



NATIONAL ENDOWMENT FOR THE  
**Humanities**

**DIVISION OF RESEARCH PROGRAMS**

# **FELLOWSHIPS FOR ADVANCED SOCIAL SCIENCE RESEARCH ON JAPAN**

Online applications will be accepted through April 25, 2018 (for projects beginning as early as January 1, 2019). Applicants will be notified of the decision by e-mail in early December 2018.

Catalog of Federal Domestic Assistance (CFDA) Number: 45.160

Funding Opportunity Number: 20180425-FO

If after reading this document you have questions about this grant program, contact NEH's Division of Research Programs at 202-606-8200 or [fellowships@neh.gov](mailto:fellowships@neh.gov). Applicants who are deaf or hard of hearing can contact NEH via Federal Relay (TTY users) at 800-877-8399.

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## I. Program Description

The Fellowships for Advanced Social Science Research on Japan program is a joint activity of the Japan-U.S. Friendship Commission (JUSFC) and the National Endowment for the Humanities. Awards support research on modern Japanese society and political economy, Japan's international relations, and U.S.-Japan relations. The program encourages innovative research that puts these subjects in wider regional and global contexts and is comparative and contemporary in nature. Research should contribute to scholarly knowledge or to the general public's understanding of issues of concern to Japan and the United States. Appropriate disciplines for the research include anthropology, economics, geography, history, international relations, linguistics, political science, psychology, public administration, and sociology. Awards usually result in articles, monographs, books, digital materials, archaeological site reports, translations, editions, or other scholarly resources.

The fellowships are designed for researchers with advanced Japanese language skills whose research will require use of data, sources, and documents, onsite interviews, or other direct contact in Japanese. Fellows may undertake their projects in Japan, the United States, or both, and may include work in other countries for comparative purposes. Projects may be at any stage of development.

NEH encourages submission of Fellowships for Advanced Social Science Research on Japan applications from independent scholars and faculty at Hispanic-Serving Institutions, Historically Black Colleges and Universities, and Tribal Colleges and Universities.

Applicants should also consult the Japan-U.S. Friendship Commission's [website](#) for other research opportunities.

### **Fellowships for Advanced Social Science Research on Japan may not be used for**

- promotion of a particular political, religious, or ideological point of view;
- advocacy of a particular program of social or political action;
- support of specific public policies or legislation;
- projects that fall outside of the social sciences (including the creation or performance of art; creative writing, autobiographies, memoirs, and creative nonfiction; and specific policy studies);
- research by students enrolled in a degree program, including research for doctoral dissertations or theses;
- the preparation or revision of textbooks;
- curriculum development;
- the development of teaching methods or theories;
- educational or technical impact assessments;
- the creation or enhancement of databases, unless part of a larger interpretive project;
- inventories of collections; or

- the writing of guide books, how-to books, and self-help books.

## Providing access to grant products

As a taxpayer-supported federal agency, NEH endeavors to make the products of its awards available to the broadest possible audience. Our goal is for scholars, educators, students, and the American public to have ready and easy access to the wide range of NEH grant products. For the Fellowships for Advanced Social Science Research on Japan program, such products may include digital resources, websites, and the like. For projects that lead to the development of websites, all other considerations being equal, NEH gives preference to those that provide free access to the public. Additional guidance on access and dissemination matters can be found below in Section II, Preparing and Organizing your Application, beneath the “Final product and dissemination” heading.

## Award information

Awards for the 2018 competition are contingent on the availability of funds from the Japan-U.S. Friendship Commission, which pays the full cost of the program.

Fellowships cover periods lasting from six to twelve months at a stipend of \$5,000 per month. The maximum stipend is \$60,000 for a twelve-month award period. Applicants should request award periods that suit their schedules and the needs of their projects. Requesting an award period shorter than twelve months will not improve an applicant’s chances of receiving a fellowship.

Recipients may begin their awards as early as January 1, 2019, and as late as September 1, 2020.

The award period must be **full-time** and **continuous**. Teaching and administrative assignments or other major activities may not be undertaken during the fellowship period.

NEH and JUSFC will not approve part-time fellowships under any circumstance.

Fellows are responsible for the conversion of funds to foreign currencies where necessary.

All awards are made by the National Endowment for the Humanities on behalf of the Japan-U.S. Friendship Commission. As such, all awards are governed by NEH grant policies and conditions.

Prospective applicants who have questions are encouraged to contact the Fellowships staff at [fellowships@neh.gov](mailto:fellowships@neh.gov).

## Cost sharing and indirect costs

Fellowships are awarded to individuals, not to institutions. They do not require cost sharing and do not include indirect costs.

