Notice of Funding Opportunity

Graduate Education in the Humanities: A National Convening

Funding Opportunity Number: 20240806-CHC

Funding Opportunity Type(s): New

Federal Assistance Listing Number: 45.130

Application Deadline: August 6, 2024

Ensure your SAM.gov and Grants.gov registrations and passwords are current.
It may take several weeks to register with SAM.gov and Grants.gov.
NEH will not grant deadline extensions for lack of registration.

Office of Challenge Programs
Email: challenge@neh.gov
Telephone: 202-606-8309
Telecommunications Relay Service: 7-1-1

OMB control number 3136-0134, expiration date October 31, 2024
Executive Summary

The National Endowment for the Humanities (NEH) Office of Challenge Programs is accepting applications for Graduate Education in the Humanities: A National Convening, a cooperative agreement to plan and host a national convening to evaluate the current state of graduate education in the humanities, to make recommendations for programs to prepare graduate students for a variety of humanities-related careers, and to develop a roadmap that articulates a strategic vision for graduate education in the humanities. The project will be funded through a combination of federal matching funds and related fundraising from non-federal third parties.

<table>
<thead>
<tr>
<th>Funding Opportunity Title</th>
<th>Graduate Education in the Humanities: A National Convening</th>
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<tr>
<td>Funding Opportunity Number</td>
<td>20240806-CHC</td>
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<tr>
<td>Federal Assistance Listing Number</td>
<td>45.130</td>
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<tr>
<td>Deadline for Optional Draft</td>
<td>June 26, 2024, 11:59 p.m. Eastern Time</td>
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<tr>
<td>Application Deadline</td>
<td>August 6, 2024, 11:59 p.m. Eastern Time</td>
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<tr>
<td>Anticipated Award Announcement</td>
<td>December 2024</td>
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<tr>
<td>Anticipated FY 2025 Funding</td>
<td>Approximately $500,000</td>
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<tr>
<td>Estimated Number and Type of Awards</td>
<td>Approximately 1 cooperative agreement</td>
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<tr>
<td>Award Amounts</td>
<td>Up to $500,000</td>
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<tr>
<td>Cost Sharing/Match Required</td>
<td>Yes</td>
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<tr>
<td>Period of Performance</td>
<td>Up to 3 years, starting March 1, 2025</td>
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<td></td>
<td>Projects must start between March 1, 2025, and July 1, 2025.</td>
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</table>
| Eligible Applicants                              | • nonprofit organizations recognized as tax-exempt under section 501(c)(3) of the Internal Revenue Code  
|                                                 | • accredited institutions of higher education (public or nonprofit)  
|                                                 | • state and local governments and their agencies  
|                                                 | • federally recognized Native American Tribal governments |
|                                                 | See C. Eligibility Information for additional information. |

Program Resource Page

https://www.neh.gov/program/graduate-education-humanities-national-convening

Published

May 6, 2024
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A. Program Description

1. Purpose
This notice solicits applications for Graduate Education in the Humanities: A National Convening.

NEH’s Office of Challenge Programs supports projects that strengthen the institutional base of the humanities and have long-term impacts on humanities institutions. Graduate Education in the Humanities: A National Convening will support a project to assess the current state of graduate education, explore and discuss current challenges, and disseminate innovative models and methods that meet those challenges. Those models and methods will help graduate programs in disciplines across the humanities expand training opportunities and prepare students for careers in a wide variety of fields and capacities, thereby opening new paths for graduates of humanities programs to help address the most complex problems of our time, such as climate change, racism, inequality, public health crises, the dangers and opportunities of technology, and other urgent issues.

This cooperative agreement supports the planning and implementation of a national gathering to address challenges in graduate humanities education by providing opportunities for experts and practitioners to share best practices; offering meaningful guidance for departments, graduate programs, universities, and other organizations interested in improving graduate education in the humanities; and developing a strategic vision for graduate education in the humanities.

The recipient of this cooperative agreement will be required to:

- assemble and support a steering committee and working groups with representatives who can speak to the geographic, demographic, institutional, economic, and social diversity of graduate education throughout the United States;
- plan and host an in-person, virtual, or hybrid national convening focused on the current state of, and future prospects for, graduate training in the humanities; and
- publish and disseminate a report based on the findings of the working groups and the national convening.

See B2. Type of Application and Award for more information about recipient and NEH responsibilities under this cooperative agreement.

Possible topics to be covered by working groups and/or the national convening include, but are not limited to:

- preparing graduate students for diverse humanities careers
- internships and experiential education
- diversity, equity, and inclusion
- alternatives to the graduate thesis and doctoral dissertation
- innovations in research training and methods
- opportunities for graduates in public humanities
- graduate student labor issues
- interdisciplinary graduate training
Successful applicants will demonstrate a thorough knowledge of graduate education in the humanities and should be informed by a clear understanding of the current state of the field and the broader environments (e.g., demographic, social, technological, and economic) that affect practitioners in institutional and educational settings. Your proposal should include methodology for identifying issues of importance to the field, collecting data, evaluating proposed solutions, and presenting a forward-thinking analysis to a range of stakeholders.

You must demonstrate that you can raise the required matching funds from third-party, nonfederal sources and establish strategic collaborations with key stakeholders including national leaders, researchers, educators, funders, and others who have or can build on national partnerships.

**Program Outcomes and Outputs**

The primary output of Graduate Education in the Humanities: A National Convening will be a national convening addressing graduate education in the humanities. In addition, following the conclusion of the convening you must create, publish, and disseminate a post-convening report on the state of humanities graduate education in the United States no later than the last day of your period of performance. This report will be made widely available to external stakeholders through a robust dissemination strategy, including both traditional and digital media. Report(s) should include a summary of convening outcomes as well as high-level analysis of actions and priorities for the field. See [F3. Reporting](#).

You will describe your project outcomes and outputs, and how they would support the overall purpose of the Graduate Education in the Humanities: A National Convening program, in [Attachment 1: Narrative](#). If you receive an award, NEH will assess your performance based on the outputs you identify in the proposal.

See [D6. Funding Restrictions](#) for unallowable activities. Applications unrelated to the purpose of this program or that include unallowable costs or activities may be judged nonresponsive and returned without review.

See [E1. Review Criteria](#) for the standards NEH will use to evaluate applications under this notice.

### 2. Background

NEH offers this funding opportunity under the authority of [20 U.S.C. § 956](#). Awards are subject to [2 CFR Part 200 Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards](#), and the [General Terms and Conditions for Awards to Organizations](#) (for grants and cooperative agreements issued January 1, 2022 or later).

Under [Section 3(a) of the National Foundation on the Arts and the Humanities Act of 1965](#), as amended, “The term ‘humanities’ includes, but is not limited to, the study of the following: language, both modern and classical; linguistics; literature; history; jurisprudence; philosophy; archaeology; comparative religion; ethics; the history, criticism and theory of the arts; those aspects of the social sciences which have humanistic content and employ humanistic methods; and the study and application of the humanities to the human environment with particular attention to reflecting our diverse heritage, traditions, and history and to the relevance of the humanities to the current conditions of national life.”

Use the [Funded Projects Query Form](#) to find examples of NEH-supported projects.
Learn more about NEH.

NEH Areas of Interest
NEH is especially interested in supporting projects that advance humanities-related work in the following areas. NEH will give all applications equal consideration in accordance with the program’s review criteria.

NEH encourages projects that include Native American organizations and communities as applicants and project partners.

American Tapestry: Weaving Together Past, Present, and Future
*American Tapestry: Weaving Together Past, Present, and Future* is a wide-ranging special initiative at NEH that leverages the humanities to tackle some of the most pressing challenges of our time: strengthening our democracy, advancing equity for all, and addressing our changing climate. The initiative encourages humanities projects that elevate the role of civics in schools and public programs, advance knowledge of the country’s history and political institutions, and examine threats to its democratic principles. The initiative also encourages projects that explore the untold stories of historically underrepresented groups and build capacity at cultural and educational institutions to benefit underserved communities. Finally, the initiative welcomes projects that promote research into the historical roots and cultural effects of climate change and support the cultural and educational sectors in building climate resilience. By supporting humanities projects that align with these three themes – strengthening our democracy, advancing equity for all, and addressing our changing climate – the *American Tapestry* initiative seeks to tell our country’s history in all its complexity and diversity.

