Chairperson's functions, define their duties, and supervise and direct their activities;

(4) to utilize experts and consultants, including panels of experts, who may be employed as authorized by section 3109 of title 5, United States Code....

(d) Endowment activities reports. The Chairperson of the National Endowment for the Arts and the Chairperson of the National Endowment for the Humanities shall each submit an annual report to the President for transmittal to the Congress on or before the 15th day of April of each year. The report shall summarize the activities of the Endowment for the preceding year, and may include such recommendations as the Chairman deems appropriate....

(f) Post-award evaluation of assisted projects, productions, and programs; reports; extension of time for compliance; failure to satisfy purposes of assistance.

(1) The Chairperson of the National Endowment for the Arts and the Chairperson of the National Endowment for the Humanities shall conduct a post-award evaluation of projects, productions, and programs for which financial assistance is provided by their respective Endowments....

NEH's Programmatic Divisions/Offices

In support of the humanities universe, the Endowment awards grants through seven divisions and offices, including the Office of Challenge Grants, the Office of Digital Humanities, the Division of Education Programs, the Office of Federal/State Partnership, the Division of Preservation and Access, the Division of Public Programs, and the Division of Research Programs. Almost all NEH grants are made by means of the agency's rigorous peer review process, which draws upon outside experts and scholars. (NEH also supports EDSITEment, a dedicated website for America's K-12 educators, and the Common Good, a special Chairman's initiative.)

Office of Challenge Grants

Katja Zelljadt, Director

Since its inception in 1977, the NEH Challenge Grants program has generated more than \$2 billion in nonfederal funds for the humanities. (Adjusted for inflation, the amount generated equals more than \$4 billion.) A wide array of nonprofit organizations throughout the country, including museums, tribal centers, libraries, colleges and universities, scholarly research organizations, state humanities councils, public radio and television stations, and historical societies and sites, have taken up the NEH “challenge” to match federal support with nonfederal dollars as a means of strengthening their capacity for excellence in the humanities.

Beginning in 2016, the Office of Challenge Grants extended its mission and focus to respond to 21st-century challenges and opportunities that exist in the nation’s humanities ecosystem. At present, there are three challenge areas in which NEH will have significant impact by building intellectual and cultural capacity. Each area has as its goal the dissemination of strong humanities ideas in new ways, while continuing to help institutions in raising nonfederal funds to seed cultural work across America.

In future years, the Office of Challenge Grants plans to tackle new topics in its grant-making, and will act as an NEH incubator for innovation.

Current Grant Programs:

Next Generation Humanities Ph.D. Planning and Implementation grants help institutions to broaden graduate training with the aim of preparing doctoral students for a wide range of work options post-graduation.

Humanities Access Grants enhance and build capacity for excellent programs that involve youth, communities of color, and/or economically disadvantaged groups.

Creating Humanities Communities grants cultivate humanities collaborations in states previously underserved by NEH’s grant making divisions and offices.

KATJA ZELLJADT



OFFICE OF CHALLENGE GRANTS

DIRECTOR

Floor: 4

Phone: (202) 606-8366

Email: zelljadt@neh.gov

Katja Zelljadt directs the Office of Challenge Grants. She came to NEH from the National Building Museum, where she served as Vice President for Education. Previously, Katja was Associate Director of the Stanford Humanities Center and Head of the Getty Research Institute's Scholars Program. She has worked at the Harvard University Art Museums, the Lower East Side Tenement Museum, and the Deutsches Historisches Museum in Berlin. Katja received her BA from Yale University and her PhD in History from Harvard University. She has received many academic honors and distinctions, including a Fulbright Fellowship, Harvard Teaching Award, and Mellon Fellowship. Her writings have appeared in the *Journal of Visual Culture*, the *Journal of Urban History*, and elsewhere.

Office of Digital Humanities

Brett Bobley, Director

In a short period of time, digital technology has changed our world. The ways we read, write, learn, communicate, and play have fundamentally changed due to the advent of networked digital technologies. These changes are being addressed in fascinating ways by scholars from across the humanities, often working in collaboration with scientists, librarians, museum staff, and members of the public.

The Office of Digital Humanities offers grant programs that address these cultural changes. This would include supporting projects that explore how to harness new technology for humanities research as well as those that study digital culture from a humanistic perspective. To best tackle the broad, interdisciplinary questions that arise when studying digital technology, ODH works closely with the scholarly community and with other funding agencies in the United States and abroad, to encourage collaboration across national and disciplinary boundaries. In addition to sponsoring grant programs, ODH participates in scholarly conferences to help foster understanding of the digital humanities and to inform NEH of the most pressing needs in the field.

Current focuses include exploring new modes of scholarly communications that take advantage of digital methods and open licensing; working with international colleagues to demonstrate how big data techniques can advance humanities research; and training scholars to translate their research for public audiences.

Current Grant Programs:

Digital Humanities Advancement Grants, funded in cooperation with the Institute of Museum and Library Services, supports experimentation and innovation in digital projects throughout their lifecycles, from early start-up phases through implementation and long-term sustainability.

T-AP Digging into Data Challenge is an international research grant program that is led by the NEH and funded in cooperation with the National Science Foundation, the Institute of Museum and Library Services, and 13 other research funding organizations from 11 nations. The program supports projects that address research questions in the humanities and/or social sciences by using techniques of large-scale digital data analysis and that show how these new techniques can lead to new theoretical insights.

Humanities Open Book Program, funded in cooperation with the Andrew W. Mellon Foundation, is designed to digitize outstanding out-of-print humanities books and make them freely available to a wide audience.

Institutes for Advanced Topics in the Digital Humanities supports national or regional (multistate) training programs for scholars and advanced graduate students to broaden and extend their knowledge of advanced technology tools and methodologies relevant to the humanities.

BRETT BOBLEY



OFFICE OF DIGITAL HUMANITIES

DIRECTOR

CHIEF INFORMATION OFFICER

Floor: 4

Phone: (202) 606-8401

Email: bbobley@neh.gov

Brett Bobley is the Chief Information Officer for the National Endowment for the Humanities. He also serves as the Director of the Office of Digital Humanities. Brett has a B.A. in philosophy from the University of Chicago and an M.S. in computer science from the Johns Hopkins University. In 2006 Brett received a Chief Information Officers (CIO) Council Leadership Award from the Office of Management and Budget. In 2007 he received a Presidential Rank Award from the President of the United States in recognition of his exceptional long-term accomplishments, such as cofounding the federal government's Small Agency CIO Council and establishing the NEH Office of Digital Humanities.

Division of Education Programs

Wilsonia Cherry, Acting Director

The Division of Education seeks to strengthen humanities teaching and learning at pre-collegiate and post-secondary levels of study. It accomplishes this mission through a variety of innovative programming. First, by supporting professional development seminars and institutes for humanities faculty, the Division strengthens the nation's teaching base. Teachers and faculty who participate in NEH-funded seminars and institutes, which involve intensive reading, site visits, and discussions with recognized scholars, enhance their subject mastery as well as teaching skills. The ultimate beneficiaries of such projects are the hundreds of thousands of American students who are annually taught by reinvigorated and more intellectually engaged humanities instructors.

The Division also supports curricular and institutional development. Awards in this area provide scaffolding for two- and four-year higher education institutions, whether singly or in collaboration, to improve and enhance humanities teaching in creative, self-determined, and systematic ways. Finally, the Division has created a bridge between the academy and the wider public, reaching outside the settings of formal education. Taking place in a variety of public cultural spaces, such programs as these are grounded in the successful concept of "building a community of inquiry."

Current Grant Programs:

Summer Seminars and Institutes provide school teachers and college and university faculty opportunities to study (over a period of one to four weeks) significant topics and texts to revitalize their teaching and research.

Landmarks of American History and Culture Workshops use historic sites to address central themes and issues in American history, government, literature, art, music, and related subjects in one-week programs for k-12 educators.

Humanities Connections focus on connecting the resources and perspectives of the humanities to students' broader educational and professional goals through innovative curricula and "high impact" teaching practices at two- and four-year institutions.

Humanities Initiatives encompass four separate grant programs focusing on targeted audiences, including *Community Colleges*, *Hispanic-Serving Institutions*, *Historically Black Colleges and Universities*, and *Tribal Colleges and Universities* to strengthen the teaching and study of the humanities through activities that enhance existing humanities programs, resources, or courses, or develop new ones.

Dialogues on the Experience of War supports the study and discussion of important humanities sources about war in order to help U.S. military veterans and others think more deeply about the issues raised by military service.

WILSONIA CHERRY



DIVISION OF EDUCATION PROGRAMS

ACTING DIRECTOR

Floor: 4

Phone: (202) 606-8495

Email: wcherry@neh.gov

Wilsonia Cherry is Acting Director of the Division of Education Programs. She received her Ph.D. in English literature (with specializations in Renaissance and Medieval literatures) from the University of North Carolina at Chapel Hill. She taught at the Florida State University and at the University of North Carolina at Asheville prior to coming to the Endowment in 1984. At NEH Wilsonia has previously served as a program officer in both the Division of Public Programs and the Federal-State Partnership. Having also served as a reader and table leader for the Advanced Placement (Literature) Exams, Wilsonia has also been a member of the Test Development Committees for the College Level Examination Placement Exams and the Advanced Placement Exams (Literature), both developed by the Educational Testing Service. Additionally, she has served as vice president and president of the Philological Association of the Carolinas, as chair of the English Advisory Committee for the Office of Academic Affairs for the College Board in New York, as vice chair of the College Board's Council on Academic Affairs, and as Director of Foundation Relations at the Children's Defense Fund.

Office of Federal/State Partnership

Margaret Ferris McReynolds, Acting Director

By providing general operating support to more than 50 state and territorial humanities councils, the Office of Federal/State Partnership makes humanities education, lifelong learning, and the public humanities more readily available at the local level. Together, the Office and the councils help advance public understanding of the humanities, while enhancing public awareness of, access to, and support for the humanities on a more local, grassroots level.

State humanities councils are nonprofit 501(c)(3) organizations governed by volunteer boards of directors. The first councils were founded in 1971; the most recent council, the Amerika Samoa Humanities Council, was founded in 1994. They operate, as designated by Congress, in each of the 50 states as well as the territories. The councils employ more than 500 staff members and engage more than 1,000 volunteer board members. Their humanities programming is tailored to the resources, demographics, interests, and concerns of their state or jurisdiction.

The Office of Federal/State Partnership works in concert with the humanities councils, each of which receives annual funding from the agency in accordance with a statutory formula. (The councils may also apply for funding through NEH's competitive grant programs.) As a part of its oversight function, the Office ensures that the councils exercise responsible stewardship of public funds, that they follow best practices of nonprofit management, and that they support high quality humanities programming. By regularly communicating with the councils, the Office keeps them abreast of NEH programs and priorities. The councils are Federal/State Partnership's sole grantees.

In addition to providing annual general operating support grants to the state humanities councils, Federal/State Partnership works with the Chairman's Office to provide additional support for specially designated initiatives to support overall NEH goals.

Recent Priorities:

Humanities and the Legacy of Race and Ethnicity (2016) provides grants of up to \$15,000 to councils in support of new or existing programs that allow for public discussion of the persistent economic, cultural, and racial issues that divide American communities.

Standing Together (2014-2015) provided \$10,000 in additional funds to state councils to develop and enhance programs that reflect the interests of US veterans and their communities. Funding was awarded to 54 state councils.

MEG FERRIS MCREYNOLDS



OFFICE OF FEDERAL/STATE PARTNERSHIP

ACTING DIRECTOR

Floor: 4

Phone: (202) 606-8257

Email: mferris@neh.gov

Serving first as a Program Analyst and more recently as a Program Officer, Meg has been a critical player in all aspects of the Federal/State Partnership's work, including conducting site visits, managing the annual schedule for the Federal/State Partnership's self-assessment and review process for the state humanities councils, and managing the annual compliance plan submissions as well as other budget materials. She launched Federal/State Partnership's social media presence on Twitter, and regularly contributes articles for the Federal/State Partnership's e-newsletter. Prior to joining NEH, Meg worked at the National Gallery of Art in the department of Exhibition Programs on the production of educational materials for special exhibitions. She holds an MLS with a specialization in Archives from the University of Maryland, College Park, an MA in Art History from American University, and a Bachelor's degree in Art/Art History and French from Goucher College.

Division of Preservation and Access

Nadina Gardner, Director

The Division of Preservation and Access supports projects that preserve and provide access to humanities collections important for research, education, and public programming in the humanities. These resources include rare books, art, maps, photographs, films, historic newspapers, sound recordings, manuscript and archival collections, and objects of material culture.

In recent years, the Division has emphasized the need to preserve and provide access to recorded sound and moving image collections, since these collections exist on formats that are rapidly disintegrating. In addition to its programming in this area, the Division organized a symposium, Play/back, which brought together leading figures in the audiovisual field to discuss solutions to this preservation crisis.

The Division has played a leading role in the agency's efforts to respond to the world-wide endangerment of cultural heritage. Following the widespread damage to cultural heritage in the Middle East, the Division has worked with other cultural organizations, such as the Smithsonian Institution, the Archaeological Institute of America, and the American Schools of Oriental Research, to address this serious challenge.

Current Grant Programs:

Common Heritage and Preservation Assistance Grants for Smaller Institutions are designed to reach underserved applicant groups and geographic areas.

Documenting Endangered Languages is a partnership with the National Science Foundation to capture the historical and cultural value of endangered languages.

Humanities Collections and Reference Resources, the division's largest grant program, supports cataloging and digitization of collections, and the creation of historical dictionaries, atlases, encyclopedias, databases, and other major reference works.

The *National Digital Newspaper Program* is a partnership with the Library of Congress to preserve historic U.S. newspapers.

Research and Development grants and *Education and Training* grants help develop new methods for preserving or providing access to cultural heritage and for teaching the latest techniques.

Sustaining Cultural Heritage Collections helps cultural institutions meet the complex challenge of preserving large holdings of humanities materials for future generations by supporting sustainable conservation measures.

NADINA GARDNER



DIVISION OF PRESERVATION AND ACCESS

DIRECTOR

Floor: 4

Phone: (202) 606-8442

Email: ngardner@neh.gov

Nadina Gardner is the Director of the Division of Preservation and Access. Nadina received a Ph.D. in English literature from the University of California, Berkeley. Before coming to NEH, she served as the Assistant Director for Libraries and Archives at Heritage Preservation, a national institute for conservation, and as the Assistant to the Director of The Research Libraries of The New York Public Library.

Division of Public Programs

Karen Mittelman, Director

The Division of Public Programs is the public face of the NEH. By creating a bridge between the academy and the public, the Division provides millions of Americans across the country opportunities for lifelong learning in the humanities through a variety of formats – including museum and library exhibitions, television and radio documentaries, innovative digital media, and the interpretation of historic sites.

The Division of Public Programs seeks to fund projects that match excellent scholarship with engaging formats. The goal is to make ideas come alive for people of all ages and all walks of life. The Division supports blockbuster projects – for example, a major international art exhibition that travels to museums in five cities, or a documentary film that reaches millions of PBS viewers in a single night. Yet the Division also supports projects that while operating on a smaller scale involve public audiences in a deeper, more sustained way – for example, a six-week family literacy program at a local library, or a theater program that uses classical Greek drama to engage veterans in exploring the soldier's experience of war. Recognizing the nation's great diversity, the Division aims for a grant portfolio that is balanced geographically and demographically.

Current Grant Programs:

Digital Projects for the Public support mobile applications, virtual tours, digital games and websites that offer interactive approaches to humanities content.

Media Projects: Development and Production Grants support film, television, and radio programs that explore significant topics or ideas in the humanities.

Public Humanities Projects support projects in three formats: Exhibitions at museums and libraries; interpretive tours of Historic Places; and Community Conversations that creatively address community challenges, guided by the perspectives of the humanities.

Special Initiatives:

Created Equal: America's Civil Rights Struggle encourages public conversations about the changing meanings of race, equality, and freedom in American civic life. Launched in 2013 to coincide with the 150th anniversary of the Emancipation Proclamation, *Created Equal* provided a packaged set of NEH-funded films on Civil Rights history to 473 communities across the nation as well as a website offering curriculum resources for teachers and guides for ongoing community discussions in all 50 states.

NEH On the Road produces scaled-down versions of major NEH exhibitions that travel to small and mid-sized museums across the nation. Through a partnership with the Mid-America Arts Alliance, 16 exhibitions have traveled to more than 200 venues, bringing intellectually engaging humanities programs to communities that are typically not reached by other NEH grants.

KAREN MITTELMAN



DIVISION OF PUBLIC PROGRAMS

DIRECTOR

Floor: 4

Phone: (202) 606-8631

Email: kmittelman@neh.gov

Karen S. Mittelman is the Director of the Division of Public Programs. She holds a Ph.D. in American history from the University of Pennsylvania. Before coming to NEH in 1998, Karen spent eleven years in the museum field —first at the Smithsonian’s National Museum of American History, and later as curator of the National Museum of American Jewish History in Philadelphia. She has also taught U.S. and women’s history at American University and the University of Pennsylvania and is the editor of *Creating American Jews: Historical Conversations About Identity* (University Press of New England, 1998).

Division of Research Programs

Jane Aikin, Director

The Research Division's core strategic goals include developing new knowledge and advancing understanding in the humanities. To meet these goals, the Division supports the work of both individual scholars and research institutions, leading to products such as articles, books, and conferences that meet the intellectual interests of both specialized and general audiences. Grants made through the Division support projects in all humanities disciplines, from history, philosophy, and literature to classics, religion, and archaeology, as well as projects in which humanistic inquiry intersects with the sciences, medicine, and technology.

Current priorities of the Research Division include the Public Scholar program, which invites scholars to write books on topics of wide public interest, and the new NEH-Mellon Fellowships for Digital Publication program, which encourages scholars to engage with digital media in their work.

Current Grant Programs:

Collaborative Research offers one to three-year awards for scholars who are working collaboratively on large-scale, original, humanities endeavors, including archaeological excavations and scholarly conferences.

Scholarly Editions and Translations offers one to three-year awards to support translations of foreign texts into English and to projects that collect and authoritatively annotate the works of such historically significant individuals as Thomas Edison, Abraham Lincoln, Martin Luther King, and Jane Addams.

Fellowship Programs at Independent Research Institutions provides funding for American scholars to pursue research at important independent humanities centers both at home and abroad.

Fellowships and *Summer Stipends* support individuals pursuing advanced research that is of value to humanities scholars, general audiences, or both.

Awards for Faculty supports individual faculty or staff members pursuing research projects at Historically Black, Native American, and Hispanic-Serving institutions.

NEH-Mellon Fellowships for Digital Publication Program supports individual scholars pursuing research projects that require digital expression and digital publication.

Public Scholar supports well-researched books in the humanities intended to reach a broad readership.

JANE AIKIN



DIVISION OF RESEARCH PROGRAMS

DIRECTOR

Floor: 4

Phone: (202) 606-8212

Email: jaikin@neh.gov

Jane Aikin, Director of the Division of Research Programs, received a Ph.D. in history from the University of Michigan, Ann Arbor. Before coming to NEH she held faculty and administrative positions at Indiana University, Bloomington, and at Kent State University and was on the program staff of the Council on Library Resources, Inc., and the Association of Research Libraries. Jane's publications include *The Nation's Great Library: Herbert Putnam and the Library of Congress, 1899-1939* (1993) and, with John Y. Cole, *The Encyclopedia of the Library of Congress: For Congress, the Nation, and the World* (2005).

Communications/Publications

Theola DeBose, Director

Mission

The mission of the NEH Office of Communications is to promote NEH grant products, to enhance public understanding of the agency and its role as one of the largest providers of humanities grant funding in the United States, to raise the profile of the NEH, and to highlight the value of investment in the humanities.

Responsibilities

The NEH Office of Communications oversees the branding and messaging of the Endowment and its programs and acts as the agency's primary point of contact for the general public and press. Additionally, the Office of Communications provides strategic communications support for the Chairman and plays a lead role in coordinating NEH events – including the annual Jefferson Lecture in the Humanities, the National Humanities Medals ceremonies, and National Council meetings. Within the Office of Communications is the NEH's Office of Publications, which produces the agency's official periodical, *Humanities*, as well as a variety of promotional materials in both print and digital formats.

Platforms and Products

Platforms and products managed by the Office of Communications to promote NEH grants, highlight news, and interact with the media, stakeholders, and grantees include:

- The primary NEH website (www.neh.gov) and associated sites (EDSITEment, 50 Years of NEH, Created Equal, Digging Into Data)
- Social media channels, including the NEH's Facebook page, primary Twitter account, YouTube channel, Instagram account, Medium account, and Snapchat account (Communications also coordinates within the agency on division-focused Twitter accounts)
- *Humanities*, NEH's official magazine, published in print quarterly and posted on NEH.gov (in addition to web-exclusive magazine content)
- News releases, articles, and opinion pieces
- Brochures, pamphlets, and posters
- Agency photos and videos and a forthcoming NEH web series
- Audio recordings

Links

NEH website: neh.gov

Twitter (@nehgov): www.twitter.com/nehgov

Facebook (National Endowment for the Humanities):
<https://www.facebook.com/National-Endowment-for-the-Humanities-131252093552454/>

YouTube (NEHgov): www.youtube.com/nehgov

50 Years of NEH: <http://50.neh.gov/>

EDSITEment: <http://edsitement.neh.gov/>

Created Equal: <http://createdequal.neh.gov/>

Digging Into Data: <http://diggingintodata.org/>

Instagram: (@nehgov): <http://instagram.com/nehgov>

Snapchat: (@nehgov)

Medium: (@nehgov): <https://medium.com/@NEHgov>

EDSITEment

Carol Peters, Director

EDSITEment serves America's K-12 educators on a dedicated website (<https://edsitement.neh.gov>) that contains over 500 scholar- and teacher-developed lesson plans and student activities. These humanities resources have been reviewed by teachers and subject experts before publication and cover topics from American history to Japanese poetry. EDSITEment also offers a collection of more than 400 peer-reviewed sites, apps, and games that have been curated for the classroom. The website attracts over 2.5 million visitors each year from every U.S. state and territory, as well as countries around the world. In addition, EDSITEment staff actively advances the mission of the project through webinars, social media, and presentations at national and regional conferences and teachers' workshops.

NEH developed EDSITEment in 1997 for a partnership funded by MCI-WorldCom that also included the Council of Great City Schools, the American Association for the Advancement of Science, and the National Geographic Society. From 2004 to July 2014 the consortium (with an expanded group of partners) continued under Verizon Foundation funding. Since 2014, the Endowment has assumed sole financial support for EDSITEment at a cost of ≤\$500,000/year.

EDSITEment's mission is to serve educators and students with original, commissioned content as well as with appropriate humanities offerings funded through NEH grants and partnerships. Project staff works with program staff to identify newly funded resources. Staff then builds K-12 wrappers for and insures a searchable collection of wide-ranging digital humanities projects funded by the Endowment, such as:

- A customized teacher's portal (<https://edsitement.neh.gov/what-chronicling-america>) with lesson plans, webinars, workshops, and videos that supports *Chronicling America*, the digital archive for NEH's long-running National Digital Newspaper grants; and
- The teacher's section of NEH's *Created Equal* (<http://createdequal.neh.gov/for-teachers>), which brings films documenting the long civil rights movement to the classroom with appropriate clips, questions, and materials from EDSITEment and the Gilder Lehrman Institute of American History.

In FY 2017 EDSITEment is intensifying its already deep commitment to the creative use of social media outreach and to new partnerships as well as existing ones with National History Day and the Civics Renewal Network. This year staff is also continuing a review of content begun in FY 2016 in preparation for a digital platform upgrade that will increase the transparency and usability of the project's considerable collection of K-12 humanities resources.

Office of General Counsel

Michael McDonald, Director

The Office of General Counsel (OGC) supports NEH's mission, as articulated in the agency's strategic plan, by providing timely, strategic, and creative approaches to solve legal problems.

The OGC provides legal advice on NEH-related business to all of the agency's employees. However, one of the OGC's main priorities is to support the goals and strategies of the NEH Chairman, by providing legal advice to help implement initiatives and special projects from the Chairman's Office.

The OGC works on a daily basis to ensure that NEH remains in legal compliance with federal laws and regulations such as the Freedom of Information Act, the Federal Advisory Committees Act, and the Ethics in Government Act. The OGC provides periodic training, either in writing or in person, to the NEH staff in these and other compliance areas such as the Hatch Act, System of Records Management, and the Privacy Act.

The OGC seeks to respond to staff questions in a timely manner and to convey legal rules and requirements to NEH staff clearly, and in plain English, on matters that concern such matters as federal appropriations law and ethics regulations.

The OGC works proactively to address large-scale projects, such as updating NEH regulations and internal directives. Ongoing OGC projects include revising regulations and directives dealing with Title IX regulations (sex discrimination), Section 504 Regulations (handicap discrimination), Privacy Act Regulations, and the agency's System of Records Notice, which is intended, inter alia, to inform the public about what kinds of personal information federal agencies maintain and to limit the uses and disclosures of the information to those compatible with the law permitting its collection.

The OGC serves as Secretary to the meetings of the National Council on the Humanities. It also handles all aspects of the nomination and confirmation process for new members of the National Council on the Humanities. This work involves reviewing financial disclosure paperwork, drafting ethics agreements, and working with the nominees and White House counsel to prepare the paperwork required by the Senate HELP Committee.

The Office of Government Ethics requires each federal agency to have a Designated Agency Ethics Official (DAEO) and an Assistant Designated Agency Ethics Official (ADAEO). Both of these positions are lodged in the OGC. The NEH General Counsel serves as the DAEO; the NEH Deputy General Counsel serves as the ADAEO. Together they work to ensure that all NEH employee actions comply with all relevant federal ethics laws.

Office of Inspector General

Laura Davis, Inspector General

The NEH Office of Inspector General was established on April 9, 1989, in accordance with the Inspector General Act Amendment of 1988, Public Law 100-504. The legislation established Offices of Inspector General in several departments and in thirty-three agencies, including the NEH. The NEH Inspector General is appointed by the Chairman of the National Council on the Humanities. The law gives the Inspector General (IG) the authority to act independently. For example, the IG: cannot be prevented from initiating, carrying out, or completing an audit or investigation, or from issuing any subpoena; has access to all records of the Agency; reports to the National Council on the Humanities and can only be removed by the National Council on the Humanities, which must promptly advise Congress of the reasons from the removal; and reports directly to Congress.

The Office of Inspector General is responsible for (1) conducting audits and investigations; (2) reviewing legislation; (3) recommending policies to promote efficiency and effectiveness; and (4) preventing and detecting fraud, waste, and abuse in the operations of the Agency. The IG is responsible for keeping the NEH Chairman and Congress fully and currently informed of problems and deficiencies in NEH programs and operations.

Office of Planning and Budget

Larry Myers, Director

The following functions and priorities of the Office of Planning and Budget (OPB) reflect the diverse range of duties and responsibilities that have been assigned to the office over the years, including:

- To plan, formulate, and coordinate the annual program and budget planning exercises leading to the submission of the agency's budget requests to the Office of Management and Budget (OMB) and Congress and the agency's defense/justification of those requests.
- To execute and monitor the allocation and obligation of the agency's financial resources, both programmatic and administrative.
- To provide accurate and timely budget and grants information and analyses to the Chairman's Office, NEH divisions and offices, and outside stakeholders, upon request.
- To provide assistance to the Chairman's Office and NEH divisions/offices in analyzing current or potential policy and management issues, as needed.
- To coordinate the solicitation and selection processes for the annual Jefferson Lecture in the Humanities and National Humanities Medals honorary awards.
- To develop and coordinate the agency's annual performance planning and reporting (e.g., annual Performance and Accountability Report to OMB and Congress) and to manage the agency's periodic strategic planning process.

The National Council on the Humanities: Roles and Responsibilities

The National Council on the Humanities was established by the National Foundation on the Arts and Humanities Act of 1965, as amended, within the National Endowment for the Humanities (NEH).

The National Council consists of twenty-six (26) members and is chaired by the Chairman of the NEH. The President, by and with the advice and consent of the Senate, appoints twenty-six members from private life who: (1) are selected from among private citizens of the United States who are recognized for their broad knowledge of, expertise in, or commitment to the humanities, and (2) have established records of distinguished service and scholarship or creativity and in a manner which will provide a comprehensive representation of the views of scholars and professional practitioners in the humanities and of the public throughout the United States.

Members of the National Council generally serve for six year terms. Any member appointed to fill a vacancy serves for the remainder of the term for which the predecessor of the member was appointed. A member of the National Council will serve until the successive member takes office.

The National Council on the Humanities has the following statutory responsibilities:

- The National Council advises the Chairman with respect to policies, programs, and procedures for carrying out the Chairman's functions.
- The National Council reviews applications for financial support and makes recommendations thereon to the Chairman. The Chairman cannot approve or disapprove any such application until he has received the recommendation of the National Council on such application, unless the National Council fails to make a recommendation thereon within a reasonable time.
- The National Council, consistent with its delegation of authority, reviews the Chairman's approval or disapproval of any award involving \$30,000 or less made without the recommendation of the National Council.

The National Council meets at the call of the Chairperson, but may not meet less often than twice during each calendar year. The National Council generally meets three times a year and the scheduled meeting dates for 2017 are: March 2-3, July 13-14, and November 16-17.

Fourteen members of the National Council constitute a quorum for the conduct of National Council business at official meetings, but a lesser number of members may hold hearings. All decisions of the National Council must be made by majority vote of the members of the National Council who are present and authorized to vote.

National Council members are compensated for the time in which they engage in the performance of duties for the National Council, and receive travel expenses and per diem to attend meetings of the National Council. National Council members are considered Special Government Employees of the NEH while conducting official Council business.

National Council on the Humanities: Current Membership[†]

Member:

1. Rolena Adorno
2. Camila A. Alire
3. Fran D. Berman
4. Albert Beveridge
5. Allison Blakely
6. Constance Carroll
7. Jamsheed K. Choksy
8. Cathy Davidson
9. Dawn Ho Delbanco
10. Paula Duffy
11. Gerald Early
12. David Hertz
13. Dorothy Kosinski
14. Marvin Krislov
15. Patricia N. Limerick
16. Shelly C. Lowe
17. Christopher Merrill
18. Ramon Saldivar
19. Bruce R. Sievers
20. Katherine Tachau
21. John M. Unsworth
22. Martha Wagner Weinberg

Term Expires:

January 26, 2014*
January 26, 2018
January 26, 2020
January 26, 2016*
January 26, 2016*
January 26, 2016*
January 26, 2014*
January 26, 2016*
January 26, 2014*
January 26, 2016*
January 26, 2018
January 26, 2014*
January 26, 2016*
January 26, 2014*
January 26, 2018
January 26, 2018
January 26, 2016*
January 26, 2018
January 26, 2018
January 26, 2018
January 26, 2016*
January 26, 2016*

Current Vacancies:

23. Council member with a term that expired on January 26, 2012
24. Council member with a term that expired on January 26, 2015
25. Council member with a term that expired on January 26, 2015
26. Council member with a term that expired on January 26, 2018 (resigned)

[†]*Please see Tab 28, "Priority Issues," for a discussion of nominees who have cleared preliminary confirmation hurdles and are awaiting official confirmation on the Senate floor.*

**Council members whose terms have expired may continue to serve until replaced.*

Council Member Biographies

Rolena Adorno is the Sterling Professor of Spanish and Chair of the Department of Spanish and Portuguese at Yale University. Her books on Colonial Latin American literary and cultural history, including *The Polemics of Possession in Spanish American Narrative*; *De Guancane a Macondo: estudios de literatura hispanoamericana*; *Álvar Núñez Cabeza de Vaca: His Account, His Life, and the Expedition of Pánfilo de Narváez*, and *Guaman Poma: Writing and Resistance in Colonial Peru*, have been awarded prizes by the Modern Language Association, the American Historical Association, the Western Historical Association, and the New England Council of Latin American Studies. She holds an Honorary Professorship at La Pontificia Universidad Católica del Perú, and is an Honorary Associate of the Hispanic Society of America. She is a member of the American Academy of Arts and Sciences. Born and raised in an Iowa farming family of German descent, Ms. Adorno holds a B.A. from the University of Iowa, an M.A.T. from the University of Hartford, and a Ph.D. from Cornell University.

Camila A. Alire is Dean Emerita at both the University of New Mexico and Colorado State University. She is also professor of practice in the Managerial Leadership Ph.D. program within Simmons College's Graduate School of Library and Information Science since 2007 and an adjunct professor at the University of Denver since 2011. From 2009 to 2010, Dr. Alire served as President of the American Library Association. Earlier in her career, she served as Dean of the University of New Mexico's libraries from 2002 to 2006, as Dean of Colorado State University's libraries from 1997 to 2001, and as Dean of The University of Colorado at Denver Auraria Library from 1989 to 1997. She has co-written a number of books, including *Academic Librarianship*, *Serving Latino Communities*, and *Academic Librarians as Emotionally Intelligent Leaders*. Dr. Alire served as Chair of the Colorado Humanities Board of Directors from 1999 to 2000. She received a B.A. from Adams State College, an M.L.S. from the University of Denver, and an Ed.D. in Educational Leadership from the University of Northern Colorado.