## Eligibility

Within the parameters listed below (see the next several headings), the Fellowships for Advanced Social Science Research on Japan program accepts applications from researchers, teachers, and writers, whether they have an institutional affiliation or not.

To be eligible, an application must request an award period between six and twelve months.

NEH will not review late, incomplete, or ineligible applications. Applications exceeding the page limits for any of the application elements will be declared ineligible.

## Citizenship

U.S. citizens, whether they reside inside or outside the United States, are eligible to apply. Foreign nationals who have been living in the United States or its jurisdictions for at least the three years prior to the application deadline are also eligible.

## Currently enrolled students

While applicants need not have advanced degrees, individuals currently enrolled in a degree-granting program are ineligible to apply. Applicants who have satisfied all the requirements for a degree and are awaiting its conferral in 2018 are eligible for Fellowships for Advanced Social Science Research on Japan; but such applicants need a letter from the dean of the conferring school or their department chair attesting to the applicant's status as of April 25, 2018. This signed letter may be faxed to the program at 202-606-8204; alternatively, a PDF version of the signed letter may be included as an attachment to an e-mail message sent to [fellowships@neh.gov](mailto:fellowships@neh.gov). In either case, the letter must arrive no later than April 25, 2018.

## Dissertation revisions

Applicants may seek funding for projects based on completed dissertations. You must state in your application narrative that the proposal is to revise a dissertation, and you must explain how the new project moves beyond the original dissertation.

## Projects previously supported by NEH Fellowships

An applicant may apply for funding for a project that has previously received an NEH (or a JUSFC-NEH) Fellowship. NEH will ask evaluators to review the accomplishments from the prior fellowship and determine if the project warrants additional support.

An NEH grant for one stage of a project does not commit NEH to continued support for the project. Applications for each stage of a project are evaluated independently.

## Concurrent grants from other organizations

Recipients of Fellowships for Advanced Social Science Research on Japan may simultaneously hold fellowships or grants from institutions other than NEH—including sabbaticals and grants from their own institutions—in support of the same project during their award period.

## **Collaborative projects**

The Fellowships for Advanced Social Science Research on Japan program is designed primarily for individual researchers. Awards may not be divided. If you are seeking funding for more than one participant in a collaborative project, each person seeking funding must submit a separate application specifying the individual contribution. Panelists will be asked to evaluate each application on its own merits. Each application should clearly explain how the work will be divided and the extent to which each collaborator's contribution depends on that of the other(s). Applicants who are seeking funding only for themselves but who are working as part of a collaborative team are also eligible. In this case, too, the application should clearly explain how the work will be divided and the extent to which each collaborator's contribution depends on that of the other(s).

## **Multiple applications**

Applicants for Fellowships for Advanced Social Science Research on Japan may compete concurrently in the following programs for individuals in a given year:

- [Public Scholar Program](#);
- [NEH Fellowships](#) or [NEH-Mellon Fellowships for Digital Publication](#);
- [Summer Stipends](#);
- [Awards for Faculty at Hispanic-Serving Institutions](#), [Historically Black Colleges and Universities](#), and [Tribal Colleges and Universities](#);
- NEH and National Science Foundation - [Fellowship Program for Documenting Endangered Languages \(DEL\)](#); and
- Library of Congress (LOC) - [John W. Kluge Center Fellowships](#).

Successful Fellowships applicants who plan research at the Library of Congress may be offered a jointly funded NEH-LOC Kluge Center Fellowship.

Applicants may hold or accept only one NEH individual award in a given federal fiscal year. (The fiscal year runs from October 1 to September 30.) Please consult the FAQ document (available on the [program resource page](#)) for more information. See under "What if I am offered more than one award?"

Applicants may not participate in a project supported by an NEH institutional award while holding an NEH individual award that requires continuous, full-time work.

## II. Preparing and Organizing your Application

### Resources for preparing a strong application

To prepare a strong application, applicants are encouraged to take the following steps:

- read these **guidelines** carefully, noting what information needs to be provided in the application;
- review the **sample narratives**, which are available on the [program resource page](#);
- consult the program's **evaluation criteria**, which are listed immediately below;
- read the **Frequently Asked Questions**, which are available on the [program resource page](#); and
- contact the **program staff** (at 202-606-8200 or [fellowships@neh.gov](mailto:fellowships@neh.gov)) to discuss your project and raise any questions you may have about the application.

Because of the large number of applications received, the Fellowships staff is not able to read and comment on draft proposals. However, potential applicants may discuss with staff specific concerns or questions that arise during the preparation of their proposals. Contact NEH's Division of Research Programs at 202-606-8200 or [fellowships@neh.gov](mailto:fellowships@neh.gov).