United We Stand: Connecting Through Culture
Hate must have no safe harbor in America – especially when that hate fuels the kind of violence we’ve seen from Oak Creek to Pittsburgh, from El Paso to Poway, and from Atlanta to Buffalo. When ordinary Americans cannot participate in the basic activities of everyday life – such as shopping at the grocery store or praying at their house of worship – without the fear of being targeted and killed for who they are, our security as well as democracy are at risk. In coordination with the White House “United We Stand” Summit in September 2022, NEH launched a new initiative titled *United We Stand: Connecting Through Culture* that uses the humanities to combat hate-motivated violence and promote civic engagement, social cohesion, and cross-cultural understanding. As a part of this initiative, NEH encourages humanities projects that further our understanding of the nation’s racial, ethnic, gender, and religious diversity; examine the sources of hate and intolerance in the United States; and explore progress towards greater inclusiveness. NEH especially welcomes projects that explore the Muslim American and/or the Jewish American experience, including the history of Islamophobia and/or antisemitism.

NEH’s Support for the Federal Indian Boarding School Initiative
As a part of NEH’s partnership with the Department of the Interior on the Federal Indian Boarding School Initiative, NEH encourages projects that further public understanding and knowledge of the Federal Indian boarding school system. From 1819 through the 1970s the government of the United States operated a system of schools for Native American, Alaska Native, and Native Hawaiian children premised on a policy of coerced cultural assimilation. Native children were forcibly separated from their families and sent to attend
federal Indian boarding schools, where they were frequently subject to harsh treatment and abuse. A number of these students died, and others never returned to their families and communities. Many were also deprived of their cultural inheritance. NEH encourages projects that document and explore the history of the federal Indian boarding schools as well as projects that contribute to Native and Indigenous cultural and language revitalization.

**B. Federal Award Information**

**1. Type of Application and Award**

NEH seeks new applications in response to this notice.

NEH will provide funding in the form of one cooperative agreement, a financial assistance mechanism in which NEH will have substantial programmatic involvement. Under this cooperative agreement, NEH’s purpose is to support the project’s activities by working collaboratively in a partnership role but not assume direction. Prime responsibilities of the project reside with the recipient.

Under this cooperative agreement and in collaboration with NEH, the recipient will:

- match the NEH financial contribution by raising an equivalent total of gifts from third-party, nonfederal sources. See C2. Matching Requirements.
- establish a convening steering committee, ensuring that representation is geographically, demographically, and institutionally diverse and representative of the stakeholder base.
- establish working groups of experts, stakeholders, and members of other relevant audiences to address specific issues determined by the steering committee.
- facilitate communication with and between steering committee and working group members via in-person or virtual meetings.
- plan, develop, and implement an in-person, virtual, or hybrid national convening focusing on topics identified by steering committee members. The recipient will work with NEH on the agenda, timing, location, and logistics of the convening.
- provide ongoing logistical support (travel arrangements including lodging and per diem) to speakers and principals participating in the national convening, as required for the project’s format.
- disseminate the public report, including traditional and digital media, to the higher education community, national leaders, funders, educators, and the general public.

Under the cooperative agreement, NEH will:

- participate in steering committee meetings;
- consult on the selection of topics to be addressed by working groups and participate in working group meetings;
- participate in the planning, development, and implementation of the national convening;
- contribute to the organization and editing of the final report(s);
- contribute to the dissemination of report(s) via social media and press releases; and
- fulfill other responsibilities negotiated prior to the award.

The terms and conditions at time of award will identify responsibilities of both parties.
2. Summary of Funding

Award amounts
You may request up to $500,000. This includes the sum of direct and indirect costs.

NEH anticipates awarding approximately $500,000 to one recipient.

NEH will not determine the exact amount available for funding until Congress makes official appropriations for FY 2025. NEH will issue awards subject to the availability of appropriated funds. NEH is publishing this notice as a contingency to ensure that NEH can process applications and issue awards in a timely manner, should sufficient funds become available.

Funding beyond the first year is dependent on the availability of appropriated funds for Graduate Education in the Humanities: A National Convening in subsequent fiscal years, satisfactory performance, and a decision that continued funding is in the best interest of the Federal Government.

Period of performance
The period of performance is the time during which you may incur expenses to carry out the work under the award. It must start on the first day of the month and end on the last day of the month.

To receive the full funding amount, you must select a period of performance that allows adequate time to raise and certify matching funds. See C2. Matching Requirements.

You may request a period of performance up to 36 months with a start date between March 1, 2025, and July 1, 2025.

C. Eligibility Information

1. Eligible Applicants
To be eligible to apply, your organization must be established in the United States or its jurisdictions as one of the following:

- a nonprofit organization recognized as tax-exempt under section 501(c)(3) of the Internal Revenue Code
- an accredited institution of higher education (public or nonprofit)
- a state or local government or one of their agencies
- a federally recognized Native American Tribal government

Individuals and other organizations, including foreign and for-profit entities, are ineligible.

If your organization is eligible, you may apply on behalf of a consortium of collaborating organizations. If NEH selects your proposal for funding, you will be programmatically, legally, and fiscally responsible for the award.

The recipient may not function solely as a fiscal agent but should make substantive contributions to the success of the project.
2. Matching Requirements

NEH requires cost sharing in this program.

You may request up to $500,000 in federal matching funds to leverage third party funding. Funds to satisfy a matching requirement must be from sources outside of the applicant institution (a third party) consistent with the NEH Federal Matching Funds Guidelines. You must raise third-party non-federal gifts dollar-for-dollar. NEH will not release funds until you raise and certify eligible gifts. In-kind gifts and funds derived from the recipient organization are not eligible sources of certified gifts in this program.

You may propose a budget of up to $1,000,000 ($500,000 from NEH plus $500,000 in third-party non-federal gifts). Include third-party non-federal gifts on your Research and Related Budget and identify what project activities they will support in the budget justification. The budget should be appropriate to project activities and institutional fundraising capacity. In general, NEH anticipates that budgets for projects proposed by individual organizations will be less than those proposed by consortiums.

If your organization is eligible, you may apply on behalf of a consortium of collaborating organizations. If NEH selects your proposal for funding, you will be programmatically, legally, and fiscally responsible for the award. Consortium members may raise third-party non-federal gifts in support of the NEH award, but such gifts may not originate from the members themselves.

Gift certification

Successful applicants will receive an offer of federal matching funds, subject to specific regulatory and other conditions. NEH will certify the allowability of these gifts and will issue the award only when you have met the conditions of the offer. NEH will not release federal matching funds until you raise and certify eligible gifts.

If NEH selects your project for funding, you must raise and certify all eligible gifts and pledges at least one year prior to the end of the period of performance (see Federal Matching Funds Guidelines). If you fail to meet this deadline, NEH may reduce or terminate your award. You may certify gifts up to three times a year to release federal matching funds or to fulfill any portion of the matching requirement.

You may certify gifts at the time of application. See Attachment 5: Certification of gifts.

Allowability of gifts

You must raise gifts from third-party non-federal sources. Gifts may not originate from the recipient institution itself. This restriction also applies to superordinate multi-campus college and university systems. You may not shift or reallocate internal funds for matching purposes.

If you submit on behalf of a consortium, you are responsible for certifying all gifts. Consortium members that are subrecipients may independently fundraise and secure eligible third-party non-federal gifts; however, funds may not originate from consortium members’ budgets or general operating funds.