Francine D. Berman is the Hamilton Distinguished Professor in Computer Science at Rensselaer Polytechnic Institute (RPI). She is a Fellow of the Association of Computing Machinery (ACM), Institute of Electrical and Electronics Engineers (IEEE) and American Association for the Advancement of Science (AAAS), and a 2009 ACM/IEEE-CS Ken Kennedy Award recipient for "influential leadership in design, development and deployment of national-scale cyberinfrastructure." Dr. Berman is U.S. lead of the Research Data Alliance, an international organization created to accelerate research data sharing. She is also Chair of the Anita Borg Institute Board of Trustees, co-Chair of the NSF Advisory Committee for Computer and Information Science and Engineering, and a member of the Sloan Foundation Board of Trustees. Previously, Dr. Berman served as Director of San Diego Supercomputer Center and Vice President for Research at RPI. She was co-Chair of the NRC Board on Research Data and Information, the Blue Ribbon Task Force for Sustainable Digital Preservation and Access, Chair of the AAAS Information, Computing and Communication Section, and a member of the NIGMS Advisory Council. Dr. Berman has been recognized by the Library of Congress as a "Digital Preservation Pioneer."

Albert J. Beveridge is a founding member and Senior Council of the law firm of Beveridge & Diamond, P.C. and has served as General Counsel of the American Historical Association for more than 15 years. He was a founding member of the National Trust for the Humanities, an affiliate of the National Endowment for the Humanities. In addition, Mr. Beveridge serves as a lecturer in history at Johns Hopkins University and as Distinguished Historian in Residence at the American University in Washington, D.C. He received his B.A. from Princeton University, an M.A. from Johns Hopkins, and his J.D. from Harvard University.

Allison Blakely is a Professor of European and Comparative History, and the George and Joyce Wein Professor of African American Studies at Boston University. He joined the Boston University faculty in 2001 after teaching for thirty years at Howard University. He is the author of *Blacks in the Dutch World: Racial Imagery and Modernization*; *Russia and the Negro: Blacks in Russian History and Thought* (a winner of an American Book Award in 1988); several articles on Russian populism; and others on various European aspects of the Black Diaspora. His interest in comparative history has centered on comparative populism and on the historical evolution of color prejudice. He is the immediate past President of the Phi Beta Kappa Society, and serves on its governing Senate and the Editorial Board of its journal, *The American Scholar*. Mr. Blakely was awarded the Bronze Star and Purple Heart for his service in Vietnam, was a Woodrow Wilson Fellow in 1962-63, and an Andrew Mellon Fellow in the Humanities at the Aspen Institute for Humanistic Studies, 1976-77. He received the Outstanding Faculty Leadership Award from Howard University in 1992. Mr. Blakely received his B.A. from the University of Oregon and his M.A. and Ph.D. from the University of California, Berkeley.

Constance M. Carroll has served as Chancellor of the San Diego Community College District since 2004. Prior to becoming Chancellor, Dr. Carroll served as president of three community colleges in California and also worked with two universities. Ms. Carroll's board service has included the American Council on Education, American Association of Community Colleges, League for Innovation, California Council for the Humanities, Maine Humanities Council, NEH Panel on Museums and Historical Societies, and the Community College Humanities Association. She received her B.A. in Humanities from Duquesne University and an M.A. and Ph.D. in Classics from the University of Pittsburgh.

Jamsheed K. Choksy is a professor of central Eurasian studies, history, and India studies as well as an adjunct professor of religious studies and an affiliated faculty member of ancient studies, medieval studies, and Middle Eastern and Islamic studies at Indiana University in Bloomington. He is a frequent presenter at international conferences and has written three books: *Evil, Good, and Gender*; *Conflict and Cooperation*; and *Purity and Pollution in Zoroastrianism*. He has received fellowships from the John Simon Guggenheim Memorial Foundation and the Andrew W. Mellon Foundation and has been awarded grants from the American Academy of Religion and the Social Science Research Council. He has served as a consultant for UNESCO and the U.S. Department of Education. Mr. Choksy received an A.B. from Columbia University and a Ph.D. from Harvard University.

Cathy N. Davidson is the Ruth F. DeVarney Professor of English and John Hope Franklin Humanities Institute Professor of Interdisciplinary Studies at Duke University. She was Duke's first Vice Provost for Interdisciplinary Studies, serving in that position until 2006. She is co-founder of HASTAC, the Humanities, Arts, Sciences, and Technology Advanced Collaboratory, a network of educators dedicated to new models of learning for the digital age. In addition, Professor Davidson is past President of the American Studies Association, former editor of the journal *American Literature*, and co-directs the annual HASTAC/ MacArthur Foundation Digital Media and Learning Competitions. She received her B.A. in English and Philosophy from Elmhurst College and her M.A. and Ph.D. in English from the State University of New York at Binghamton.

Dawn Ho Delbanco is an adjunct professor of East Asian art at Columbia University and, since 1991, has taught Western and Asian art in the Columbia University Core Curriculum. She is the author of *Art from Ritual: Ancient Chinese Bronze Vessels from the Arthur M. Sackler Collection* and has published on various aspects of Chinese art, including painting, woodblock prints, ceramics, and ritual bronzes. She has lectured at many institutions, including the Metropolitan Museum of Art, the Nelson-Atkins Museum of Art, the Asia Society, and the Yale Art Gallery. She has consulted for a documentary film on the National Palace Museum in Taipei and has curated an exhibition at the Fogg Art Museum. Ms. Delbanco received an A.B. and Ph.D. from Harvard University.

Paula Barker Duffy is the former Director of the University of Chicago Press, the nation's largest university press. She previously served as publisher of the Free Press, best known for its books in the social sciences and public affairs, and as vice president of its parent company, Simon and Schuster, New York. Ms. Duffy currently serves on the boards of the Great Books Foundation and Valid Sources, Inc., and advises the Cultural Policy Center at the University of Chicago. She holds a B.A. in French Literature from Smith College and an M.B.A. from Harvard University.

Gerald L. Early is Director of the Center for Humanities, Merle Kling Professor of Modern Letters, and a Professor of English at Washington University in Saint Louis. Since 1982, he has held various positions at Washington University, including Professor of English, African and Afro-American Studies, and Director of the American Culture Studies Program. Dr. Early serves on the Board of Advisory Editors of Oxford Companion to African-American Literature and is a Member of the Board of Trustees of the Missouri Historical Society and the Advisory Board of The Antioch Review. He is the author of *One Nation Under a Groove: Motown and American Culture* and *The Culture of Bruising: Essays on Prizefighting, Literature, and Modern American Culture*, which won the 1994 National Book Critics Award. Dr. Early received a B.A. from the University of Pennsylvania, and an M.A. and Ph.D. from Cornell University.

David Michael Hertz is a professor of comparative literature and an adjunct professor of American studies at Indiana University in Bloomington. He is the author of three books, including *Angels of Reality: Emersonian Unfoldings in Frank Lloyd*

Wright, Wallace Stevens, and Charles Ives and *Frank Lloyd Wright in Word and Form*. Mr. Hertz is a recipient of an Andrew W. Mellon Postdoctoral Fellowship at New York University and a Graham Foundation for Advanced Studies in the Fine Arts grant recipient. A composer and pianist, he teaches annual undergraduate colloquia on music and culture in the Hutton Honors College at Indiana University. In addition to co-founding the Center for Comparative Arts at Indiana University, he has co-organized several international conferences on the sense of time in world poetry. Mr. Hertz received a B.A., B.S., and M.A. from Indiana University and a Ph.D. from New York University.

Dorothy Kosinski has served as Director of The Phillips Collection since 2008. Prior to joining The Phillips Collection, Dr. Kosinski worked at the Dallas Museum of Art, where she served in a number of capacities from 1995 to 2008, last as Senior Curator of Painting and Sculpture. From 1985 to 1997, she worked with the Douglas Cooper Collection of cubist art in Basel, Switzerland. She also served as an independent curator of major exhibitions at the Royal Academy of Arts, London; The Kunstmuseum Basel; The Kunstmuseum Wolfsburg; and The National Gallery in Prague. Dr. Kosinski has written and edited many books and catalogs on a variety of art topics including 19th Century Symbolism, Dada, Surrealism, 20th Century sculpture and contemporary art. She currently serves on the Board of the Association of Art Museum Directors and the Advisory Board of The Musée Rodin, Paris. Dr. Kosinski received a B.A. from Yale University and an M.A. and Ph.D. from the Institute of Fine Arts at New York University.

Marvin Krislov is the 14th president and a professor of politics at Oberlin College. Previously, he was vice president and general counsel at the University of Michigan where he led the University's legal team in the 2003 Supreme Court case that upheld the constitutionality of the consideration of student body diversity in university admissions. Prior to entering academic life, Mr. Krislov served as Acting Solicitor and the Deputy Solicitor for National Operations at the U.S. Department of Labor, as Associate Counsel in the Office of Counsel to the President, and as a Federal prosecutor at the Justice Department on cases involving racial or religious violence as well as police brutality. He taught law at the University of Michigan Law School, sat on the Board of Aldermen for New Haven, Connecticut, and also taught law at George Washington University. Mr. Krislov received bachelor's and law degrees from Yale University, where he served as editor of the Yale Law Journal. As a Rhodes Scholar, he studied at the University of Oxford's Magdalen College, where he received an M.A. degree in modern history. He clerked for Judge Marilyn Hall Patel of the U.S. District Court in San Francisco.

Patty N. Limerick is the Faculty Director and Chair of the Board of the Center of the American West at the University of Colorado Boulder, where she is also a professor of environmental studies and history. She is the author of *Desert Passages*, *The Legacy of Conquest*, *Something in the Soil*, and *A Ditch in Time*. A frequent public speaker and a columnist for the *Denver Post*, Limerick has dedicated her career to bridging the gap between academics and the general public, to demonstrating the benefits of applying historical perspective to contemporary dilemmas and conflicts, and to making the case for humor as an essential asset of the humanities. A recipient of the MacArthur

Fellowship and the Hazel Barnes Prize (the University of Colorado's highest award for teaching and research), she has served as president of the American Studies Association, the Western History Association, the Society of American Historians, and the Organization of American Historians, as well as the vice president for teaching of the American Historical Association. She received her B.A. from the University of California, Santa Cruz, and her Ph.D. from Yale University.

Shelly C. Lowe is an enrolled member of the Navajo Nation. She is Bilagaana, born for Náneesht'ézhí Tách'iinii. Her paternal grandfather's clan is Tábaahí. Ms. Lowe grew up on the Navajo Reservation in Ganado, Arizona. She is currently the Executive Director of the Harvard University Native American Program and was previously the Assistant Dean for Native American Affairs in the Yale College Deans Office and Director of the Native American Cultural Center at Yale University. Prior to her position at Yale, she spent six years as the Graduate Education Program Facilitator for the American Indian Studies Programs at The University of Arizona. During her time at The University of Arizona she was actively involved in the Native American Student Affairs Office and the American Indian Alumni club. She has served on the board of the National Indian Education Association and as a Board of Trustee for the National Museum of the American Indian. She currently serves on the board of the Beantown Cats Alumni Chapter. Ms. Lowe has presented and published in the field of American Indian higher education and is completing her doctorate in Higher Education with a focus on American Indian student success and services.

Christopher Merrill is the director of the International Writing Program at the University of Iowa. A poet, essayist, journalist, and translator, Mr. Merrill has published four collections of poetry, including *Brilliant Water* and *Watch Fire*, for which he received the Peter I. B. Lavan Younger Poets Award from the Academy of American Poets. He has also published translations of Aleš Debeljak's *Anxious Moments* and *The City of the Child*, edited several volumes, and published five books of nonfiction, *The Grass of Another Country: A Journey Through the World of Soccer*, *The Old Bridge: The Third Balkan War and the Age of the Refugee*, *Only the Nails Remain: Scenes from the Balkan Wars*, *Things of the Hidden God: Journey to the Holy Mountain*, and *The Tree of the Doves: Ceremony, Expedition, War*. Prior to joining the University of Iowa, he held the William H. Jenks Chair in Contemporary Letters at the College of Holy Cross. In 2008, he led the initiative that resulted in the selection of Iowa City as a UNESCO City of Literature, a part of the Creative Cities Network. Mr. Merrill received a B.A. from Middlebury College and an M.A. from the University of Washington.

Ramón Saldívar is the Hoagland Family Professor in the School of Humanities and Sciences and the Bass University Fellow in Undergraduate Education at Stanford University. Dr. Saldívar's research focuses on Chicano and Chicana studies, literary theory, and post-colonial literature. His publications include *Figural Language in the Novel: The Flowers of Speech from Cervantes to Joyce*, *Chicano Narrative: The Dialectics of Difference* and *The Borderlands of Culture: Américo Paredes and the Transnational Imaginary*. He served on the Editorial Board of *American Literature* and *Modern Fiction Studies* from 2003 to 2007, on the Board of Governors of the University

of California Humanities Research Institute from 1994 to 1997, and on the National Council of the American Studies Association from 1993 to 1995. Dr. Saldívar received the 2011 National Humanities Medal. He earned his B.A. from the University of Texas, Austin, and his Ph.D. from Yale University.

Bruce R. Sievers is a visiting scholar and lecturer at the Haas Center for Public Service and the Center on Philanthropy and Civil Society, which he helped found in 2006, at Stanford University. Previously, he served as the Executive Director of the Walter and Elise Haas Fund from 1983 to 2002. He was the founding Chief Executive Officer of both California Council for the Humanities, now known as Cal Humanities, from 1974 to 1983, and Montana Committee for the Humanities, now known as Humanities Montana, from 1972 to 1974. In addition, Mr. Sievers is a Consulting Director of the Skirball Foundation and a Senior Fellow Emeritus with Rockefeller Philanthropy Advisors. He is a past Treasurer of the Fulbright Association. He has written widely on topics of civil society and philanthropy, and in 2010, published his book *Civil Society, Philanthropy and the Fate of the Commons*. Mr. Sievers was a Fulbright Scholar and received a B.A. in International Relations, and an M.A. and Ph.D. in Political Science from Stanford University.

Katherine H. Tachau is a Professor of History at the University of Iowa, where she has taught since 1985. Previously, she taught at Pomona College from 1982 to 1985 and Montana State University from 1981 to 1982. From 1979 to 1981, she was a researcher at the Institute for Medieval Greek and Latin Philology at Copenhagen University in Denmark. Dr. Tachau has published extensively on medieval philosophy, science, and art; and has received the John Nicholas Brown Prize from the Medieval Academy of America. In addition, she received the Regents Award for Faculty Excellence at the University of Iowa in 2009, and she has been awarded fellowships from the Stanford Humanities Center, the National Humanities Center, the Guggenheim Foundation, and the National Endowment for the Humanities. Dr. Tachau received a B.A. in Spanish and Medieval Studies from Oberlin College, and an M.A. and a Ph.D. in History from the University of Wisconsin-Madison.

John Unsworth is the Vice-Provost for Library and Technology Services and Chief Information Officer at Brandeis University. Previously, Mr. Unsworth served as the Dean of the Graduate School of Library and Information Science at the University of Illinois, Urbana-Champaign from 2003 to 2012. Before joining the University of Illinois, he served as the Director of the Institute for Advanced Technology in the Humanities and was a faculty member in the English Department at the University of Virginia. He is the co-founder of *Postmodern Culture*, a peer-reviewed electronic journal in the humanities. Mr. Unsworth received a B.A. from Amherst College, an M.A. from Boston University, and a Ph.D. from the University of Virginia.

Martha Wagner Weinberg is a consultant who has worked extensively with non-profit entities on issues of policy, strategy, leadership and program design. She previously served as Chief of Staff at Massachusetts General Hospital and was Vice President for Project Management and Chief of Staff at Partners Healthcare System at its founding in 1995. Ms. Weinberg advised the Rappaport Charitable Foundation when

it established Harvard's Rappaport Institute for Greater Boston and Suffolk University's Rappaport Honors Program in Law and Public Policy. Formerly a professor of political science at MIT, she is the author of *Managing the State*, co-editor with Walter Dean Burnham of *American Politics and Public Policy*, and the author of articles on leadership in the private and public sectors. Ms. Wagner received her Ph.D. from Harvard, her M.A. from the University of Wisconsin, and her B.A. from Smith.

The Common Good: The Humanities in the Public Square

NEH's special initiative, *The Common Good: The Humanities in the Public Square*, is designed to demonstrate and enhance the critical role the humanities can play in our nation's public life. Launched in January 2015, NEH's newest initiative affirms the agency's longstanding commitment to the public humanities and brings special emphasis to what NEH's legislation calls "the relevance of the humanities to the current conditions of national life." Under *The Common Good* initiative, NEH seeks to support projects that engage Americans in productive dialogues about where our country has been, who we are as a people, and where we are headed in the future. New grant programs are enabling scholars and organizations to take up the challenge of connecting the insights of the humanities with the pressing concerns of public life in our democracy – from the meaning of citizenship to the legacy of war to the ethical dilemmas posed by new technologies. Equally important is the initiative's emphasis on expanding access to the humanities, in settings from community college classrooms to veterans' service centers, and inviting new communities to enjoy the benefits of deepened connection with cultural heritage and lifelong learning.

The Common Good builds and expands upon special programming NEH launched in 2014 with a focus on the experience of military veterans – *Standing Together: The Humanities and the Experience of War*. This programming seeks to connect the humanities to the experiences of veterans and service members, thus addressing a compelling need and serving a community that NEH has only tangentially reached in the past. To date, NEH has provided more than \$4 million in grants for projects that are reaching veterans throughout the nation. These projects include support for veterans to attend "academic boot camps" on eleven college and university campuses to help them transition from the military to life as college students; reading and discussion programs in VA hospitals, community centers, and public libraries using great works of literature; public performances for and involving veterans that draw on timeless themes from classical Greek dramas of soldiers returning home from war; and support for veterans-related work in the 50 states and the territories through grants made to the state humanities councils.

The Common Good initiative has been woven throughout the agency's major program areas and in NEH's partnerships with the state humanities councils, other federal agencies, and private foundations. New grant opportunities launched in the past two years include the following:

- *Common Heritage* invites local citizens to share cultural heritage materials – photos, maps, letters, and more – that make up their own family histories and life stories. Through the grants, these materials are digitized and become available for exhibition, study, discussion, and preservation.
- *Dialogues on the Experience of War* funds projects that conduct discussion sessions on significant issues related to war and military service. Discussion groups can be made up exclusively of military veterans, but can also include men

and women in active service, military families, and interested members of the public.

- *Digital Projects for the Public* connects the public to the humanities online through educational games, digital apps, and other innovative technologies that have the potential to deepen engagement with humanities ideas and reach beyond traditional audiences.
- *Humanities Access* grants seed endowment-building efforts for programs that enable access to the humanities among underserved groups, in particular youth, communities of color, and economically disadvantaged populations.
- *Humanities Initiatives at Community Colleges* strengthen and expand the teaching and learning of the humanities in community colleges, one of the Endowment's highest priorities.
- *Humanities Open Book* is a public-private partnership with the Andrew W. Mellon Foundation designed to make outstanding, out-of-print humanities books available electronically, and free of charge, to the American people.
- *Legacy of Race and Ethnicity in the United States* is a one-time special opportunity inviting humanities councils in every state and territory to develop or expand public programs that foster community dialogues, grounded in the humanities, addressing persistent and divisive social, economic, cultural, and racial issues at the local level.
- *Next Generation Humanities Ph.D.* grants aim to assist universities in devising a new model of doctoral education, which will prepare students to translate what they learn in doctoral programs into a variety of careers and demonstrate the relevance of the humanities in the twenty-first century.
- *Public Humanities Projects: Community Conversations* is a newly conceived grant category to support projects created by museums, libraries, and other local humanities institutions to engage diverse residents in addressing community challenges (for example, suicides among military veterans), guided by the perspectives of the humanities.
- *Public Scholar* grants help make humanities research and writing available to a wider reading public by supporting authors who write engaging, well-researched, accessible non-fiction books in the humanities.

Application Review Process

The review process stands at the center of NEH's work. Annually, the Endowment conducts more than 200 review panels, involving nearly 1,000 outside experts, in its evaluation of over 5,000 applications. The Endowment recruits panelists from every state, drawing on a wide and diverse pool of scholars and other humanities experts. In a fiscal year, NEH will make about 800 grants in amounts ranging from \$1,000 to approximately \$750,000. In most programs, the applicant success rate varies from about 6 percent to 50 percent; the success rate across all grant programs is approximately 16 percent. About 35 program officers, most of whom hold an advanced degree in a humanities or related field, manage the process.

Initial Staff Review

- Once an application has been received by a NEH program office or division, it is reviewed for eligibility and completeness.
- The division/office director oversees the staff's work throughout the review process.

Panel Review

- Most applications to NEH are reviewed by outside panels of experts. Panelists are selected for their expertise pertinent to the application pool. (NEH does not have standing panels; all NEH panels are formed anew at the start of a grant competition.)
- Panelists evaluate the merits of applications by preparing written comments and assigning preliminary ratings to the applications. Panelists have approximately one month to complete this process.
- Panelists may then meet in person in Washington, D.C., or confer virtually, to review the entire group of applications and to assign a final rating to each application. An NEH program staff member serves as the chair of the panel meeting.

Final Staff Review

- The staff reviews the work of the panel and summarizes the comments of the panelists.
- The staff prepares a draft motion for the Council setting forth the staff's recommendation for funding for each application. The draft motion also notes the requested and recommended levels of funding.

- The staff assembles the Committee Book which includes: (1) a listing of all applications to the program; (2) a description of projects recommended for funding, including summaries of panel comments and staff assessments and recommendations; and (3) other general information and materials relating to the program.

Pre-Council Meeting

- The Committee Book is circulated to the Chairman and Senior Staff in advance of the meeting of the National Council.
- At a Pre-Council meeting, which includes the Chairman, Senior Staff and the staff of each Division and Office, applications and policy issues of special importance are identified for further discussion by the Council.

Review by Council Committee

- Before each Council meeting, Council Members are assigned to a Committee that corresponds to a Division or Office.
- Approximately two weeks before the Council meeting, each Committee member receives the Committee Book (described above) and the Council Agenda Book listing all applications. Council members also have access to the NEH's electronic grant management system to review individual applications.
- The Committees meet on the day before the plenary session of the Council meeting to review the staff recommendations set forth in the Committee Book. Committee members may also discuss individual applications and vote on whether or not to recommend them to the full Council and the Chairman for funding; they also vote on the Committee Book as a whole.
- A Committee report that summarizes the Committee's recommendations for funding is prepared and transmitted to the full Council by the Chair of the Committee.

Review by Full Council

- The Committee Chairs present the reports of the Committees at the plenary session of the Council meeting, including the final motion of each Committee.

- The full Council may accept or reject the final motion from a Committee in whole or in part. The actions of the full Council are recorded in the minutes of the meeting.

Post-Council Meeting

- At a Post-Council meeting with NEH staff, the Chairman and Senior Staff discuss the Council proceedings and any remaining issues relevant to the Chairman's decisions.

Final Action by the Chairman

- By statute, only the Chairman is authorized to award a grant. Before making his determinations, the Chairman takes into account the advice provided to him through the entire grant review process. The Chairman has full authority to approve or disapprove the funding of any project.
- The Chairman will report to the Council in the Council Book prepared for the next regular Council meeting any departures from funding recommendations contained in the previous Council meeting's final motion.

The Jefferson Lecture in the Humanities

The Jefferson Lecture in the Humanities, established by the National Endowment for the Humanities in 1972, is the highest honor the federal government confers for distinguished achievement in the humanities. The lectureship recognizes an individual who has made significant contributions to the humanities and who has the ability to communicate the knowledge and wisdom of the humanities in a broadly appealing way. The lecturer is chosen by the NEH Chairman in consultation with the National Council on the Humanities, and receives an honorarium of \$10,000. *For a description of the selection process, please see Tab 28, "Priority Issues."*

2017: Selected but not yet announced

2016: Ken Burns -- "An Evening with Ken Burns"

2015: Anna Deavere Smith -- "On the Road: A Search for American Character"

2014: Walter Isaacson -- "The Intersection of the Humanities and the Sciences"

2013: Martin Scorsese -- "Persistence of Vision: Reading the Language of Cinema"

2012: Wendell E. Berry -- "It All Turns On Affection"

2011: Drew Gilpin Faust -- "Telling War Stories: Reflections of a Civil War Historian"

2010: Jonathan Spence -- "When Minds Met: China and the West in the Seventeenth Century"

2009: Leon Kass -- "'Looking for an Honest Man': Reflections of an Unlicensed Humanist"

2008: John Updike -- "The Clarity of Things: What Is American about American Art"

2007: Harvey Mansfield -- "How to Understand Politics: What the Humanities Can Say to Science"

2006: Tom Wolfe -- "The Human Beast"

2005: Donald Kagan -- "In Defense of History"

2004: Helen Vendler -- "The Ocean, the Bird, and the Scholar"

2003: David McCullough -- "The Course of Human Events"

2002: Henry Louis Gates, Jr. -- "Mr. Jefferson and the Trials of Phillis Wheatley"

2001: Arthur Miller -- "On Politics and the Art of Acting"

2000: James M. McPherson-- "'For a Vast Future Also': Lincoln and the Millennium"

1999: Caroline Walker Bynum -- "Shape and History: Metamorphosis in the Western Tradition"

1998: Bernard Bailyn -- "To Begin the World Anew: Politics and the Creative Imagination"

1997: Stephen Toulmin -- "A Dissenter's Story"

1996: Toni Morrison -- "The Future of Time"

1995: Vincent Scully -- "The Architecture of Community"

1994: Gwendolyn Brooks -- "Family Pictures"

1993: Robert Conquest -- "History, Humanity and Truth"

1992: Bernard Knox -- "The Oldest Dead White European Males"

1991: Gertrude Himmelfarb -- "Of Heroes, Villains and Valets"

1990: Bernard Lewis -- "Western Civilization: A View from the East"

1989: Walker Percy -- "The Fateful Rift: The San Andreas Fault in the Modern Mind"

1988: Robert Nisbet -- "The Present Age"

1987: Forrest McDonald -- "The Intellectual World of the Founding Fathers"

1986: Leszek Kolakowski -- "The Idolatry of Politics"

1985: Cleanth Brooks -- "Literature and Technology"

1984: Sidney Hook -- "Education in Defense of a Free Society"

1983: Jaroslav Pelikan -- "The Vindication of Tradition"

1982: Emily T. Vermeule -- "Greeks and Barbarians: The Classical Experience in the Larger World"

1981: Gerald Holton -- "Where is Science Taking Us?"

1980: Barbara Tuchman -- "Mankind's Better Moments"

1979: Edward Shils -- "Render Unto Caesar: Government, Society, and Universities in their Reciprocal Rights and Duties"

1978: C. Vann Woodward -- "The European Vision of America"

1977: Saul Bellow -- "The Writer and His Country Look Each Other Over"

1976: John Hope Franklin -- "Racial Equality in America"

1975: Paul A. Freund -- "Liberty: The Great Disorder of Speech"

1974: Robert Penn Warren -- "Poetry and Democracy"

1973: Erik Erikson -- "Dimensions of a New Identity"

1972: Lionel Trilling -- "Mind in the Modern World"

The National Humanities Medal

The National Humanities Medal, inaugurated in 1997, honors individuals or groups whose work has deepened the nation's understanding of the humanities and broadened our citizens' engagement with history, literature, languages, philosophy, and other humanities subjects. Up to 12 medals can be awarded each year. *For a description of the selection process, please see Tab 28, "Priority Issues."*

2015 Award Year

Prison University Project

Rudolfo Anaya

José Andrés

Ron Chernow

Louise Glück

Terry Gross

Wynton Marsalis

James McBride

Louis Menand

Elaine Pagels

Abraham Verghese

Isabel Wilkerson

2014 Award Year

The Clemente Course in the Humanities

Annie Dillard

Everett L. Fly

Rebecca Newberger Goldstein

Evelyn Brooks Higginbotham

Jhumpa Lahiri

Fedwa Malti-Douglas

Larry McMurtry

Vicki Lynn Ruiz

Alice Waters

2013 Award Year

M. H. Abrams
American Antiquarian Society
David Brion Davis
William Theodore de Bary
Darlene Clark Hine
Johnpaul Jones
Stanley Nelson
Diane Rehm
Anne Firor Scott
Krista Tippett

2012 Award Year

Edward L. Ayers
William G. Bowen
Jill Ker Conway
Natalie Zemon Davis
Frank Deford
Joan Didion
Robert D. Putnam
Marilynne Robinson
Kay Ryan
Robert B. Silvers
Anna Deavere Smith
Camilo José Vergara

2011 Award Year

Kwame Anthony Appiah
John Ashbery
Robert Darnton
National History Day
Andrew Delbanco
Charles Rosen

Teofilo Ruiz
Ramón Saldívar
Amartya Sen

2010 Award Year

Daniel Aaron
Bernard Bailyn
Jacques Barzun
Wendell E. Berry
Roberto González Echevarría
Stanley Nider Katz
Joyce Carol Oates
Arnold Rampersad
Philip Roth
Gordon S. Wood

2009 Award Year

Robert A. Caro
Philippe de Montebello
Annette Gordon-Reed
David Levering Lewis
William H. McNeill
Albert H. Small
Theodore C. Sorensen
Elie Wiesel

2008 Award Year

Gabor S. Boritt
Richard Brookhiser
Harold Holzer
John Templeton Foundation
Myron Magnet
Albert Marrin
Norman Rockwell Museum
Milton J. Rosenberg

Thomas A. Saunders III and Jordan Horner Saunders
Robert H. Smith

2007 Award Year

Stephen H. Balch
Russell Freedman
Victor Davis Hanson
Roger Hertog
Monuments Men Foundation for the Preservation of Art
Cynthia Ozick
Richard Pipes
Pauline L. Schultz
Henry Leonard Snyder
Ruth R. Wisse

2006 Award Year

Fouad Ajami
James M. Buchanan
Nickolas Davatzes
Robert Fagles
Mary Lefkowitz
Bernard Lewis
Mark Noll
Kevin Starr
The Hoover Institution on War, Revolution and Peace, Stanford University

2005 Award Year

Walter Berns
Matthew Bogdanos
Eva Brann
John Lewis Gaddis
Richard Gilder
Mary Ann Glendon

Leigh Keno
Leslie Keno
Alan Charles Kors
Lewis Lehrman
Judith Martin
The Papers of George Washington

2004 Award Year

Marva Collins
Gertrude Himmelfarb
Hilton Kramer
Madeleine L'Engle
Harvey C. Mansfield
John Searle
Shelby Steele
United States Capitol Historical Society

2003 Award Year

Robert Ballard, Ph.D.
Joan Ganz Cooney
Midge Decter
Joseph Epstein
Elizabeth Fox-Genovese
Jean Fritz
Hal Holbrook
Edith Kurzweil
Frank M. Snowden Jr.
John Updike

2002 Award Year

Frankie Hewitt
Iowa Writer's Workshop
Donald Kagan
Brian Lamb

Art Linkletter
Patricia MacLachlan
Thomas Sowell
The Mount Vernon Ladies' Association

2001 Award Year

Jose Cisneros
Robert Coles
Sharon Darling
William Manchester
National Trust for Historic Preservation
Richard Peck
Eileen Jackson Southern
Tom Wolfe

2000 Award Year

Robert N. Bellah
Will D. Campbell
Judy Crichton
David C. Driskell
Ernest J. Gaines
Herman T. Guerrero
Quincy Jones
Barbara Kingsolver
Edmund S. Morgan
Toni Morrison
Earl Shorris
Virginia Driving Hawk Sneve

1999 Award Year

Patricia M. Battin
Taylor Branch
Jacquelyn Dowd Hall
Garrison Keillor

Jim Lehrer
John Rawls
Steven Spielberg
August Wilson

1998 Award Year

Stephen Ambrose
E. L. Doctorow
Diana L. Eck
Nancye Brown Gaj
Henry Louis Gates, Jr.
Vartan Gregorian
Ramón Eduardo Ruiz
Arthur M. Schlesinger, Jr.
Garry Wills

1997 Award Year

Nina M. Archabal
David A. Berry
Martin E. Marty
Richard J. Franke
William Friday
Don Henley
Maxine Hong Kingston
Luis Leal
Paul Mellon
Studs Terkel

Profile of NEH Staff (as of 10/31/2016)

Staff currently on payroll:	146*
Current vacancies:	7
Total:	153

Distribution of staff:

Demographics

Full-Time:	144
Part-Time:	2
Male/Female:	56/90
Caucasian:	105
African American:	32
Asian:	7
Hispanic:	2
Other/Unknown:	0

Grade Distribution

GS-5 and below:	2
GS-6 to GS-11:	28
GS-12 to GS-15:	104
Senior Executive Level:	11
Executive Schedule Level:	1

Position Type

Political Staff:	8
Career Staff:	83
Excepted Staff:	55
Professional Staff:	63
Administrative Staff:	71
Technical Staff:	9
Clerical Staff:	3

Percent of staff who are retirement eligible:	19.1%
---	-------

Of remainder, percent who will be retirement-eligible in five years:	13%
--	-----

*In addition to the 146 staff members who are currently on the payroll, NEH employs four contractors to perform various administrative functions.

Best Places to Work

Since 2011, the NEH has consistently been ranked in the top five of “the best places to work” amongst small government agencies of 999 employees or less. Over the last five years, the NEH has had an average satisfaction index score of 80.6 (the average score for small agencies over the same period has been 63.4).