Once an application has been submitted, staff will not comment on it except with respect to issues of completeness and eligibility.

### Evaluation criteria

**Evaluators are asked to apply the following five criteria when judging the quality of applications.**

1. The intellectual significance of the proposed project, including its value to social science scholars, general audiences, or both.
2. The quality or promise of quality of the applicant's work as an interpreter of the social sciences.
3. The quality of the conception, definition, organization, and description of the project and the applicant's clarity of expression.
4. The feasibility and appropriateness of the proposed plan of work, including, when relevant, the soundness of the dissemination and access plans.
5. The likelihood that the applicant will complete the project (not necessarily during the award period).

Note: Fellowships for Advanced Social Science Research on Japan support projects at any stage of development.

## Application elements

Your application should include the parts listed below. No budget is required. Applicants are responsible for ensuring that all parts of the application, including the letters of reference, have been properly submitted.

**Applicants should format single-spaced pages with one-inch margins and with a font size no smaller than eleven point.**

**Applications exceeding the page limits for the various components or violating the format instructions will be declared ineligible.**

1. **Narrative—Not to Exceed Three Single-Spaced Pages** (using a font no smaller than 11 points)

The narrative must provide an intellectual justification for your project, addressing the four areas listed below: research and contribution; methods and work plan; competencies, skills, and access; and final product and dissemination. A simple statement of need or intent is insufficient. The narrative should not assume specialized knowledge and should be free of technical terms and jargon.

- *Research and contribution*

Describe the intellectual significance of the proposed project, including its value to social science scholars, general audiences, or both. Provide an overview of the project, explaining the basic ideas, problems, or questions examined by the study. Explain how the project will complement, challenge, or expand relevant studies in the field.

- *Methods and work plan*

Describe your method(s). Indicate the current state of the project and clarify the part or stage of it that will be supported by the fellowship. Provide a work plan describing what will be accomplished during the award period, where you will be, and how you will spend your time. Explain how your proposed research will advance the project. Your work plan must be based on a full-time commitment to the project; part-time work is not allowed. If you do not anticipate finishing the entire project during the award period, discuss your plan for doing so. Your work plan for the period of the grant should not depend heavily on factors beyond your control, such as the receipt of outside readers' reports from a publisher.

For book projects, explain how the final project will be organized. If possible, provide a brief chapter outline. If you are proposing a dissertation revision, state this, explaining the changes to the manuscript that you plan, and how they will improve it. For digital projects, describe the technologies that will be used and developed, and explain how the scholarship will be presented to benefit audiences in the social sciences.



If you are requesting funding for the development, acquisition, preservation, or enhancement of geospatial data, products, or services, you must comply with [Article 34 of the General Terms and Conditions for Awards](#).

- *Competencies, skills, and access*

Explain your competence or background in the area of your project. If the area of inquiry is new to you, explain your reasons for working in it and your qualifications to do so. Specify the level of competence in any language or digital technology needed for the study. Describe where the study will be conducted and what research materials will be used. If relevant, specify the arrangements for access to archives, collections, or institutions that contain the necessary resources, and name any Japanese sponsors of or collaborators on your project.

- *Final product and dissemination*

Describe the intended audience and the intended results of the project. Explain how the results will be disseminated and why these means are appropriate to the subject matter and audience. If the project has a website, provide the URL. If the final product will appear in a language other than English, explain how access and dissemination will be affected.

JUSFC and NEH expect grantees to provide broad access to all grant products, insofar as the conditions of the materials and intellectual property rights allow. For projects that lead to the development of websites, all other considerations being equal, JUSFC and NEH will give preference to those that provide free access to the public.

JUSFC and NEH also expect that any materials produced in digital form as a result of its awards will be maintained so as to ensure their long-term availability. To that end, describe how the project's digital results, if any, will be sustained and supported beyond the period of the grant.

## 2. **Bibliography—Not to Exceed One Single-Spaced Page**

The bibliography should consist of primary and secondary sources that relate directly to the project. Include works that pertain to both the project's substance and its theoretical or methodological approaches. Evaluators will use the bibliography to assess your knowledge of the subject area. Any standard format is acceptable.

## 3. **Résumé—Not to Exceed Two Single-Spaced Pages**

Your résumé should provide the following:

- *Current and Past Positions.*
- *Education:* List degrees, dates awarded, and titles of theses or dissertations.
- *Awards and Honors:* Include dates. If you have received prior support from NEH or JUSFC, indicate the dates of these grants and the publications that resulted from them.
- *Publications:* Include full citations for publications and presentations.