You must use Graduate Education in the Humanities: A National Convening funds (federal matching funds and third-party non-federal gifts) only to support the purposes outlined in the approved budget within the period of performance.

Learn about [types of funds NEH offers](https://www.neh.gov/grants/funding/eligibility/overview).

### 3. Other Eligibility Information

An organization may submit only one application under this notice.

If you submit multiple applications (including submitting to the wrong funding opportunity or making corrections/updates), NEH will only accept your last validated submission prior to the deadline under the correct Grants.gov funding opportunity.

Per [2 CFR § 200.403(f)](https://www.gpo.gov/fdsys/gsview.html?_gpo=52653&1=11&gpo=gsview&client=chrome&showNavMenu=1&n=200.403f), you must not include the same project costs in more than one application for federal funding or approved federal award budget. If one application to NEH is pending, a second application for the same project costs, even if to a different program, may be ruled ineligible. However, you may submit multiple proposals for [complementary](https://www.neh.gov/grants/funding/eligibility/overview) aspects of the same overall project. If you are submitting complementary proposals to other NEH programs or government agencies, specify when and to whom in the [Supplementary Cover Sheet for NEH Grant Programs](https://www.neh.gov/grants/funding/eligibility/overview). NEH may disallow costs or reject applications that include overlapping project costs. An individual’s level of effort cannot exceed 100% across multiple active federally funded awards.

NEH does not issue awards to other federal entities. If your project is so closely intertwined with a federal entity that the project takes on characteristics of the federal entity’s own authorized activities, it is ineligible. You may use funds from, or sites and materials controlled by, other federal entities in your project, but you may not use them as gifts to release federal matching funds.

NEH does not provide financial assistance to foreign institutions or organizations. If you are an eligible domestic entity, you may apply for collaborative projects involving foreign organizations provided you do not use NEH funds for the purpose of issuing subawards to any foreign organization, as defined in [2 CFR §§ 200.1 and 231(a)](https://www.gpo.gov/fdsys/gsview.html?_gpo=52653&1=11&gpo=gsview&client=chrome&showNavMenu=1&n=200.1). You may obtain the services of foreign individuals and consultants to carry out programmatic activities on a fee-for-service basis, as specified in [2 CFR § 200.459](https://www.gpo.gov/fdsys/gsview.html?_gpo=52653&1=11&gpo=gsview&client=chrome&showNavMenu=1&n=200.459). You may obtain goods and services from foreign vendors, such as in-country transportation services, in accordance with [2 CFR § 200.331(b)](https://www.gpo.gov/fdsys/gsview.html?_gpo=52653&1=11&gpo=gsview&client=chrome&showNavMenu=1&n=200.331). If you plan to submit an application involving international collaboration, contact program staff at [challenge@neh.gov](mailto:challenge@neh.gov).

Except for the rare cases covered by its [late submission policy](https://www.neh.gov/grants/funding/eligibility/overview), NEH will not consider applications submitted after the [deadline](https://www.neh.gov/grants/funding/eligibility/overview).

NEH will not consider incomplete, nonresponsive, or ineligible applications for funding.

NEH will not consider applications that exceed mandatory page limits or deviate from formatting instructions. See the [Application Components Table](https://www.neh.gov/grants/funding/eligibility/overview).
D. Application and Submission Information

1. Application Package
You must apply using Grants.gov Workspace or a Grants.gov system-to-system solution. You can find this funding opportunity in Grants.gov under number 20240730-CHC. There is also a link on the program resource page.

Once you have located the funding opportunity in Grants.gov, you will find the application package under the “Package” tab. It includes a series of required and conditionally required forms. You will upload additional application components using the Attachments Form.

You must complete a multistep registration process prior to submitting your application. See D3. Unique Entity Identifier and System for Award Management.

Contact challenge@neh.gov to request a paper copy of this notice.

If you are deaf, hard of hearing, or have a speech disability, please dial 7-1-1 to access telecommunications relay services.

2. Content and Form of Application Submission
Your application will include a narrative, budget, and other forms and attachments. You will complete the forms within Grants.gov Workspace and upload other components into the Attachments Form. See the Application Component Table.

Application formatting
Your application components must conform to the following formatting requirements, unless otherwise indicated:

- pages no larger than standard letter (8 ½" x 11")
- at least one-inch margins on all sides for all pages
- a font no smaller than 11 points

In addition, NEH encourages you to format your components consistent with the following:

- single-spacing
- a readable font such as Arial, Georgia, Helvetica, or Times New Roman
- any standard citation style (include citations in page counts)

Application Component Table
NEH has assigned each application component one of the following designations:

- Required: You must submit this component.
- Conditionally Required: You must submit this component if your proposal meets the specified conditions.
- Optional: You may submit this component, but NEH does not require it.
- Conditionally required for recipients: You are encouraged but not required to submit this component when you apply. You may submit it if you receive an award.
NEH will not review applications missing any required documents or relevant conditionally required documents.

Do not include attachments other than those listed in the table in this notice. If you do, NEH will reject your application.

In addition, NEH has established page limits for some application components:

- Mandatory: You must not exceed the page limit.
- Suggested: NEH encourages, but does not require, you to abide by the page limit.

Note page limits and formatting instructions in this notice. **NEH will not review applications that exceed mandatory page limits or deviate from formatting instructions.**
### Application Components: Attachments

Each attachment must be a single PDF file. See the [Attachments Form](#) instructions for further guidance about file requirements. Read those instructions carefully, as Grants.gov will not accept files that do not meet the requirements.

#### Attachment 1: Narrative (required)

Compose a comprehensive description of your proposed project. Your narrative should be succinct, well organized, and free of technical terms and jargon so that peer reviewers can understand the proposed project.
You must limit the narrative to fifteen single-spaced pages. Do not include an executive summary, cover page, or table of contents. You may include images, charts, diagrams, footnotes, or endnotes if they fit within the page limit. Name the file narrative.pdf.

Organize your narrative using the following headings. Each section aligns with one or more review criteria NEH will use to evaluate your proposal.

**Intellectual rationale** (aligns with review criteria 1, 2, and 4)
Outline national issues of critical significance in graduate humanities education, including current and future challenges. Describe specific topics and themes including those facing different types of institutions and organizations, underrepresented groups, and faculty, staff, students, and others involved in humanities graduate education. Specific topics and themes could include preparing humanities graduate students for diverse careers; the role of internships and experiential education in humanities graduate education; diversity, equity, and inclusion in humanities graduate programs; or training humanities graduate students to contribute to complex contemporary issues, such as climate change, the dangers and opportunities of technology, or inequality. Discuss how your convening and report will address these issues.

Discuss how the steering committee, working groups, and convening would respond to, build upon, and/or challenge previous research on the state of graduate humanities education. Discuss your understanding of the potential impacts of the development of a strategic vision for graduate humanities education, addressing such factors as the demographic, social, technological, institutional, and economic conditions that affect graduate education within the humanities.

Discuss how you will evaluate, analyze, and compile the results of the national convening into a report establishing a strategic vision and set of best practices for graduate education in the humanities.

**Design, content, and implementation** (aligns with review criteria 1, 2 and 3)
The convening is not required to adhere to a particular format or schedule. You should tailor your project design to the strengths and needs of your audience, project team (including the presenters), organizational partners, and proposed host sites.

Describe your plans and potential sources for raising the third-party non-federal gifts required to release the federal matching funds. Delineate the fundraising strategy and timeline in alignment with the work plan in Attachment 2 and the requested period of performance. NEH will evaluate your proposal, in part, on how well these elements cohere. See E1. Review Criteria.

Describe how steering committee and working group participants will be selected and recruited. Explain how participants and project personnel will communicate and evaluate key topics. Discuss the roles and responsibilities of the steering committee and the working groups, including time commitment and resources that will be provided to members before, during, and after the convening. Explain the role, if any, of steering committee members in development of the post-convening strategic vision for humanities graduate education.
Describe the specific content and structure of the national convening. Explain how the combination of presentations, break-out sessions, small group discussions, and other scheduled activities will inform the long-term vision and strategic goals.