The Best Places to Work rankings, which are administered by the Office Of Personnel Management (OPM) and released by the Partnership for Public Service, a nonpartisan, nonprofit organization, include the views of over 400,000 civil servants from over 350 federal organizations on a wide range of workplace topics. Participating federal organizations are ranked according to overall employee satisfaction and commitment, as well as ten additional categories such as leadership, strategic management, innovation and work-life balance.

Non-career SES and Schedule C Positions

TITLE	PAY PLAN, OCCUPATIONAL SERIES, GRADE (if applicable)	NAME OF INCUMBENT
Deputy Chairman	ES 0301	Margaret Plympton
Chief of Staff	ES 0301	Courtney Chapin
Assistant Chairman for Partnership & Strategic Initiatives	ES 1701	Evagren Caldera
Director of Communications	GS 1035 15	Theola Debose
Director of Congressional Affairs	GS 0301 15	Timothy Aiken
Digital Communications Strategist	GS 1035 12	Jessica Moss
White House Liaison and Chairman's Strategic Scheduler	GS 0301 13	Sabrina Curtis
Confidential Assistant to the Chief of Staff	GS 0301 07	VACANT

NEH STAFF DIRECTORY

<u>NAME</u>	<u>OFFICE</u>	<u>TELEPHONE</u>	<u>LOCATION</u>
-A-			
Abdullah, Mariam	Communications (Intern)	606-8446	4025.3
Abodeely, John	PCAH	682-5409	CC Plaza
Adams, William	Chairman's Office	606-8310	4054
Addey, Carolyn	Research Programs	606-8466	4006.1
Aiken, Tim	Chairman's Office	606-8273	4041
Aikin, Jane	Research Programs	606-8212	4010
Arandia, Mathilde	PCAH (intern)	682-5409	CC Plaza
Arnold, Douglas	Education Programs	606-8225	4082.3
Ashbrook, Barbara	Education Programs	606-8388	4077.2
Ashford, Charles	Administrative Services	606-8247	2004
Attura, Azar	Research Programs	606-8373	4009.3
Augst, Jinlei	Education Programs	606-8396	4080.6
Ausema, Tatiana	Preservation & Access	606-8501	4018.7
Austin, Jill	Public Programs	606-7741	4071.3
-B-			
Bays, Barbara	Public Programs	606-8290	4069.8
Biernik, Maria	Publications	606-8465	4023.2
Blackford, Alia	Administrative Services	606-8247	2006.1
Blue, Marlo	Human Resources	606-8415	2084.1
Bobley, Brett	Digital Humanities	606-8401	4065
Boffetti, Jason	Research Programs	606-8344	4014.3
Boggs, Rebecca	Education Programs	606-8398	4076.2
Bono, Gina	Chairman's Office	606-8231	4055.3
Broeksmit, Susan	Challenge	606-8367	4003.4
Brooks, Patricia	Public Programs	606-8241	4071.2
Burchall, Leondra	Fed/State	606-2324	4069.2
Burrows, Geoff	Research Programs	606-8479	4003.3
Buster, Gale	Chairman's Office	606-8310	4055.2
-C-			
Caldera, Eva	Chairman's Office	606-8634	4042
Canevali, Ralph	Preservation & Access	606-8475	4017
Chambers, Michael	Fed/State (Intern)	606-8254	4068.5
Chapin, Courtney	Chairman's Office	606-8298	4056
Cherry, Wilsonia	Education Programs	606-8495	4083
Coleman, Gladys	Planning and Budget	606-8428	4031

<u>NAME</u>	<u>OFFICE</u>	<u>TELEPHONE</u>	<u>LOCATION</u>
-C-			
Collins, Perry	Digital Humanities	606-8461	4082.4
Cortina, Caroline	Public Programs	606-8305	4069.6
Cox, John	Research Programs	208-7099	4009.4
Cutler, Adriana	Preservation & Access	606-8379	4015.3
Cutrona, Lucy A	Office of Communications	606-8589	4023.8
-D-			
Davis, Laura	Office of the Inspector General	606-8574	2046
Deale, Coralie	Education Programs	606-8568	4076.3
DeBose, Theola	Office of Communications	606-8355	4022
Dohanic, David	Planning & Budget	606-8444	4025.7
Donahue, Kelly	Fed/State (Intern)	606-8609	4068.3
Doss, Sean	Accounting	606-8336	2027
Downs, Mary	Preservation & Access	606-8456	4018.1
-E-			
Exton, Renee	Human Resources	606-8415	2082
-F-			
Ferdinand, Derika	Human Resources	606-8415	2088
Flynn, Christopher	Office of Communications	606-8440	4025.4
Fristedt, Peter	Public Programs	606-8218	4071.1
- G-			
Gardner, Nadina	Preservation & Access	606-8442	4016
Gelfand, Daniel	Office of the Inspector General	606-8553	2045
Gill, Naveed	OIRM	606-8403	2012.4
Gillis, Anna	Publications	606-8437	4023.4
Granger, Lilla Beatrice	Chairman's Office (Intern)	208-7122	4044.3
Grasee, Tyler	Chairman's Office (Intern)	606-8309	4006.3
Green, Caitlin	Chairman's Office	208-7122	4044.6
Griffin, Katherine	General Counsel	606-8325	4060

<u>NAME</u>	<u>OFFICE</u>	<u>TELEPHONE</u>	<u>LOCATION</u>
-H-			
Hammond, Jason	OIRM	606-8406	2016.3
Harman, Craig	OIRM	606-8923	2014.6
Hardwick, Jeff	Public Programs	606-8287	4069.4
Harrison, Tracie	Chairman's Office	606-8328	4055.1
Heiss, Andrea	Publications	606-8439	4020.6
Hester, Charles	OIRM	606-8455	2014.4
Hindley, Meredith	Publications	606-8452	4025.6
Hurt, Deborah	Education Programs	606-8432	4080.2
-I-			
-J-			
Johnson, Brandon	Challenge Grants	606-8369	4003.5
Johnson, Tarsha	Grant Management	606-8606	2020.2
Johnston, Jesse	Preservation & Access	606-8250	4018.6
Judkins, Annie	Research Programs	606-8467	4009.1
-K-			
Kenton, Karen	Public Programs	606-8307	4069.3
Kinkela, Claudia	Research Programs	606-8203	4012.2
Kress, Adam	General Council	606-8322	4063
-L-			
Lalani, Anjali	PCAH	682-5400	CC Plaza
LaQuay, Becky	Human Resources	606-8415	2081
Lawson, Mia	Human Resources	606-8415	2083
Lazopoulos, George	Public Programs	606-8241	4071.6
Lepinski, Sarah	Preservation & Access	606-8573	4018.2
Lifson, Amy	Publications	606-8441	4023.3
Lopez-Buitrago, Anne	Grant Management	606-8575	2019
Losin, Peter	OIRM	606-8477	2014.2
Lu, Jay-Mei	Accounting	606-8341	2030.2
Lyles, Renee	Human Resources	606-8415	2084.2

<u>NAME</u>	<u>OFFICE</u>	<u>TELEPHONE</u>	<u>LOCATION</u>
-M-			
Ma, Ning	Accounting	606-8248	2022.5
Machulak, Erica	Publications (Intern)	606-8435	4025.5
Macklem, Mary	Research Programs	606-8276	4009.3
Markham, Lenora	Administrative Services	606-8253	2003.2
Maynes, Barry	Administrative Services	606-8233	2009.6
McCann, Patrick	Chairman's Office (Intern)	606-8355	4044.3
McClish, Donna	Library	606-8244	4093
McDonald, Michael	General Counsel	606-8322	4058
McReynolds, Meg Ferris	Fed/State	208-7100	4068.8
Medici, Lydia	Research Programs	606-8219	4012.5
Meyer, Ann	Research Programs	606-8376	4012.4
Mitchell, Anthony	Human Resources	606-8415	2087
Mittelman, Karen	Public Programs	606-8631	4074
Moezzi, Maryam	Education Programs	606-8500	4076.1
Mohamed, Shemsa	Accounting	606-8649	2026.5
Morton-Smith, Talana	OIRM	606-8405	2009.4
Moss, Jessica	Office of Communications	606-8274	4023.6
Moyer, Steve	Publications	606-8435	4020.8
Mureithi, Christopher	OIRM	606-8259	2014.3
Myers, Larry	Planning & Budget	606-8428	4029
-N-			
Newman, Shirley	Federal/State	606-8254	4068.7
Nguyen, Julia	Education Programs	606-8213	4078.3
NiTuama, Shelley	EDSITEment	606-8425	4020.2
-O-			
-P-			
Peltz, Tanya	OIRM	606-8409	2013
Peters, Carol	EDSITEment	606-8285	4020.4
Pettit, Richard	Education Programs	606-8471	4080.5
Petty, William	OIRM (Intern)	208-0754	2012.2
Phelan, Joseph	EDSITEment	606-8374	4020.3
Phuong, Lynda	OIRM	606-8404	2012.2
Poor, Rachel	Chairman's Office	606-8446	4044.2
Plympton, Peggy	Chairman's Office	606-8310	4051

<u>NAME</u>	<u>OFFICE</u>	<u>TELEPHONE</u>	<u>LOCATION</u>
-Q-			
-R-			
Reilly, Adrian	EDSITEment	606-8333	4020.1
Ruppel, Marc	Public Programs	606-8288	4069.7
-S-			
Sack, Daniel	Research Programs	606-8459	4012.6
Saddler, Talisha	Public Programs	606-8434	4073.1
Salisbury, Nicole	Fed/State (Intern)	606-8254	4068.5
Sams, Victoria	Education	606-8283	4077.1
Schwartz, Joel	Chairman's Office	606-8473	4005
Scott, Peter	Grant Management	606-8615	2022.1
Scrymser, Margaret	Public Programs	606-8304	4071.8
Serventi, Jennifer	Digital Humanities	606-8395	4082.5
Sharp, Beth	PCAH	682-5457	CC Plaza
Shaw, Frank	Planning & Budget	606-8428	4025.8
Shepherd, Jerri	Grant Management	606-8604	2022.3
Shirley, Michael	Public Programs	606-8293	4072
Silver, Mark	Research Programs	606-8624	4009.6
Skinner, David	Publications	606-8448	4021
Sneesby-Koch, Ann	Digital Humanities	606-7883	4086.2
Southerland, Cedric	OIRM	606-8402	2014.5
Sternfeld, Joshua	Preservation & Access	606-8249	4018.8
Stewart, Beth	OIRM	606-8289	2012.3
Stokes, George	Research Programs	606-8271	4006.5
Stratton, Brian	Office of the Inspector General	606-8352	2041
Straughter, Robert	Administrative Services	606-8237	2010
-T-			
Taneja, Rana	Public Programs	606-8338 (TTY) 866-932-8961 [Video Phone Relay Interpreter]	4073.3
Tatge, Barbara	Grant Management	606-8217	2022.2
Tefft, Cathleen	Preservation & Access	606-8607	4015.4
Thomas, Bernadette	Research Programs	606-8551	4014.2
Thomas, Jeff	Chairman's Office	606-8231	4043
Thompson, Pamela	Grant Management	606-8617	2020.1

<u>NAME</u>	<u>OFFICE</u>	<u>TELEPHONE</u>	<u>LOCATION</u>
-T-			
Turner, James	Research Programs	606-8295	4009.2
-U-			
Untalan, Jennifer	Research Programs	606-8389	4006.6
-V-			
Vance, Merritt	PCAH (Intern)	682-5400	CC Plaza
Vasquez, Jorge	Human Resources	606-8415	2084.3
Vaughan, Clay	OIRM	606-8407	2016.2
Voyatzis, Lisette	General Counsel	606-8322	4061
-W-			
Walker, Stefanie	Research Programs	606-8478	4012.3
Wasley, Paula	Communications	606-8424	4023.5
Watkins, Melissa	Accounting	606-8346	2030.3
Weinryb Grohsgal, Leah	Preservation & Access	606-8577	4018.3
Weinstein, David	Public Programs	606-8308	4071.4
West, Carl	Administrative Services	606-8233	2010
Whitaker, Kiara	Administrative Services	606-8233	2009.3
Wolfson, Adam	Chairman's Office	606-8231	4057
Wurl, Joel	Preservation & Access	606-8252	4018.4
Wyland, Russell	Research Programs	606-8391	4011
-X-			
-Y-			
Yates, Gwen	Research Programs	606-8210	4006.2
Yeh, Frances	Public Programs	606-8301	4073.4
Young, Megan	Accounting	606-8414	2026.4
-Z-			
Zelljadt, Katja	Challenge Grants	606-8366	4007

Union Representation at NEH

A number of NEH employees are represented by Local 3403 of the American Federation of Government Employees (AFGE). Employees eligible for representation—i.e., the bargaining unit—include those staff members not serving in a managerial/supervisory capacity. Other exclusions from the bargaining unit include employees working in certain sensitive positions at the Endowment.

- NEH's bargaining unit currently includes 90 employees, out of an NEH staff numbering 146 (as of 10/31/16).
- Among the 90 bargaining unit employees, 31 are dues-paying members of the union.
- The collective bargaining agreement between NEH and AFGE was first negotiated in 2002, and is automatically renewed for successive three-year periods unless either party gives written notice of its intention to terminate, modify or amend the agreement.
- To foster collegial and cooperative labor-management relations, informal meetings are held each month involving a small number of union and management representatives. At these meetings both sides are encouraged to bring their current concerns to the table so that areas of friction can be worked out amicably and informally. These sessions have proven to be helpful in dealing with problems as they have arisen.
- Among the union's recent concerns are:
 - Increasing opportunities for telecommuting among eligible NEH staff
 - Ensuring timely preparation and submission of employees' annual performance appraisals
 - Acquisition of a sound masking system for staff
 - Enhancing staff morale



NATIONAL ENDOWMENT FOR THE

Humanities

THE CHAIRMAN

August 22, 2016

MEMORANDUM FOR THE DIVISION AND OFFICE DIRECTORS

SUBJECT: STANDING ORDER OF DELEGATION

In order to ensure easy delegation of authority when appropriate in the day to day operations of the Endowment, the following is the standing order of delegation, effective August 22, 2016.

In the absence of the **Chairman**, the **Deputy Chairman** shall assume the duties of the Chairman.

In the absence of the **Deputy Chairman**, the **Chief of Staff** shall assume the duties of the Chairman.

In the absence of the **Chief of Staff**, the **Assistant Chairman for Planning and Operations** shall assume the duties of the Chairman.

In the absence of the **Assistant Chairman for Planning and Operations**, the **Assistant Chairman for Programs** shall assume the duties of the Chairman.

William D. Adams, Chairman

8 . 22 . 16

Date

The Budget Process and Types of Funds Appropriated

A. The Budget Process

The funds that are available to the Endowment during a given fiscal year result from a lengthy process that consists of several stages:

1. Action leading to an appropriation of funds begins with the Endowment's basic legislative authority. NEH's enabling legislation—the National Foundation on the Arts and Humanities Act of 1965, as amended (NFAH Act)—describes the basic mission of the agency and the general activities it is authorized to carry out in support of a national policy for the promotion of the humanities. In the first 25 years of the agency's existence, the NFAH Act was reviewed and reauthorized periodically by Congress. Congress last reauthorized the Endowment in 1990, extending our authority through September 30, 1993. Since the end of FY 1993, the agency has been operating, in effect, without formal authorization; however, our authority has been extended each year through the appropriations process.

2. The agency's multi-year planning is grounded in the strategic planning process, guided by the priorities of the Chairman, and the strong participation through a Steering Committee and various working groups of individuals from across the agency's programmatic and administrative units. The current plan covers the period from 2013-2017, and has been revised and enhanced in the last 18 months. A new plan, building on the structures that are already in place, will need to be prepared to meet the February 2018 government-wide deadline, as required in the GPRA Modernization Act of 2010.

3. While program planning and budget-related discussions take place throughout the year within the Endowment, the agency typically begins formulating a budget plan more than a year before the actual start of the fiscal year (October 1). For example, our planning for FY 2018 (which will cover the period October 1, 2017 through September 30, 2018) began in the spring of 2016, with preparations for, and then convening of, meetings with each of the grant-making divisions and the chairman's office to review and recommend programmatic priorities for the coming year(s).

4. After developing a budget and program plan for the year under consideration, the agency then submits a formal request to the Office of Management and Budget (OMB) in early September. This request, which is a confidential document, consists of a discussion of the agency's proposed plans and priorities, a description of our programs and operations, and a set of supporting statistical tables and charts.

5. After OMB reviews our budget proposal, it typically informs us in late November or early December of the specific amount of money the Administration plans to request from Congress for NEH for the next fiscal year. This "passback"

budget and guidance, as it is called, may also contain specific programmatic and policy directives. After a brief appeals process and the settlement of any outstanding issues, OMB's "passback" then becomes the amount of the agency's request, and the Endowment begins preparing its Congressional budget submission. The request is not announced publicly until the President presents his official budget to Congress in early February. Since this document is publically available and widely distributed, internal updates and decisions about requests are shared with the agency leadership team, as well.

[Note: Due to the 2016 presidential election, rather than submit a full-fledged budget proposal to OMB this fall, agencies were asked to provide a budget table showing how they would allocate funds in FY 2018 at their "current services" or baseline budget level. OMB's current services estimate for NEH is \$153,000,000. The agency submitted its FY 2018 budget table to OMB on September 23, 2016. We understand that OMB expects to provide guidance during the presidential transition that will describe the process and timing for agencies to prepare and submit their official FY 2018 budget requests sometime after the new administration is in place.]

6. The President's Budget, in the form of various appropriations bills, is considered first in the House and then in the Senate. The bills are referred to appropriations subcommittees in each body, which have jurisdiction over various agencies. In both the House and the Senate, NEH's appropriation request is considered as part of the work of the Appropriations Subcommittee on Interior, Environment, and Related Agencies. The House subcommittee typically holds a hearing in early spring at which the NEH Chairman testifies on behalf of the Endowment's request. For a variety of reasons, the Chairman of NEH has not testified before the House Appropriations subcommittee since March 2011, when Chairman Jim Leach presented testimony on behalf of the agency's and the Administration's FY 2012 budget request.

7. The House and Senate subcommittees make their recommendations to their full Appropriations Committees. Generally, the funding amounts the subcommittees propose for the Endowment are endorsed by the full Committees and then are "reported" to the floor of each body for action. The House, where federal money bills originate, votes on the bill initially and the Senate subsequently takes up consideration of its bill. If the House and Senate bills are identical, the legislation is transmitted to the President for his signature. Typically, however, differences exist between the House and Senate bills that must be resolved at a conference of representatives of the Appropriations Committees of both chambers. The conference bill that emerges from this procedure must then be approved by each body. These House and Senate deliberations are supposed to be concluded by late summer, and the President, ideally, receives the bill before the start of the new fiscal year on October 1. This schedule, however, rarely goes according to plan, which requires the Endowment, as well as many if not most agencies, to begin the new fiscal year without an

official appropriation. In such cases, Congress then must resort to passing a temporary stopgap funding device—called a "continuing resolution"—to provide the funding agencies need to operate until a final money bill can be approved.

8. After Congress passes the appropriation bill and the President signs it, the bill becomes law, and the funds are made available to the agency.

B. Types of Funds Available to NEH

The Congress appropriates two types of funds to the Endowment: definite funds and indefinite funds.

1. Definite funds provide money for the Endowment's basic program grants. Although used primarily to make "outright" awards for humanities projects, these funds also may be used to match third-party donations raised by grantees.

Definite funds also cover the essential administrative expenses associated with operating the agency, such as staff salaries and benefits, the costs of meetings of the National Council, rent, postage, printing, panel review system expenses, and the purchase of equipment and supplies. Agency leadership continually strives to ensure that these administrative expenditures are kept as low as possible, although current funding levels do not fully support the minimum necessary for efficient operations. Various strategies for addressing this gap have been identified for the future.

2. Indefinite funds are appropriated to the Endowment to support the agency's Treasury funds matching mechanism and the Challenge Grant program, both of which provide funds to match non-federal gifts to humanities projects and institutions. The term "indefinite" means that these funds constitute a reserve from which the agency may draw when third-party contributions are received and certified by the grantee.

While grants from Treasury funds and the Challenge program both require grantees to raise funds, they are otherwise different:

- Treasury funds support the same kinds of projects that definite program funds do—that is, distinct, discrete humanities projects—and almost always require a 1-to-1 nonfederal match.
- Challenge Grants, on the other hand, are an important source of assistance for strengthening the capacity of institutions and organizations to undertake and sustain significant humanities activities. These institutions take up the NEH "challenge" to match federal support with nonfederal dollars to increase their capacity for excellent humanities programs and activities.

NATIONAL ENDOWMENT FOR THE HUMANITIES

Status of FY 2017 Appropriation Request (\$ in thousands)

	FY 2015	FY 2016	FY 2017		
	Approp.	Approp.	Request	House	Senate
<i>The Common Good/Standing Together</i>	--	\$5,500	\$10,190	\$7,230	\$7,500
Bridging Cultures	\$3,500	--	--	--	--
Education Programs	13,265	13,040	12,000	12,000	12,550
Federal/State Partnership	42,528	43,040	43,040	46,000	43,540
Preservation and Access	15,460	15,200	14,385	14,385	14,625
Public Programs	13,684	13,454	12,730	12,730	12,945
Research Programs	14,784	14,536	13,755	13,755	13,990
Digital Humanities	4,400	4,480	4,600	4,600	4,600
<u>Program Development</u>	500	500	500	500	500
Subtotal	108,121	109,750	111,200	111,200	110,250
Challenge Grants	8,500	8,500	8,500	8,500	8,000
<u>Treasury Matching Funds</u>	2,400	2,400	2,200	2,200	2,244
Subtotal	10,900	10,900	10,700	10,700	10,244
<u>Administration</u>	27,000	27,292	27,948	27,948	27,948
Total	\$146,021	\$147,942	\$149,848	\$149,848	\$148,442

NATIONAL ENDOWMENT FOR THE HUMANITIES

Fiscal Year 2017 Budget Request to Congress

“The humanities belong to all the people of the United States.”
—National Foundation on the Arts and the Humanities Act of 1965, as amended

SUMMARY AND HIGHLIGHTS

The Administration and the National Endowment for the Humanities (NEH) request an appropriation totaling \$149,848,000 for fiscal year 2017:

- \$101,010,000 for the Endowment’s grant programs in support of projects in the humanities, including \$43,040,000 for the operations, projects, and programs of the state and territorial humanities councils;
- \$10,190,000 for a special initiative—*The Common Good: The Humanities in the Public Square*, which includes the continuation of *Standing Together*, the Endowment’s special programming for veterans and active duty military—in support of projects that demonstrate the critical role the humanities can play in our public life;
- \$10,700,000 in federal matching funds, including funding for the NEH Challenge Grants program to help stimulate and match nonfederal donations in support of humanities institutions and organizations; and
- \$27,948,000 for salaries and expenses needed to operate the agency efficiently and effectively.

Funding at the request level would enable NEH to fulfill its legislated mission to advance knowledge and understanding in the humanities and make this knowledge and learning widely accessible throughout the nation. These funds also would help the Endowment work toward a number of specific objectives, including:

- Assisting the nation’s military veterans by ensuring that educational and cultural programs are made available to them and their families;
- Strengthening humanities education in the nation’s schools and institutions of higher learning, particularly in the nation’s community colleges;
- Helping the U.S. maintain a leadership role in spurring technology innovation in the humanities, providing open access to research and data, and fostering digital project sustainability; and
- Advancing critical thinking, writing, and communications skills essential in an era of global economic competition.

Since its establishment in 1965, NEH has provided leadership in the realm of ideas and the spirit by supporting projects and programs in all areas of the humanities—history, philosophy, literature and languages, archaeology, political theory, comparative religion, and other humanities subject areas—and helped to make humanities knowledge and learning widely available in the United States. Each year, humanities projects supported by NEH fulfill the agency’s mandate by providing training for thousands of school, college, and university teachers; reaching tens of millions of Americans with high quality television and radio documentaries, museum exhibitions, and reading and discussion programs in museums and libraries across the nation; and by supporting, in partnership with the Library of Congress, efforts in every state to digitize and make accessible hundreds of thousands of pages of historic U.S. newspapers. NEH also supports scholarly research that has resulted in the publication of thousands of books, many of which have won prestigious awards for intellectual distinction, including 18 Pulitzer Prizes and 20 Bancroft Prizes. And, through the state humanities councils, NEH funding annually makes possible tens of thousands of reading and discussion programs, literacy programs, speakers bureau presentations, conferences, Chautauqua events, media programs, and a variety of technology, preservation, and state and regional history projects.

It is a powerful indicator of NEH’s success that it has been able to engage so many domains and dimensions of humanities work—popular and scholarly, individual and institutional, contemporary and historical, conceptual and material—and to see these poles come together, often in a dramatic way. The most significant result of this work is the steady growth of the cultural capital of the United States. NEH has had many partners in this endeavor, including the state humanities councils established in every state and territory, local and state governments, private foundations, and generous individuals. But without the Endowment’s leadership, commitment to the cultivation of the entire nation’s cultural capacity, and focus on broad access to humanities resources for all Americans, our citizens’ understanding and appreciation of their cultural heritage would be significantly diminished.

The cultural economy is hugely important to the economic health of thousands of communities around the country, and it is likely to matter more and more as the economic base of the United States continues to shift away from manufacturing to education, healthcare, human services, and information technology.

Building a More Strategic NEH

The arrival of Chairman William Adams and other new senior leadership in the past year and a half provided NEH with an opportunity to engage in an agency-wide effort to refresh its existing strategic plan. Our newly updated plan stresses the importance of expanding the scope and impact of the grants the agency makes and opening up our grant opportunities to communities, regions, institutions, and populations that have not traditionally availed themselves of the Endowment’s programs or benefited greatly from the products of NEH’s grantees. One of the revised plan’s central features is an effort to ensure that all Americans benefit from and understand the value of the humanities. Another distinctive feature is a coordinated effort to look for ways to improve service to our constituents in the humanities community, particularly to grant applicants and grantees, both those we have supported in the past, and new participants we look to support in the future.

Under the leadership of an agency-wide steering committee and with the participation of more than forty staff members in a kick-off workshop, the following four broad goals were developed:

Goal 1: To foster the expansion of knowledge and understanding of the humanities through our grant-making and other activities and to lead in the search for new research methodologies and areas of inquiry.

Goal 2: To nurture the nation's humanities infrastructures and support producers of significant humanities content.

Goal 3: To provide a humanities experience to all Americans, where they are.

Goal 4: To enhance quality of service and efficiency of operations.

All of the new programs, grant opportunities, and special emphases described in the succeeding pages of this budget request reflect our general principles and one or more goals of the refreshed strategic plan. We have also developed sets of strategies and tactics for working toward achieving each goal over the next several years and expect our strategic planning exercise to yield additional opportunities for NEH to operate more effectively, creatively, and efficiently in the future.

The Common Good: The Humanities in the Public Square
(includes *Standing Together: The Humanities and the Experience of War*)

In keeping with our updated strategic goals, NEH's special initiative, *The Common Good: The Humanities in the Public Square*, is designed to demonstrate and enhance the critical role the humanities can play in our nation's public life. NEH requests \$10.19 million for the initiative for FY 2017. These funds would enable NEH to significantly enhance the reach and impact of the initiative and would send a strong signal about the value of the humanities in our democracy and the essential role of the humanities in our public dialogue about the future of our country.

As NEH commemorates its fiftieth anniversary and embarks on its sixth decade of service to the American people, it is especially fitting to sponsor an initiative devoted to the broadest possible engagement of the American public with the humanities. For most of the great challenges we face as a nation, the challenges that define our times and determine our future, are not essentially scientific or technical in nature. They are about our values, our fundamental beliefs and ideas and assumptions, our histories and our cultures. These are the proper domains of humanities learning and thinking. The publicly engaged humanities can help us understand where we have been, what we value and believe, and where we are headed. Indeed, the Endowment's enabling legislation charges the agency to demonstrate "the relevance of the humanities to the current conditions of national life." *The Common Good* calls on scholars, teachers, filmmakers, museums, libraries, state humanities councils, and other individuals and institutions engaged in the humanities to develop projects that address this charge. We are

pleased that the initiative has been well received both within the humanities community and more broadly with the public at large, and we see significant opportunities for further expanding its impact and reach, with the funding we are requesting for FY 2017.

The Common Good builds on and expands the special programming NEH launched in 2014—*Standing Together: The Humanities and the Experience of War*. This programming seeks to connect the humanities to the experiences of veterans and service members, thus addressing a compelling need and serving a community that NEH has only tangentially reached in the past. To date, NEH has provided more than \$4 million in grants for projects that are reaching veterans throughout the nation. These projects include support for veterans to attend “academic boot camps” on eleven college and university campuses to help them transition from the military to life as college students; reading and discussion programs in VA hospitals, community centers, and public libraries using great works of literature; public performances for and involving veterans that draw on timeless themes from classical Greek dramas of soldiers returning home from war; and support for veterans-related work in the 50 states and the territories through grants made to the state humanities councils. The Endowment also funded a special project that provided more than 30 public screenings and discussion panels nationwide focused on a new documentary film, “Debt of Honor: Disabled Veterans in American History,” which was broadcast nationally in November on Veterans Day. The screening sites were selected to include localities with significant veteran and military populations. And, the Endowment has provided major funding to acclaimed documentary filmmaker Ken Burns for a ten-part series on “The Vietnam War,” which is slated to air on PBS in 2017.

The Endowment also has created a new program specifically concerned with veterans and active service members called “Dialogues on the Experience of War.” In FY 2016 and FY 2017, this program will provide funding of up to \$100,000 for projects that prepare discussion leaders and conduct discussion sessions on significant issues related to war and military service. Discussion groups can be made up exclusively of military veterans, but can also include men and women in active service, military families, and interested members of the public. The initial application deadline for the pilot competition in this program saw an enthusiastic response from the humanities community. In FY 2017, the Endowment intends to bolster its support for this and other *Standing Together* projects and programs, which is one of the agency’s top priorities.

Funds requested in FY 2017 for the broader *The Common Good* initiative would allow the Endowment to continue encouraging the deployment of the humanities around important national challenges and in doing so will take advantage of the new avenues for research and expression made possible by the ongoing revolution in digital media. The initiative has been woven throughout the agency’s major program areas and in NEH’s partnerships with the state humanities councils, other federal agencies, and private foundations. In addition to continuing and enhancing support for *Standing Together*, the initiative’s other key components, activities, and programs that have been established thus far or are planned for FY 2017 include:

- A new “Humanities Initiatives at Community Colleges” program is offering grants of up to \$100,000 to support projects focused on a core topic or set of themes in humanities education at community colleges, which educate more than half of all students now enrolled in postsecondary education. Community colleges need to be supported in their

efforts to produce citizens, as well as technicians. This means ensuring that they have the resources to support strong course offerings in American history, in our nation's political institutions and processes, and in the important social and political challenges of contemporary life. NEH's grants may support faculty and curriculum development, summer bridge programs, or other activities designed to enhance existing humanities programs, resources or courses, or to develop new ones. Strengthening and expanding the teaching and learning of the humanities in community colleges is one of the Endowment's highest priorities, and we look forward to exploring additional ways we can to nurture this critical area of our nation's educational system. The pilot competition for this new grant opportunity received a robust response from the field—nearly 100 grant applicants were submitted. The agency's FY 2017 budget request for *The Common Good* initiative would support a significant increase in the number of awards for excellent humanities projects at community colleges.

- A "Public Scholar Program" in the Endowment's Research Programs division is encouraging and promoting humanities research and writing for a wider reading public. Under this grant category, awards are being made to scholars working on topics of broad public interest and that have lasting impact. Such scholarship might, for example, present a narrative history, tell the stories of important individuals, analyze significant texts, provide a synthesis of ideas, revive interest in a neglected subject, or examine the latest thinking on a topic. The first grant competition in this new program in FY 2015 was a resounding success: Nearly 500 applications were submitted, and the Endowment made 36 awards. The program has garnered great praise in the press nationally for helping to spur an interest in engaging, well-researched, and accessible non-fiction books for the general reading public. NEH's Public Programs division will help facilitate public discussion programs centered on these works when they are published in the coming years and some NEH-supported scholars may participate in programs sponsored by the state humanities councils.
- America's cultural heritage is preserved not only in libraries, museums, and archives, but also in all our homes, family histories, and life stories. A new NEH program, "Common Heritage," which is jointly administered by the agency's Preservation and Access and Public Programs divisions, supports local community events in cities and towns devoted to digitizing cultural heritage materials such as photographic materials, maps, films, and letters, and then making these historical materials available for exhibition, study, discussion, and preservation. Members of the public will be able to bring these materials to a local cultural institution and have them professionally digitized on the spot. With the owners' permission, additional digital copies would be included in the institution's historical collections and be made available for future exhibits and other public programs. Initial interest in this new grant program was strong: NEH received more than 200 applications from 44 states at its first deadline and made 38 awards.
- In FY 2015, NEH announced a special grant competition in the Endowment's Public Programs division, "Humanities in the Public Square," to support public forums, programs, and educational resources focused on a challenging community issue. The program invited projects that draw on humanities scholarship to engage the public in

understanding pressing contemporary concerns. We received 115 grant applications and made 21 awards for public forums that will engage scholars and humanities practitioners in discussions with a public audience about a significant community issue; programs that creatively engage audiences in extended discussion of the issue; and educational resources for use by teachers, students, and/or lifelong learners.