- *Other Relevant Professional Activities and Accomplishments.* Include level of proficiency in Japanese and any other relevant languages.
4. **Appendix—Only for Editions, Translations, or Database Projects, or for Proposals that Include Visual Materials—Not to Exceed One Single-Spaced Page per Item**
- *Editions or Translations:* Provide a sample of the original text (one page) and the edited or translated version (one page). If you plan to include annotations, your sample of the edited or translated version should include examples.
  - *Database Projects:* Provide a sample entry (one page).
  - *Visual Materials:* Provide a sample (one page) in PDF format, not .jpg or other common graphic format.

Applications including any other appendices will be declared ineligible.

Applications exceeding the page limits for the various components or violating the format instructions will not be reviewed.

5. **Letters of Recommendation**

In addition to preparing the narrative, bibliography, résumé, and (if necessary) appendix, applicants are also asked to solicit two letters of reference. Provide the names, e-mail addresses, and affiliations for your two reference letter writers on the NEH Supplemental Information for Individuals Form. (This form is explained below, in the instructions for Form III.) Letters of reference are more highly regarded if they address the specific proposed activity and the candidate's ability to undertake it. Ideally, referees should come from different institutions. Applicants are responsible for providing both referees with relevant materials (such as a draft of the application). Missing reference letters will not disqualify an application from review.

Approximately seven to ten days after the deadline, NEH will e-mail requests to your letter writers, asking them to submit their letters online. Applicants are responsible for ensuring that these requests have been received. If you are applying to more than one NEH program concurrently, your letter writers must submit a separate letter for each program in which they are listed as your referees. If you find that you must change one or both of your referees after the application deadline, you may do so by contacting program staff.

**Letters must be submitted online not later than June 1, 2018.** Missing reference letters will not disqualify an application from review.

### III. Submitting your Application

All applications must be accessed through Grants.gov Workspace, completed, and submitted via Grants.gov.

#### **What follows is a step-by-step guide for submitting your NEH Fellowships for Advanced Social Science Research on Japan application through Grants.gov.**

##### STEP 1: Register with Grants.gov

NEH strongly recommends that you complete your registration at least two weeks before the application deadline.

If you are registering with Grants.gov for the first time as an individual applicant, go to <https://apply07.grants.gov/apply/register.faces>. If necessary, enter this Funding Opportunity Number:

**20180425-FO**

When you are completing the registration form, the Grants.gov system should automatically fill in the box for the DUNS number with a code such as INDV00000. Do not attempt to change this code (for example, by entering the DUNS number of your institution).

Applicants who have already registered at Grants.gov as individuals need not re-register to submit their proposals. We encourage you, however, to check your account ahead of the deadline to confirm that it is still active and that your password has not expired.

Applicants who lose their username and password can request a reminder at <https://apply07.grants.gov/apply/login.faces?userType=applicant&cleanSession=1>.

Please be certain to use a Grants.gov individual account to submit your application. The Grants.gov system will not allow you to submit a Fellowships application through an institutional account.

If you have problems registering with Grants.gov, contact the Grants.gov help desk at 1-800-518-4726 or [support@grants.gov](mailto:support@grants.gov).

##### STEP 2: Download the current version of the free Adobe Reader

Using Grants.gov Workspace to prepare your application, you may complete the application forms online without using the Adobe Acrobat Reader software. You will in any case need to convert the files that you will attach to the Attachments Form—see below for information about this form—into PDFs.

If you are filling out the application forms as PDFs, you will need to download and install the latest version of Adobe Acrobat Reader, which is available at no charge and is compatible with both PCs and Apple computers. **Using older versions of the Adobe Acrobat Reader, or**

**other readers such as Apple Preview, will prevent your application from being processed properly.** To download the Adobe Acrobat Reader or update the Reader already installed on your computer, go to [www.adobe.com](http://www.adobe.com). Click on “PDF & E-Signatures” on the top of the page, then on “Reader DC.”

### STEP 3: Access the application package through Grants.gov Workspace

Using Grants.gov Workspace, you may complete the application forms online. You may also download the forms as PDFs. Information about Workspace is available [here](#). (Grants.gov recommends that you use the latest version of any of the following browsers: Microsoft Internet Explorer, Mozilla Firefox, Google Chrome, and Apple Safari.) You can access the forms online or download the PDFs at any time. (You do not have to wait for your Grants.gov registration to be complete.) A link to the Grants.gov application package can be found on the [program resource page](#).

### STEP 4: Prepare the application forms

The application package contains three forms that you must complete in order to submit your application:

**Form I: Application for Federal Domestic Assistance - Individual Form**—this Grants.gov form asks for basic information about the project and the applicant.

**Form II: Attachments Form**—this Grants.gov form allows you to attach the components of your application: the narrative, the bibliography, the résumé, and (if necessary) an appendix.