Describe your strategy, including the use of traditional and digital media, for advertising the convening and inviting or recruiting participants.

Describe proposed outcomes of the convening and the methodology that will be used for evaluating, prioritizing, and promoting a vision for humanities graduate education to various national audiences.

**Project staff** (aligns with review criteria 1 and 2)
Identify the project director(s), relevant institutional support staff, and the individuals who will participate in the steering committee and/or working groups (if known). Provide a brief description of the qualifications and roles of the project director, support staff, and other key personnel, including their subject matter expertise. Note that supporting résumés or brief biographies (not to exceed two single-spaced pages) are required as Attachment 3. Letters of commitment from consultants, speakers, and other key personnel are required as Attachment 4.

**Institutional expertise and resources** (aligns with review criteria 2 and 3)
Describe your institution’s mission and resources and how these would support the proposed activities. If the project includes collaborations with other institutions or organizations, indicate how the mission and resources of those institutions would support the project. Describe your institution’s subject matter expertise in graduate education in the humanities, as well as its experience in coordinating national convenings. If you are collaborating with one or more institutions, include a letter of commitment from each one in Attachment 4. (The applicant institution does not need to provide a letter of commitment.)

**Impact and dissemination** (aligns with review criteria 1 and 4)
Describe how the project will inform the development of a strategic vision for graduate education in the humanities. Explain how the report will reach the proposed audiences of national leaders, practitioners, educators, and the general public. Explain your plans for publicizing the final recommendations. Describe plans for composing, publishing, and disseminating the public report.

**Attachment 2: Work plan (required)**
Your work plan should reflect the major activities you describe in your narrative, the project dates on your SF-424 Application for Federal Assistance - Short Organizational, and your Research and Related Budget.

Describe the activities that will take place during the period of performance to achieve each of the proposed objectives. Use a timeline that includes each activity, including fundraising, and identifies responsible staff. Explain how outcomes from one activity will carry over into the next. For multi-institutional collaborative projects, discuss the distribution of responsibilities across each institution. As appropriate, identify meaningful support and collaboration with key stakeholders in planning, designing, and implementing activities.

Name the file workplan.pdf.

**Attachment 3: Résumés for key personnel (required)**
Include résumés for the project director(s), members of the steering committee and working groups (if available), consultants, speakers, and other key personnel.

This attachment has a suggested length of two pages per person. Name the file resumes.pdf.

**Attachment 4: Letters of commitment and support (required)**
Include letters of commitment from members of the steering committee and working groups (if applicable), consultants, presenters, and collaborating institutions (if any). Letters of commitment should confirm the individual’s intention to participate and briefly describe their role in the project. The applicant institution does not need to provide a letter of commitment.

Elected government officials, NEH staff, and current members of the National Council on the Humanities may not serve as letter writers.

Name the file letters.pdf.

**Attachment 5: Certification of Gifts (optional)**
If you have raised third-party non-federal gifts prior to the application deadline you may certify gifts with the application by completing the Certification of Gifts/ Pledges Form, available on the program resource page. If your gift certification includes pledges, you must attach supporting documentation, including the date pledges will be paid. In-kind gifts and funds derived from the recipient organization or consortium members are not eligible sources of certified gifts in this program.

See NEH Federal Matching Funds Guidelines.

Name the file certification.pdf.

**Attachment 6: Subrecipient budget(s) (conditionally required)**
If your project includes subawards, you must provide a separate Research and Related Budget and budget justification for each subrecipient.

Download a fillable PDF of the Research and Related Budget form from the NEH website for each subrecipient. You must open and complete this form in Adobe Reader 8.0 or higher rather than in your web browser.

Prepare a budget and budget justification for each subrecipient using the same instructions in this notice, with a few exceptions:

- Enter the subrecipient’s Unique Entity Identifier, organization name, and period of performance start and end date (these fields will not prepopulate).
- For “budget type,” check “Subaward/Consortium.”

If your subrecipients have a federally negotiated indirect cost rate, you must honor it. If they do not have a federally negotiated indirect cost rate, they may negotiate a rate with you consistent with 2 CFR § 200.414 or use the de minimis rate.

Rather than attaching related documents (budget justification, additional personnel, additional equipment) to the form itself, you will separately convert each document into a PDF and combine all subrecipients’ budget forms and related documents into a single document. Do not attach portfolios containing multiple PDFs.
NEH cannot accept security-enhanced PDFs (e.g., XFA files, PDFs that include password-protection, encryption, digital signatures). Remove these features (or “flatten” these files) before merging them into a single PDF and uploading it to the Attachments Form.

Name the file subrecipient.pdf.

**Attachment 7: Federally negotiated indirect cost rate agreement (conditionally required)**
If your organization is claiming indirect costs and has a current federally negotiated indirect cost rate agreement, provide a copy of the agreement. If applicable, provide the indirect cost rate agreements for subrecipients claiming indirect costs. If you and your subrecipients are requesting the de minimis rate, you do not need to submit this attachment.

Name the file agreement.pdf.

**Attachment 8: Explanation of delinquent federal debt (conditionally required for recipients)**
If your organization is delinquent in the repayment of any federal debt, explain why. Provide evidence that you have entered into a repayment agreement with the Internal Revenue Service, if applicable, and that you are current on all payments due. Examples of relevant debt include delinquent payroll or other taxes, audit disallowances, and benefit overpayments. See OMB Circular A-129.

Name the file delinquentdebt.pdf.

**Application Components: Grants.gov Forms**

**SF-424 Application for Federal Assistance – Short Organizational (Grants.gov form)**
This form requests basic information about your institution, the proposed project, and key contacts. Items 1, 2, and 4 will be automatically filled in; leave item 3 blank.

5. **Applicant Information**
a-d. Provide your organization’s legal name, address, and web address. Select the applicant type that best describes your organization from the drop-down menu.

e-f. Provide your organization’s employer/taxpayer identification number (EIN/TIN), and Unique Entity Identifier assigned by the System for Award Management. If you do not know your identifier, contact your grant administrator or chief financial officer. See D3. Unique Entity Identifier and System for Award Management.

g. Provide your congressional district with your two-character state abbreviation followed by your three-character district number. For example, if your institution is located in the 5th congressional district of Alabama, enter “AL-005.” If your institution is in a state or U.S. jurisdiction that does not have districts, enter “000” in place of the district number. If your institution is outside the U.S., enter “00-000.”

6. **Project Information**
a. Provide your project’s title. It should be brief (no more than 125 characters, including spaces), descriptive of the project, and easily understood by the general public. If NEH funds your project, the agency may retitle your project for clarity in internal and external communications,
including the public announcement of awards. Regardless, you may use your preferred title when carrying out the project.

b. Provide a brief description of your project (no more than 1,000 characters, including spaces). You should write the description for a nonspecialist audience, clearly stating the importance of the proposed work and its relation to larger issues in the humanities. This description will appear on the cover sheet of your application and will serve as a project abstract during the review process. If funded, this description will also appear in NEH’s database of funded projects.

c. State your project’s period of performance start and end dates. Your project must start on the first day of a month and end on the last day of a month. See B2. Summary of Funding for allowable periods of performance.

7. Project Director
Provide the project director’s name, title, and contact information. The project director is responsible for the programmatic aspects and day-to-day management of the proposed project. You must notify the NEH Office of Grant Management immediately if you need to change project directors.

If the project director is not employed by the applicant organization, you must have a formal written agreement with the project director that specifies an official relationship between the parties even if the relationship does not involve a salary or other form of remuneration.

8. Primary Contact/Grant Administrator
Provide the name, title, and contact information for the official responsible for the administration of the award (e.g., negotiating the budget and ensuring compliance with the terms and conditions of the award).

As a matter of NEH policy, the project director and primary contact/grant administrator must not be the same person.