Building on the success of “Humanities in the Public Square,” the Endowment has developed another program, “Humanities in Your Community Grants” (working title), which will make its first awards in FY 2017. This grant category will support projects that draw on the power of the humanities to offer useful perspectives on difficult and complex questions facing Americans as members of communities and as citizens.

- A new “Humanities Open Book” program, in partnership with the Andrew W. Mellon Foundation, is designed to make outstanding, out-of-print humanities books available electronically, and free of charge, to the American people. By taking advantage of low-cost e-book technology, this program will allow teachers, students, scholars, and the public to read many thousands of works of history, literature, philosophy, and other humanities subjects that have long been out of print. This innovative program’s first ten awards were made in the fall of 2015. The Mellon Foundation has committed \$1,500,000 to the program over three years.
- In NEH’s Challenge Grants program, a new grant opportunity for “Next Generation Humanities Ph.D. Grants” will encourage and support universities in their efforts to institute wide-ranging changes in humanities doctoral programs. NEH hopes to assist universities in devising a new model of doctoral education, which can both transform the understanding of what it means to be a humanities scholar and promote the integration of the humanities in the public square. This new grant program is premised on the notion that humanities knowledge and methods can make an even more substantial impact on society if students are able to translate what they learn in doctoral programs into a variety of careers. Students will have a more fulfilling experience in graduate school and be better prepared to undertake various kinds of careers, and humanities Ph.D. programs in the nation’s universities will increase their relevance for the twenty-first century.

In FY 2017, the NEH Challenge program will also launch two other grant competitions designed to disseminate knowledge in the humanities in innovative ways and broaden nonfederal support for the humanities: “Expanding Humanities Access” will stimulate program development for underserved groups, and “Creating Humanities Communities” will expand humanities programs in states previously underserved by NEH’s grant programs.

- NEH will provide additional resources to the state humanities councils in support of projects and programs related to *The Common Good* and *Standing Together*. Much of the support that NEH provides to the state councils already supports a strong “public humanities” focus, so further enhancing this shared focus will only strengthen an already strong set of programs.

Other FY 2017 Program Highlights

A brief overview of the Endowment's many other programmatic plans and objectives for FY 2017 follows. These plans are discussed in detail in the individual division, office, and program sections of this budget submission.

- Preserve and increase access to cultural heritage resources. In FY 2017, the agency's Preservation and Access grant programs will continue to focus on projects that preserve and reformat the intellectual content of historically significant books and periodicals; preserve and provide access to important archival materials and library special collections; and create humanities research tools and reference works such as dictionaries, bibliographies, and encyclopedias. NEH is also working with other agencies and with non-governmental organizations to help document and call attention to endangered artifacts and other cultural heritage resources abroad, particularly in the Middle East.
- Support research and scholarship that expand our knowledge and understanding in the humanities. The Endowment's FY 2017 budget request will provide critical support for advanced humanities research and scholarship in the United States. Funding will allow NEH to make hundreds of awards to individual scholars, as well as grants to institutions and teams of scholars engaged in collaborative projects, to explore subjects that enrich our knowledge of the people, ideas, and events that make up the record of civilization.

In FY 2017, NEH and the Andrew W. Mellon Foundation will begin a new, three-year special grant opportunity to promote a new generation of scholars who are working on humanities projects that will be published only in digital formats. This partnership is a cost-effective way to maximize the investments and goals of both organizations.

- Strengthen teaching and learning in the humanities in elementary and secondary schools and institutions of higher education. In FY 2017, NEH will sustain its support for summer institutes, seminars, and workshops that provide teachers with opportunities to replenish and deepen their knowledge of the humanities through intensive study of books, archives, and visits to local and national landmarks to further bring the humanities to life. The Endowment supports teacher and faculty development projects that are based on rigorous humanities scholarship and directed by distinguished scholars and master teachers. The ultimate beneficiaries of these programs are the hundreds of thousands of American students who annually are taught by teachers who have enhanced their knowledge of the subjects they teach.

NEH's EDSITEment project annually provides millions of teachers, students, and parents with access to more than 500 scholar- and teacher-developed lesson plans and links to over 400 peer-reviewed websites covering topics from civics to American history to world literature that are curated especially for the classroom. In FY 2016 and FY 2017, EDSITEment will undertake an important review and upgrade of its digital platform with the goal of creating a service that will increase the transparency and usability of the

project's considerable collection of free and open educational resources and thus be more flexible, visual, mobile, and adaptable to the changing needs of K-12 educators.

- Provide opportunities for Americans to engage in lifelong learning in the humanities. In FY 2017, the Endowment will provide support for public humanities projects that will enable millions of Americans to engage deeply with significant works, ideas, and events through the lens of the humanities. The Endowment supports a wide range of public humanities programs that reach large and diverse audiences. These programs make use of a variety of formats including television and radio documentaries, museum exhibitions, and interpretation at historic sites, websites, and other digital media.
- Support for the programs and activities of the state humanities councils. NEH's work in FY 2017 will be complemented and extended by the programs and projects of the NEH-affiliated humanities councils in the states and U.S. territories. With their strong networks of cultural and educational institutions within their states—speakers bureaus, regrant programs, Chautauqua presentations, literacy programs, reading and discussion programs—the councils are able to reach citizens in diverse and remote settings that NEH's national programs may not be able to reach. Every council has a distinctive programmatic mix that is tailored to the needs and interests of the citizens of that state.
- Provide national leadership in spurring innovation and best practices in the use of the digital humanities. In the humanities, as in the sciences, digital technology has changed the way scholars perform their work. While the Endowment supports digital projects in all of its major grant programs, it is through its Office of Digital Humanities that NEH fosters the development of a wide variety of innovative digital humanities projects and forward-looking solutions.
- Strengthening the institutional base of the humanities and leveraging third-party contributions to humanities projects. The NEH Challenge Grants matching program in FY 2017 will continue to assist educational and cultural institutions, such as colleges, universities, museums, public libraries, historical societies, state humanities councils, and many other humanities organizations in developing non-federal sources of support for their humanities programs. Beginning in FY 2016, the single Challenge Grant program will be replaced by three new, thematically focused programs. Each has as its goal to help institutions disseminate knowledge in the humanities in innovative ways.

Encouraging private-sector support for cultural activities is an important goal of the agency. NEH requests FY 2017 funding for its Treasury funds account, which will be used to match nonfederal contributions to individual projects in the humanities. NEH Treasury matching grants, which match nonfederal donations on a one-to-one basis, have proven to be an effective mechanism for leveraging the contributions of businesses, foundations, and individuals on behalf of the humanities. From the establishment of the agency in 1965 through fiscal year 2015, NEH matching grants for discrete humanities projects have stimulated nearly \$440,000,000 in third-party donations.

- Partnership initiatives. NEH provides national leadership through creative partnerships with other nonfederal and federal institutions in support of mutual interests in the humanities. In addition to the previously mentioned new partnerships with the Mellon Foundation in support of the digitization of out-of-print humanities books and fellowships for scholars to publish their research digitally, NEH's collaboration with the National Science Foundation is providing national recognition and support for projects to document, record, and archive endangered languages worldwide that are on the verge of extinction, including hundreds of American Indian languages. It is estimated that half of the world's 6,000-7,000 current spoken languages are on the verge of extinction. Since FY 2005, NEH has supported nearly 120 projects, including many on endangered American Indian languages, and awarded approximately \$11.3 million as part of this widely acclaimed initiative. For more than a decade, the Endowment also has worked in partnership with the Library of Congress to digitize and post online millions of pages of historic U.S. newspapers. To date, NEH-supported projects in 40 states have produced nearly ten million pages, with many millions more to follow in the coming years. These partnership initiatives will be continued in FY 2017.

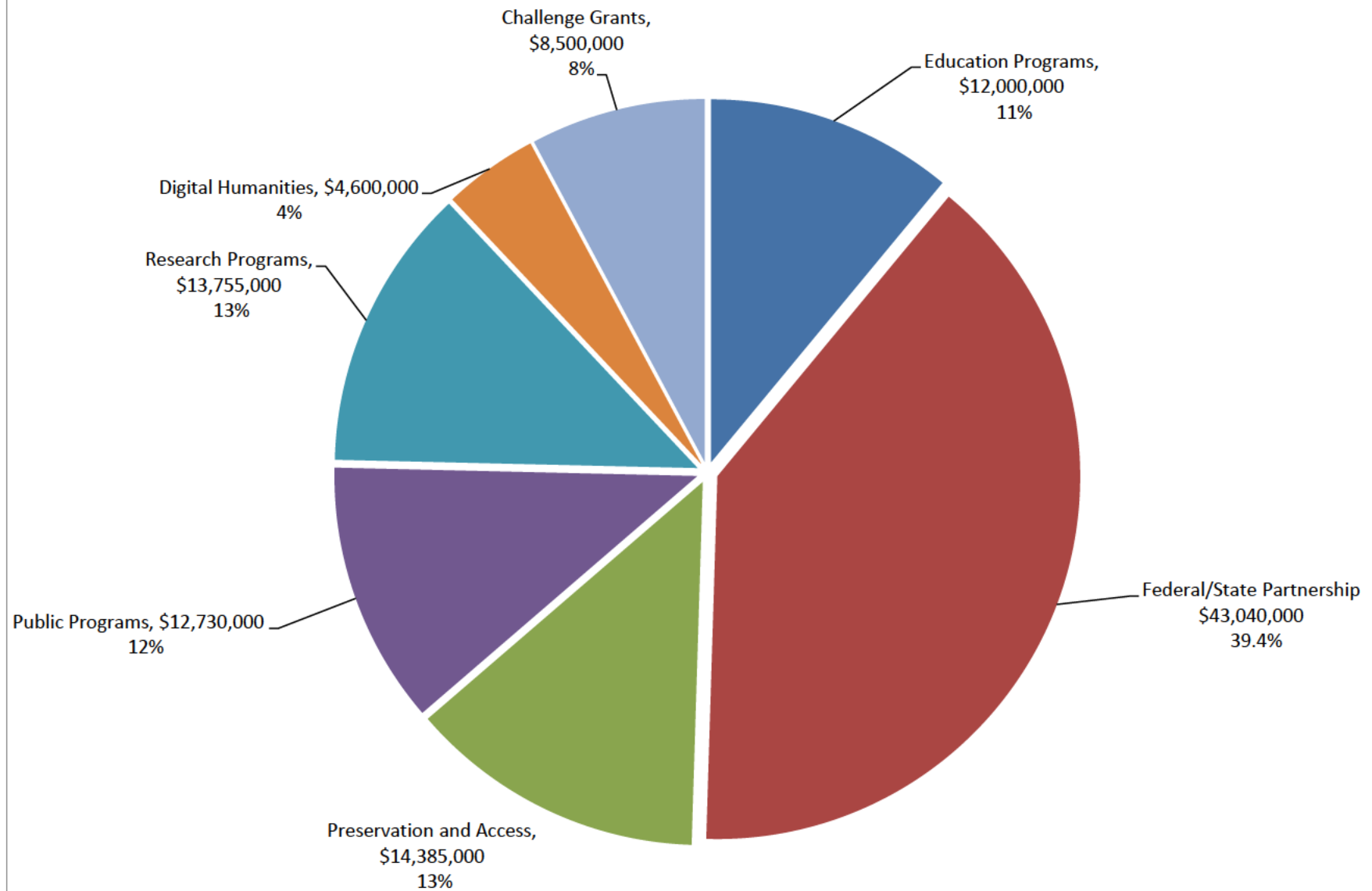
The Endowment is also partnering with the Smithsonian Institution to sponsor a History Film Forum that examines documentary films as vehicles for teaching and interpreting history. The forum includes "Teaching History with Movies" workshops aimed at offering teachers tools and tips for effectively incorporating movies in their middle and high school history classrooms.

National Endowment for the Humanities
FY 2017 Program Budget Request
[in thousands]

	FY 2017 Request	
Education Programs	12,000	11.0082%
Federal/State Partnership	43,040	39.4826%
Preservation and Access	14,385	13.1960%
Public Programs	12,730	11.6778%
Research Programs	13,755	12.6181%
Digital Humanities	4,600	4.2198%
Challenge Grants	8,500	7.7974%
 TOTAL	 109,010	 1

NATIONAL ENDOWMENT FOR THE HUMANITIES

FY 2017 Request: All Program Divisions and Offices

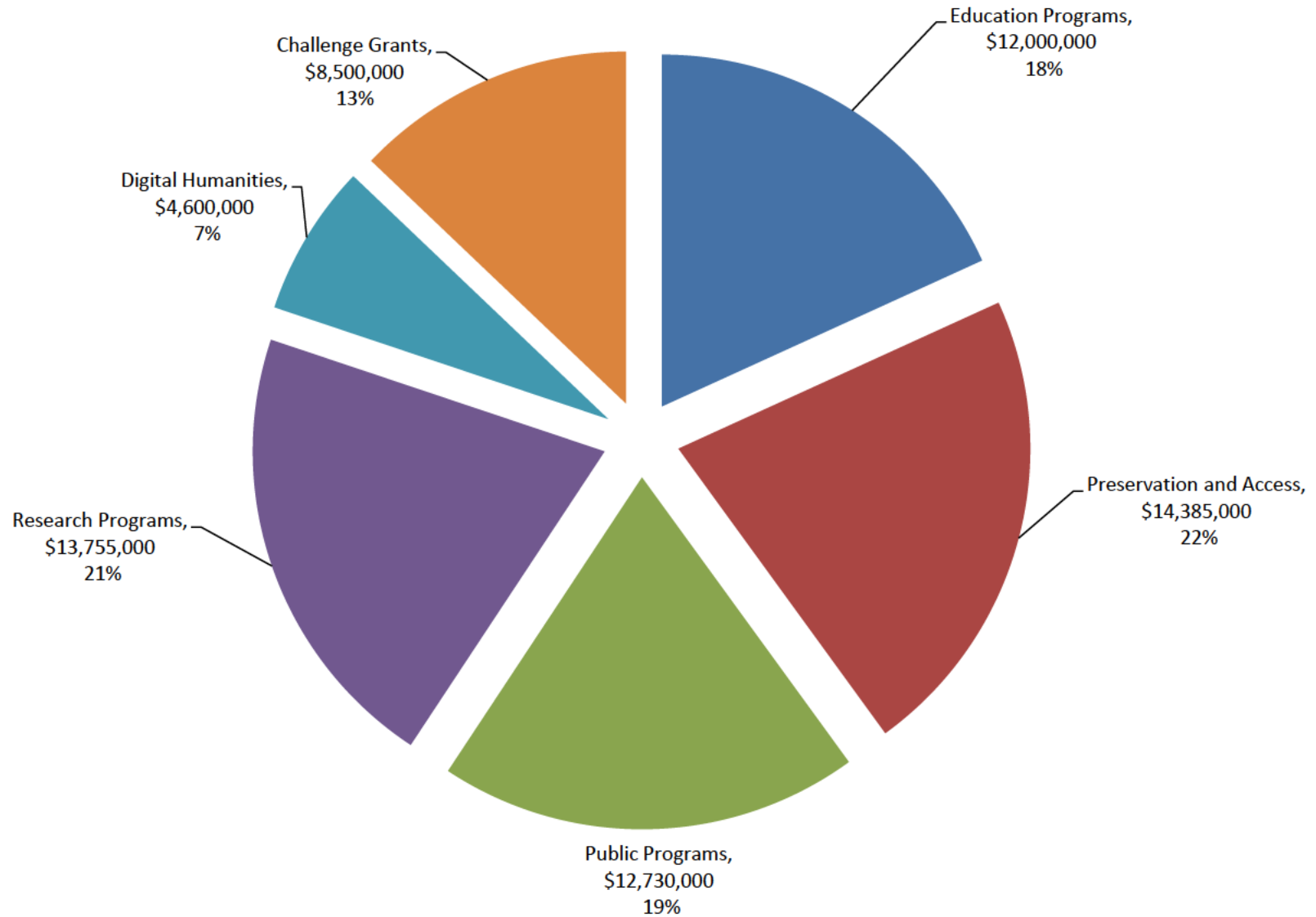


National Endowment for the Humanities
FY 2017 Program Budget Request
[in thousands]

	FY 2017 Request	
Education Programs	12,000	18.1901%
Preservation and Access	14,385	21.8054%
Public Programs	12,730	19.2966%
Research Programs	13,755	20.8504%
Digital Humanities	4,600	6.9729%
Challenge Grants	8,500	12.8846%
TOTAL	65,970	1

NATIONAL ENDOWMENT FOR THE HUMANITIES

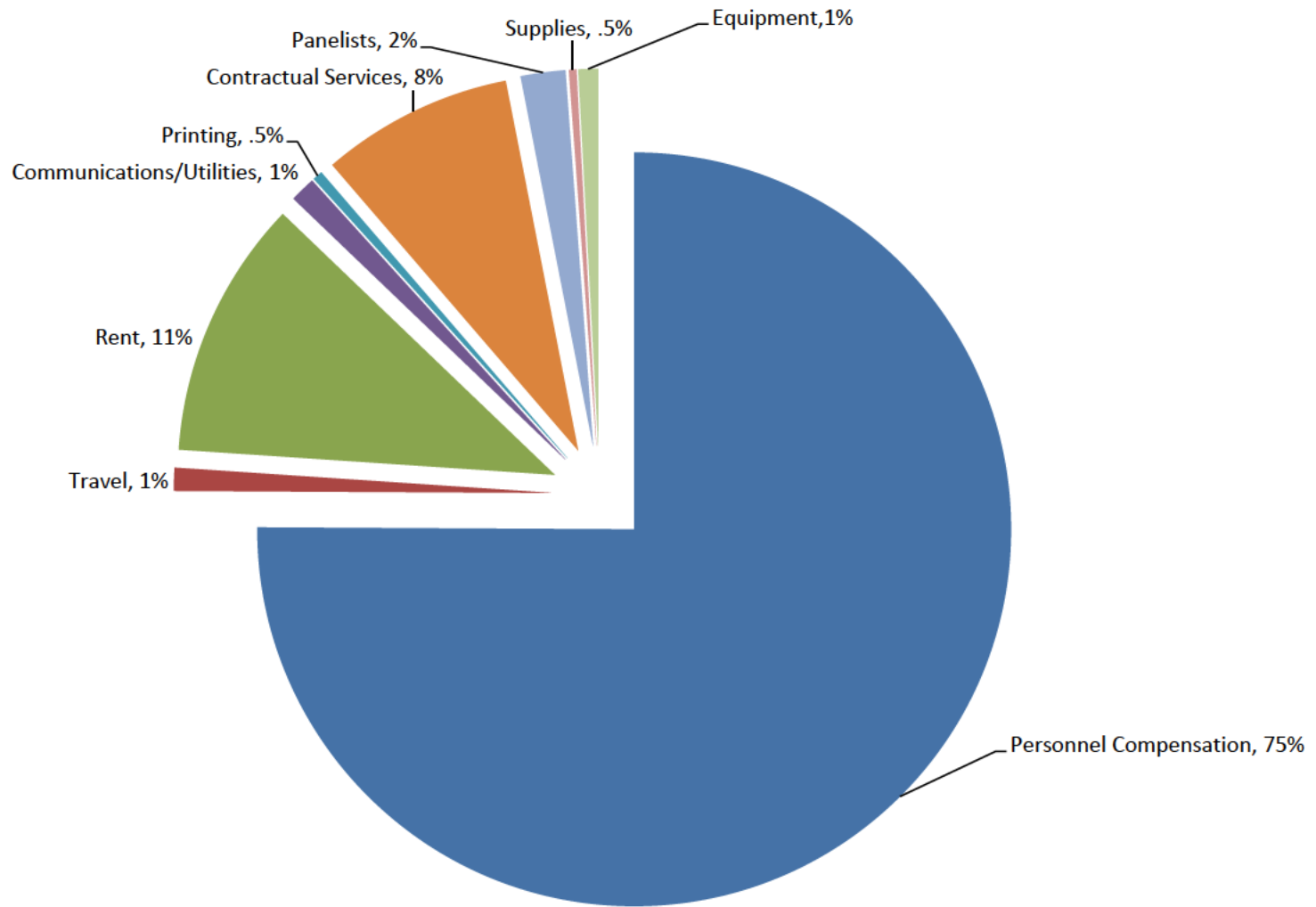
FY 2017 Request: Program Divisions and Offices (excludes Federal/State Partnership)



National Endowment for the Humanities
FY 2017 Administrative Budget Request
[in thousands]

	FY 2017 Request	
<u>Personnel Compensation</u>	<u>20,984</u>	75.0823%
<u>Travel & Transportation</u>	<u>275</u>	0.9840%
<u>GSA Rent</u>	<u>3,102</u>	11.0992%
<u>Communications & Utilities</u>	<u>307</u>	1.0985%
<u>Printing</u>	<u>118</u>	0.4222%
<u>Contractual Services</u>	<u>2,300</u>	8.2296%
<u>Panelist Contracts</u>	<u>535</u>	1.9143%
<u>Supplies</u>	<u>90</u>	0.3220%
<u>Equipment</u>	<u>237</u>	0.8480%
TOTAL	27,948	1

FY 2017 Administration Budget Request \$27,948,000



NEH: Key House and Senate Committees

Appropriations Committees

Senate Appropriations Subcommittee on Interior, Environment, and Related Agencies

Chair, Sen. Lisa Murkowski (R-AK)
Sen. Lamar Alexander (R-TN)
Sen. Thad Cochran (R-MS)
Sen. Roy Blunt (R-MO)
Sen. John Hoeven, John (R-ND)
Sen. Mitch McConnell (R-KY)
Sen. Steve Daines (R-MT)
Sen. William Cassidy (R-LA)

Ranking Sen. Tom Udall (D-NM)
Sen. Dianne Feinstein (D-CA)
Sen. Patrick Leahy (D-VT)
Sen. Jack Reed (D-RI)
Sen. Jon Tester (D-MT)
Sen. Jeff Merkley (D-OR)
*Sen. Barbara Mikulski (D-MD)

House Appropriations Subcommittee on Interior, Environment, and Related Agencies

Chair, Rep. Ken Calvert (R-CA)
Rep. Mike Simpson (R-ID)
Rep. Tom Cole (R-OK)
Rep. David Joyce (R-OH)
Rep. Chris Stewart (R-UT)
Rep. Mark Amodei (R-NV)
Rep. Evan Jenkins (R-WV)
ExOfficio, Rep. Harold Rogers (R-KY)

Ranking, Rep. Betty McCollum (D-MN)
Rep. Chellie Pingree (D-ME)
Rep. Derek Kilmer (D-WA)
*Rep. Steve Israel (D-NY)
ExOfficio, Rep. Nita Lowey (D-NY)

Authorizing Committees

Senate Health, Education, Labor, and Pensions Committee

Chair, Sen. Lamar Alexander (R-TN)
Sen. Michael Enzi (R-WY)

Sen. Richard Burr (R-NC)
Sen. Johnny Isakson (R-GA)
Sen. Paul Rand (R-KY)
Sen. Susan Collins (R-ME)
Sen. Lisa Murkowski (R-AK)
Sen. Mark Steven Kirk (R-IL)
Sen. Tim Scott (R-SC)
Senator Orrin Hatch (R-UT)
Sen. Pat Roberts (R-KS)
Sen. William Cassidy (R-LA)

Ranking, Sen. Patty Murray (D-WA)
*Sen. Barbara Mikulski (D-MD)
Sen. Bernard Sanders (I-VT)
Sen. Robert Casey (D-PA)
Sen. Al Franken (D-MN)
Sen. Michael Bennet (D-CO)
Sen. Sheldon Whitehouse (D-RI)
Sen. Tammy Baldwin (D-WI)
Sen. Christopher Murphy (D-CT)
Sen. Elizabeth Warren (D-MA)

House Education and the Workforce Committee

*Chair, Rep. John Kline, (R-MN)
Rep. Joe Wilson (R-SC)
Rep. Virginia Foxx (R-NC)
Rep. Duncan Hunter (R-CA)
Rep. Phil Roe (R-TN)
Rep. Glenn Thompson (R-PA)
Rep. Tim Walberg (R-MI)
*Rep. Matt Salmon (R-AZ)
Rep. Brett Guthrie (R-KY)
Rep. Todd Rokita (R-IN)
Rep. Lou Barletta (R-PA)
*Rep. Joseph Heck (R-NV)
Rep. Luke Messer (R-IN)
Rep. Bradley Byrne (R-AL)
Rep. David Bratt (R-VA)
Rep. Earl Carter (R-GA)
Rep. Mike Bishop (R-MI)
Rep. Glenn Grothman (R-WI)
Rep. Steve Russell (R-OK)
Rep. Carlos Curbelo (R-FL)
Rep. Elise Stefanik (R-NY)
Rep. Rick Allen (R-GA)

Ranking, Rep. Robert Scott (R-VA)
*Rep. Ruben Hinojosa (D-TX)
Rep. Susan Davis (D-CA)
Rep. Raul Grijalva (D-AZ)

Rep. Joe Courtney (D-CT)
Rep. Marcia Fudge (D-OH)
Rep. Sablan Gregorio (I-MP)
Rep. Frederica Wilson (D-FL)
Rep. Suzanne Bonamici (D-OR)
Rep. Mark Pocan (D-WI)
Rep. Mark Takano (D-CA)
Rep. Hakeem Jeffries (D-NY)
Rep. Katherine Clark (D-MA)
Rep. Alma Adams (D-NC)
Rep. Mark DeSaulnier (D-CA)

* Denotes a member who is either retiring or running for another office. The committee seat will be filled by another Member of Congress sometime in January 2017.

**Further changes can be anticipated based on election results and interest by Members to change committee assignments when the next Congress organizes in January 2017.

NEH: Key House and Senate Committee Staff

Appropriations Committees

Senate Appropriations Subcommittee on Interior, Environment, and Related Agencies

Nona McCoy	Majority Clerk	202 224-3491	nona_mccoy@appro.senate.gov
Melissa Zimmerman	Minority Clerk	202 224-9722	melissa_zimmerman@appro.senate.gov

House Appropriations Subcommittee on Interior, Environment, and Related Agencies

Dave LesStrang	Majority Clerk	202-225-3081	david.lesstrang@mail.house.gov
Rita Culp	Minority Clerk	202 225-3481	rita.culp@mail.house.gov

Authorizing Committees

Senate Health, Education, Labor, and Pensions Committee

Stacy Amin	Majority Counsel	202 224-6770	stacy_amin@help.senate.gov
Beth Stein	Minority Counsel	202 224-6403	beth_stein@help.senate.gov

House Education and the Workforce Committee

Juliane Sullivan	Maj. Staff Director	202 225-4527	juliane.sullivan@mail.house.gov
Denise Forte	Min. Staff Director	202 225-3725	denise.forte@mail.house.gov

NEH: Supportive Caucuses

House Humanities Caucus Co-Chairs

Rep. David Price (D-NC)

Rep. Leonard Lance (R-NJ)

House Arts Caucus Co-Chairs

Rep. Louise Slaughter (D-NY)

Rep. Leonard Lance (R-NJ)

Senate Cultural Caucus Co-Chairs

*Senator Barbara Mikulski (D-MD)

Senator Mike Enzi (R-WY)

* Denotes a member who is either retiring or running for another office. The committee seat will be filled by another Member of Congress sometime in January 2017.

**Further changes can be anticipated based on election results and interest by Members to change committee assignments when the next Congress organizes in January 2017.

National Trust for the Humanities

Created in 1996 by former Chairman Sheldon Hackney, the National Trust for the Humanities serves as NEH's private support group. An independent organization, the Trust is similar to "friends of" organizations that raise funds for other federal agencies.

- It is the Trust's mission to help the NEH advance the humanities in ways the agency is unable to with appropriated funds alone.
- One useful service the Trust performs for the agency is to serve as a vehicle for private donations for special projects -- including, most notably, for NEH's annual Jefferson Lecture.
- Unlike such groups as the National Park Foundation or the National Archives Trust Fund, the Trust does not have a Congressional charter, and its value to the NEH depends in part upon the relationships an agency chair builds with its board members.
- Robert G. Perry serves as the Trust's current chairman. An active civic leader in Washington, D.C., he has been working to build the Trust into a more influential fund-raising and advocacy group for the NEH.

The Trust's fifteen current members include several former corporate CEOs, the proprietors or principals of two Washington, D.C., public relations firms, the head of a venture capital firm, and some notable philanthropists as well as other prominent civic leaders.

More information about the National Trust for the Humanities is available at <http://www.humanitiestrust.org/>.

President's Committee on the Arts and the Humanities

Created in 1982 under President Reagan, the President's Committee on the Arts and the Humanities (PCAH) works directly with the federal government's three primary cultural agencies—the National Endowment for the Arts, the National Endowment for the Humanities, and the Institute of Museum and Library Services—as well as other federal partners and the private sector, to address policy questions in the arts and humanities, to initiate and support key programs in those disciplines, and to recognize excellence in the field. The committee's core areas of focus are arts and humanities education, cultural exchange, and the creative economy.

Members of PCAH include private citizens appointed by the President as well as the heads of twelve federal agencies: Department of State, Department of Education, General Services Administration, Department of the Treasury, Library of Congress, Department of the Interior, National Endowment for the Arts, National Endowment for the Humanities, Institute of Museum and Library Services, Smithsonian Institution, John F. Kennedy Center for the Performing Arts, and the National Gallery of Art.

Examples of the President's Committee's activities over the years include:

- Helped to create the federal Save America's Treasures program (1999) to leverage public/private investment in our nation's cultural and historic resources.
- Created the National Arts and Humanities Youth Program Awards (formerly Coming Up Taller) to encourage and support exemplary after school arts and humanities programs.
- Formulated recommendations for President Clinton in Creative America (1997) on advancing the nation's interdependent cultural support system.
- Convened the U.S. Cultural & Heritage Tourism Summit (2005) to develop a national strategy for cultural and heritage tourism for the benefit of the nation's economy and communities.
- Led U.S. cultural delegations to China in 2007 and Cuba in 2016.

The costs of operating the PCAH are largely borne by the NEA and NEH. Each Endowment provides salary support for two employees (who, for administrative convenience, remain on the NEA and NEH payrolls as they work at PCAH). In addition, NEA provides communications support, legal counseling, and various types of administrative and logistical support, and NEH provides support for IT services and budgeting/accounting functions. The two Endowments share these and other operational costs through an interagency agreement. Independently, each agency also provides a variety of programmatic support for the work of the committee.

The Executive Order under which PCAH currently operates expires in September of 2017. As with any Executive Order, it can be renewed, amended, or discontinued by the President at any time.

NEH Partnerships

Partnerships with U.S. Government Agencies

Institute of Library and Museum Services

Digging Into Data: International partnership to fund large-scale data analysis in the humanities and social sciences.

Digital Humanities Advancement Grants. Joint grant program to advance digital humanities research and teaching across universities, libraries, and museums.

Digital Public Library of America: NEH and IMLS, along with several private foundations (including Sloan Foundation, Knight Foundation, and Mellon Foundation) have provided important early funding for a national digital portal to bring together the riches of America's libraries, archives, and museums, and make them freely available online.

Japan-US Friendship Commission

Fellowships for Advanced Social Science Research on Japan Program: JUSFC transfers funds to NEH to review applicants and fund fellows.

Library of Congress

John W. Kluge Fellows Program: LOC transfers funds to NEH to review applicants to the John W. Kluge Fellows Program, based at LOC.

National Book Festival: NEH transfers funds annually to the Center of the Book at LOC to fund the National Book Festival.

National Digital Newspaper Program: Partnership between the NEH and LOC to provide digital access to United States newspapers published between 1836 and 1922, which are published on the "Chronicling America" website.

National Digital Stewardship Alliance: Membership in a collaborative effort to establish, maintain, and advance the capacity to preserve our nation's digital resources for the benefit of present and future generations.

National Endowment for the Arts

Design for Accessibility: NEH transfers funds to NEA to revise "Design for Accessibility: A Cultural Administrator's Handbook."

Federal Interagency Task Force on the Arts and Human Development: NEH is a member of interagency group with regular convenings on wide range of

federal activities involving arts, such as health, aging, child development, Native American communities, support for veterans, etc.

National Academies of Sciences, Engineering, and Medicine study: Interagency Agreement (IAA) between the National Endowment for the Arts (NEA) and the National Endowment for the Humanities (NEH) to pay for study on the impact of educational experiences that integrate the humanities and arts with science, technology, engineering, math and medicine, to be funded jointly by NEH and NEA

National Arts and Humanities Youth Program Awards: NEH transfers funds to NEA to administer the awards. Costs are shared between the NEH, NEA, IMLS and PCAH.

Research, Practice, and Innovation at the Intersection of Arts, Science, and Humanities: Partnership between NEA, NSF, and NEH to collaboratively leverage R&D investments across the fields of art, science, humanities, education, and engineering, with a particular focus on digital technology.

US Government Membership in the International Federation of Arts Councils and Culture Agencies: NEH and NEA have shared annual membership costs in past years.

National Institutes of Health—National Library of Medicine

Cooperation in the Encouragement of Scholarship: Ongoing development of collaborative activities between the humanities and biomedical communities to share expertise and develop new research agendas.

National Park Service

NEH supports collaborations that include education programs for teachers (*Landmarks of American History: Workshops for School Teachers*) and development of digital learning tools at National Park sites.

National Science Foundation

Digging Into Data: International partnership to fund large-scale data analysis in the humanities and social sciences.

Documenting Endangered Languages: Partnership between the NEH and NSF to develop and advance knowledge concerning endangered human languages.