**Form III: NEH Supplemental Information for Individuals Form**—this NEH form asks for professional and institutional information about you and about your reference-letter writers.

### Form I: Application for Federal Domestic Assistance – Individual Form

In the “Application Filing Name” field that appears upon opening the application package, type your name.

You may disregard items 1-4 on the form:

- 1. Name of Federal Agency:** This is filled in automatically.
- 2. Catalog of Federal Domestic Assistance Number:** This is filled in automatically.
- 3. Date Received:** Please leave blank.

**4. Funding Opportunity Number and Title:** Both are filled in automatically.

Provide the following information for items 5-7:

**5. Applicant Information** (includes the following sections):

a. “Name and Contact Information.” Provide your name, telephone number, **e-mail address**, and mailing address. **Note: You must include an e-mail address in the “Email” field, even though it is not highlighted as a required field. NEH will use this e-mail address to notify you of the outcome of your application.**

b. “Address.” Provide your mailing address. **Note:** Your ZIP code must include the four-digit extension, preceded by a hyphen. If you do not know your four-digit extension, use “-0000” (four zeros).

c. “Citizenship Status.” Indicate with an “X.”

d. “Congressional District of Applicant.” Enter the number (just the number, not the state) of your Congressional district. To find the number of your Congressional district, visit the House of Representatives website at <http://www.house.gov> and type your ZIP code into the “Find Your Representative” tool. If you do not have a Congressional district (that is, you are in a state or U.S. territory that does not have districts or you are in a foreign country), enter a “0” (zero). If you live in one district but your institution is in another, provide the number for the district in which you live.

**6. Project Information** (includes the following sections):

a. “Project Title.” Enter this, even though the field is not highlighted. The title should be brief (not more than 125 characters), descriptive, and informative to a nonspecialist audience. Note that NEH reserves the right to change the titles of projects that receive awards.

b. “Project Description.” Describe your project for a nonspecialist audience, stating the importance of the proposed work to larger issues in the social sciences. This description will appear on your application cover sheet and will serve as a project abstract during the review process. **Do not exceed one thousand characters, including spaces.** If you exceed one thousand characters, including spaces, the Grants.gov validation software may reject your application or cut off your project description at the thousand-character limit.

c. “Proposed Project.” Enter the starting and ending dates for your project. You must start your project on the first day of the month and end it on the last day of the month. You may change these dates if you receive an award. You may shorten the award period, but you may not lengthen it. The maximum amount of your award will be determined by the dates you enter here.

**7. Signature:** Click on the “I Agree” box.

Click on the “Save” button at the top of the form to save your work and return to the main menu.

## Form II: Attachments Form

Open the form and complete it.

To ensure that NEH's system does not reject your application after it has been retrieved from Grants.gov, check that your attachments meet the following requirements:

- 1. The component parts of your application must be attached to the Attachments Form in Portable Document Format (PDF).** NEH cannot accept attachments in their original word processing, graphic, or spreadsheet formats. If you do not have access to software to convert your files into PDFs, [many available low-cost and free software packages](#) will do so.
- 2.** Attachments must be in the specified order and must use the specified file names. Do not use accent marks or other special characters in your file names. Note that Grants.gov will reject applications with files whose names include any character **other than numbers, letters, underscores ( \_ ), hyphens ( - ), spaces, and periods**. If you include any other character (for example, a comma) in a filename, Grants.gov will reject your application.

When you open the Grants.gov Attachments Form, you will find fifteen attachment buttons, labeled "Attachment 1" through "Attachment 15." By clicking on a button, you will be able to choose the file from your computer that you wish to attach. You must name your files exactly as indicated and attach them in the proper order, as listed below:

**ATTACHMENT 1:** To this button, please attach your project **narrative**. Name the file "narrative.pdf".

**ATTACHMENT 2:** To this button, please attach your **bibliography**. Name the file "bibliography.pdf".

**ATTACHMENT 3:** To this button, please attach your **résumé**. **Name the file "resume.pdf". Do not include accent marks in the file name** ("résumé.pdf"); doing so may cause an error in the processing of your application.

**ATTACHMENT 4:** To this button, please attach your **appendix** (only if necessary). Necessary appendices include editing samples, translation samples, database samples, and visual materials. Name the file "appendix.pdf".

- 3.** Attachments must not exceed the specified length limitations.
- 4.** No attachments other than those specified above may be included.