The grant administrator (also called the “institutional grant administrator”) functions as the representative of the recipient organization. This individual should have authority to act on the organization’s behalf in matters related to the administration of the award. The institutional grant administrator must sign or countersign financial reports and prior approval requests such as budget revisions, extensions to the period of performance, and changes in key personnel.

NEH will address official correspondence (for example, the offer letter or Notice of Action) to the institutional grant administrator and copy the project director.

9. Authorized Representative
Provide the name, title, and contact information for the authorized organization representative (AOR) who is submitting the application on behalf of the institution. This person, often called an “authorizing official,” is typically someone from the Office of Sponsored Projects, or the institution’s president, vice president, executive director, or board chair. The institution’s Grants.gov E-Business Point of Contact must designate the AOR. The AOR’s signature must match the contact’s name. See the Grants.gov Online User Guide.

Supplementary Cover Sheet for NEH Grant Programs (Grants.gov form)
1. Project Director
Select the project director’s major field of study from the drop-down menu.
2. Institutional Information
Select your institution type from the drop-down menu.

3. Project Funding
- Under “Outright Funds,” enter zero.
- Under “Federal Match,” enter the amount of federal matching funds you are requesting.
- Under “Cost Sharing,” enter the required cost share you will provide to release the federal matching funds (dollar-for-dollar). Do not include voluntary cost share.

Learn more about the types of funding NEH offers.

4. Application Information
Indicate whether you or others will submit complementary proposals to other NEH programs, government agencies, or private entities. If so, specify when and to whom. NEH will not consider this information when evaluating the merits of your proposal. See C3. Other Eligibility Information for restrictions regarding overlapping costs.

For type of application, check “new.”

Select the project’s primary discipline from the drop-down menu. If applicable, select the project’s secondary and tertiary disciplines.

Project/Performance Site Location(s) Form (Grants.gov form)
Provide the primary location and any other locations where you will conduct project activities during the period of performance.

Enter congressional districts using the two-letter state abbreviation followed by your three-character district number. For example, if your institution is in the 5th congressional district of Alabama, enter “AL-005.” If your institution is in a state or U.S. jurisdiction that does not have districts, enter “000” in place of the district number. If your institution is outside the U.S., enter “00-000.”

The form has space for 300 sites. If your project includes additional locations, list them in a separate document. Save the document as a PDF named additionallocations.pdf and attach it under “Additional Locations.”

Research and Related Budget (Grants.gov form)
You must submit a project budget using the Research and Related Budget form included in the Grants.gov application package and attach a budget justification. Complete the SF-424 Application for Federal Assistance - Short Organizational form prior to completing your Research and Related Budget.

Complete a separate detailed budget for each 12-month span of the period of performance. The form will generate a cumulative budget.

The form indicates required fields in yellow. Round all costs to the nearest whole dollar. The inclusion of a cost category on the Research and Related Budget form does not automatically indicate that an expense is allowable in this program. See D6. Funding Restrictions to ensure that proposed costs are allowable.
Your budget must equal the total funding requested from NEH (federal matching funds) and the required third-party cost share for the federal matching funds. Refer to the NEH Federal Matching Funds Guidelines regarding the allowability of gifts for matching purposes.

The total federal matching funds and cost share should equal the amounts indicated on the Supplementary Cover Sheet for NEH Grant Programs in the “Federal Matching” and “Cost Sharing” fields. Learn about types of funds NEH offers.

If you provide voluntary cost share, do not include it on the Research and Related Budget form. You may describe it in your budget justification.

All costs, whether supported by NEH funds or required cost sharing contributions (if applicable), must be reasonable, necessary to accomplish project objectives, allowable in terms of 2 CFR 200 Subpart E - Cost Principles, auditable, and incurred during the period of performance. Costs are subject to audit, record retention, and other requirements set forth in 2 CFR 200 Subpart F - Audit Requirements.

You should include only your own employees under A. Senior/Key Person and B. Other Personnel. Include team members in leadership roles in the project under A. Senior/Key Person. Include other team members under B. Other Personnel. Include costs for non-employees under F3. Consultant Services or F5. Subawards/Consortium/Contractual Costs, as appropriate.

If you charge indirect costs to the project, you must not charge the same costs to the project as direct costs. See H. Indirect Costs.

Introductory Fields
Your SF-424 Application for Federal Assistance - Short Organizational form should pre-populate introductory fields. If not pre-populated, indicate your organization’s Unique Entity Identifier, name, and the period of performance. This should match the information you provide on your SF-424 Application for Federal Assistance - Short Organizational. Your period of performance must start on the first day of the month and end on the last day of the month. For budget type, check “project.”

A. Senior/Key Person
Include personnel who are employed by the applicant institution in leadership roles on the project. Do not include collaborators at other institutions or consultants, as you will include them in F. Other Direct Costs.

Enter the base salary (annual compensation) for each senior/key person and identify the number of months they will devote to the project. If you do not include base salaries, your award may be delayed.

Many non-academic institutions organize their budgets using calendar months. If your organization does not differentiate between academic and summer months, use only the calendar months column.

If your organization follows an academic calendar, you may differentiate levels of effort by using the academic and summer months columns. You may use both columns if your institutional policy requires separate accounting for academic and summer months. If your institution does not use a nine-month academic year and a three-month summer period, include your institution's definition of these terms in your budget justification.
Enter the requested salary and fringe benefits for each senior/key person. Per 2 CFR § 200.431, fringe benefits are allowances and services you provide in addition to salaries and wages. Fringe benefits may include the costs of leave (e.g., vacation, family-related, sick, or military), employee insurance, pensions, and unemployment benefit plans.

Requested salaries and wages must comply with 2 CFR §§ 200.430 and .466 and fringe benefits must comply with 2 CFR § 200.431.

The form will calculate the requested salary and fringe benefits for each key person.

The form has space for up to eight people. If you request funds for additional key personnel, list them in a separate document using the same format as the Research and Related Budget. Save the document as a PDF named additionalpersonnel.pdf and attach it under “Additional/Senior Key Persons.” If applicable, enter the total funds requested for additional senior/key persons in the "Total Funds requested for all Senior/Key Persons in the attached file" field.

**B. Other Personnel**

Include personnel employed by the applicant institution who will play a supporting role on the project. For each project role, identify the number of personnel proposed, the total number of months, total salary, and total fringe benefits requested as described in A. Senior/Key Person. The form will calculate the requested salary and fringe benefits for each group.

**Post-doctoral associates, graduate students, and undergraduate students**

For all post-doctoral associates, graduate students, and undergraduate students, individually list names, roles, associated months, and requested salary and fringe benefits in L. Budget Justification.

As a matter of programmatic policy, tuition remission is not allowed in this program.

**Administrative/Clerical**

In most circumstances, you should include the salaries of administrative, secretarial, or clerical staff as indirect costs (see H. Indirect Costs). Per 2 CFR § 200.413(c), you may charge salaries for administrative or clerical staff as direct costs only if they meet the following conditions:

- The administrative or clerical services are integral to a project or activity.
- The individuals can be specifically identified with the project or activity.
- You explicitly include these costs in your budget or have prior written approval from NEH.
- You do not recover the costs as indirect costs.

If you include administrative or clerical salaries in your budget as direct costs, describe how direct charging meets all four conditions in your budget justification. NEH may request additional information to assess if proposed costs are allowable.

**Additional Other Personnel**

List additional project roles, if applicable. The form has space for six named roles. If you have more, combine project roles on the form and explain in your budget justification.

Requested salaries and wages must comply with 2 CFR §§ 200.430 and .466 and fringe benefits must comply with 2 CFR § 200.431.
Do not list collaborators at other institutions or consultants here, as you will include them in F. Other Direct Costs.

**C. Equipment Description**

Equipment is nonexpendable personal property costing $5,000 or more per item and having a service life of more than one year (unless your organization has established a lower level). See 2 CFR §§ 200.313 and .439.