Research, Practice, and Innovation at the Intersection of Arts, Science, and Humanities: Partnership between NEA, NSF, and NEH to collaboratively leverage R&D investments across the fields of art, science, humanities, education, and engineering, with a particular focus on digital technology.

Survey of Earned Doctorates: NEH transfers funds to NSF to sponsor data collection for the Survey of Earned Doctorates, a census of all research doctorates granted by U.S. institutions.

President's Committee on Arts and the Humanities

National Spoken Word Poetry Ambassadors Program: NEH has entered into a cooperative agreement with Youth Speaks to facilitate a PCAH companion initiative to the National Student Poets Program, featuring educational programs for youth poets selected to serve as poetry ambassadors.

Turn Around Arts: Created Equal: NEH, through funding to Brooklyn Historical Society and Jacob Burns Film Center, supports a pilot project, "Created Equal: Image, Sound and Story," in underserved schools served by the PCAH Turn Around Arts initiative.

- *National Arts and Humanities Youth Program Awards* (formerly Coming Up Taller): encourages and supports exemplary after school arts and humanities programs.

Smithsonian

Changing America: National Museum of African American History and Culture will oversee design, production and distribution of a traveling version of a Smithsonian exhibit, "Changing America," to complement the NEH's "Created Equal" program.

History Film Forum: Collaboration of National Museum of American History and NEH in presenting annual public events to showcase films about history, including NEH- funded documentary films.

U.S. Department of State

Interagency Agreement on NEH-funded films: Provides a framework for cooperation in which NEH agrees to incorporate into the relevant guidelines and award letters an expectation that grantee filmmakers will cooperate with the State Department in offering IIP access, for diplomatic use, to documentary films that receive NEH production grants.

Interagency Collaboration on Learning: Agreement to collaborate in making historic collections of State Department Diplomatic Reception Rooms widely available on a digital platform with special emphasis on educational outreach.

White House Initiatives for Minority Serving Institutions

Through executive orders, federal agencies are asked to conduct programs and/or report impact on five categories of educational institutions: Historically Black Colleges and Universities (Executive Order 13532), American Indian and Alaska Native Education (Executive Order 13592), Educational Excellence for Hispanics (Executive Order 13555), Asian Americans and Pacific Islanders (Executive Order 13515), and Educational Excellence for African Americans (Executive Order 13621).

Partnerships with Non-Governmental U.S. Entities

American Library Association: Cooperative agreement to administer Latino Americans: 500 Years of History, a national program supporting public programs on Latino history and culture.

Andrew W. Mellon Foundation. Two joint grant programs aimed at furthering scholarly communications in the humanities: The NEH/Mellon Humanities Open Book Program and the NEH/Mellon Fellowships for Digital Publication.

Civics Renewal Network: NEH/EDSITEment partnership with national organizations (public-private group including American Bar Association, Annenberg Public Policy Center, Library of Congress, National Archives, National Constitution Center, Newseum) to support civics web portal for students and related Constitution Day activities highlighting importance of civics education.

Games for Change: Cooperative Agreement to co-sponsor the second annual Games for Change Student Challenge, which gives middle and high school students in New York City, Pittsburgh, and Dallas the opportunity to collaborate with game design experts and scholars to produce a working video game on a humanities topic.

Gilder Lehrman Institute of American History: Cooperative agreement to administer NEH's "Created Equal" film set project and create content for a project website.

Mid-America Arts Alliance: Cooperative agreement to maintain current pool of "NEH on the Road" exhibitions, disperse exhibitions that will be retired and reconfigure additional NEH-funded exhibitions.

National History Day: Partnership to award top prizes and conduct special contest to encourage student projects using NEH/Library of Congress "Chronicling America"

PBS: Framework for distribution of a select group of NEH-funded films through PBS International, the worldwide co-production and distribution division of PBS Distribution.

Partnerships with International Agencies

The Academy of Finland (Finland)

Digging Into Data Challenge: International partnership to fund large-scale data analysis in the humanities and social sciences.

l'Agence Nationale de la Recherche (France)

Digging Into Data Challenge: International partnership to fund large-scale data analysis in the humanities and social sciences.

Arts & Humanities Research Council (United Kingdom)

Digging Into Data Challenge: International partnership to fund large-scale data analysis in the humanities and social sciences.

Collaborative Research: ongoing discussions of opportunities for co-funding US-UK research partnerships, with a trial program *Using Humanities Scholarship to Study Health and Well-Being in the United Kingdom and the United States* that did not yield fundable projects and current discussion of opportunities that may connect “Bridging Cultures” with AHRC’s “Translating Cultures” theme.

Consejo Nacional de Ciencia y Tecnología (Mexico)

Digging Into Data Challenge: International partnership to fund large-scale data analysis in the humanities and social sciences.

Deutsche Forschungsgemeinschaft (German Research Foundation, Germany)

Digging Into Data Challenge: International partnership to fund large-scale data analysis in the humanities and social sciences.

Economic & Social Research Council (United Kingdom)

Digging Into Data Challenge: International partnership to fund large-scale data analysis in the humanities and social sciences.

Fundação de Amparo à Pesquisa do Estado de São Paulo (Brazil)

Digging Into Data Challenge: International partnership to fund large-scale data analysis in the humanities and social sciences.

Fundação para a Ciência e a Tecnologia (Portugal)

Digging Into Data Challenge: International partnership to fund large-scale data analysis in the humanities and social sciences.

Natural Sciences and Engineering Research Council (Canada)

Digging Into Data Challenge: International partnership to fund large-scale data analysis in the humanities and social sciences.

Netherlands Organisation for Scientific Research

Digging Into Data Challenge: International partnership to fund large-scale data analysis in the humanities and social sciences.

Social Sciences and Humanities Research Council (Canada)

Digging Into Data Challenge: International partnership to fund large-scale data analysis in the humanities and social sciences.

Trans-Atlantic Platform for the Social Sciences and the Humanities (European Union)

NEH and NSF are observers (or “associates”) participating in EU-funded effort to foster trans-Atlantic research collaborations in the humanities and the social sciences. Signatories to the MOU are as follows: Netherlands Organisation for Scientific Research, Social Sciences and Humanities Research Council of Canada, Fundação de Amparo à Pesquisa do Estado de São Paulo (Brazil), The Academy of Finland, The International Social Science Council, l’Agence Nationale de la Recherche (France), Deutsches Zentrum für Luft- und Raumfahrt e.V. (Germany), Consejo Nacional de Ciencia y Tecnología (Mexico), Fundação para a Ciência e a Tecnologia (Portugal), Arts and Humanities Research Council (UK), Economic and Social Science Research Council (UK); Deutsche Forschungsgemeinschaft (Germany) is an Associate Partner.

Federal Agencies with Complementary Missions and Programs

NEH's programs are complemented by a number of other federal agencies:

- The **National Endowment for the Arts** (NEA), which is also located in the Constitution Center and which shares NEH's enabling legislation, supports a variety of grant programs in support of the arts.
- The **President's Committee on the Arts and the Humanities**, which is jointly supported by NEH and NEA, helps support the Administration's cultural priorities by bridging the federal cultural agencies and the private sector.
- The **Institute of Museum and Library Services** (IMLS) is the primary source of federal support for the nation's libraries and museums. IMLS's programs seek to sustain heritage, culture, and knowledge; enhance learning and innovation; and support professional development. NEH and IMLS frequently work together on joint programs.
- The **Library of Congress's** mission is to make its resources available and useful to the Congress and the American people and to sustain and preserve knowledge for future generations. NEH partners with the Library on the National Digital Newspaper Program and on the library's John W. Kluge Fellows programs, whose grant review process NEH administers.
- The **Department of Education** supports a wide variety of educational programs throughout the nation. Over the years, NEH has collaborated with the Department on a number of programs that relate to advancing the quality of teaching and learning in the humanities.
- The **National Science Foundation** provides funding for the physical and biological sciences, and also supports aspects of the social sciences that complement NEH's support for such fields as bioethics and linguistics. NSF has joined with NEH in supporting the Documenting Endangered Languages initiative.
- The **National Historical Publications and Records Commission** (a part of the National Archives) promotes the preservation and use of America's documentary heritage. NHPRC, like the NEH, provides grant support for scholarly work on historical records of national significance, such as documentary editions of the papers of the Founding Fathers and other important figures in the nation's history.

Other federal agencies having complementary missions and programs include the Smithsonian Institution, the Department of State, the Woodrow Wilson International Center for Scholars, the National Library of Medicine, the Japan-United States Friendship Commission, and the Advisory Council on Historic Preservation.

Humanities-Oriented Constituent and Advocacy Groups

A number of outside organizations follow the work of the NEH closely, sometimes also serving as partners and informal advisors of the NEH. Among these key groups are:

Federation of State Humanities Councils. Founded in 1977, the Federation is the membership association of 55 state councils. Through its various programs, the Federation provides support for the state humanities councils and strives to create greater awareness of the humanities. The Federation sponsors an annual Humanities on the Hill event during which board and staff members of the state humanities councils visit Washington, DC, to host members of Congress at a reception. The Federation also convenes an annual conference at which NEH is represented. The Federation is especially interested in the level of funding Congress appropriates each year for the state councils through NEH's Office of Federal/State Partnership.

National Humanities Alliance. Founded in 1981, the Alliance is an advocacy coalition dedicated to the advancement of humanities education, research, preservation, and public programs. NHA derives its support from more than one hundred national, state, and local member organizations and institutions. The Alliance conducts an annual membership meeting in Washington, and in conjunction with this meeting also organizes a Humanities Advocacy Day to provide an opportunity for the humanities community to convene, meet with their elected officials, and convey the importance of federal support for the humanities. The Alliance also confers an annual award—the Sidney R. Yates Award for Distinguished Public Service to the Humanities—to recognize national leaders who have made significant contributions to furthering work in the humanities. In addition to its sponsored activities and awards, the NHA regularly advocates for an increase in Congressional appropriations for NEH.

American Council of Learned Societies. The mission of the American Council of Learned Societies is "the advancement of humanistic studies in all fields of learning in the humanities and the social sciences and the maintenance and strengthening of relations among the national societies devoted to such studies." ACLS, a private, nonprofit federation of seventy national scholarly organizations, describes itself as "the preeminent representative of American scholarship in the humanities and related social sciences." Among its many sponsored events is an annual meeting, which brings together delegates and administrative officers of ACLS's member societies, representatives of institutional associates and affiliates, and representatives from foundations, from government agencies (including, by tradition, NEH's Chairman), and from across the academic and public humanities.

Other groups that advocate for the humanities at the national level include the Association of American Universities, the Modern Language Association, the American Historical Association, the Organization of American Historians, the American Alliance of Museums, the American Library Association, the American Association for State and Local History, and the Association of Literary Scholars and Critics.

Upcoming Dates

November 17-18, 2016: Meeting of NEH's National Council on the Humanities in Washington DC.

December 9, 2016: The current Continuing Resolution expires.

December 16, 2016: NEH posts on its website a public solicitation for National Humanities Medalists nominations. (*See Tab 28, "Priority Issues," for details.*)

January, 2017: The Office of Management and Budget (OMB) is expected to provide guidance describing the process and timing for agencies to prepare and submit their fiscal year (FY) 2018 budget requests. Shortly after the new Administration takes office, OMB also can be expected to issue guidance specifying the amount of funding the president intends to request for NEH, as well as highlight issues, if any, relating to the agency's programs and operations.

January 30, 2017: Next Gen Humanities PhD project directors meeting at Constitution Center (Challenge Grants).

January 30 – February 2, 2017: Chairman and senior staff meet with program staff to prepare for March meeting of the National Council.

February/March, 2017: Draft of NEH's FY 2018 budget submission due to OMB after the presidential inauguration, probably in late February or early March.

March, 2017: Annual Humanities on the Hill event sponsored by the Federation of State Humanities Councils.

March 2-3, 2017: Meeting of NEH's National Council on the Humanities in Washington DC.

March 9-12, 2017: History Film Forum, involving a collaboration of NEH and the Smithsonian, is a high-profile conference focusing on the history of film that takes place at the National Museum of American History in Washington, D.C.

March 17, 2017: Challenge Grants Project Directors Meeting for Next Generation PhD Grants.

March/April, 2017: NEH Chairman submits the agency's National Humanities Medalist recommendations to the White House.

March/April, 2017: NEH's FY 2018 budget submission due to Congress, most likely in late March or early April.

April 6, 2017: As part of an NEH-funded project, the National World War I Museum and Memorial in Kansas City, Missouri, will mark the centennial of America's entry into

World War I with a special day of programming, including a keynote address by a distinguished historian and an evening gala event.

April 25, 2017: NEH posts on its website a public invitation to submit nominations for the 2018 Jefferson Lecturer. (*See Tab 28, "Priority Issues," for details.*)

May, 2017: Chairman's Office initiates planning process for the FY 2019 budget by issuing a set of program review questions or prompts to program divisions and offices. (This initiates a planning process between the Chairman's Office and the Divisions that extends through July.)

May 1, 2017: Presentation of the 2017 Jefferson Lecture in the Humanities at the Kennedy Center for the Performing Arts. (The lecturer has already been selected but not yet announced.)

May 12, 2017: NEH Chairman usually invited to offer luncheon address at the ACLS Annual Meeting (to be held in 2017 in Baltimore, Maryland).

June 2, 2017: NEH submits the initial draft of its strategic plan for FY 2018-22 to OMB.

June 12-16, 2017: Chairman and senior staff meet with program staff to prepare for July meeting of the National Council.

July 13-14, 2017: Meeting of NEH's National Council on the Humanities in Washington DC.

August, 2017: Chairman selects 2018 Jefferson Lecturer.

September, 2017: NEH submits a full draft of its strategic plan for FY 2018-22 and associated performance plan (the latter for FY 2019 only) to OMB.

September, 2017: NEH submits FY 2019 budget request to OMB.

November 2-5, 2017: National Humanities Conference, jointly sponsored by the Federation of State Humanities Councils and the National Humanities Alliance.

November 16-17, 2017: Meeting of NEH's National Council on the Humanities in Washington DC.

December 22, 2017: NEH submits the final draft of its strategic and performance plans (FY 2018-22) to OMB for clearance.

February, 2018: NEH publishes its strategic and performance plans (FY 2018-22) on its website.

Priority Issues

1. Appointments to NEH's National Council on the Humanities

Tab 8 of this handbook includes a description of the roles, responsibilities, and composition of NEH's National Council on the Humanities. As noted, the National Council consists of 26 members, each appointed by the President and confirmed by the Senate. Council members serve staggered six-year terms. Members whose terms have expired are, by statute, permitted to continue serving on the Council until their replacements have been nominated and confirmed.

At the time of this writing, the composition of the National Council includes:

- 8 members who are serving under terms that expire in either 2018 or 2020
- 14 members who are serving under expired terms
- 4 members whose terms have expired and who have chosen not to continue serving on the Council

Currently, six nominees have cleared preliminary confirmation hurdles and are awaiting official confirmation on the Senate floor. They include as of September 21, 2016:

- Edward Ayers (vice Hertz)
 - Nominated 3/11/15
 - HELP Committee has all required paperwork; 10-day waiting period closed 12/18/15
 - Nomination pending on Senate floor; could be confirmed at any time
- Akhil Amar (vice Choksy)
 - Nominated 5/21/15
 - HELP Committee has all required paperwork; 10-day waiting period closed 3/15/16
 - Nomination pending on Senate floor; could be confirmed at any time
- Michael Suarez (vice Delbanco)
 - Nominated 7/30/15
 - HELP Committee has all required paperwork; 10-day waiting period closed on 5/12/16
 - Nomination pending on Senate floor; could be confirmed at any time
- Dain Borges (vice Krislov)
 - Nominated 12/18/15
 - HELP Committee has all required paperwork; 10-day waiting period closed on 5/17/16
 - Nomination pending on Senate floor; could be confirmed at any time
- Thavolia Glymph (vice Adorno)
 - Nominated 12/18/15
 - HELP Committee has all required paperwork; 10-day waiting period closed on 7/11/16
 - Nomination pending on Senate floor; could be confirmed at any time
- Deborah Wong (Alexander's vacant seat)
 - Same status as Glymph

If Congress does not confirm these nominees in its current session, their nominations will lapse. Responsibility for submitting new nominations will fall to the incoming administration.

The National Council meets three times each year to review and approve grant recommendations. Council members can't vote on such recommendations unless they have a quorum, which consists of 14 members. And in turn, NEH's Chairman can't make grants (with certain limited exceptions) until the National Council has voted on the NEH staff's grant recommendations. Thus it will be important for the incoming administration to focus on the nomination and confirmation of new Council members.

(b) (5)



(b) (5)



(b) (5)



(b) (5)



5. Selection of 2017 National Humanities Medalists

The National Humanities Medal honors individuals or groups whose work has deepened the nation's understanding of the humanities and broadened our citizens' engagement with history, literature, languages, philosophy, and other humanities subjects. The president of the United States selects recipients of the award in consultation with NEH. Up to 12 medals can be awarded each year.

The White House is currently one year behind schedule in awarding National Humanities Medals: 2015 awards were conferred at a White House ceremony on September 22, 2016. NEH submitted a set of recommendations for the 2016 awards to the White House in the spring of 2016; to date, winners have not been selected.

The major steps involved in considering nominations for the 2017 National Humanities Medals are as follows:

- December 16: NEH posts on its website a public solicitation for 2017 nominations
- December 19: NEH invites selected humanities institutions, organizations, and individuals to make nominations
- January 23: Deadline for submitting nominations for 2017 medals
- February 13: NEH's Office of Planning and Budget sends a list of 2017 candidates and biographical sketches to National Council members; NEH conducts straw poll of members' preferences for 2017 awards
- March 2-3: National Medals Committee meets to discuss 2017 candidates and develop a slate of recommendations for Council and Chairman to consider; National Council meets in Executive Session to consider Committee's report and recommendations
- March/April: NEH Chairman submits the agency's 2017 recommendations to the White House.

6. Selection of 2018 Jefferson Lecturer

NEH selects an outstanding individual each year to deliver the Jefferson Lecture in the Humanities. The lectureship is the highest honor the federal government bestows for achievement in the humanities. The lecturer is expected to give an original address that is of interest to both scholars and the general public. The award carries a \$10,000 honorarium (as set by NEH's authorizing legislation). The 2017 Jefferson Lecture will be delivered on May 1, 2017.

The steps involved in soliciting and considering nominations for the 2018 Jefferson Lecturer are as follows:

- April 25: NEH posts on its website an invitation to submit nominations
- April 26: NEH solicits suggestions from National Council members and others and publicizes call for nominations via social media
- May 27: Deadline for submitting nominations for the 2018 Jefferson Lecture
- June 23: Office of Planning and Budget sends a list of 2018 Jefferson Lecture candidates and biographical sketches to Council members, and conducts pre-meeting straw poll of members' preferences
- June 28: Deadline for Council members to submit straw poll selections

- July 13-14: Jefferson Lecture Committee meets to develop a slate of recommendations for the Council, and National Council meets in Executive Session to finalize recommendations
- July/August: NEH Chairman selects and contacts the 2018 lecturer

NEH Chairpersons

The National Endowment for the Humanities is headed by a chairman who is appointed to a four-year term by the President with the advice and consent of the Senate. Since the creation of the NEH in 1965, nine chairmen have directed the agency.

July 2014 to present	William D. Adams
	nominated by Barack Obama
Aug. 2009 to May 2013	James A. Leach
	nominated by Barack Obama
Dec. 2001 to Jan. 2009	Bruce Cole
	nominated by George W. Bush
Nov. 1997 to Nov. 2001	William R. Ferris
	nominated by Bill Clinton
Aug. 1993 to Aug. 1997	Sheldon Hackney
	nominated by Bill Clinton
May 1986 to Jan. 1993	Lynne V. Cheney
	nominated by Ronald Reagan
Dec. 1981 to Feb. 1985	William J. Bennett
	nominated by Ronald Reagan
Oct. 1977 to Dec. 1981	Joseph D. Duffey
	nominated by Jimmy Carter
Dec. 1971 to Jan. 1977	Ronald S. Berman
	nominated by Richard Nixon
July 1966 to June 1970	Barnaby C. Keeney
	nominated by Lyndon Johnson
September 1965	Establishment of the NEH
	under Lyndon Johnson

NEH Strategic Planning Update, Year One

July 8, 2016

This report describes the strategic planning work that has been completed over the past year, as well as the efforts that are nearing completion to achieve the plan's updated objectives and strategies through the implementation of recommendations on a variety of topics.

The Strategic Planning steering committee, whose members are listed in Attachment 1, spent the first several months of the year reviewing and refreshing NEH's current strategic plan. This effort led to the development of updated goals and strategies, along with accompanying mission and vision statements. A complete copy of the updated plan can be found in Attachment 2, and a glossary of terms is included as Attachment 3.

(b) (5)



(b) (5)



(b) (5)



In addition to the work of these groups, other targeted actions are being taken by the Accounting Office, Administrative Services Office, Office of Grant Management and the Office of Information Resources Management. Each of these offices is devising plans for advancing one or more of the strategies in ways that are specific to the offices' mandates. Recommendations adopted from these offices thus far include:

Accounting-led initiatives on automated generation of financial statements has been completed. Integration of budget information with accounting information has been completed. The creation of a set of repeatable steps for preparation and review of IAAs and MOUs is close to completion.

OGM-led work on training for grantees included in webinars being offered; panelist contracts moving from paper-based to fully on-line within eGMS has been completed.

OIRM-led work on eGMS continues with both internal and external users and includes process reengineering as appropriate. IMLS has joined the group of participating agencies, and NHPRC has completed its implementation of the system. Grants Management module improvements were recently deployed, and OIRM is now working with program offices on an overhaul of the panelist system. This overhaul includes a face-lift using material design techniques that display better on mobile devices and look more up-to-date.

Great thanks are due to all members of these groups who have worked together, across the agency, to devise new approaches to our work, and new ways of addressing the goals and strategies of NEH. The work in year two will be focused on completion of some of these larger assignments, as well as on continuing to address the strategies identified already.

Attachment I
Members of the Steering Committee

Tim Aiken, Congressional Affairs

Jane Aikin, Research

Brett Bobley, CIO/ODH

Leondra Burchall, Fed/State

Eva Caldera, Strategic Initiatives

Courtney Chapin, Chief of Staff

Theola DeBose, Communications

David Dohanic, Budget Office

Sean Doss, Accounting

Nadina Gardner, P&A

Deb Hurtt, Education

Sarah Lepinski, P&A

Tony Mitchell, Human Resources

Karen Mittelman, Public

Larry Myers, Budget

Tanya Peltz, OIRM

Carol Peters, EDSITEment

Peggy Plympton, Deputy Chair

Bill Rice, Education

Robert Straughter, ASO

Josh Sternfeld, P&A

Jeff Thomas, Operations

Adam Wolfson, Programs

Katja Zelljadt, Challenge

Attachment 2

NEH Strategic Plan, Revised a/o 2015

NEH Mission Statement

The National Endowment for the Humanities serves and strengthens our nation by supporting high quality projects and programs in the humanities and by making the humanities available to all Americans.

Goal #1: Foster humanities knowledge

To foster the expansion of knowledge and understanding of the humanities through our grant-making and other activities and to lead in the search for new research methodologies and areas of inquiry.

Vision Statement: NEH expands knowledge and understanding of the human condition and enriches the life of the nation by igniting collaborative and individual projects across disciplines, institutions, and borders. As a proven leader in recognizing and supporting the pioneering work of academic, educational, and cultural heritage communities, NEH has partnered with key organizations domestically and abroad and encourages investigation of new methods of study, research, pedagogy, and preservation.

Strategies—FY16 and 17

1. The divisions and offices will listen, observe and communicate with humanities fields, in order to inform our comprehensive understanding of innovative work being planned or carried out, and ensure that we can assess needs and respond to developing opportunities.
2. The agency will highlight grants and products that showcase the important work of the humanities for many audiences.
3. The divisions and offices will work together to improve service to applicants through increased attention to the panel process, application process and outreach.

Goal #2: Nurture humanities infrastructure

To nurture the nation's humanities infrastructures and support producers of significant humanities content.

Vision Statement: Using its peer-review process, NEH supports excellence across a full humanities spectrum: from libraries, museums, educational institutions, and research and development centers, to scholars, teachers, and documentary filmmakers. We tap into and respond to needs that people in the field express and support excellent projects that make a difference in the cultural and intellectual life of the nation.

Strategies, FY16 and 17

1. Collaborate across divisions and offices to improve service to applicants through increased attention to the panel process, application process, and outreach.
2. Expand structures for collaboration and knowledge exchange within the NEH, including state humanities councils.
3. Raise awareness of NEH's important role, and of the role of the humanities domestically, internationally, and in underserved areas, through relationships, partnerships, and technology.
4. Target critical needs in the humanities fields.
5. Build capacities among individuals and institutions for showcasing NEH-funded work and work in the humanities

Goal #3: Expand humanities reach

To provide a humanities experience to all Americans, where they are.

Vision Statement: NEH works to broaden and demonstrate the impact and importance of the humanities on public life in the United States. Through NEH, individuals and communities know what the humanities are, and through their experiences generally acknowledge the humanities as a fundamental part of America's DNA. These experiences involve diverse Americans where they live, foster a common understanding of life and culture, and elevate the level of public discourse.

Strategies, FY16 and FY17

1. Through more effective use of application, grant, and other data from the past five years, each division determines and prioritizes which regions, areas, and populations are underserved by its programs.
2. In light of this information, each division evaluates existing programs and either revises them or creates new ones intended to reach these underserved regions, areas, or populations.
3. Through targeted outreach, the agency seeks to reach new audiences—both producers and users—in the regions, areas, and populations that have been identified as underserved.
4. Raise awareness of the humanities and of NEH's important role domestically, internationally, and in underserved areas, through relationships, partnerships, and technology.

Goal #4: Enhance NEH's quality of service

To enhance quality of service and efficiency of operations

Vision Statement:

To ensure that NEH has the right people, resources, tools, processes and systems to provide outstanding service to the American people.

Strategies, FY 16 and 17

People

- 1) Ensure collaboration among Human Resources, management and others as appropriate to identify skills, knowledge, and competencies necessary to recruit and hire superior qualified people for the NEH workforce.
- 2) Provide high quality and innovative services and programs to attract, develop, motivate, and retain a diverse and talented workforce within the NEH.
- 3) Where appropriate, work in consultation with NEH members of the AFGE Local 3403 to enhance quality of service and efficiency of operations.

Other resources

- 1) Collaborate agency-wide to develop smart systems and applications that provide easily accessible on-demand information to the NEH staff, NEH constituents, and the public, while maintaining agency controls to protect sensitive information.
- 2) Acquire technologies and develop processes that most effectively improve operations through automation, standardization, and integration with work practices at NEH.
- 3) Maintain strong internal controls and financial integrity and accountability in the agency's operations.
- 4) Systematically review and update policies, programs, and activities to ensure transparency, effectiveness, and compliance with Federal laws, rules, and regulations in fulfillment of the NEH mission.

Attachment 3

Glossary

Mission: a brief statement of the core purpose for the agency, expected to be relevant for many years.

Goals: High level statements of areas of focus for the agency, expected to be relevant for 5 to 7 years.

Strategies: mid-level statements of areas of work that are identified to advance some aspect of each goal. There are many strategies that would advance each of the identified goals, but a smaller subset obviously have to be chosen in order to make progress. The strategies that NEH has identified are expected to be the same for FY15, 16 and 17.

Tactics: Specific areas for focus have been identified that will advance the strategies we are working toward. These are being taken on by Tactics Working Groups, as described above. In some cases, a tactic working group will advance priorities under more than one strategy or goal.

Attachment 4

Membership of the Working Groups

Better Experiences for Applicants Working Groups

—Internal focus

Membership: Jill Austin, Public; Brett Bobley, ODH, chair; Anne Lopez-Buitrago, OGM; Deb Hurtt, Education; Russ Wyland, Research;

—External focus

Membership: Rebecca Boggs, Education; Perry Collins, ODH; Karen Kenton, Public; Joel Schwartz, Guidelines Officer; Robert Straughter, OGM; Cathleen Tefft, Preservation and Access; Stefanie Walker, Research; Adam Wolfson, Chairman's Office, chair; Joel Wurl, Preservation and Access;

Convenings Working Group

Membership: Nadina Gardner, Preservation and Access, chair; Katherine Griffin, OGC; Sarah Lepinski, Preservation and Access; Jen Serventi, ODH; Mark Silver, Research; Phoebe Stein, Maryland Humanities Council; Paula Wasley, Communications.

Cross-Agency Coordination Group

Membership: Barbara Ashbrook, Education (Sustainability); Jason Boffetti, Research (Sustainability); Gladys Coleman, Planning and Budget (Travel); Perry Collins, ODH (Sustainability); John Cox, Research (Travel); David Dohanic, Planning and Budget (Travel); Teisha Garrett, Chairman's Office (Travel); Larry Meyers, Planning and Budget (Travel); Julia Nguyen, Education (Travel); Jason Rhody, ODH (Travel); Marc Ruppel, Public (Sustainability); Margaret Scrymser, Public (Travel); Josh Sternfeld, P&A and Jeff Thomas, Chairman's Office, co-chairs; Joel Wurl, (Sustainability); Katja Zelljadt, Challenge (Sustainability)

Digital Strategies Working Group

Membership: John Cox, Research; Adriana Cutler, Preservation and Access; Theola DeBose, Communications/Publications, chair; Sarah Eggers, New York Humanities Council; Matthew Gibson, Virginia Humanities Fdtn; Jesse Moss, Communications; Steve Moyer, Publications; Shelly NiTuama, EDSITEment; Marc Ruppel, Public; Mackenzie Shutler, Communications; Ann Sneesby-Koch, ODH; Josh Sternfeld, Preservation and Access;

HR Initiatives Working Group

Membership: Jane Aikin, Research; David Dohanic, Planning and Budget; Sean Doss, Accounting; Derika Ferdinand, Human Resources; Mia Lawson, Human Resources; Anthony Mitchell, Human Resources, chair; Katja Zelljadt, Challenge; and others as needed

Records Management Committee

Membership: Brett Bobley, CIO, and Nadina Gardner, Preservation and Access, co-chairs; Jesse Johnston, Preservation and Access, Acting Records Officer; Perry Collins, ODH; Lisette Voyatzis, OGC; Joel Wurl, Preservation and Access

Underserved Populations Working Group

Membership: Tim Aiken, Chairman's Office; Leondra Burchell, Fed/State Partnership; Eva Caldera, Chairman's Office, chair; Mimi Iijima, PA Humanities Council; Larry Myers, Planning and Budget; Carol Peters, EDSITEment;

Accounting initiatives

Membership: Accounting and others as needed; Sean Doss, chair;

Administrative Services initiatives

Membership: Admin Services and division staff as needed; Robert Straughter, chair;

Grants Management initiatives

Membership: OGM staff and others as needed; Robert Straughter, chair;

OIRM-led initiatives

Membership: OIRM staff and others as needed; Tanya Peltz, chair;

How NEH Got Its Start



President Lyndon Johnson signs the legislation creating NEH and NEA, 1965

On September 29, 1965, President Lyndon Johnson signed the National Foundation on the Arts and the Humanities Act into law. The act called for the creation of the National Endowment for the Humanities (NEH) and the National Endowment for the Arts (NEA) as separate, independent agencies. The Washington Post called the creation of the endowments "a momentous step."

More than two hundred people filled the Rose Garden for the bill signing ceremony. The guest list included actor Gregory Peck, historian Dumas Malone, photographer Ansel Adams, writer Ralph Ellison, architect Walter Gropius, and philanthropist Paul Mellon. The ceremony marked the highpoint of a day devoted to celebrating culture. Vice President Hubert Humphrey hosted the Rose Garden reception and Harkness Ballet performed that evening in the East Room of the White House.

The bill they gathered to celebrate was the culmination of a movement calling for the federal government to invest in culture, just as it had with science. As Glenn Seaborg, the head of the Atomic Energy Commission, told a Senate committee: "We cannot afford to drift physically, morally, or esthetically in a world in which the current moves so rapidly perhaps toward an abyss. Science and technology are providing us with the

means to travel swiftly. But what course do we take? This is the question that no computer can answer."

The groundswell that led to the bill began in 1963, when three scholarly and educational organizations -- the American Council of Learned Societies (ACLS), the Council of Graduate Schools in America, and the United Chapters of Phi Beta Kappa -- joined together to establish the National Commission on the Humanities. They instructed the commission to conduct a study of the "the state of the humanities in America." In June 1964, the commission released its report. It found that the emphasis placed on science endangered the study of the humanities from elementary schools through postgraduate programs. In order to correct the balance, it recommended "the establishment by the President and the Congress of the United States of a National Humanities Foundation."

Politicians in Washington heeded the commission's advice. In August 1964, Congressman William Moorhead of Pennsylvania proposed legislation to implement the commission's recommendations. Support from the White House followed in September, when President Johnson lent his endorsement during a speech at Brown University.

In March 1965, the White House took the lead on the issue, proposing the establishment the National Foundation on the Arts and Humanities and requesting \$20 million in start up funds. The commission's report had generated other proposals, but the White House's comprehensive approach eclipsed them. It called not only for a the creation of two separate agencies -- one devoted to arts and one devoted to humanities -- but also for each agency to be advised by a governing body comprised of leaders in their field.