### Form III: NEH Supplemental Information for Individuals Form

Open the form and provide the following information:

- *Field of Project:* Using the drop-down menu, indicate the field(s) of your project. You may select one, two, or three fields. If you select more than one, list the primary field first.
- *Project Director Field of Study:* Using the drop-down menu, choose the field of study that best describes your area of expertise.
- *Address Information:* Please indicate whether the address that you have given on the Application for Federal Domestic Assistance - Individual form is your home or work address.
- *Institutional Affiliation:* If you are not affiliated with an institution of higher education, please click “No” and continue to the Status section. If you are affiliated with an institution of higher education, please complete the information for that institution. Applicants with a U.S. institutional affiliation must include their institution’s DUNS number (hyphens must be omitted). This number is generally available from an institution’s sponsored research office or at your institution’s website.
- *Status:* Indicate if you are a junior scholar or a senior scholar. Junior scholars are defined as those who are seven years or fewer beyond their final degree; senior scholars are defined as those who are eight years or more beyond their final degree.
- *Reference Letters:* Provide the names, e-mail addresses, and affiliations for your two recommenders. **Enter only one e-mail address for each recommender.** (Applicants are responsible for providing accurate e-mail addresses. The NEH system will use the addresses exactly as they have been entered on this form.) Applicants are responsible for ensuring that their recommenders have received these requests and that the letters are properly submitted. (For additional information, see the “Checking the Status of Your Letters of Reference” section below.)

To ensure full consideration, letters must be submitted online **no later than June 1, 2018**.

Late letters will be added to your file when they arrive, but it is possible that evaluators will not take them into account.

- *Nominating Official:* Please leave this section blank.

### STEP 5: Submit your application to Grants.gov

When you have completed the Application for Federal Domestic Assistance - Individual Form and the NEH Supplemental Information for Individuals Form and attached the component parts of your application to the Attachments Form, perform validation checks on the application as needed, and fix any errors. After you have done so, you are ready to submit your application to Grants.gov.

To submit your application, your computer must have an active connection to the Internet. To begin the submission process, click the “Submit” button. A page will appear, asking you to sign and submit your application. When you click the “Sign and Submit Application” button, your application will be submitted to Grants.gov. Please note that it may take some time to submit your application, depending on the size of your files and the speed of your Internet connection. After the submission is complete, a confirmation page will appear. This page, which includes a tracking number, indicates that you have submitted your application to Grants.gov. Please print this page for your records.

Note: If you find that you need to make a change to your application after you have submitted it, you may do so any time before the application deadline. Simply submit the corrected version through Grants.gov. All submissions are time-stamped, and NEH will keep only your most recent one.

## Deadline for submitting applications

**Applications for Fellowships for Advanced Social Science Research on Japan must be received by Grants.gov by 11:59 p.m. Eastern Time on April 25, 2018.** Grants.gov will date- and time-stamp your application after it is fully uploaded. Applications submitted after that date will not be accepted.

## IV. What Happens After the Submission of an Application

### Confirming the acceptance of your application

**After you submit your application, Grants.gov will send you up to five e-mail messages confirming receipt of your application. These messages represent different stages in the application acceptance process. You should verify that you have received all of the following five confirmation messages. Please note that e-mail filters may send these messages to your spam or junk folder.**

**Message 1:** After you submit your application, Grants.gov will immediately assign the application a Grants.gov tracking number (a number like GRANT11791444). Grants.gov will send you an e-mail with this number. The subject line of the message will include the words “Grants.gov Submission Receipt.”

**Message 2:** At this point Grants.gov attempts to validate the application. If everything checks out, Grants.gov will send you a **second** e-mail confirming that it validated the application, and that it will send the application to NEH. The subject line of the message will include the words “Grants.gov Submission Validation Receipt for Application.” (This message—and all subsequent messages from Grants.gov—again includes the Grants.gov tracking number.) But if Grants.gov discovers a problem with the application (for example, the project description component of the Application for Federal Domestic Assistance exceeds the thousand-character limit, or a file name includes an unacceptable character such as a comma), then the attempt at validation fails;



Grants.gov accordingly rejects the application. Grants.gov would then send you a message, indicating that the application has been “rejected with errors,” and that you must resubmit. Once you correct the error and resubmit, the process starts all over again. (In other words, Grants.gov would then send you a new version of Message 1, including a new Grants.gov tracking number.) Note that NEH does not receive an application that Grants.gov rejects because it has errors.

**Message 3:** After Grants.gov has validated the application, NEH uploads the application. Grants.gov then sends you a **third** e-mail to that effect. The subject line of the message will include the words “Grants.gov Agency Retrieval Receipt for Application.” (The “agency” mentioned in the subject line is NEH, which Grants.gov also calls the “grantor agency.”)