You may purchase equipment if analysis demonstrates that it is more economical and practical than leasing. You may charge depreciation in compliance with 2 CFR § 200.436. If you lease equipment, include equipment rental/user fees in F. Other Direct Costs.

Per 2 CFR § 200.322, you should preference goods, products, and materials produced in the United States purchased or acquired under a federal award. If NEH funds your project, you must include the requirements of this section in all subawards, contracts, and purchase orders for work or products.

List each item of equipment you plan to purchase and its estimated cost, including shipping and maintenance. Include supporting information, such as a price quote, in your budget justification.

The form has space for ten items. If you request funds for additional equipment, list them in a separate document. Save the document as a PDF named additionalequipment.pdf and attach it under “Additional Equipment.” Enter the total funds for the additional equipment in the “Total funds requested for all equipment listed in the attached file” field.

The form will calculate total equipment costs.

**D. Travel**

Enter the total funds you are requesting for both domestic travel (local and long-distance) and foreign travel (including travel to Canada and Mexico). Detail costs for each trip in your budget justification. **If you make non-refundable travel arrangements, it is at your own risk. You cannot charge cancelled travel to your award.**

Travel costs must comply with 2 CFR § 200.475 and the General Terms and Conditions for Awards to Organizations. NEH uses the General Services Administration’s published per diem rates to assess if proposed travel costs are reasonable.

You may not use NEH funds to attend regularly occurring professional meetings.

Include travel costs for participants in F3 and travel costs for consultants in F3.

The form will calculate total travel costs.

**E. Participant/Trainee Support Costs**

Participants are the beneficiaries, not the providers, of a service or training opportunity (such as a workshop, conference, seminar, or symposium) funded by a federal award.
2 CFR § 200.1 defines participant support costs as direct costs for expenses such as stipends, travel allowances, subsistence allowances, and registration fees paid to or on behalf of participants (but not employees) in connection with conferences or training projects.

2 CFR § 200.432 defines a conference as a meeting, retreat, seminar, symposium, workshop, or event, the primary purpose of which is the dissemination of technical information beyond the non-federal entity, and which is necessary and reasonable for successful performance under the federal award.

Include supporting information in your budget justification. If you or your participants make nonrefundable travel arrangements, it is at your own risk. You cannot charge cancelled travel to your award.

If you claim indirect costs, exclude participant support costs from the MTDC base. The form will calculate total participant support costs.

1. Tuition/Fees/Health Insurance
   Leave this field blank.

2. Stipends
   Enter the requested funds for participant stipends.

3. Travel
   Enter the requested funds for participant travel. In your budget justification, name the travelers (if known) and itemize their travel expenses (e.g., roundtrip airfare, mileage, public transportation fares, parking fees).

4. Subsistence
   Enter the requested funds for participant subsistence (e.g., lodging and service charges; meals, including taxes and tips; and incidental expenses).

5. Other
   Describe other participant support costs (e.g., local ground transportation to venues, admission fees) and enter the requested funds. Provide additional information in your budget justification.

Number of Participants/Trainees
   Enter the number of participants. This field cannot exceed 999.

F. Other Direct Costs
   The form will calculate total other direct costs.

1. Materials and Supplies
   Enter the requested funds for materials and supplies that cost less than $5,000 per item, regardless of its useful life, unless your organization has established a different capitalization level. See 2 CFR §§ 200.314 and .453.

2. Publication Costs
   Enter the requested funds for publication costs, including the costs of documenting, preparing, publishing, or otherwise making available to others the findings and products of the work conducted under the award. Include supporting information in your budget justification.
3. Consultant Services
Enter the requested funds for consultant services. If your project includes an external advisory committee, include associated costs here.

Consultant fees must comply with 2 CFR § 200.459.

4. Automated Data Processing (ADP)/Computer Services
Enter the requested funds for ADP/computer services, including computer-based retrieval of scientific, technical, and education information. Include personal computers and accessories under Materials and Supplies. If a third party will provide these services, include them in Subawards/Consortium/Contractual Costs.

5. Subawards/Consortium/Contractual Costs
Enter the total funds you are requesting (both direct and indirect costs) for activities third parties will perform.

Per 2 CFR §§ 200.1 and .331(a), a subaward is an award you issue to a subrecipient to carry out part of your federal award. Subawards do not include payments to contractors (as defined in 2 CFR §§ 200.1 and .331(b)) or payments to individuals who are beneficiaries of federal programs.

You may provide a subaward through any form of legal agreement, including an agreement you consider a contract. The substance of the relationship between a passthrough entity and a third party is more important than the form of the agreement when determining if the third-party functions as subrecipient or contractor. See 2 CFR § 200.331(c).

NEH may request additional information to assess if proposed costs are reasonable and allowable. See 2 CFR §§ 200.331 and .332.

If your budget includes subawards, you must submit a separate Research and Related budget and budget justification for each subrecipient. See Attachment 6: Subrecipient Budget(s).

If a subrecipient claims indirect costs, include its federally negotiated indirect cost rate agreement in Attachment 7: Federally negotiated indirect cost rate agreement. You do not have to include an agreement for any subrecipient that requests the 10% de minimis rate.

See F2. Administrative and National Policy Requirements for additional information on monitoring subrecipients’ performance. Learn more about managing subawards.

6. Equipment or Facility Rental/User Fees
Enter the requested funds for rental fees for equipment and facilities.

If you will use your own equipment and facilities, you may charge depreciation in compliance with 2 CFR § 200.436. Alternatively, if you will use equipment you purchased with federal funds under another award, you may charge user fees consistent with 2 CFR § 200.313(c)(2). You may not charge both depreciation and user fees.

If you will host a conference, you may rent facilities per 2 CFR § 200.432. If you rent facilities under a “less-than-arm’s-length” lease, you must comply with 2 CFR § 200.465(c).
Federally funded meetings and conferences must take place in properties that comply with the Hotel and Motel Fire Safety Act of 1990 (Pub. L. 101-391). Consult the U.S. Fire Administration’s National Master List for fire code compliant hotels.

7. Alterations and Renovations
Leave this line blank. Per 2 CFR § 200.462, costs you incur for ordinary and normal rearrangement and alteration of facilities are allowable as indirect costs.

8-10. Other
List items you have not included in other previous categories or in the indirect cost pool. “Other” project-specific costs may include fees for promotion, acquisition, rights, evaluation and assessment, and accessibility accommodations (e.g., audio description, sign-language interpretation, closed or open captioning, large-print brochures/labeling). “Miscellaneous” and “contingency” are not acceptable budget categories.

Per 2 CFR § 200.432, allowable conference costs include speakers’ fees, costs of meals and refreshments, and other incidental items. You must exercise discretion and judgment to ensure that costs are appropriate, necessary, and managed in a manner that minimizes costs to the award.

Food is only allowable when vendors are unavailable during the scheduled program. You may not use federal funds for meals or refreshments at receptions or networking events. If participants receive complimentary meals or refreshments during meetings, conferences, training, or other events while on NEH-supported travel, you must reduce the per diem you charge to the award. Per 2 CFR § 200.423, you may not use federal funds for alcoholic beverages.

G. Total Direct Costs
The form will calculate total direct costs.

H. Indirect Costs
Indirect costs are costs your institution incurs for common or joint objectives and that you cannot readily identify with a specific project or activity. Indirect costs include such expenses as the depreciation on buildings, equipment, and capital improvements; operations and maintenance expenses; accounting and legal services; and salaries of executive officers.

You do not have to claim indirect costs, but if you do, calculate the amount you may request by multiplying the applicable indirect cost rate by the distribution base, which is typically the project’s modified total direct costs (MTDC).

Per 2 CFR § 200.1, MTDC are direct salaries and wages, applicable fringe benefits, materials and supplies, services, travel, and up to the first $25,000 of each subaward (regardless of the period of performance of the subaward). MTDC exclude equipment, capital expenditures, rental costs, tuition remission, scholarships and fellowships, participant support costs, and the portion of each subaward over $25,000.