Senator Claiborne Pell of Rhode Island and Representative Frank Thompson, Jr., of New Jersey introduced the bill to their respective Houses, where they immediately found co-sponsors. Pell told reporters that that bill represented "the first time in our history" that "a President of the United States has given his administration support to such a comprehensive measure which combines the two areas most significant to our nation's cultural advancement and to the full growth of a truly great society." In mid-September 1965, Congress passed the bill, paving the way for the Rose Garden ceremony.

NEH Timeline

How NEH has fostered the humanities

1963

Three scholarly and educational organizations--the American Council of Learned Societies (ACLS), the Council of Graduate Schools in America, and the United Chapters of Phi Beta Kappa--co-sponsor the establishment of a National Commission on the Humanities and instruct the Commission to conduct a study of "the state of the humanities in America." Barnaby Keeney, President of Brown University, is chair.

1964

In April, the commission releases a report recommending "the establishment by the President and the Congress of the United States of a National Humanities Foundation."

In August, Congressman William Moorhead of Pennsylvania proposes legislation to implement the Commission's recommendations.

In a speech at Brown University on the importance of federal support for higher education, President Johnson lends his support.

1965

In March, Senator Claiborne Pell of Rhode Island introduces the Johnson Administration's legislation to establish a National Foundation on the Arts and the Humanities.

On September 29, President Johnson signs the National Foundation on the Arts and the Humanities Act of 1965 [1], establishing the National Endowment for the Humanities (NEH) and the National Endowment for the Arts (NEA) as separate, independent agencies. He selects Barnaby Keeney, who headed the original commission, to become the first NEH chairman. While Keeney completes the academic year at Brown, Henry Allen Moe, President of the American Philosophical Society, is interim chairman. The agency's first home is 1800 G Street, NW, in a building largely occupied by the National Science Foundation.

1966

In January, President Johnson appoints the first twenty-six members of the National Council on the Humanities. The Council meets in June and recommends two grants: to the American Council of Learned Societies to support International Travel Grants and to the American Society of Papyrologists for a six-week training institute.

In July, Barnaby Keeney begins his four-year term as first Chairman of the Endowment.

By summer, NEH has established three operating divisions: Fellowships, Research and Publications, Education and Special Projects.

1967

The first 157 NEH fellowships and 130 summer stipends are awarded.

The Modern Language Association receives a grant to establish the Editions of American Authors series.

Additional grants support such varied projects as publication of the collected letters of Supreme Court Justice Louis D. Brandeis and the complete works of John Dewey, an archeological exploration of the ancient Lydian city of Sardis, and compilation of *The Wellesley Index to Victorian Periodicals*.

1968

Volume I of the *Collected Writings of Walt Whitman* and the first volume of the *Papers of Booker T. Washington* are published as a result of NEH support for the Editions of American Authors series.

The Kyrenia Ship Project uncovers the oldest known ancient Greek merchant vessel from the Mediterranean and the University of Texas conducts an archeological excavation of the ancient Greek city of Corinth.

1969

The Endowment establishes two new program divisions out of the former Division of Education and Special Projects: Education Programs and Public Programs.

A grant to the University of Virginia supports a project to complete the first comprehensive edition of *The Papers of George Washington*.

With NEH research funding, Dumas Malone completes volume I of his magisterial biography, *Jefferson and His Time*.

NEH and NEA move to the GSA Building at 1800 F St., NW.

1970

The thirteen-part BBC television series, *Civilization*, spanning 1,600 years of Western culture, is distributed free to 2,000 colleges and universities across the United States.

High school students conducting the NEH-funded *Foxfire* magazine project record Appalachian oral history and culture in the mountains of Georgia.

In July, Barnaby Keeney completes his term as Chairman; Wallace Edgerton, Deputy NEH Chairman, becomes Acting Chairman.

1971

NEH provides funds for six experimental state-based humanities programs: in Georgia, Maine, Missouri, Oklahoma, Oregon, and Wyoming. By 1979, there is a citizen-governed humanities council in each of the 50 states, Puerto Rico, and the District of Columbia. By 1994, humanities councils have been added in U.S. Virgin Islands, Guam, Northern Marianas, and American Samoa.

NEH and NEA move to the Shoreham Building at 806 15th Street, N.W.

Funding begins for the *Dictionary of American Regional English*.

A new edition of *Walden* is published.

In December, Ronald Berman, professor of English at University of California at San Diego, becomes Chairman.

1972

Writer and literary critic Lionel Trilling delivers the first Jefferson Lecture in the Humanities, "Mind and the Modern World," in Washington, D.C.

NEH provides major support for the Library of Congress's Cataloguing in Publication program. As a result of this effort, Library of Congress Cataloguing in Publication data now appear on the reverse of the title page of most domestically published books.

Endowment begins support for the International Research and Exchanges Board (IREX) to facilitate and administer collaborative humanities research and exchanges between American scholars and the Soviet Union and Eastern Europe.

NEH support for ACLS Grants-in-Aid begins.

1973

In the first exchange of its kind, forty-one impressionist and postimpressionist masterpieces from the Soviet Union go tour the U.S. accompanied by interpretative materials funded by NEH.

The Summer Seminars for College Teachers program makes its first twenty-one awards.

Courses by Newspaper, a college-level humanities series on America and the Future of Man, begins newspaper distribution in 263 American cities and on U.S. military bases in Europe.

The BBC/Time-Life adaptation of *War and Peace* is telecast in the United States with NEH support.

NEH begins support for ACLS Research Fellowships for Recent Recipients of the Ph.D.

NEH begins a collaboration with the National Science Foundation, the Science, Technology, and Human Values program.

Psychoanalyst and child psychologist Erik Erikson delivers the second Jefferson Lecture, "Dimensions of the New Identity."

1974

NEH supports the establishment of the Yale-New Haven Teacher Institute with an initial grant of \$2.8 million. The Institute becomes a national model for partnerships between a university and nearby public schools.

The Endowment begins support for American centers of advanced study in the United States and abroad.

More than 350,000 see the exhibition "Masterpieces of Tapestry" at the Metropolitan Museum of Art in New York.

Volume I of *The Papers of John Marshall* is published.

Funding begins for the *Thesaurus Linguae Graecae* and *The Frederick Douglass Papers*.

The Bay Area Writing Project is launched at the University of California, Berkeley. With additional NEH support, it becomes the National Writing Project.

Writer, poet, scholar Robert Penn Warren delivers the third Jefferson Lecture, "Democracy and Poetry."

1975

In Kansas City and San Francisco, more than a million people see "Archaeological Treasures from the People's Republic of China," an exhibition of objects dating from prehistory to the fourteenth century.

Dumas Malone wins a Pulitzer Prize for *Jefferson and His Time*, Volumes I-V.

Funding begins on the Jane Addams Papers.

More than 380,000 people see "From the Lands of the Sythians: Archaeological Treasures from the Museums of the USSR, 3000-100 B.C." at the Metropolitan Museum of Art in New York.

In advance of the American bicentennial celebration, the American Issues Forum is begun, a series of debates examining the rights of individuals, obligations of society, the work ethic, and the effects of urbanization.

Funding begins for *The Encyclopedia of Islam*.

Constitutional law professor Paul Freund delivers fourth Jefferson Lecture, "Liberty of Expression: The Search for Standards."

1976

The Adams Chronicles, a thirteen-part TV series following the history of a famous American family from 1750 to 1900, receives four Emmy awards and is viewed by five million each week.

The States and the Nation, a 52-volume series of state histories, is published in honor of the U.S. Bicentennial. Each of the fifty states, Puerto Rico, the District of Columbia is represented by a volume produced by a distinguished author.

"The Treasures of Tutankhamun" exhibition opens in Washington, D.C., to a record-breaking crowd of five million, before moving to Chicago, New Orleans, Los Angeles, Seattle, and New York.

Funding begins for *The Hittite Dictionary* and *The Assyrian Dictionary*.

The Atlas of Early American History is published.

Funding begins for humanities programs in senior centers developed by the National Council on the Aging.

R.W.B. Lewis receives a Bancroft Prize for *Edith Wharton: A Biography*, written with an NEH research grant.

Historian John Hope Franklin presents the fifth Jefferson Lecture, "Racial Equality in America." The lecture is delivered in Chicago, San Francisco, and Washington, D.C.

1977

In January, Ronald Berman resigns and Robert Kingston, Deputy Chairman of NEH, becomes Acting Chairman.

A separate Division of State Programs is established to provide federal support for the state humanities councils.

Funding begins for the fellowship programs of the Committee on Scholarly Communication with China and for ACLS/SSRC (Social Science Research Council) International Postdoctoral Fellowships.

A grant to David Van Tassel of Case Western Reserve University in Cleveland supports Regional History Day 1978. With additional NEH support, it becomes National History Day.

A new Challenge Grants program awards \$19.1 million in matching grants that leverage \$3 in contributions for each federal dollar.

NEH fellowship recipient Paul Fussell receives a National Book Award for *The Great War and Modern Memory*.

The American Short Story series begins on public television.

Novelist Saul Bellow delivers the sixth Jefferson Lecture in the Humanities, "The Writer and His Country Look Each Other Over," in Washington, D.C. and Chicago.

In October, Joseph Duffey, Assistant Secretary of State for Educational and Cultural affairs, becomes NEH Chairman.

1978

The Scarlet Letter, an NEH-supported, four-part adaptation of the Hawthorne classic, is broadcast nationally on public television.

"Pompeii A.D. 79," an exhibition of arts and artifacts from the Roman city buried by the volcanic eruption of Vesuvius, tours four cities. During its Boston stay, it draws 432,000 visitors.

Mexico Today, the first of five international cultural symposia, opens in Washington before touring six other cities in the United States. Today symposia on Japan, Belgium, Egypt, and Scandinavia follow.

The Encyclopedia of Bioethics and the final volume of *Byron's Letters and Journals* are published.

Funding begins for the *Pennsylvania Sumerian Dictionary* and the *Cambridge History of China*.

Historian C. Vann Woodward presents the seventh Jefferson Lecture, "Europe's America," in Washington, D.C. and Seattle.

1979

Funding begins for the *The Coptic Encyclopedia*, and the *Encyclopedia Iranica*.

Funding begins for the *English Short Title Catalogue*, a database of all English-language books published from 1473-1801.

Heartland, the prize-winning dramatic film about turn-of-the-century life on the prairie, airs.

Don E. Fehrenbacher's *The Dred Scott Case: Its Significance in American Law and Politics*, written with the help of an NEH fellowship, wins the Pulitzer Prize.

Richard Beale Davis's three-volume *Intellectual Life in the Colonial South*, supported by an NEH fellowship, wins the National Book Award.

The Endowment establishes a program to support humanities programs in public libraries and launches an American Social History Initiative.

Sociologist Edward Shils delivers the eighth Jefferson Lecture, "Government and Universities in the United States," in Washington, D.C., Chicago, and Austin.

1980

Funding begins for the *Middle English Dictionary*, a comprehensive historical reference on the development of the English language from 1100-1500.

"The Great Bronze Age of China," the first comprehensive exhibition of Chinese artifacts from seventeenth to the second centuries B.C., opens in New York and then travels to Chicago, Fort Worth, Los Angeles, and Boston.

The Life and Times of Rosie the Riveter, a documentary on women who worked in defense factories during World War II, airs.

The Ancient Biblical Manuscript Center in Claremont, California, receives a grant to make archival quality photographs of the Dead Sea Scrolls.

Work begins on a modern annotated edition of the journals of the Lewis and Clark expedition.

NEH fellow Robert Dallek wins a Bancroft Prize for *Franklin D. Roosevelt and American Foreign Policy, 1932-1945*.

Historian Barbara Tuchman presents the ninth Jefferson Lecture, "Mankind's Better Moments," in Washington, D.C. and London.

1981

Francis Steegmuller's NEH-supported translation of *The Letters of Gustave Flaubert, 1830-1857*, wins the American Book Award for Translation.

The first volume of *The Journals of Henry David Thoreau* is published.

The Vermont Humanities Council pioneers humanities-based reading and discussion programs in public libraries.

The North Dakota's Humanities Council's history-based tent show is expanded into the four-state Great Plains Chautauqua.

Funding begins for *A History of Cartography*.

Historian of science Gerald Holton presents the tenth Jefferson Lecture, "Where is Science Taking Us?," in Washington, D.C. and Boston.

President Reagan establishes a Presidential Task Force on the Arts and the Humanities and charges it with "developing ideas to stimulate increased private giving for cultural activities." The Task Force recommends continuing the existing NEH and NEA structures.

In December, President Reagan appoints William J. Bennett, President and Director of the National Humanities Center in North Carolina, as Chairman of NEH.

1982

The U.S. Newspaper Program is established to support projects in each state to inventory, catalogue, and preserve on microfilm newspapers published since 1690.

The Endowment launches a Summer Seminars for Secondary School Teachers program.

NEH supports implementation of the Brooklyn College core curriculum.

After drawing more than two million people, the Folger Shakespeare Library's exhibition, "Shakespeare: The Globe and the World," ends its ten-city tour.

The first four volumes of the Library of America are published.

Ken Burns's film, *The Brooklyn Bridge*, wins a Golden Eagle and an American Film Festival blue ribbon.

"El Greco of Toledo," an exhibition of paintings by the sixteenth-century Spanish master, travels to Washington, D.C., Dallas, and Toledo, Ohio.

Archaeologist Emily Townsend Vermeule presents the eleventh Jefferson Lecture, "Greeks and Barbarians: The Classical Experience in the Larger World."

The Endowment announces a \$5 million special Challenge Grants initiative for independent research libraries.

1983

The first volume in *The Works of Giuseppe Verdi* is published, and the Vienna Staatsoper presents a performance of *Rigoletto* based on the corrected score.

The first volumes of *The Coptic Encyclopedia* and the *Encyclopedia of Islam* are published, and funding begins for the *Encyclopedia of Asian History* and the *Oxford Dictionary of Byzantium*.

The Endowment establishes the Younger Scholars and Travel to Collections programs.

Stanley Karnow's thirteen-part *Vietnam: A Television History* is broadcast.

Funding begins for *The American Film Institute Catalog*.

Historian of religion Jaroslav Pelikan delivers the twelfth Jefferson Lecture, "The Vindication of Tradition," in Washington and Chicago.

The Endowment establishes the Office of the Bicentennial to coordinate a special initiative commemorating the Bicentennial of the U.S. Constitution.

The Endowment moves from the Shoreham building into the renovated Old Post Office at 1100 Pennsylvania Avenue, N.W.

1984

Heritage: Civilization and the Jews, a nine-part documentary series narrated by Abba Eban, is broadcast nationally on PBS.

The Vermont Humanities Council's innovative reading-and-discussion program, Let's Talk About It, is adopted by the American Library Association and expanded nationally.

The exhibition, "The Sun King: Louis XIV and the New World," debuts in Louisiana before traveling the country.

Funding begins for the Dartmouth Dante Project, a computerized database of commentary written about *The Divine Comedy* in the six centuries following Dante's death.

Volume I of *The Brownings' Correspondence* is published.

Philosopher Sidney Hook delivers the thirteenth Jefferson Lecture, "The Humanities and the Defense of The Free Society," in Washington and New York.

In November, NEH publishes William Bennett's *To Reclaim a Legacy: A Report on the Humanities in Higher Education*.

"German Expressionist Sculpture," organized by the Los Angeles Museum of Art, attracts 1.9 million visitors to the Hirshhorn Museum in Washington, D.C.

1985

The Stone Carvers, a film supported by the Humanities Council of Washington D.C., wins an Academy Award for Best Documentary Short.

The Endowment establishes an Office of Preservation to help save the content of deteriorating humanities resources in the nation's libraries.

In February, William Bennett resigns as NEH Chairman to become U.S. Secretary of Education, and NEH Deputy Chairman John Agresto becomes Acting Chairman.

"The Age of Caravaggio: The Baroque Period in 17th Century Italy" tours the country.

Written with NEH support, Peter Gay's *The Bourgeois Experience: Victoria to Freud*, Vol. I and Robert Bellah's *Habits of the Heart: Individualism and Commitment in American Life* are published to critical and popular acclaim.

The first volumes of *The Correspondence of Charles Darwin* and of *Freedom: A Documentary History of Emancipation, 1861-1867* are published.

Literary scholar Cleanth Brooks delivers the fourteenth Jefferson Lecture, "Literature in a Technological Age," in Washington, D.C. and New Orleans.

1986

Funding begins for a four-volume edition of the Dead Sea Scrolls.

President Reagan proclaims the week of February 9-15 as National Humanities Week in honor of the Endowment's twentieth anniversary.

Philosopher Leszek Kolakowski delivers the fifteenth Jefferson Lecture, *The Idolatry of Politics*, in Washington, D.C. and Chicago.

In June, Lynne V. Cheney, writer, editor, and former college teacher, becomes Chairman of NEH.

The Folger Institute Center for Shakespeare Studies receives initial funding for seminars and institutes on Renaissance studies for high school and college teachers.

NEH fellow Elizabeth Frank wins a Pulitzer Prize for *Louise Bogan: A Portrait*.

Ken Burns's *The Life and Times of Huey Long* and David Macauley's *Cathedral* air on PBS.

Popul Vuh, an NEH-funded translation of the Mayan Book of the Dawn of Life, wins the PEN Translation Prize for Poetry.

The final volumes of *The Papers of William Penn* and *The Complete Works of St. Thomas More* are published.

1987

The Endowment's special Bicentennial Bookshelf initiative awards \$500 matching grants to 848 public libraries to purchase books about the U.S. Constitution.

The exhibition, "William Wordsworth and the Age of English Romanticism," tours New York, Chicago, and Indianapolis.

Volume I of *The Collected Papers of Albert Einstein* is published.

Historian Bernard Bailyn wins the Pulitzer Prize for *Voyagers to the West: A Passage in the Peopling of America on the Eve of the Revolution*, written with the support of an NEH research grant.

Historian Forrest McDonald delivers the sixteenth Jefferson Lecture, "The Intellectual World of the Founding Fathers," in Washington, D.C. and Lawrence, Kansas.

"Miracle at Philadelphia," an exhibition commemorating the Bicentennial of the Constitution, opens in Philadelphia.

In August, the Endowment publishes Lynne V. Cheney's *American Memory: A Report on the Humanities in the Nation's Schools*.

1988

With an increased appropriation of \$8 million the Endowment launches of a twenty-year brittle books preservation plan to microfilm three million endangered volumes.

The NEH/Readers Digest Teacher-Scholar program begins with cosponsorship of the DeWitt Wallace Foundation.

Eric Foner receives the Bancroft Prize for *Reconstruction: America's Unfinished Revolution, 1863-1877*, written with the support of an NEH fellowship.

Voices and Visions, a thirteen-part television series and college course on American poetry, airs.

Funding begins for the Text Encoding Initiative, an effort to develop standard guidelines for forming electronic texts, and *American National Biography*.

NEH fellow James M. McPherson wins a Pulitzer Prize for his one-volume history of the Civil War, *Battle Cry of Freedom: The Civil War Era*.

The Vermont Council on the Humanities receives a grant for The Family Reading Project, a children's literature reading and discussion series for parents enrolled in adult literacy programs. The North Carolina Humanities Council begins funding for MOTHEREAD, a literacy program for parents and their children. NEH grants follow.

Professor of political and social thought Robert Nisbet delivers the seventeenth Jefferson Lecture, "The Present Age and the State of Community."

In September, the Endowment publishes Lynne V. Cheney's *Humanities in America: A Report to the President, the Congress, and the American People*.

1989

NEH helps bring two major exhibitions to the United States: "Goya and the Spirit of Enlightenment" from Madrid's Prado Museum and "Nomads: Masters of the Eurasian Steppe" from the Soviet Union. "Nomads" was the largest archaeological-ethnographic exhibition ever to travel from the Soviet Union.

An innovative program at St. Olaf College in Minnesota integrates foreign language instruction into undergraduate courses across the curriculum.

The Endowment establishes two programs: the National Heritage Preservation program within the Office of Preservation to stabilize material culture collections and the Distinguished Teaching Professorship competition to award Challenge Grants that endow faculty chairs.

The Encyclopedia of Southern Culture is published.

Volume I of *The Papers of Thomas A. Edison* and the final volume of *The Papers of Daniel Webster* are published.

Pyramid, an animated version of David Macaulay's book, airs on PBS.

Archaeologist Arthur Demarest investigates warfare among the classic Maya with an NEH research grant.

Writer Walker Percy delivers the eighteenth Jefferson Lecture, "The Fateful Rift: The San Andreas Fault in the Modern Mind."

In October, the Endowment publishes Lynne V. Cheney's *50 Hours: A Core Curriculum for College Students*.

In November, NEH announces the first recipients of the Charles Frankel Prize: Patricia Bates, Daniel Boorstin, Willard Boyd, Clay Jenkinson, and Americo Paredes.

1990

Ken Burns's documentary series, *The Civil War*, attracts twelve million public television viewers and wins an Emmy.

NEH fellow and summer stipend recipient Laurel T. Ulrich wins a Pulitzer Prize and a Bancroft Prize for *A Midwife's Tale: The Life of Martha Ballard, Based on Her Diary, 1785-1812*.

The exhibition, "Mexico: Splendors of Thirty Centuries," is seen by 1.4 million in New York, before traveling to San Antonio and Los Angeles.

Historian Bernard Lewis delivers the nineteenth Jefferson Lecture, "Western Civilization: A View from the East," in Washington, D.C. and Stanford, California.

In May, Lynne V. Cheney begins a second four-year term as NEH Chairman.

NEH honors the second group of Charles Frankel Prize recipients: Mortimer Adler, Henry Hampton, Bernard Knox, David Van Tassel, and Ethyle Wolfe.

In November, the Endowment publishes Lynne V. Cheney's *Tyrannical Machines: A Report on Educational Practices Gone Wrong and Our Best Hopes for Setting Them Right*.

1991

The seven-part documentary series, *Columbus and the Age of Discovery*, airs on public television.

LBJ, a four-part documentary series on the life of President Lyndon Baines Johnson, airs on "The American Experience."

"Degenerate Art: The Fate of the Avant Garde in Nazi Germany" opens in Los Angeles, then travels to Chicago, Washington, and Berlin. The International Art Critics Association names it "Best Show of the Year 1991."

An NEH-supported translation of Dostoevsky's *The Brothers Karamozov* receives the PEN Translation Prize.

Historian Gertrude Himmelfarb delivers the twentieth Jefferson Lecture, "Of Heroes, Villains, and Valets."

In May, the Endowment publishes Lynne V. Cheney's *National Tests: What Other Countries Expect Their Students to Know*.

The Endowment establishes the Study Grants within the Office of Preservation to stabilize material culture collections and the Distinguished Teaching Professorship competition to award Challenge Grants that endow faculty chairs.

In November, NEH announced the second recipients of the Charles Frankel Prize: Winton Blount, Ken Burns, Louise Cowan, Karl Haas, and John Tchen.

1992

The task of reviewing Challenge Grant proposals is distributed among three program divisions.

With NEH support, "Seeds of Change," a major Smithsonian Institution exhibition marking the five-hundredth anniversary of Columbus's first voyage to the New World, begins a tour of sixty cities throughout the United States.

The first volume of *The Papers of Martin Luther King, Jr.* is published.

Classical scholar Bernard Knox delivers the twenty-first Jefferson Lecture, "The Oldest Dead White European Males."

NEH awards \$1 million in emergency funding for museums, libraries, and other cultural institutions damaged by hurricanes in the Southeast and in Hawaii.

"The Worlds of Thomas Jefferson at Monticello" attracts more 600,000 visitors over its seven-month run.

In September, the Endowment publishes Lynne V. Cheney's *Telling the Truth: A Report on the State of the Humanities in Higher Education*.

NEH honors the fourth group of Charles Frankel Prize recipients: Allan Bloom, Shelby Foote, Richard Rodriguez, Harold Skramstad, Jr., and Eudora Welty.

1993

The Great Depression, Henry Hampton's seven-part, NEH-supported documentary series airs on PBS and wins an Emmy.

NEH awards sixty-one small grants for research in the archives of the former Soviet Union, Eastern Europe, and elsewhere.

In January, Lynne V. Cheney resigns as NEH Chairman; Jerry L. Martin, the Endowment's Assistant Chairman for Programs and Policy, becomes Acting Chairman.

In April, President Clinton nominates Sheldon Hackney, president of the University of Pennsylvania, as Chairman of NEH; Donald Gibson, Director of NEH's Division of Public Programs, becomes Acting Chairman.

The first NEH Dissertation Grants are awarded to doctoral students in the humanities.

NEH begins two humanities initiatives about science: the Leadership Opportunity in Science and Humanities Education and Nature, Technology, and Human Understanding. The National Science Foundation and the Department of Education's Fund for the Improvement of Postsecondary Education (FIPSE) are partners.

Historian Robert Conquest delivers the twenty-second Jefferson Lecture, "History, Humanity, and Truth."

In August, Sheldon Hackney becomes NEH Chairman.

The Endowment makes available \$1 million in emergency funds for museums, libraries, schools, and other cultural institutions in the Midwest to recover from damage caused by record flooding.

President Clinton declares October 1993 "National Arts and Humanities Month."

In October, the Endowment honors the fifth group of Charles Frankel Prize recipients: Ricardo Alegria, John Hope Franklin, Hanna H. Gray, Andrew Heiskell, and Laurel T. Ulrich.

"The Age of Rubens" exhibition opens in Boston, then travels to the Toledo Museum of Art, where it draws the largest attendance of any exhibition in the museum's history.

1994

The Endowment launches A National Conversation on American Pluralism and Identity.

NEH fellow Joan Hedrick's *Harriet Beecher Stowe: A Life* wins a Pulitzer Prize.

Poet Gwendolyn Brooks delivers the twenty-third Jefferson Lecture, "Family Pictures."

Ken Burns's thirteen-hour series, *Baseball*, is seen by more than forty-three million and wins an Emmy.

In October, NEH honors the sixth group of Charles Frankel Prize recipients: Ernest Boyer, William Kittredge, Peggy Whitman Prenshaw, Sharon Percy Rockefeller, and Dorothy Porter Wesley.

"Louis Armstrong: A Cultural Legacy" opens at the Queens Museum of Art before traveling to Dallas, Chicago, Rochester, Charleston, New Orleans, Savannah, and Washington, D.C.

FDR, a four-part film documentary of the life of President Franklin Delano Roosevelt, is seen by more than ten million on "The American Experience" and wins a George Foster Peabody Award.

1995

In January, NEH programs are restructured: parts of the Fellowships and Seminars divisions are merged with the Research and Education divisions, Challenge Grants are again administered by a separate office; and the Federal/State Partnership is created.

In *The Republic of Letters: The Correspondence Between Thomas Jefferson and James Madison, 1776-1826*, 1,200 surviving letters exchanged by the two Founders are published together for the first time.

The Endowment publishes *Lasting Values in a Disposable World*, a major speech delivered by Sheldon Hackney at the Commonwealth Club of California in San Francisco.

The Gate of Heavenly Peace, Richard Gordon and Carma Hinton's three-hour documentary about the 1989 Tiananmen Square democracy movement and its violent repression, opens at the New York Film Festival.

Architectural historian Vincent Scully gives the twenty-fourth Jefferson Lecture, "The Architecture of Community."

NEH joins the Voice of America to sponsor a nationwide essay contest for high school students.

In October, NEH honors the seventh group of Charles Frankel Prize recipients: William Ferris, Charles Kuralt, David Macaulay, David McCullough, and Bernice Johnson Reagon.

Congress approves a 36-percent funding reduction for the Endowment in fiscal year 1996.

In December, the Endowment is again restructured: the remaining five program divisions are consolidated into three and thirty-one programs into nine; an Office of Enterprise is created; and staffing is reduced by 38 percent.

1996

NEH launches a three-year Teaching with Technology initiative.

Funding begins for Girls Dig It, an after-school program in urban archaeology for early adolescent girls.

Meetings of the National Council on the Humanities are reduced from four to three.

David Herbert Donald's biography of Lincoln, written with NEH support, becomes a best-seller.

An early seventeenth-century fort is uncovered by archaeologists at Jamestown, the first permanent English settlement in America.

Alan Taylor, a recipient of an NEH Centers fellowship, wins the Pulitzer Prize for *William Cooper's Town: Power and Persuasion on the Frontier of the Early American Republic*.

NEH begins a three-year partnership with the Andrew W. Mellon Foundation to support humanities fellowships at advanced study in the humanities.

Three major NEH-supported film series dominate PBS's fall programming line-up: More than thirty-eight million viewers see *The West*, while *The Great War and the Shaping of the Twentieth Century* and *T.R.: The Story of Theodore Roosevelt* both win Emmys.

"Splendors of Imperial China" draws 426,000 visitors during its New York showing, making it the world's most popular exhibition in 1996.

Writer Toni Morrison delivers the twenty-fifth Jefferson Lecture, "The Future of Time."

NEH honors the eighth group of Charles Frankel Prize recipients: Rita Dove, Doris Kearns Goodwin, Daniel Kemmis, Arturo Madrid, and Bill Moyers.

1997

Liberty! The American Revolution, a six-part series on birth of the American Republic, is seen by fifteen million.

NEH fellow Jack N. Rakove's *Original Meanings: Politics and Ideas in the Making of the Constitution* wins a Pulitzer Prize.

The Endowment publishes a special report to Congress, *NEH in the Digital Age*.

The Endowment publishes Sheldon Hackney's *One America Indivisible*, a report on the Endowment's National Conversation on American Pluralism and Identity.

In September, Sheldon Hackney steps down at the conclusion of his four-year term. Bruce A. Lehman, Assistant Secretary and Commissioner of Patents and Trademarks at the Department of Commerce, is Acting Chairman of NEH; Endowment Deputy Chairman, Juan Mestas, manages day-to-day operations of the agency.

Philosopher Stephen Toulmin delivers twenty-sixth Jefferson Lecture, "A Dissenter's Story."

In September, President Clinton presents the first National Humanities Medals to Nina M. Archabal, David A. Berry, Richard J. Franke, William Friday, Don Henley, Maxine Hong Kingston, Luis Leal, Martin E. Marty, Paul Mellon, and Studs Terkel. The medal replaces the Charles Frankel Prize.

In October, [EDSITEment](#) [2], a new meta-website for teachers and students developed in partnership with Worldcom Foundation and the Council of Great City Schools, is launched.

In November, William R. Ferris, Director of the Center for the Study of Southern Culture and professor of anthropology at the University of Mississippi, becomes the seventh Chairman of NEH.

1998

In November, President Clinton presents National Humanities Medals to the second group of awardees: Stephen Ambrose, E. L. Doctorow, Diana Eck, Nancye Brown Gaj, Henry Louis Gates, Jr., Vartan Gregorian, Ramon Eduardo Ruiz, Arthur Schlesinger, Jr., and Garry Wills.

As part of the three-year Schools for a New Millennium initiative, twenty grants are awarded to develop innovative projects to integrate technology into classroom instruction.

The sesquicentennial exhibition, "Gold Fever: The Lure and Legacy of the California Gold Rush," opens at the Oakland Museum of California, then moves to Los Angeles and Sacramento.

During the fall, *Africans in America* and the Emmy-winning *The U.S.-Mexican War, 1846-1848* air on PBS.

The 90-minute documentary, *Paralyzing Fear: The Story of Polio in America*, wins an Emmy.

Historian Bernard Bailyn delivers twenty-seventh Jefferson Lecture, "To Begin the World Anew."

NEH funding begins for the Digital Library Initiative, and interagency effort led by the National Science Foundation that supports research on ways to digitize collections in the sciences, the humanities, and medicine.

African-American Newspapers and Periodicals: A National Bibliography and *The Oxford History of the British Empire, Vol. 1* are published.

Intra-agency working groups are established to examine the Endowment's achievements and opportunities in five programmatic areas: regional America; teaching and lifelong learning; humanities, science, and technology; humanities in an international context; and extending the reach of NEH programs.

1999

The Sources of Chinese Tradition, a revised and expanded version of an authoritative anthology much used in undergraduate survey courses, is published.

Gotham: A History of New York City to 1898 by Edwin G. Burrows and Mike Wallace wins a Pulitzer Prize. Wallace's research was supported with an NEH fellowship.

President Clinton presents National Humanities Medals to the third group of awardees: Patricia M. Battin, Taylor Branch, Jacquelyn Dowd Hall, Garrison Keillor, Jim Lehrer, John Rawls, Steven Spielberg, and August Wilson.

NEH launches an initiative to develop ten regional humanities centers throughout the United States.

Historian Caroline Walker Bynum delivers the twenty-eighth Jefferson Lecture, "Shape and Story: Metamorphosis in the Western Tradition."

NEH launches the My History is America's History [website](#) [3] and guidebook. Developed in partnership with the White House Millennium Council, the President's Committee on the Arts and the Humanities, and private funders, the nation-wide initiative invites Americans to discover the connections between family stories and U.S. history.

New York, a ten-hour documentary history of New York City, is broadcast.

NEH joins NEA and the Institute of Museum and Library Services to recommend recipients of Save America's Treasures grants. The program to protect threatened cultural resources is a public-private partnership of the White House Millennium Council, the National Trust for Historic Preservation, and the National Park Service.

2000

Pulitzer Prizes are awarded to Stacy Schiff for *Vera (Mrs. Vladimir Nabokov)* and to David M. Kennedy for *Freedom from Fear: The American People in Depression and War, 1929-45*. Schiff's work was supported with an NEH fellowship; Kennedy's with an NEH Centers fellowship.

Eleanor Roosevelt, a two-and-one-half-hour film biography of the famous first lady, airs as part of "The American Experience."