**Message 4:** At this point, NEH assigns its own application number (different from the Grants.gov tracking number) to the application. (The NEH application number will begin with two or three capital letters, followed by a hyphen, followed by six numbers: for example, HD-123456, or HDD-123456.) NEH then informs Grants.gov of the application number that it has assigned. Grants.gov then sends you a **fourth** e-mail, providing the NEH application number (as well as, again, the Grants.gov tracking number). The subject line of the message will include the words “Grants.gov Agency Tracking Number Assignment for Application.” (What Grants.gov calls the “agency tracking number” is what NEH calls the application number.)

**Message 5:** Now that NEH has the application, it runs its own check to make sure that the application’s attachments are in proper PDF format. If everything is OK, NEH informs Grants.gov that it has accepted the application. Grants.gov then sends you a **fifth** e-mail, informing you that NEH has accepted the application. The subject line of the message will include the words “Grants.gov Agency Notes Assigned for Application.” (If NEH accepts the application, the “Agency Notes” are as follows: “Application accepted by agency.”) But if NEH rejects the application, NEH sends its own e-mail directly to you. In that case you will receive two e-mails, one from NEH and one from Grants.gov. The NEH e-mail will inform you of the problem with the application (for example, an attachment was not submitted as a PDF). It will also tell you that you need to correct the error and then to resubmit. In that case the e-mail that Grants.gov sends you will reiterate the fact that NEH rejected the application because of problems with the attachments. After you receive these e-mails you must resubmit the application and await receipt of the five messages explained here.

### **Additional Information**

Grants.gov normally sends these e-mail messages within twenty-four hours of the submission of an application. Delays can, however, occur when system usage is heavy. Each message includes your application’s Grants.gov tracking number, and the fourth and fifth messages also include the NEH application number. Keep these messages for your records.

If you receive a message (ordinarily, the second or the fifth message) indicating that your application has been rejected, read it carefully. It should explain what was wrong with your application, and what you must do to fix the problem. Once you fix the problem, you can resubmit the application (so long as you do so before the program’s application deadline).

If you submit an application and don’t receive all five of the e-mail messages, check your “spam” folder, which may contain one or more of them. If you still can’t find them, contact Grants.gov

immediately to determine the status of your application, by calling 1-800-518-GRANTS (4726) or sending an e-mail to [support@grants.gov](mailto:support@grants.gov). Include the Grants.gov tracking number in correspondence regarding the application. You can also use the Grants.gov [Track my Application](#) tool to check the status of your application.

**As stated above, it is your responsibility as an applicant to confirm that Grants.gov and subsequently NEH have accepted your application, by verifying that you have received all five of the messages described here.**

### Checking the status of your letters of reference

NEH solicits letters of reference from your recommenders approximately seven to ten days after the application deadline, with instructions for submitting their letters online. You will be notified by e-mail when each of your letters of reference has been received. After you have received your NEH application number, you may also check the status of your letters of reference by logging in to [the secure area of NEH's website](#). Enter your NEH application number and your Grants.gov tracking number. You will be able to see the names and e-mail addresses of your letter writers and whether their letters of reference have arrived. If necessary, you may send reminders to your letter writers (including the upload link) from this site. **You are responsible for ensuring that your letter writers have received the solicitations from NEH and submitted their letters.**

### Review and selection process

All applications receive peer review. Knowledgeable persons outside NEH will read each application and advise the agency about its merits. NEH staff comments on matters of fact or on significant issues that otherwise would be missing from these reviews, then makes recommendations to the National Council on the Humanities. The National Council meets at various times during the year to advise the NEH chairman on grants. The chairman takes into account the advice provided by the review process and, by law, makes all funding decisions. More details about NEH's review process are available [here](#).

### Information for all applicants and for successful applicants

NEH will notify applicants of the results of their applications by e-mail in early December 2018. Notice will be sent to the e-mail address supplied in the application. All applicants may obtain the evaluations of their applications by sending an e-mail message to [fellowships@neh.gov](mailto:fellowships@neh.gov).

Before submitting an application, applicants should review [NEH's Research Misconduct Policy](#).

The requirements for awards are contained in the [NEH Fellowships Terms and Conditions](#) document and any specific terms and conditions contained in the award document.

Please note that if you apply to the Summer Stipends program and subsequently accept a Fellowship for Advanced Social Science Research on Japan award, your application to the Summer Stipends program will be automatically withdrawn.

A final performance report will be due within ninety days after the award ending date. This report must be submitted electronically via [eGMS Reach](#), NEH's online grant management system. Instructions for preparing the final report are available in eGMS Reach.

A final financial report is not required.