Review your institution’s negotiated indirect cost rate(s) to ensure you are using the most appropriate rate for your project. Many institutions of higher education negotiate multiple rates, such as “research,” “instruction,” and “other sponsored activities.” An institution’s “research” rate is not the appropriate rate for inclusion in NEH project budgets, except in rare cases, since
it is reserved for projects involving scientific research, not scholarly inquiry of the type most often supported by NEH.

Except as provided in 2 CFR § 200.414(c)(1), NEH must use the negotiated rate(s) in effect at the time it issues your award and will not adjust the rate(s) throughout the life of your award. NEH will not adjust your award amount due to changes to your negotiated rates.

If your institution does not have a negotiated rate with the federal government when NEH issues an award (because it is a new recipient or the parties cannot reach agreement on a rate), but has a provisional rate, NEH must use the provisional rate until a final cost is negotiated and approved by the cognizant agency, except as provided in 2 CFR § 200.414. If the recipient fails to negotiate an indirect cost rate applicable to the period of performance within the period of performance, NEH may disallow indirect costs.

If your organization does not have a federally negotiated indirect cost rate, you may:

- submit an indirect cost proposal to your cognizant federal agency to negotiate a rate within three months of your award’s period of performance start date; or
- charge a de minimis rate of 10% applicable to MTDC (see 2 CFR § 200.414(f))

If you choose one of these options, indicate this under **Indirect Cost Type**. If you do not choose either of the above options, you may only charge costs that are allocable, allowable, and reasonable to the award.

Include a copy of your federally negotiated indirect cost rate agreement, along with subrecipient agreements, as **Attachment 6: Federally negotiated indirect cost rate agreement**, if applicable.

Reference **NEH’s General Guidance on Calculating Indirect Costs** for more information.

If NEH is your cognizant agency, reference **Guidance for Negotiating an Indirect Cost Rate Agreement with NEH**.

**Indirect Cost Type**
Enter the type of indirect cost rate (e.g., “other sponsored activities,” “all programs,” “instruction,” “10% de minimis”) and base (e.g., “MTDC,” “salaries,” “salaries & fringe”) and whether the activity and rate are on- or off-site. If your budget includes more than one indirect cost rate or base, list them as separate entries (i.e., if your project includes activities that occur both on and off campus). If you do not have a current indirect rate agreement, but intend to negotiate one, write "None-will negotiate” and provide additional detail in your **budget justification**.

**Indirect Cost Rate (%)**
Enter the most recent indirect cost rate(s) established with your cognizant federal agency (or the 10% de minimis rate) as a number without special characters (i.e., 32.5).

**Indirect Cost Base ($)**
Enter the base for each indirect cost type. Describe any exclusions in your **budget justification**. If applicable, refer to your federally negotiated indirect cost rate agreement to determine how to calculate the indirect cost base.

**Funds Requested ($)**
Enter the requested funds for each indirect cost type.

**Total Indirect Costs**
The form will calculate total indirect costs.

**Cognizant Federal Agency**
Enter the name of your cognizant federal agency and a point of contact, if applicable.

**I. Total Direct and Indirect Costs**
The form will calculate total project costs. Total project costs should not exceed $1,000,000.

**J. Fee**
Leave this field blank.

**K. Total Costs and Fee**
The form will calculate this field, which will be the same amount as **I. Total Direct and Indirect Costs**.

**L. Budget Justification**
You must provide a budget justification to support your project. Specifically describe how each item supports your proposed objectives, detail how you calculated all costs, and provide supporting documentation. Organize your budget justification using the section headings on the Research and Related Budget.

Identify the expected source(s) of funding. See the [NEH Federal Matching Funds Guidelines](#) for information on allowable third-party non-federal gifts you can use for matching purposes. All third-party non-federal gifts must be raised and certified one year prior to the end of the period of performance.

Your requested federal matching funds and required cost share should correspond with the amounts on the [Supplementary Cover Sheet for NEH Grant Programs](#) in the “Federal Matching” and “Cost Sharing” fields, respectively.

Save the document as a PDF named justification.pdf. Attach only one file to the Research and Related Budget form. Do not use your budget justification to expand your narrative.

**A. Senior/Key Person: Budget Justification**
Detail the salary and wages you will pay to each Senior/Key Person. Provide their names and briefly describe their roles in and suitability to the project. Identify the fringe benefit rate and explain the base for each person. If your organization follows an academic calendar, explain any differences in compensation between academic and summer months.

**B. Other Personnel: Budget Justification**
List names (if known), roles, months, and requested salary and fringe benefits for other personnel, including post-doctoral associates, graduate students, undergraduate students, and administrative/clerical personnel.

If your budget includes administrative/clerical personnel, describe how they meet the [four conditions](#) for inclusion as a direct cost.

**C. Equipment Description: Budget Justification**
Detail the number and unit cost for each item and explain how you determined these figures. Provide vendor quotes or price lists, if applicable.

D. Travel: Budget Justification
For each trip, provide the name of the key personnel or employee(s) (if known), explain the purpose of the trip, and specify the points of origin and destination. Break out the costs of transportation, lodging, per diem, and any other associated expenses. Explain how you determined these figures. You must justify each trip separately, except for recurring local trips, which you may group together.

For local travel, include the mileage rate, number of miles, reason for travel, and staff members completing the travel. For long-distance travel, calculate per diem amounts for meals and lodging consistent with written institutional policy. You must use the lowest available commercial fares for coach or equivalent accommodations. **If you make nonrefundable travel arrangements, it is at your own risk. You cannot charge cancelled travel to your award.**

E. Participant/Trainee Support Costs: Budget Justification
Describe how you calculated participant stipends, travel, subsistence, and other costs. If possible, detail participant travel costs using the instructions above.

F. Other Direct Costs: Budget Justification
1. Materials and Supplies
   Indicate general categories (e.g., personal computers, digital cameras, archival supplies). Provide a total for each category. Itemize categories totaling $1,000 or more and provide vendor quotes or price lists, if applicable.

2. Publication Costs
   Indicate print runs and justify costs, including vendor quotes, if applicable.

3. Consultant Services
   Identify each consultant, describe the services they will perform, specify the amount of time they will devote to the project across the period of performance, outline travel costs, and provide total costs. If applicable, include consultants’ proposals.

4. Automated Data Processing (ADP)/Computer Services
   Itemize the cost for each service and include established service rates, if applicable.

5. Subawards/Consortium/Contractual Costs
   List the costs of project activities to be undertaken by third parties. Identify each third party by name, describe its role in the project, the activities it will carry out, and the associated costs. For each entry, designate the third party as either a subrecipient (who receives a subaward) or a contractor (who receives a contract).

   For each contractor, itemize costs using the same categories as the Research and Related Budget and provide relevant supporting documents.

   You will submit a Research and Related budget and budget justification for each subrecipient, which you will include in **Attachment 6: Subrecipient budget(s)**.

6. Equipment or Facility Rental/User Fees
Identify and justify each rental fee. Provide relevant supporting documentation.

7. Alterations and Renovations
Leave this blank.

8. Other Costs
Itemize, describe, and justify any other direct costs. Include supporting documentation. “Miscellaneous” and “contingency” are not acceptable budget categories.

H. Indirect Costs: Budget Justification
If you include indirect costs in your project budget, identify the rate(s), explain the base(s), and describe any exclusions.

Attachments Form (Grants.gov form)
You will upload your Attachments to Grants.gov using this form. The form accommodates up to fifteen attachments. Attachments must be in Portable Document Format (.pdf). Convert all non-PDF files (i.e., Word, Excel, images) to PDFs. Occasionally, converting a document to PDF may alter its size. Confirm that attachments are within mandatory page limits before you submit the application. Do not attach files that have been password-protected, encrypted, or digitally signed. You must remove all such security features before attaching your files. Failure to do so may result in your application being rejected.