NEH launches Extending the Reach, an agency-wide initiative to make the Endowment's programs more accessible to regions and audiences that have been served less effectively than others.

NEH and its partners, the Carnegie Corporation of New York, the Library of America, and the American Library Association, launch a National Public Library Initiative. The \$1 million Carnegie gift allows more than 800 libraries to receive fifty volumes of the Library of America.

The Endowment and the Corporation for Public Broadcasting jointly award funding for seven projects to develop digitally enhanced educational programs for television.

Historian James M. McPherson delivers the twenty-ninth Jefferson Lecture, "For a Vast Future Also: Lincoln and the Millennium."

President Clinton presents National Humanities Medals to the fourth group of awardees: Robert Bellah, Will Davis Campbell, Judy Crichton, David C. Driskell, Ernest J. Gaines, Herman T. Guerrero, Quincy Jones, Barbara Kingsolver, Toni Morrison, Edmund S. Morgan, Earl Shorris, and Virginia Driving Hawk Sneve.

2001

Playwright Arthur Miller delivers the 30th Jefferson Lecture in the Humanities, “On Politics and the Art of Acting.”

In December art historian Bruce Cole is sworn in as the eighth chairman of the National Endowment for the Humanities.

2002

Harvard professor and cultural critic Henry Louis Gates, Jr., delivers the 31st Jefferson Lecture in the Humanities, “Mr. Jefferson and the Trials of Phillis Wheatley.”

In a September ceremony at the White House, President George W. Bush launches *We the People*, an NEH initiative to encourage the teaching, studying, and understanding of American history and culture.

2003

Historian Robert V. Remini delivers the first Heroes of History Lecture in Washington, D.C.

An essay by high school student Morghan Transue of Kendall Park, New Jersey, on the landmark Supreme Court decision *Marbury v. Madison* receives the grand prize in the first NEH “Idea of America” Essay Contest.

Historian and Pulitzer Prize-winning author David McCullough delivers the 32nd Jefferson Lecture in the Humanities, “The Course of Human Events.”

As part of the Endowment's *We the People* initiative, NEH issues its first *We the People* Bookshelf, a list of recommended books for young readers (K-12) on the theme of “courage,” with plans to offer complete sets of the 15 books to more than 500 libraries across the nation.

In July NEH announces a special initiative, “Recovering Iraq's Past,” to support projects to preserve and document cultural resources in Iraq's archives, libraries, and museums.

President Bush presents National Humanities Medals to Robert Ballard, Joan Ganz Cooney, Midge Decter, Joseph Epstein, Elizabeth Fox-Genovese, Jean Fritz, Hal Holbrook, Edith Kurzweil, Frank M. Snowden, Jr., and John Updike.

2004

Early in the year, NEH announces the first “Landmarks of American History” teacher workshops to be held at 17 historic and cultural sites across the United States. As part of the Endowment's *We the People* initiative, these residence-based, week-long workshops bring school teachers together with scholars for a week of intensive study on history and literature associated with each historic site.

Helen Vendler, author of numerous books on poets and poetry and a professor at Harvard University, delivers the 33rd Jefferson Lecture in the Humanities, “The Ocean, the Bird, and the Scholar.”

NEH announces the second *We the People* Bookshelf, a new set of classic books for young readers (K-12) on the theme of “freedom.”

Harold Holzer, a prolific writer and lecturer and one of the nation's leading authorities on the Civil War era, delivers the second “Heroes of History Lecture” in October at historic Ford's Theatre in Washington.

Rachel Shafer, a 16-year-old home-schooled student from Longmont, Colorado, is named Grand Prize winner of the second NEH “Idea of America” Essay Contest.

2005

Donald Kagan, Sterling Professor of Classics and History at Yale University and author of numerous books and articles on Greek history and international relations, delivers the 34th Jefferson Lecture in the Humanities, “In Defense of History.”

NEH and the Library of Congress announce the first grants in the National Digital Newspaper Program (NDNP), a new, long-term effort to develop an Internet-based, searchable database of U.S. newspapers now in the public domain. Two-year projects in California, Florida, Kentucky, New York, Utah, and Virginia receive support to digitize thousands of pages of each state's most historically significant newspapers published between 1900 and 1910.

NEH and the National Science Foundation announce fellowships and institutional grants in a new inter-agency partnership, “Documenting Endangered Languages,” a multi-year effort to preserve records of key languages before they become extinct.

The Endowment announces a new agency-wide initiative, “Rediscovering Afghanistan,” to promote research, education, and public programs about Afghan history and culture.

In response to the extensive damages caused by Hurricanes Katrina and Rita along the Gulf Coast, NEH makes available \$2 million for emergency grants to libraries, museums, colleges, universities, and other cultural and historical institutions affected by the hurricanes.

In September NEH begins a year-long celebration of the agency's 40th anniversary with a reception and program at the National Gallery of Art. Vice President Richard B. Cheney and Lynne V. Cheney attend the event and provide brief remarks to the estimated 500 dignitaries, donors, and cultural leaders and supporters assembled at the Gallery.

Author, scholar, and former college president Josiah Bunting III delivers the third "Heroes of History Lecture" in Washington, D.C.

Carmiel "Carmi" Schickler, a 17-year-old student from Port Washington, New York, is named Grand Prize winner of the third "Idea of America" Essay Contest for high school juniors.

The U.S. Senate unanimously confirms Bruce Cole for a second term as NEH Chairman.

2006

Tom Wolfe, the celebrated novelist and chronicler of American society, delivers the 35th Jefferson Lecture in the Humanities, "The Human Beast."

The National Endowment for the Humanities and the Institute of Museum and Library Services announce "Advancing Knowledge: The IMLS/NEH Digital Partnership" to help teachers, scholars, museums and libraries take advantage of developing technology.

Elise Liu, 17, of Pittsburgh, Pennsylvania, is selected as the Grand Prize winner in the national "Idea of America" Essay Contest. Chairman Cole announces the award at a special reception and dinner at the U.S. Supreme Court.

2007

"Chronicling America: Historic American Newspapers" makes its online debut with more than 226,000 pages of public domain newspapers from California, Florida, Kentucky, New York, Utah, Virginia, and the District of Columbia published between 1900 and 1910. Through a partnership between the Endowment and the Library of Congress, this online resource is available at <http://www.loc.gov/chroniclingamerica> [4].

The Endowment awards the first Digital Humanities Start-Up Grants for projects designed to explore and develop innovative uses of technology in humanities education, scholarship, and public programming.

Harvey Mansfield, one of America's leading political scientists and a widely published author, delivers the 36th Jefferson Lecture in the Humanities, "How to Understand Politics: What the Humanities Can Say to Science."

2008

In February at the White House, the National Endowment for the Humanities launches Picturing America, an innovative program that helps teach American history and provides students with a gateway to the broader world of the humanities through a collection of forty carefully selected works of art spanning several centuries—all by American painters, sculptors, photographers, and architects.

John Updike, Pulitzer Prize-winning American novelist and internationally known author and critic, delivers the 37th annual Jefferson Lecture in the Humanities, "The Clarity of Things: What Is American about American Art."

In June NEH announces that 26,320 schools and public libraries across the nation will receive Picturing America, a program that helps teach American history and culture by bringing some of the country's great art directly to classrooms and libraries.

2009

In January, Bruce Cole steps down at the conclusion of his term as Chairman. Carole M. Watson is appointed Acting Chairman of the National Endowment for the Humanities.

NEH announces the first "Digging Into Data Challenge," a competition administered by NEH in cooperation with international research agencies. The program is designed to challenge scholars to develop international partnerships and apply large-scale data analysis to humanities and social science research.

Philosopher Leon R. Kass delivers the 38th annual Jefferson Lecture, "Looking for an Honest Man': Reflections of an Unlicensed Humanist."

Chronicling America [5], the ongoing effort sponsored by NEH and the Library of Congress to digitize and make accessible America's historic newspapers, posts its millionth newspaper page online.

In August, former Congressman Jim Leach is sworn in as the ninth Chairman of the NEH. Chairman Leach announces a special initiative for the Endowment, focused on *Bridging Cultures*.

Chairman Leach launches a fifty-state “American Civility Tour” to call attention for the need for civility in public discourse.

2010

In February, President Obama presents National Humanities Medals for 2009 to Robert Caro, Annette Gordon-Reed, David Levering Lewis, William H. McNeill, Philippe de Montebello, Albert H. Small, Theodore C. Sorensen, and Elie Wiesel.

Historian Jonathan Spence, an expert in Chinese history and culture, delivers the 39th annual Jefferson Lecture, “When Minds Met: China and the West in the Seventeenth Century.”

EDSITEment [2], the National Endowment for the Humanities' online repository of humanities-related teaching resources, is named among the top twenty-five “Best Websites for Teaching and Learning” by the school librarians division of the American Library Association.

NEH awards the first *Bridging Cultures* grants for public forums examining issues relating to the role of civility in democracy and Muslim contributions to world cultures.

In September, NEH marks the forty-fifth anniversary of the agency’s creation under the National Foundation on the Arts and the Humanities Act of 1965.

NEH announces a new Challenge Grant program specifically addressed to two-year and community colleges.

NEH and China’s Ministry of Culture host a “Bi-national Conversation on Bridging Cultures” at the University of California, Berkeley, bringing together artists, writers, historians, and political theorists of both countries to discuss how culture has influenced relations between China and the United States.

Volume I of the *Autobiography of Mark Twain*, supported by NEH grants, is published in November and becomes an instant best-seller.

The NEH-supported *Jazz Loft Project* exhibition and website is awarded the 2010 ASCAP multimedia award for outstanding coverage of music by the American Society of Composers, Authors, and Publishers.

2011

Atlas of the Transatlantic Slave Trade, by David Eltis and David Richardson based on an NEH-supported online database of records from 35,000 slaving voyages, is awarded the PROSE award for scholarly excellence by the Association of American Publishers.

In March, President Obama presents National Humanities Medals for 2010 to Daniel Aaron, Bernard Bailyn, Jacques Barzun, Wendell Berry, Roberto Gonzalez Echevarría, Stanley Nider Katz, Joyce Carol Oates, Arnold Rampersad, Philip Roth, and Gordon S. Wood.

Commemorations of the sesquicentennial anniversary of the Civil War begin with NEH-supported traveling exhibitions on Lincoln and the Civil War, an *American Experience* documentary on Robert E. Lee, and an NEH *We The People Bookshelf* project on the theme of “a more perfect union.”

Historian and President of Harvard University Drew Gilpin Faust delivers the 40th annual Jefferson Lecture, “Telling War Stories: Reflections of a Civil War Historian.”

In May, the NEH-supported *American Experience* documentary *Freedom Riders* broadcasts nationally on the fiftieth anniversary of the 1961 Freedom Rides. Accompanying the broadcast is the 2011 Student Freedom Ride, in which forty college students are invited to participate in a re-creation of the historic civil rights protest of segregation in interstate public transportation.

2012

In February, President Obama presents National Humanities Medals for 2011 to Kwame Anthony Appiah, John Ashbery, Robert Darnton, Andrew Delbanco, Charles Rosen, Teofilo Ruiz, Ramón Saldivar, Amartya Sen, and National History Day.

The fifth and final volume of the *Dictionary of American Regional English (DARE)*, covering American regional language from S – Z, is published in March. NEH support for *DARE* began in 1971, making it NEH’s longest continually-supported project.

Poet, essayist, and conservationist Wendell E. Berry delivers the 41st Jefferson Lecture in the Humanities, “It All Turns on Affection.”

Chronicling America, the online database of historic American newspapers digitized through a partnership between the National Endowment for the Humanities and the Library of Congress, posts its 5 millionth page.

2013

Director and film preservationist Martin Scorsese delivers the 42nd annual Jefferson Lecture in the Humanities on “Persistence of Vision: Reading the Language of Cinema.”

NEH concludes a national contest to design a new National Humanities Medal. A panel of judges selects a bronze medallion depicting Lady Liberty, designed by artist Paul Balan, to replace the former medal.

The Digital Public Library of America (DPLA) launches in April, providing public access to over 2.4 million digital books, manuscripts, photographs, maps, and other resources, supported by major funding from the National Endowment for the Humanities.

In May, James A. Leach steps down as Chairman. Carole M. Watson is appointed Acting Chairman of the National Endowment for the Humanities.

In July, President Obama presents National Humanities Medals for 2012 to Edward L. Ayers, William G. Bowen, Jill Ker Conway, Natalie Zemon Davis, Frank Deford, Joan Didion, Robert D. Putnam, Marilynne Robinson, Kay Ryan, Robert B. Silvers, Anna Deavere Smith, and Camilo José Vergara.

NEH launches *Created Equal: America’s Civil Rights Struggle*, providing free access to NEH-funded documentary films about the history of civil rights in America.

2014

In April, NEH launches new agency-wide *Standing Together* initiative to encourage humanities programs that further understanding and study of the experiences of American veterans.

Biographer Walter Isaacson delivers the 43rd annual Jefferson Lecture in the Humanities on “The Intersection of the Humanities and the Sciences.”

NEH relocates its headquarters to 400 7th Street SW, Washington, DC

In July, William D. Adams, former president of Colby College, is sworn in as the tenth Chairman of the National Endowment for the Humanities.

In July, President Obama presents National Humanities Medals for 2013 to M. H. Abrams, David Brion Davis, William Theodore de Bary, Darlene Clark Hine, Johnpaul Jones, Stanley Nelson, Diane Rehm, Anne Firor Scott, Krista Tippett, and the American Antiquarian Society.

NATIONAL ENDOWMENT FOR THE HUMANITIES

Summary of Grants and Awards, FY 2016

<u>Division/Program</u>	<u>Number 1/</u>	<u>Amount Obligated 2/</u>		
		<u>Outright</u>	<u>Matching 3/</u>	<u>Total</u>
FEDERAL/STATE PARTNERSHIP	67	\$42,566,972	\$447,300	\$43,014,272
State Programs	67	42,566,972	447,300	43,014,272
EDUCATION PROGRAMS	136	15,339,908	--	15,339,908
Seminars and Institutes for School Teachers	23	3,371,169	--	3,371,169
Seminars and Institutes for College Teachers	25	3,694,921	--	3,694,921
Landmarks of American History and Culture	20	3,362,070	--	3,362,070
Humanities Initiatives for Faculty	9	896,111	--	896,111
Humanities Initiatives at Community Colleges	12	1,161,359	--	1,161,359
Dialogues on the Experience of War	17	1,555,293	--	1,555,293
Enduring Questions	20	447,357	--	447,357
Cooperative Agreement and Projects	10	851,628	--	851,628

Summary of Grants and Awards, FY 2016 (cont.)

<u>Division/Program</u>	<u>Number 1/</u>	<u>Outright</u>	<u>Matching 3/</u>	<u>Total</u>
PRESERVATION AND ACCESS	218	\$16,054,272	535,475	16,589,747
Humanities Collections and Reference Resources	43	7,231,975	160,475	7,392,450
Documenting Endangered Languages	3	515,000	--	515,000
National Digital Newspaper Program	15	3,283,939	--	3,283,939
Preservation Education and Training	8	1,376,160	25,000	1,404,160
Preservation Assistance Grants	80	447,880	--	447,880
Sustaining Cultural Heritage Collections	19	1,360,014	350,000	1,710,014
Common Heritage	38	433,927	--	433,927
Preservation and Access R & D	8	1,336,177	--	1,336,177
Cooperative Agreement and Special Projects	4	66,200	--	66,200
PUBLIC PROGRAMS	131	16,938,857	450,000	17,388,857
America's Historical and Cultural Organizations	33	5,832,008	239,000	6,071,008
Media Projects	24	4,867,000	--	4,867,000
NEH on the Road	28	860,333	--	860,333

Summary of Grants and Awards, FY 2016 (cont.)

Division/Program

PUBLIC PROGRAMS cont'd

Humanities in the Public Square	21	3,617,329	56,000	3,673,329
Bridging Cultures through Film	3	244,907	--	244,907
Digital Projects for the Public	8	879,589	155,000	1,034,587
Cooperative Agreement and Special Projects	14	657,693	--	657,693
RESEARCH PROGRAMS	264	15,272,140	1,050,000	16,322,140
Individual Research Programs	<u>198</u>	<u>5,812,170</u>	<u>--</u>	<u>5,812,170</u>
Fellowships	80	3,746,400	--	3,746,400
Public Scholar Program	25	1,229,970	--	1,229,970
Summer Stipends	84	504,000	--	504,000
Awards for Faculty	9	331,800	--	331,800
Collaborative Research Programs	<u>59</u>	<u>9,153,370</u>	<u>1,050,000</u>	<u>10,203,370</u>
Collaborative Research	16	2,946,561	105,000	3,051,561
Scholarly Editions and Translations	32	4,541,034	904,551	5,445,585
Fellowship Programs at Independent Research Institutions	11	1,665,775	40,449	1,706,224

Summary of Grants and Awards, FY 2016 (cont.)

RESEARCH PROGRAMS cont'd

Strategic Partnerships	<u>7</u>	<u>306,600</u>	<u>--</u>	<u>306,600</u>
Documenting Endangered Languages	5	252,000	--	252,000
Advanced Research on Japan	2	54,600	--	54,600
OFFICE OF CHALLENGE GRANTS	79	100,000	8,836,549	8,936,549
Challenge Grants	48	--	6,765,807	6,765,807
Special Initiatives	9	--	365,381	365,381
Next Generation Humanities Ph.D.	28	--	1,655,361	1,655,361
Cooperative Agreements and Special Projects	1	100,000	50,000	150,000
OFFICE OF DIGITAL HUMANITIES	37	4,747,437	--	4,747,437
Start-Up Grants	18	1,077,484	--	1,077,484
Digital Humanities Implementation	8	2,474,056	--	2,474,056
Institutes for Advanced Topics	4	787,084	--	787,084
Cooperative Agreements and Special Projects	1	29,517	--	29,517
Humanities Open Book Program	4	375,671	--	375,671
Agency-wide Projects	<u>13</u>	<u>920,716</u>	<u>--</u>	<u>920,716</u>
	<u>945</u>	<u>\$111,940,301</u>	<u>\$11,319,324</u>	<u>\$123,259,625</u>

Summary of Grants and Awards, FY 2016 (cont.)

FOOTNOTES:

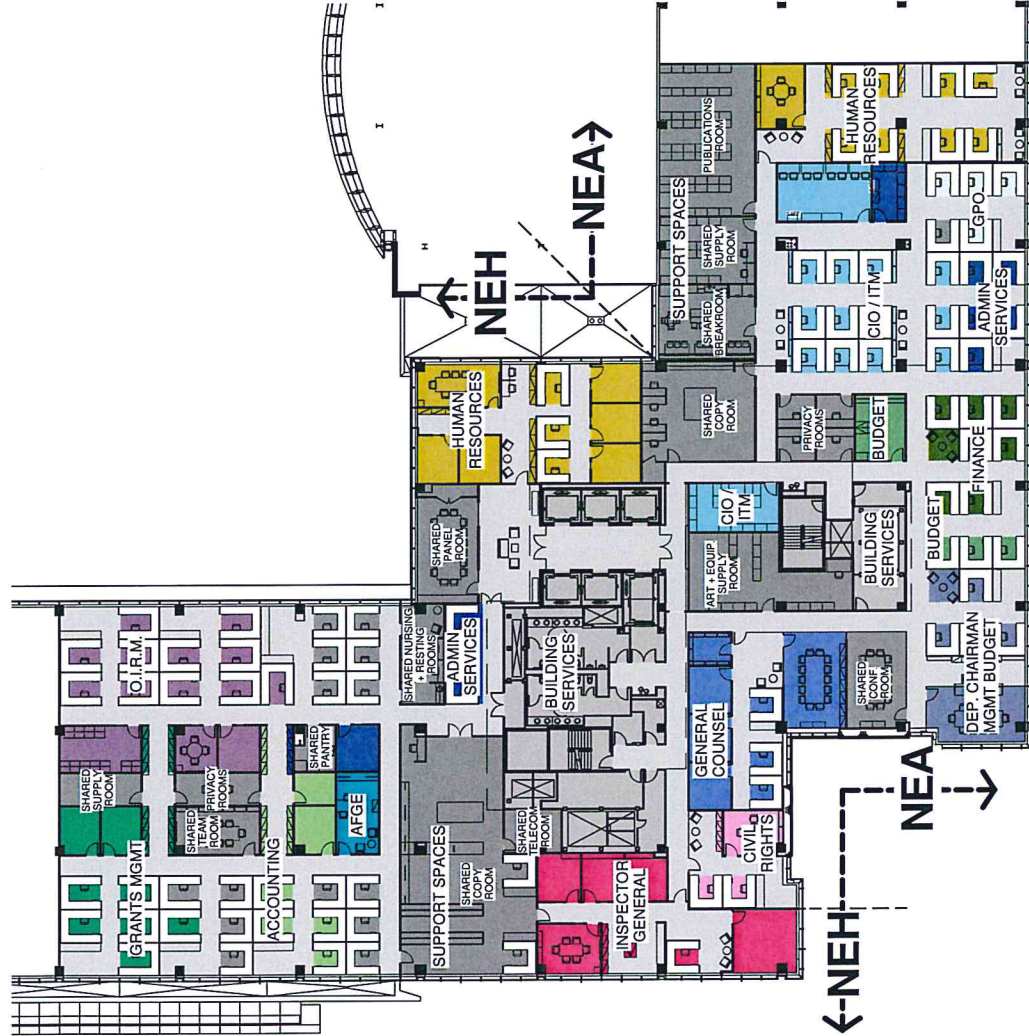
- 1/ New grants, supplemental awards on previous years' grants, transfers to other agencies, and program contracts.
- 2/ Totals include obligations for new grants, supplemental grants, program contracts, and other program-related purposes. Included are awards that are (a) made by NEH using appropriated funds, including funds appropriated to the We the People, Bridging Cultures, and Common Good initiatives, (b) made by NEH using program funds transferred to the Endowment by other federal agencies, and (c) made by NEH using funds contributed by nonfederal entities.
- 3/ Totals include definite program funds used to match gifts.

Note: Detail may not add to totals due to rounding.



Project	NEA/NEH	Title	4TH FLOOR BLOCKING - NEH	Project Manager	ELIZABETH BLUNCK	Date	02/24/14
				Assistant	A. REGAN / R. WILLIAMS	Sketch	
				Path:		B-04	

DBI Project Number: 4331.001



Project	NEA/NEH	Title	2ND FLOOR BLOCKING - NEA/NEH
Project Manager	EB / MB	Date	07/11/13
Assistant	AR / RW	Sketch	
Path:		B-02	

DBI Project Number: 4331.001

NEH Legislation

The National Endowment for the Humanities was established by the National Foundation on the Arts and Humanities Act of 1965 which has been codified, as amended, in the U.S. Code as set forth below:

TITLE 20 - EDUCATION CHAPTER 26 - SUPPORT AND SCHOLARSHIP IN HUMANITIES AND ARTS; MUSEUM SERVICES SUBCHAPTER I - NATIONAL FOUNDATION ON THE ARTS AND THE HUMANITIES

§ 951. Declaration of findings and purposes

The Congress finds and declares the following:

- (1) The arts and the humanities belong to all the people of the United States.
- (2) The encouragement and support of national progress and scholarship in the humanities and the arts, while primarily a matter for private and local initiative, are also appropriate matters of concern to the Federal Government.
- (3) An advanced civilization must not limit its efforts to science and technology alone, but must give full value and support to the other great branches of scholarly and cultural activity in order to achieve a better understanding of the past, a better analysis of the present, and a better view of the future.
- (4) Democracy demands wisdom and vision in its citizens. It must therefore foster and support a form of education, and access to the arts and the humanities, designed to make people of all backgrounds and wherever located masters of their technology and not its unthinking servants.
- (5) It is necessary and appropriate for the Federal Government to complement, assist, and add to programs for the advancement of the humanities and the arts by local, State, regional, and private agencies and their organizations. In doing so, the Government must be sensitive to the nature of public sponsorship. Public funding of the arts and humanities is subject to the conditions that traditionally govern the use of public money. Such funding should contribute to public support and confidence in the use of taxpayer funds. Public funds provided by the Federal Government must ultimately serve public purposes the Congress defines.
- (6) The arts and the humanities reflect the high place accorded by the American people to the nation's rich cultural heritage and to the fostering of mutual respect for the diverse beliefs and values of all persons and groups.
- (7) The practice of art and the study of the humanities require constant dedication and devotion. While no government can call a great artist or scholar into existence, it is necessary and appropriate for the Federal Government to help create and sustain not only a climate encouraging freedom of thought, imagination, and inquiry but also the material conditions facilitating the release of this creative talent.
- (8) The world leadership which has come to the United States cannot rest solely upon superior power, wealth, and technology, but must be solidly founded upon worldwide respect and admiration for the Nation's high qualities as a leader in the realm of ideas and of the spirit.
- (9) Americans should receive in school, background and preparation in the arts and humanities to enable them to recognize and appreciate the aesthetic dimensions of our lives, the diversity of excellence that comprises our cultural heritage, and artistic and scholarly expression.
- (10) It is vital to a democracy to honor and preserve its multicultural artistic heritage as well as support new ideas, and therefore it is essential to provide financial assistance to its artists and the organizations that support their work.
- (11) To fulfill its educational mission, achieve an orderly continuation of free society, and provide models of excellence to the American people, the Federal Government must transmit the achievement

and values of civilization from the past via the present to the future, and make widely available the greatest achievements of art.

(12) In order to implement these findings and purposes, it is desirable to establish a National Foundation on the Arts and the Humanities.

§ 952. Definitions

As used in this subchapter—

(a) The term “humanities” includes, but is not limited to, the study and interpretation of the following: language, both modern and classical; linguistics; literature; history; jurisprudence; philosophy; archeology; comparative religion; ethics; the history, criticism, and theory of the arts; those aspects of the social sciences which have humanistic content and employ humanistic methods; and the study and application of the humanities to the human environment with particular attention to reflecting our diverse heritage, traditions, and history and to the relevance of the humanities to the current conditions of national life.

(b) The term “the arts” includes, but is not limited to, music (instrumental and vocal), dance, drama, folk art, creative writing, architecture and allied fields, painting, sculpture, photography, graphic and craft arts, industrial design, costume and fashion design, motion pictures, television, radio, film, video, tape and sound recording, the arts related to the presentation, performance, execution, and exhibition of such major art forms, all those traditional arts practiced by the diverse peoples of this country.¹ and the study and application of the arts to the human environment.

(c) The term “production” means plays (with or without music), ballet, dance and choral performances, concerts, recitals, operas, exhibitions, readings, motion pictures, television, radio, film, video, and tape and sound recordings, and any other activities involving the execution or rendition of the arts and meeting such standards as may be approved by the National Endowment for the Arts established by section 954 of this title.

(d) The term “project” means programs organized to carry out the purposes of this subchapter, including programs to foster American artistic creativity, to commission works of art, to create opportunities for individuals to develop artistic talents when carried on as a part of a program otherwise included in this definition, and to develop and enhance the widest public knowledge and understanding of the arts, and includes, where appropriate, rental or purchase of facilities, purchase or rental of land, and acquisition of equipment. Such term also includes—

(1) the renovation of facilities if

(A) the amount of the expenditure of Federal funds for such purpose in the case of any project does not exceed \$250,000, or

(B) two-thirds of the members of the National Council on the Arts or the National Council on the Humanities, as the case may be (who are present and voting) approve of the grant or contract involving an expenditure for such purpose; and

(2) for purposes of sections 954 (p), 956 (c)(10), and 956 (h) of this title only, the construction of facilities if

(A) such construction is for demonstration purposes or under unusual circumstances where there is no other manner in which to accomplish an artistic or humanistic purpose, and

(B) two-thirds of the members of the National Council on the Arts and the National Council on the Humanities, as the case may be, (who are present and voting) approve of the grant or contract involving an expenditure for such purpose.

(e) The term “group” includes any State or other public agency, and any nonprofit society, institution, organization, association, museum, or establishment in the United States, whether or not incorporated.

(f) The term “workshop” means an activity the primary purpose of which is to encourage the artistic development or enjoyment of amateur, student, or other nonprofessional participants, or to promote

scholarship and teaching among the participants.

(g) The term “State” includes, in addition to the several States of the Union, the Commonwealth of Puerto Rico, the District of Columbia, Guam, American Samoa, the Northern Mariana Islands, and the Virgin Islands.

(h) The term “local arts agency” means a community organization, or an agency of local government, that primarily provides financial support, services, or other programs for a variety of artists and arts organizations, for the benefit of the community as a whole.

(i) The term “developing arts organization” means a local arts organization of high artistic promise which—

(1) serves as an important source of local arts programming in a community; and

(2) has the potential to develop artistically and institutionally to broaden public access to the arts in rural and innercity areas and other areas that are underserved artistically.

(j) The term “determined to be obscene” means determined, in a final judgment of a court of record and of competent jurisdiction in the United States, to be obscene.

(k) The term “final judgment” means a judgment that is either—

(1) not reviewed by any other court that has authority to review such judgment; or

(2) is not reviewable by any other court.

(l) The term “obscene” means with respect to a project, production, workshop, or program that—

(1) the average person, applying contemporary community standards, would find that such project, production, workshop, or program, when taken as a whole, appeals to the prurient interest;

(2) such project, production, workshop, or program depicts or describes sexual conduct in a patently offensive way; and

(3) such project, production, workshop, or program, when taken as a whole, lacks serious literary, artistic, political, or scientific value.

§ 953. National Foundation on the Arts and the Humanities

(a) Establishment; composition

There is established a National Foundation on the Arts and the Humanities (hereinafter referred to as the “Foundation”), which shall be composed of a National Endowment for the Arts, a National Endowment for the Humanities, a Federal Council on the Arts and the Humanities, and an Institute of Museum and Library Services.

(b) Purpose

The purpose of the Foundation shall be to develop and promote a broadly conceived national policy of support for the humanities and the arts in the United States, and for institutions which preserve the cultural heritage of the United States pursuant to this subchapter.

(c) Prohibition against Federal supervision over policy determination, personnel, or curriculum, or administration or operation of any school or other non-Federal body

In the administration of this subchapter no department, agency, officer, or employee of the United States shall exercise any direction, supervision, or control over the policy determination, personnel, or curriculum, or the administration or operation of any school or other non-Federal agency, institution, organization, or association.

§ 956. National Endowment for the Humanities

(a) Establishment

There is established within the Foundation the National Endowment for the Humanities.

(b) Chairperson of the Endowment; appointment, term, reappointment; vacancy; expiration of term

- (1) The Endowment shall be headed by a chairperson, who shall be appointed by the President, by and with the advice and consent of the Senate.
- (2) The term of office of the Chairperson shall be four years, and the Chairperson shall be eligible for reappointment. The provisions of this paragraph shall apply to any person appointed to fill a vacancy in the office of the Chairperson. Upon expiration of the Chairperson's term of office the Chairperson shall serve until the Chairperson's successor shall have been appointed and shall have qualified.

(c) Functions of the Endowment; publications; traditionally underrepresented recipients of financial assistance

The Chairperson, with the advice of the National Council on the Humanities (hereinafter established), is authorized to enter into arrangements, including contracts, grants, loans, and other forms of assistance, to—

- (1) develop and encourage the pursuit of a national policy for the promotion of progress and scholarship in the humanities;
- (2) initiate and support research and programs to strengthen the research and teaching potential of the United States in the humanities by making arrangements with individuals or groups to support such activities; any loans made by the Endowment shall be made in accordance with terms and conditions approved by the Secretary of the Treasury;
- (3) initiate and support training and workshops in the humanities by making arrangements with institutions or individuals (fellowships awarded to individuals under this authority may be for the purpose of study or research at appropriate nonprofit institutions selected by the recipient of such aid, for stated periods of time);
- (4) initiate and support programs and research which have substantial scholarly and cultural significance and that reach, or reflect the diversity and richness of our American cultural heritage, including the culture of, a minority, inner city, rural, or tribal community;
- (5) foster international programs and exchanges;
- (6) foster the interchange of information in the humanities;
- (7) foster, with groups, education in, and public understanding and appreciation of the humanities;
- (8) support the publication of scholarly works in the humanities;
- (9) insure that the benefit of its programs will also be available to our citizens where such programs would otherwise be unavailable due to geographic or economic reasons; and
- (10) foster programs and projects that provide access to, and preserve materials important to research, education, and public understanding of, the humanities.

In the case of publications under clause (8) of this subsection such publications may be supported without regard for the provisions of section 501 of title 44 only if the Chairperson consults with the Joint Committee on Printing of the Congress and the Chairperson submits to the Committee on Labor and Human Resources of the Senate and the Committee on Education and Labor of the House of Representatives a report justifying any exemption from such section 501. In selecting individuals and groups of exceptional talent as recipients of financial assistance to be provided under this subsection, the Chairperson shall give particular regard to scholars, and educational and cultural institutions, that have traditionally been underrepresented.

(d) Coordination and development of Endowment programs with other Federal and non-Federal programs

The Chairperson shall coordinate the programs of the National Endowment for the Humanities, insofar as practicable, with existing Federal programs, designated State humanities agencies and with those undertaken by other public agencies or private groups, and shall develop the programs of the Endowment

with due regard to the contribution to the objectives of this subchapter which can be made by other Federal agencies under existing programs.