## V. Additional Information

### Contact information for the program and Grants.gov

If you have questions about the program, contact

Division of Research Programs  
National Endowment for the Humanities  
400 Seventh Street, SW  
Washington, DC 20506  
202-606-8200  
[fellowships@neh.gov](mailto:fellowships@neh.gov)

Grants.gov: [www.Grants.gov](http://www.Grants.gov)

Grants.gov help desk: [support@grants.gov](mailto:support@grants.gov)

Grants.gov training documents and videos:

<https://www.grants.gov/web/grants/applicants/applicant-training.html>

Grants.gov support line: 1-800-518-GRANTS (4726)

### Privacy policy

Information in these guidelines is solicited under the authority of the National Foundation on the Arts and Humanities Act of 1965, as amended, 20 U.S.C. 956. The principal purpose for which the information will be used is to process the grant application. The information may also be used for statistical research, analysis of trends, and Congressional oversight. Failure to provide the information may result in the delay or rejection of the application.

### Application completion time

The Office of Management and Budget requires federal agencies to supply information on the time needed to complete forms and also to invite comments on the paperwork burden. NEH estimates that the average time to complete this application is fifteen hours per response. This estimate includes time for reviewing instructions, researching, gathering, and maintaining the information needed, and completing and reviewing the application.

Please send any comments regarding the estimated completion time or any other aspect of this application, including suggestions for reducing the completion time, to the Chief Guidelines Officer, at [guidelines@neh.gov](mailto:guidelines@neh.gov); the Office of Publications, National Endowment for the Humanities, Washington, D.C. 20506; and the Office of Management and Budget, Paperwork Reduction Project (3136-0134), Washington, D.C. 20503. According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB number.

## APPLICATION CHECKLIST

- **Verify your registration or register with Grants.gov.** NEH recommends that you complete this step at least two weeks before the deadline.
- **Access the application package by downloading it from Grants.gov, or through Grants.gov Workspace.** The [program resource page](#) on NEH's website has a direct link to the package. You can also search Grants.gov for this program.
- **Complete the following forms contained in the Grants.gov application package.**

1. Application for Federal Domestic Assistance – Individual Form
2. Attachments Form—Using this form, attach the parts of your application as described in the guidelines:

ATTACHMENT 1: Narrative (name the file “narrative.pdf”)

ATTACHMENT 2: Bibliography (name the file “bibliography.pdf”)

ATTACHMENT 3: Résumé (**name the file “resume.pdf” without accent marks**)

ATTACHMENT 4: Appendix or appendices (only if necessary). Necessary appendices include editing samples, translation samples, database samples, and visual materials. Name the file “appendix.pdf”.

Note that if you do not use the file names indicated above, your application may be rejected. Do not use accents or other special characters in your file names.

Your attachments must be in Portable Document Format (.pdf). We cannot accept attachments in their original word processing or spreadsheet formats. If you don't already have software to convert your files into PDFs, many low-cost and free software packages will do so. You can learn more about converting documents into PDFs [here](#).

3. NEH Supplementary Information for Individuals Form. If you are affiliated with a U.S. institution, this form requires you to provide your institution's DUNS number. This number is generally available from an institution's sponsored research office or

at your institution's website.

- **Upload your application to Grants.gov.** NEH **strongly** suggests that you submit your application no later than 5:00 p.m. Eastern Time on the day of the deadline. Doing so will leave you time to contact the Grants.gov help desk for support, should you encounter a technical problem of some kind. The Grants.gov help desk is available seven days a week, twenty-four hours a day (except on [federal holidays](#)), at 1-800-518-4726. You can also send an e-mail message to [support@grants.gov](mailto:support@grants.gov).
- **Verify that you received all five confirmation messages from Grants.gov.** The messages are described above in Section IV, "What Happens After the Submission of an Application."
- **Monitor the status of your letters of recommendation. Approximately seven to ten days after the deadline, NEH will contact your recommenders to solicit their letters.** You may check the status of your letters by logging in to [the secure area of NEH's website](#). All letters must be received no later than June 1, 2018. You are responsible for ensuring that your letter writers have uploaded their letters by the deadline.

## TIMELINE

**Before the April 25, 2018 deadline:** Contact Division of Research program officers (at 202-606-8200 or [fellowships@neh.gov](mailto:fellowships@neh.gov)) with questions and for advice (optional)

**April 11, 2018:** Register or verify your registration with Grants.gov by this date

**April 25, 2018:** Submit application through Grants.gov by this date

**Early May 2018:** NEH prompts recommenders to submit letters of reference

**June 1, 2018:** Deadline for receipt of letters of reference

**July 2018:** peer review panel takes place

**November 2018:** meeting of the National Council on the Humanities, followed by funding decisions

**December 2018:** applicants are notified of the funding decisions

**January 1, 2019:** successful applicants may begin work on their projects as early as this date

**September 1, 2020:** successful applicants must begin work on their projects no later than this date