(e) Limitation on amount of grant for workshop activities for which an admission or other charge is made to the general public

The total amount of any grant under subsection (c)(3) of this section to any group engaging in workshop activities for which an admission or other charge is made to the general public shall not exceed 30 per centum of the total cost of such activities.

(f) Grants-in-aid programs; designation of State administrative agency; matching funds; applications and plans; allotments; cost limitations; grants to regional groups; non-Federal funding; definitions; suspension of grants; single entity limitation

(1) The Chairperson, with the advice of the National Council on the Humanities, is authorized, in accordance with the provisions of this subsection, to establish and carry out a program of grants-in-aid in each of the several States in order to support not more than 50 per centum of the cost of existing activities which meet the standards enumerated in subsection (c) of this section, and in order to develop a program in the humanities in such a manner as will furnish adequate programs in the humanities in each of the several States.

(2) (A) Whenever a State desires to designate or to provide for the establishment of a State agency as the sole agency for the administration of the State plan, such State shall designate the humanities council in existence on the date the State agency is established as the State agency, and shall match from State funds a sum equal to 50 per centum of that portion of Federal financial assistance received by such State under this subsection which is described in the first sentence of paragraph (4) relating to the minimum State grant, or 25 per centum of the total amount of Federal financial assistance received by such State under this subsection, whichever is greater, for the fiscal year involved. In any State in which the State selects the option described in this subparagraph, the State shall submit, before the beginning of each fiscal year, an application for grants and accompany such application with a plan which the Chairperson finds—

(i) designates or provides for the establishment of a State agency (hereinafter in this section referred to as the “State agency”) as the sole agency for the administration of the State plan;

(ii) provides that the chief executive officer of the State will appoint new members to the State humanities council designated under the provisions of this subparagraph, as vacancies occur as a result of the expiration of the terms of members of such council, until the chief executive officer has appointed all of the members of such council;

(iii) provides, from State funds, an amount equal to 50 per centum of that portion of Federal financial assistance received by such State under this subsection which is described in the first sentence of paragraph (4) relating to the minimum State grant, or 25 per centum of the total amount of Federal financial assistance received by such State under this subsection, whichever is greater, for the fiscal year involved;

(iv) provides that funds paid to the State under this subsection will be expended solely on programs approved by the State agency which carry out the objectives of subsection (c) of this section and which are designed to bring the humanities to the public;

(v) provides assurances that State funds will be newly appropriated for the purpose of meeting the requirements of this subparagraph;

(vi) provides that the State agency will make such reports, in such form and containing such information, as the Chairperson may require, including a description of the progress made toward achieving the goals of the State plan;

(vii) provides—

(I) assurances that the State agency has held, after reasonable notice, public meetings in the State to allow scholars, interested organizations, and the public to present views and make recommendations regarding the State plan; and

(II) a summary of such recommendations and of the response of the State agency to

such recommendations; and

(viii) contains—

(I) a description of the level of participation during the most recent preceding year for which information is available by scholars and scholarly organizations in programs receiving financial assistance under this subsection;

(II) for the most recent preceding year for which information is available, a description of the extent to which the programs receiving financial assistance under this subsection are available to all people and communities in the State; and

(III) a description of programs receiving financial assistance under this subsection that exist or are being developed to secure wider participation of scholars and scholarly organizations identified under subclause (I) of this clause or that address the availability of the humanities to all people or communities identified under subclause (II) of this clause.

No application may be approved unless the accompanying plan satisfies the requirements specified in this subsection.

(B) In any State in which the chief executive officer of the State fails to submit an application under subparagraph (A), the grant recipient in such State shall—

(i) establish a procedure which assures that six members of the governing body of such grant recipient shall be appointed by an appropriate officer or agency of such State, except that in no event may the number of such members exceed 25 per centum of the total membership of such governing body; and

(ii) provide, from any source, an amount equal to the amount of Federal financial assistance received by such grant recipient under this subsection for the fiscal year involved.

(3) Whenever a State selects to receive Federal financial assistance under this subsection for any fiscal year under paragraph (2)(B), any appropriate entity desiring to receive such assistance shall submit an application for such assistance at such time as shall be specified by the Chairperson. Each such application shall be accompanied by a plan which the Chairperson finds—

(A) provides assurances that the grant recipient will comply with the requirements of paragraph (2)(B);

(B) provides that funds paid to the grant recipient will be expended solely on programs which carry out the objectives of subsection (c) of this section;

(C) establishes a membership policy which is designed to assure broad public representation with respect to programs administered by such grant recipient;

(D) provides a nomination process which assures opportunities for nomination to membership from various groups within the State involved and from a variety of segments of the population of such State, and including individuals who by reason of their achievement, scholarship, or creativity in the humanities, are especially qualified to serve;

(E) provides for a membership rotation process which assures the regular rotation of the membership and officers of such grant recipient;

(F) establishes reporting procedures which are designed to inform the chief executive officer of the State involved, and other appropriate officers and agencies, of the activities of such grant recipient;

(G) establishes procedures to assure public access to information relating to such activities; (H) provides that such grant recipient will make reports to the Chairperson, in such form, at such times, and containing such information, as the Chairperson may require, including a description of the progress made toward achieving the goals of the plan;

(I) provides—

(i) assurances that the grant recipient has held, after reasonable notice, public meetings in the State to allow scholars, interested organizations, and the public to present views and make recommendations regarding the plan; and

(ii) a summary of such recommendations and of the response of the grant recipient to such

recommendations; and

(J) contains—

(i) a description of the level of participation during the most recent preceding year for which information is available by scholars and scholarly organizations in programs receiving financial assistance under this subsection;

(ii) for the most recent preceding year for which information is available, a description of the extent to which the programs receiving financial assistance under this subsection are available to all people and communities in the State; and

(iii) a description of programs receiving financial assistance under this subsection that exist or are being developed to secure wider participation of scholars and scholarly organizations identified under clause (i) of this subparagraph or that address the availability of the humanities to all people or communities identified under clause (ii) of this subparagraph.

No application may be approved unless the accompanying plan satisfies the requirements specified in this subsection.

(4) Of the sums available to carry out this subsection for any fiscal year, each State and each grant recipient which has a plan approved by the Chairperson shall be allotted at least \$200,000. If the sums appropriated are insufficient to make the allotments under the preceding sentence in full, such sums shall be allotted among such States and grant recipients in equal amounts. In any case where the sums available to carry out this subsection for any fiscal year are in excess of the amount required to make the allotments under the first sentence of this paragraph—

(A) 34 per centum of the amount of such excess for such fiscal year shall be available to the Chairperson for making grants under this subsection to States and regional groups and entities applying for such grants;

(B) 44 per centum of the amount of such excess for such fiscal year shall be allotted in equal amounts among the States and grant recipients which have plans approved by the Chairperson; and

(C) 22 per centum of the amount of such excess for such fiscal year shall be allotted among the States and grant recipients which have plans approved by the Chairperson in amounts which bear the same ratio to such excess as the population of the State for which the plan is approved (or, in the case of a grant recipient other than a State, the population of the State in which such grant recipient is located) bears to the population of all the States.

(5) (A) The amount of each allotment to a State for any fiscal year under this subsection shall be available to each State or grant recipient, which has a plan or application approved by the Chairperson in effect on the first day of such fiscal year, to pay not more than 50 per centum of the total cost of any project or production described in paragraph (1). The amount of any allotment made under paragraph (4) for any fiscal year—

(i) which exceeds \$125,000, but

(ii) which does not exceed 20 per centum of such allotment,

shall be available, at the discretion of the Chairperson, to pay up to 100 per centum of the cost of programs under this subsection if such programs would otherwise be unavailable to the residents of that State.

(B) Any amount allotted to a State under the first sentence of paragraph (4) for any fiscal year which is not obligated by the State agency or grant recipient prior to sixty days prior to the end of the fiscal year for which such sums are appropriated shall be available to the Chairperson for making grants to regional groups.

(C) Funds made available under this subsection shall not be used to supplant non-Federal funds.

(D) For the purposes of this paragraph, the term “regional group” means any multistate group, whether or not representative of contiguous States.

(E) For purposes of paragraph (4)(B), the term “State” and the term “grant recipient” include, in addition to the several States of the Union, only those special jurisdictions specified in section 952 (g) of this title which have a population of 200,000 or more, according to the latest decennial census.

(6) All amounts allotted or made available under paragraph (4) for a fiscal year which are not granted to any entity during such fiscal year shall be available to the National Endowment for the Humanities for the purpose of carrying out subsection (c) of this section.

(7) Whenever the Chairperson, after reasonable notice and opportunity for hearing, finds that— (A) a group or grant recipient is not complying substantially with the provisions of this subsection;

(B) a State agency or grant recipient is not complying substantially with terms and conditions of its State plan or grant recipient application approved under this subsection; or

(C) any funds granted to any group or State agency or grant recipient under this subsection have been diverted from the purposes for which they are allotted or paid,

the Chairperson shall immediately notify the Secretary of the Treasury and the group, State agency, or grant recipient with respect to which such finding was made that no further grants will be made under this subsection to such group, State agency, or grant recipient until there is no longer a default or failure to comply or the diversion has been corrected, or, if the compliance or correction is impossible, until such group, State agency, or grant recipient repays or arranges the repayment of the Federal funds which have been improperly diverted or expended.

(8) Except as provided in the third sentence of paragraph (4), and paragraphs (5) and (6), the Chairperson may not make grants under this subsection to more than one entity in any State.

(g) Payment of performers and supporting personnel; standards, regulations, and procedures

It shall be a condition of the receipt of any grant under this section that the group, individual, or State agency or entity receiving such grant furnish adequate assurances to the Secretary of Labor that

(1) all professional performers and related or supporting professional personnel employed on projects or productions which are financed in whole or in part under this section will be paid, without subsequent deduction or rebate on any account, not less than the minimum compensation as determined by the Secretary of Labor to be the prevailing minimum compensation for persons employed in similar activities; and

(2) no part of any project or production which is financed in whole or in part under this section will be performed or engaged in under working conditions which are unsanitary or hazardous or dangerous to the health and safety of the employees engaged in such project or production. Compliance with the safety and sanitary laws of the State in which the performance or part thereof is to take place shall be prima facie evidence of compliance. The Secretary of Labor shall prescribe standards, regulations, and procedures necessary to carry out this subsection.

(h) Program of contracts or grants-in-aid to public agencies and private nonprofit organizations; limitation on payments

(1) The Chairperson of the National Endowment for the Humanities, with the advice of the National Council on the Humanities, is authorized, in accordance with the provisions of this subsection, to establish and carry out a program of contracts with, or grants-in-aid to, public agencies and private nonprofit organizations for the purpose of—

(A) enabling cultural organizations and institutions to increase the levels of continuing support and to increase the range of contributors to the program of such organizations or institutions;

(B) providing administrative and management improvements for cultural organizations and institutions, particularly in the field of long-range financial planning;

(C) enabling cultural organizations and institutions to increase audience participation in, and appreciation of, programs sponsored by such organizations and institutions;

(D) stimulating greater cooperation among cultural organizations and institutions especially designed to serve better the communities in which such organizations or institutions are located;

(E) fostering greater citizen involvement in planning the cultural development of a community; and

(F) for bicentennial programs, assessing where our society and Government stand in relation to the founding principles of the Republic, primarily focused on projects which will bring together

the public and private citizen sectors in an effort to find new processes for solving problems facing our Nation in its third century.

- (2) (A) Except as provided in subparagraph (B) of this paragraph, the total amount of any payment made under this subsection for a program or project may not exceed 50 per centum of the cost of such program or project.

(B) The Chairperson, with the advice of the Council, may waive all or part of the requirement of matching funds provided in subparagraph (A) of this paragraph, but only for the purposes described in clause (F) of paragraph (1), whenever he determines that highly meritorious proposals for grants and contracts under such clause, could not otherwise be supported from non-Federal sources or from Federal sources other than funds authorized by section 960 (a)(3) of this title, unless such matching requirement is waived. Such waiver may not exceed 15 per centum of the amount appropriated in any fiscal year and available to the National Endowment for the Humanities for the purpose of this subsection.

- (3) In carrying out the program authorized by this subsection, the Chairperson of the National Endowment for the Humanities shall have the same authority as is established in subsection (c) of this section and section 959 of this title.

(i) Interagency agreements

The Chairperson may enter into interagency agreements to promote or assist with the humanities-related activities of other Federal agencies, on either a reimbursable or nonreimbursable basis, and may use funds authorized to be appropriated for the purposes of subsection (c) of this section for the costs of such activities.

(j) Payment of wages at prevailing rates; authority of Secretary of Labor

It shall be a condition of the receipt of any grant under this section that the group or individual of exceptional talent or the State, State agency, or entity receiving such grant furnish adequate assurances to the Secretary of Labor that all laborers and mechanics employed by contractors or subcontractors on construction projects assisted under this section shall be paid wages at rates not less than those prevailing on similar construction in the locality, as determined by the Secretary of Labor in accordance with sections 3141–3144, 3146, and 3147 of title 40. The Secretary of Labor shall have, with respect to the labor standards specified in this subsection, the authority and functions set forth in Reorganization Plan Numbered 14 of 1950 and section 3145 of title 40.

(k) National information and data collection system on humanities, scholars, educational and cultural groups, and audiences; development and implementation plan; state of the humanities reports

The Chairperson of the National Endowment for the Humanities shall, in ongoing consultation with State and local agencies, other relevant organizations, and relevant Federal agencies, continue to develop and implement a practical system of national information and data collection and public dissemination on the humanities, scholars, educational and cultural groups, and their audiences. Such system shall include cultural and financial trends in the various humanities fields, trends in audience participation, and trends in humanities education on national, regional, and State levels. Such system shall be used, along with a summary of the data submitted with plans under subsection (f) of this section, to prepare a report on the state of the humanities in the Nation. The state of the humanities report shall include a description of the availability of the Endowment's programs to emerging and culturally diverse scholars, cultural and educational organizations, and communities and of the participation of such scholars, organizations, and communities in such programs. The state of the humanities report shall be submitted to the President and the Congress, and provided the States, not later than October 1, 1992, and quadrennially thereafter.

(l) Eligibility of group for financial assistance

Any group shall be eligible for financial assistance under this section only if—

- (1) no part of its net earnings inures to the benefit of any private stockholder or stockholders, or

individual or individuals; and

(2) donations to such group are allowable as a charitable contribution under the standards of section 170 (c) of title 26.

(m) Annual awards

The Chairperson, with the advice of the National Council on the Humanities, is authorized to make the following annual awards:

(1) The Jefferson Lecture in the Humanities Award to one individual for distinguished intellectual achievement in the humanities. The annual award shall not exceed \$10,000.

(2) The Charles Frankel Prize to honor individuals who have made outstanding contributions to the public understanding of the humanities. Not more than 5 individuals may receive such prize each year. Each prize shall not exceed \$5,000.

§ 957. National Council on the Humanities

(a) Establishment

There is established in the National Endowment for the Humanities a National Council on the Humanities.

(b) Composition; basis for selection of members; representation of interests; recommendations of national organizations

The Council shall be composed of the Chairperson of the National Endowment for the Humanities, who shall be the Chairperson of the Council, and twenty-six other members appointed by the President, by and with the advice and consent of the Senate, from private life. Such members shall be individuals who

(1) are selected from among private citizens of the United States who are recognized for their broad knowledge of, expertise in, or commitment to the humanities, and

(2) have established records of distinguished service and scholarship or creativity and in a manner which will provide a comprehensive representation of the views of scholars and professional practitioners in the humanities and of the public throughout the United States. The President is requested in the making of such appointments to give consideration to such recommendations as may from time to time be submitted to him by leading national organizations concerned with the humanities. In making such appointments, the President shall give due regard to equitable representation of women, minorities, and individuals with disabilities who are involved in the humanities.

(c) Term of office; vacancies; reappointment

Each member shall hold office for a term of six years, except that

(1) the members first taking office shall serve, as designated by the President, nine for terms of two years, nine for terms of four years, and eight for terms of six years, and

(2) any member appointed to fill a vacancy shall serve for the remainder of the term for which such member's predecessor was appointed. No member shall be eligible for reappointment during the two-year period following the expiration of such member's term. Notwithstanding any other provisions of this subsection, a member shall serve after the expiration of such member's term until such member's successor takes office.

(d) Meetings; quorum

The Council shall meet at the call of the Chairperson but not less often than twice during each calendar year. Fourteen members of the Council shall constitute a quorum.

(e) Compensation and travel expenses

Members shall receive compensation at a rate to be fixed by the Chairperson but not to exceed the per diem equivalent of the rate authorized for grade GS-18 by section 5332 of title 5 and be allowed travel expenses including per diem in lieu of subsistence, as authorized by law (section 5703 of title 5) for

persons in the Government service employed intermittently.

(f) Advisory functions: policies, programs, and procedures; review of applications for financial support; recommendations prerequisite to action of Chairperson; unilateral action by Chairperson pursuant to delegation of authority

The Council shall

- (1) advise the Chairperson with respect to policies, programs, and procedures for carrying out the Chairperson's functions, and
- (2) shall review applications for financial support and make recommendations thereon to the Chairperson. The Chairperson shall not approve or disapprove any such application until the Chairperson has received the recommendation of the Council on such application, unless the Council fails to make a recommendation thereon within a reasonable time. In the case of any application involving \$30,000, or less, the Chairperson may approve or disapprove such request if such action is taken pursuant to the terms of a delegation of authority from the Council to the Chairperson, and provided that each such action by the Chairperson shall be reviewed by the Council: Provided, That the terms of any such delegation of authority shall not permit obligations for expenditure of funds under such delegation for any fiscal year which exceed an amount equal to 10 per centum of the sums appropriated for that fiscal year pursuant to subparagraph (B) of paragraph (1) of section 960 (a) of this title.

§ 959. Administrative provisions

(a) General authority of Chairpersons

In addition to any authorities vested in them by other provisions of this subchapter, the Chairperson of the National Endowment for the Arts and the Chairperson of the National Endowment for the Humanities, in carrying out their respective functions, shall each have authority—

- (1) to prescribe such regulations as the Chairperson deems necessary governing the manner in which the Chairperson's functions shall be carried out;
- (2) in the discretion of the Chairperson of an Endowment, after receiving the recommendation of the National Council of that Endowment, to receive money and other property donated, bequeathed, or devised to that Endowment with or without a condition or restriction, including a condition that the Chairperson use other funds of that Endowment for the purposes of the gift, except that a Chairperson may receive a gift without a recommendation from the Council to provide support for any application or project which can be approved without Council recommendation under the provisions of sections 955 (f) and 957 (f) of this title, and may receive a gift of \$15,000, or less, without Council recommendation in the event the Council fails to provide such recommendation within a reasonable period of time, and to use, sell, or otherwise dispose of such property for the purpose of carrying out sections 954 (c) and 956 (c) of this title;
- (3) to appoint employees, subject to the civil service laws, as necessary to carry out the Chairperson's functions, define their duties, and supervise and direct their activities;
- (4) to utilize experts and consultants, including panels of experts, who may be employed as authorized by section 3109 of title 5;
- (5) to accept and utilize the services of voluntary and uncompensated personnel and reimburse them for travel expenses, including per diem, as authorized by section 5703 of title 5 for persons in the Government service employed without compensation;
- (6) to make advance, progress, and other payments without regard to section 3324 of title 31;
- (7) to rent office space in the District of Columbia; and
- (8) to make other necessary expenditures.

(b) Rules for distribution of donations, bequests, and devises; gifts with or without conditions; transfers for tax purposes

- (1) In any case in which any money or other property is donated, bequeathed, or devised to

the Foundation without designation of the Endowment for the benefit of which such property is intended, and without condition or restriction other than that it be used for the purposes of the Foundation, such property shall be deemed to have been donated, bequeathed, or devised in equal shares to each Endowment and each Chairperson of an Endowment shall have authority to receive such property.

(2) In any case in which any money or other property is donated, bequeathed, or devised to the Foundation with a condition or restriction, such property shall be deemed to have been donated, bequeathed, or devised to that Endowment whose function it is to carry out the purpose or purposes described or referred to by the terms of such condition or restriction, and each Chairperson of an Endowment shall have authority to receive such property.

(3) For the purposes of the preceding sentence, if one or more of the purposes of such a condition or restriction is covered by the functions of both Endowments, or if some of the purposes of such a condition or restriction are covered by the functions of one Endowment and other of the purposes of such a condition or restriction are covered by the functions of the other Endowment, the Federal Council on the Arts and the Humanities shall determine an equitable manner for distribution between each of the Endowments of the property so donated, bequeathed, or devised.

(4) For the purposes of the income tax, gift tax, and estate tax laws of the United States, any money or other property donated, bequeathed, or devised to the Foundation or one of its Endowments and received by the Chairperson of an Endowment pursuant to authority derived under this subsection shall be deemed to have been donated, bequeathed, or devised to or for the use of the United States.

(c) Advisory panels; membership; procedures

The Chairperson of the National Endowment for the Arts shall utilize advisory panels to review applications, and to make recommendations to the National Council on the Arts in all cases except cases in which the Chairperson exercises authority delegated under section 955 (f) of this title. When reviewing applications, such panels shall recommend applications for projects, productions, and workshops solely on the basis of artistic excellence and artistic merit. The Chairperson shall issue regulations and establish procedures—

- (1) to ensure that all panels are composed, to the extent practicable, of individuals reflecting a wide geographic, ethnic, and minority representation as well as individuals reflecting diverse artistic and cultural points of view;
- (2) to ensure that all panels include representation of lay individuals who are knowledgeable about the arts but who are not engaged in the arts as a profession and are not members of either artists' organizations or arts organizations;
- (3) to ensure that, when feasible, the procedures used by panels to carry out their responsibilities are standardized;
- (4) to require panels—
 - (A) to create written records summarizing—
 - (i) all meetings and discussions of such panel; and
 - (ii) the recommendations made by such panel to the Council; and
 - (B) to make such records available to the public in a manner that protects the privacy of individual applicants and panel members;
- (5) to require, when necessary and feasible, the use of site visitations to view the work of the applicant and deliver a written report on the work being reviewed, in order to assist panelists in making their recommendations; and
- (6) to require that the membership of each panel change substantially from year to year and to provide that each individual is ineligible to serve on a panel for more than 3 consecutive years.

In making appointments to panels, the Chairperson shall ensure that an individual who has a pending application for financial assistance under this subchapter, or who is an employee or agent of an organization with a pending application, does not serve as a member of any panel before which such application is pending. The prohibition described in the preceding sentence shall commence with respect to such

individual beginning on the date such application is submitted and shall continue for so long as such application is pending.

(d) Endowment activities reports

The Chairperson of the National Endowment for the Arts and the Chairperson of the National Endowment for the Humanities shall each submit an annual report to the President for transmittal to the Congress on or before the 15th day of April of each year. The report shall summarize the activities of the Endowment for the preceding year, and may include such recommendations as the Chairperson deems appropriate.

(e) Council activities reports

The National Council on the Arts and the National Council on the Humanities, respectively, may each submit an annual report to the President for transmittal to the Congress on or before the 15th day of April of each year setting forth a summary of its activities during the preceding year or its recommendations for any measures which it considers necessary or desirable.

(f) Post-award evaluation of assisted projects, productions, and programs; reports; extension of time for compliance; failure to satisfy purposes of assistance

(1) The Chairperson of the National Endowment for the Arts and the Chairperson of the National Endowment for the Humanities shall conduct a post-award evaluation of projects, productions, and programs for which financial assistance is provided by their respective Endowments under sections 954 (c) and 956 (c) of this title. Such evaluation may include an audit to determine the accuracy of the reports required to be submitted by recipients under clauses (i) and (ii) of paragraph (2)(A). As a condition of receiving such financial assistance, a recipient shall comply with the requirements specified in paragraph (2) that are applicable to the project, production, or program for which such financial assistance is received.

(2) (A) The recipient of financial assistance provided by either of the Endowments shall submit to the Chairperson of the Endowment involved—

(i) a financial report containing such information as the Chairperson deems necessary to ensure that such financial assistance is expended in accordance with the terms and conditions under which it is provided;

(ii) a report describing the project, production, or program carried out with such financial assistance; and

(iii) if practicable, as determined by the Chairperson, a copy of such project, production, or program.

(B) Such recipient shall comply with the requirements of this paragraph not later than 90 days after the end of the period for which such financial assistance is provided. The Chairperson may extend the 90-day period only if the recipient shows good cause why such an extension should be granted.

(3) If such recipient substantially fails to satisfy the purposes for which such financial assistance is provided and the criteria specified in subsection (c)(3)(A) ¹ of this section, as determined by the Chairperson of the Endowment that provided such financial assistance, then such Chairperson may—

(A) for purposes of determining whether to provide any subsequent financial assistance, take into consideration the results of the post-award evaluation conducted under this subsection;

(B) prohibit the recipient of such financial assistance to use the name of, or in any way associate such project, production, or program with the Endowment that provided such financial assistance; and

(C) if such project, production, or program is published, require that the publication contain the following statement: “The opinions, findings, conclusions, and recommendations

expressed herein do not reflect the views of the National Endowment for the Arts or the National Endowment for the Humanities.”

§ 959a. Gifts, bequests, and devises

The National Endowment for the Arts and the National Endowment for the Humanities are on and after August 2, 2005, authorized to solicit, accept, receive, and invest in the name of the United States, gifts, bequests, or devises of money and other property or services and to use such in furtherance of the functions of the National Endowment for the Arts and the National Endowment for the Humanities. Any proceeds from such gifts, bequests, or devises, after acceptance by the National Endowment for the Arts or the National Endowment for the Humanities, shall be paid by the donor or the representative of the donor to the Chairman. The Chairman shall enter the proceeds in a special interest-bearing account to the credit of the appropriate endowment for the purposes specified in each case.

§ 960. Authorization of appropriations

(a) Contracts, grants-in-aid, and loans to groups, individuals, public agencies, and private nonprofit organizations; availability of appropriations; guidelines

- (1)** **(A)**
 - (i)** For the purpose of carrying out section 954 (c) of this title, there are authorized to be appropriated to the National Endowment for the Arts \$125,800,000 for fiscal year 1991 and such sums as may be necessary for fiscal years 1992 and 1993.
 - (ii)** For fiscal years—
 - (I)** 1991 and 1992 not less than 25 percent of the amount appropriated for the respective fiscal year; and
 - (II)** 1993 not less than 27.5 percent of the amount appropriated for such fiscal year;shall be for carrying out section 954 (g) of this title.
 - (iii)** For fiscal years—
 - (I)** 1991 and 1992 not less than 5 percent of the amount appropriated for the respective fiscal year; and
 - (II)** 1993 not less than 7.5 percent of the amount appropriated for such fiscal year; shall be for carrying out programs under section 954 (p)(2) of this title (relating to programs to expand public access to the arts in rural and innercity areas). Not less than 50 percent of the funds required by this clause to be used for carrying out such programs shall be used for carrying out such programs in rural areas.
 - (B)** For the purpose of carrying out section 956 (c) of this title, there are authorized to be appropriated to the National Endowment for the Humanities \$119,900,000 for fiscal year 1991 and such sums as may be necessary for fiscal years 1992 and 1993. Of the sums so appropriated for any fiscal year, not less than 20 per centum shall be for carrying out section 956 (f) of this title.
- (2)** **(A)** There are authorized to be appropriated for each fiscal year ending before October 1, 1993, to the National Endowment for the Arts an amount equal to the sum of—
 - (i)** the total amounts received by such Endowment under section 959 (a)(2) of this title, including the value of property donated, bequeathed, or devised to such Endowment; and
 - (ii)** the total amounts received by the grantees of such Endowment from non-Federal sources, including the value of property donated, bequeathed, or devised to such grantees, for use in carrying out projects and other activities under paragraph (1) through paragraph (10) of section 954 (c) of this title;except that the amounts so appropriated to the National Endowment for the Arts shall not exceed \$13,000,000 for fiscal year 1991 and such sums as may be necessary for fiscal years 1992 and 1993.

(B) There are authorized to be appropriated for each fiscal year ending before October 1, 1993, to the National Endowment for the Humanities an amount equal to the sum of—

- (i) the total amounts received by such Endowment under section 959 (a)(2) of this title, including the value of property donated, bequeathed, or devised to such Endowment; and
- (ii) the total amounts received by the grantees and subgrantees of such Endowment from non-Federal sources, including the value of property donated, bequeathed, or devised to such grantees and subgrantees, for use in carrying out activities under paragraph (1) through paragraph (10) of section 956 (c) of this title; except that the amounts so appropriated to the National Endowment for the Humanities shall not exceed \$12,000,000 for fiscal year 1991 and such sums as may be necessary for fiscal years 1992 and 1993.

(3)(A) There are authorized to be appropriated for each fiscal year ending before October 1, 1993, to the National Endowment for the Arts an amount equal to the sum of—

- (i) the total amounts received by such Endowment, including the value of property donated, bequeathed, or devised to such Endowment, for the purposes set forth in section 954 (p)(1) of this title pursuant to the authority of section 959 (a)(2) of this title; and
- (ii) the total amounts received by the grantees of such Endowment from non-Federal sources, including the value of property donated, bequeathed, or devised to such grantees, for use in carrying out activities under subparagraph (A) through subparagraph (F) of section 954 (p)(1) of this title;

except that the amounts so appropriated to such Endowment shall not exceed \$15,000,000 for fiscal year 1991 and such sums as may be necessary for fiscal years 1992 and 1993.

(B) There are authorized to be appropriated for each fiscal year ending before October 1, 1993, to the National Endowment for the Humanities an amount equal to the sum of—

- (i) the total amounts received by such Endowment, including the value of property donated, bequeathed, or devised to such Endowment, for the purposes set forth in section 956 (h)(1) of this title pursuant to the authority of section 959 (a)(2) of this title; and
- (ii) the total amounts received by the grantees of such Endowment from non-Federal sources, including the value of property donated, bequeathed, or devised to such grantees, for use in carrying out activities under subparagraph (A) through subparagraph (F) of section 956 (h)(1) of this title;

except that the amounts so appropriated to such Endowment shall not exceed \$15,150,000 for fiscal year 1991 and such sums as may be necessary for fiscal years 1992 and 1993.

(C) Sums appropriated pursuant to subparagraph (A) and subparagraph (B) for any fiscal year shall remain available for obligation and expenditure until expended.

(4) The Chairperson of the National Endowment for the Arts and the Chairperson of the National Endowment for the Humanities, as the case may be, shall issue guidelines to implement the provisions of paragraph (2) and paragraph (3). Such guidelines shall be consistent with the requirements of section 954 (e), section 954(l)(2),¹ section 956 (f), and section 956 (h)(2) of this title, as the case may be, regarding total Federal support of activities, programs, projects, or productions carried out under authority of this subchapter.

(b) Availability of appropriated unexpended funds; notice of availability of funds by advance appropriation

(1) Sums appropriated pursuant to subsection (a) of this section for any fiscal year shall remain available for obligation and expenditure until expended.

(2) In order to afford adequate notice to interested persons of available assistance under this subchapter, appropriations authorized under subsection (a) of this section are authorized to be included in the measure making appropriations for the fiscal year preceding the fiscal year for which such appropriations become available for obligation.

(c) Administrative appropriations

(1) There are authorized to be appropriated to the National Endowment for the Arts \$21,200,000² for fiscal year 1991 and such sums as may be necessary for fiscal years 1992 and 1993, to administer the provisions of this subchapter, or any other program for which the Chairperson of the National Endowment for the Arts is responsible, including not to exceed \$50,000 for each such fiscal year

for official reception and representation expenses. The total amount which may be obligated or expended for such expenses for fiscal year 1995 through the use of appropriated funds or any other source of funds shall not exceed \$100,000.

(2) There are authorized to be appropriated to the National Endowment for the Humanities \$17,950,000 for fiscal year 1991 and such sums as may be necessary for fiscal years 1992 and 1993, to administer the provisions of this subchapter, or any other program for which the Chairperson of the National Endowment for the Humanities is responsible, including not to exceed \$50,000 for each such fiscal year for official reception and representation expenses. The total amount which may be obligated or expended for such expenses for fiscal year 1995 through the use of appropriated funds or any other source of funds shall not exceed \$100,000.

(d) Total amount of appropriations

(1) The total amount of appropriations to carry out the activities of the National Endowment for the Arts shall not exceed—

(A) \$167,060,000 for fiscal year 1986,

(B) \$170,206,400 for fiscal year 1987, and

(C) \$177,014,656 for fiscal year 1988.

(2) The total amount of appropriations to carry out the activities for the National Endowment for the Humanities shall not exceed—

(A) \$139,878,000 for fiscal year 1986,

(B) \$145,057,120 for fiscal year 1987, and

(C) \$150,859,405 for fiscal year 1988.

(e) Prohibition of grants to production workshops using admission proceeds for unauthorized purposes

No grant shall be made to a workshop (other than a workshop conducted by a school, college, or university) for a production for which a direct or indirect admission charge is asked if the proceeds, after deducting reasonable costs, are used for purposes other than assisting the grantee to develop high standards of artistic excellence or encourage greater appreciation of the arts and humanities by our citizens.

(f) Availability of appropriations for arts education

(1) Subject to subparagraph (2), in any fiscal year in which the aggregate amount appropriated to the National Endowment for the Arts exceeds \$175,000,000, 50 percent of such excess shall be available to carry out section 954a of this title.

(2) In each fiscal year, the amount made available to carry out section 954a of this title shall not exceed \$40,000,000, in the aggregate.

(3) Funds made available to carry out section 954a of this title shall remain available until expended.