If an attachment contains multiple documents, merge them into a single file, not a PDF portfolio. If you include a PDF portfolio, the file may be rejected by Grants.gov or eGMS.

Consult the Application Components Table to name and sequence your attachments so that NEH can easily identify them. Grants.gov may reject your application if:

- file names exceed 50 characters
- multiple files have the same name
- file names include characters other than the following: A-Z, a-z, 0-9, underscore, hyphen, space, period, parentheses, curly braces, square brackets, ampersand, tilde, exclamation point, comma, semicolon, apostrophe, at sign, number sign, dollar sign, percent sign, plus sign, and equal sign

Grants.gov may accept and validate your application even if you are missing required components or have formatted them incorrectly. You must ensure that you have formatted, attached, and submitted all required components correctly. If you have not, NEH may reject your application as incomplete or nonresponsive.

Learn about Adobe software compatibility with Grants.gov and ensure that you can use your version of Adobe Acrobat Reader to download, complete, and submit your application.

Certification Regarding Lobbying (conditionally required for recipients)
If you request more than $100,000, you must submit the Certification Regarding Lobbying before NEH issues your award. Add this form to your application package in Grants.gov and it will autofill based upon information provided on the SF-424 Application for Federal Assistance - Short Organizational.

Standard Form-LLL, “Disclosure of Lobbying Activities” (conditionally required for recipients)
If you have or will use non-federal funds for lobbying, you must submit Standard Form-LLL, “Disclosure of Lobbying Activities” before NEH issues your award. Add this form to your application package in Grants.gov. See 2 CFR § 200.450.

3. Unique Entity Identifier and System for Award Management

Before applying, your organization must register with the System for Award Management (SAM) and Grants.gov. Learn more about this multistep process.

NEH encourages organizations with SAM registrations to check the validation of their UEI well in advance of the deadline to ensure that they are accurate, current, and active. If your SAM registration is not active and current at the time of Grants.gov submission, NEH will reject your application.

Allow several weeks to register with SAM and Grants.gov. NEH will not waive the online submission requirement or extend the application deadline to allow additional time for you to complete registration with SAM or Grants.gov.

Login.gov

If you have not already done so, you must create a Login.gov user account to register and log in to SAM and Grants.gov. Login.gov is a secure sign-in service used by the public to sign in to participating government agencies. Create and link your account now.

System for Award Management (SAM)

Your organization must register with the System for Award Management (SAM) and maintain an active SAM registration with current information while you have an active federal award or a pending application with a federal agency. See 2 CFR § 25.110 for exceptions. SAM will assign your organization a Unique Entity Identifier.

When registering or renewing in SAM, the system will prompt you to review and agree to financial assistance certifications and representations, as required by 2 CFR § 200.209.

If your SAM registration is not active and current at the time an award is made, NEH may determine that you are not qualified to receive an award and use that determination as a basis for making an award to another applicant.

Check the status of your SAM.gov registration.

Contact the Federal Service Desk if you have questions.

Grants.gov

You must register with Grants.gov using your Login.gov credentials before applying. You must submit your application using Grants.gov Workspace or a Grants.gov system-to-system solution. Workspace is a shared, online environment where team members may simultaneously access and edit forms within a grant application.

After you register and create an Organizational Applicant Profile, Grants.gov will email your E-Business Point of Contact who can assign the appropriate roles to individuals within your organization. This includes the authorized organization representative (AOR), who will give
permission to the appropriate individuals to complete and submit applications on behalf of your organization.

If you have previously registered with Grants.gov, confirm that your registration is still active and that your AOR is current.

Contact Grants.gov Applicant Support or consult the Grants.gov Online User Guide and its library of instructional videos if you have questions.

4. Submission Dates and Times

Drafts
Program officers will review draft application materials submitted by June 26, 2024, at 11:59 p.m. Eastern Time. Program officers will not review late drafts.

Drafts should include the narrative, work plan, and preliminary budget justification, if available.

This optional review is not part of the formal selection process and has no bearing on the final funding decision. However, previous applicants have found it useful to strengthen their applications. If you choose to submit a draft, send it as an attachment to challenge@neh.gov.

Applications
The deadline for applications under this notice is August 6, 2024, at 11:59 p.m. Eastern Time.

Applications must be complete, comply with length and formatting requirements, and be validated by Grants.gov under the correct funding opportunity prior to the deadline to be considered under this notice. See the late submission policy for the limited situations when NEH might accept an application submitted after the deadline.

It is your responsibility to confirm that Grants.gov and subsequently NEH have received your application. Check your Grants.gov application status. eGMS, NEH’s online grant management system, will send you an automatically generated email upon receipt of your proposal.

When NEH receives your application, the agency will assign it a tracking number beginning with CHC-. A tracking number does not guarantee that your application is free of technical problems (such as missing attachments or failure to convert attachments to PDFs). If your files are not correctly formatted, eGMS will reject your application and notify you by email. eGMS cannot detect errors such as missing components or excess pages.

NEH recommends you submit your application at least 48 hours prior to the deadline so you have time to correct technical errors eGMS identified or you discover. It is your responsibility to correct errors prior to the deadline.

NEH will not comment on the status of your application except regarding matters of eligibility, completeness, and responsiveness.

5. Intergovernmental Review
This funding opportunity is not subject to intergovernmental review under Executive Order 12372.
6. Funding Restrictions
You may not use awards made under this notice for the following purposes:

- projects focused on graduate study in disciplines outside the humanities
- costs associated with attending or holding regularly occurring meetings of professional or scholarly organizations, societies, or institutions
- entertainment, social activities or receptions, ceremonies, and personal expenses
- promotional items, memorabilia, gifts, and souvenirs
- fellowships, scholarships, or tuition remission
- costs for activities performed by federal entities or personnel
- promotion of a particular political, religious, or ideological point of view
- advocacy of a particular program of social or political action
- support of specific public policies or legislation
- lobbying
- projects that fall outside of the humanities; the creation or performance of art; creative writing, autobiographies, memoirs, and creative nonfiction; policy studies; and social science research that does not address humanistic questions and/or utilize humanistic methods

Applications including unallowable costs or activities may be judged nonresponsive and returned without review.

See 2 CFR 200 Subpart E - Cost Principles for other unallowable costs.

E. Application Review Information

1. Review Criteria
Peer reviewers will use the following criteria to review applications under this notice:

**Intellectual rationale and significance** (aligns with “Intellectual rationale,” “Impact and Dissemination,” “Design, content, and implementation,” and “Project staff”)
The extent to which the proposal:

- addresses national issues and themes and topics of critical significance to graduate education in the humanities
- demonstrates a clear understanding of the relevant fields and broader environments (e.g., demographic, social, institutional, technological, and economic) that affect graduate humanities education
- demonstrates a thorough knowledge of graduate education in the humanities
- presents multiple viewpoints based on a clear understanding of the state of the field and the broader environment affecting faculty, students, and other professionals working in diverse institutional, geographic, educational, and demographic settings
- demonstrates a thorough understanding of how implementation of strategic actions will impact a diverse range of stakeholders, including students, educators, funders, and the general public
- presents a fully developed argument for the intellectual rationale and the objectives of the national convening in a clear, persuasive manner.
Design and feasibility (aligns with “Intellectual rationale,” “Design, content, and implementation,” “Project staff,” and “Institutional expertise and resources”)
The extent to which the proposal:

- demonstrates that the applicant institution and collaborating institutions and partners (if any) have relevant experience in designing and implementing gatherings of similar scope and reach and the necessary administrative infrastructure to plan and host the convening
- identifies a sufficient number of qualified key personnel with relevant experience, representing a range of institutional and organization types
- develops a coherent and detailed design and schedule for assembling a steering committee and working groups and for planning the convening, emphasizing major issues and objectives, such as the strengths and needs of the audience, project team (including the presenters), organizational partners, and host sites
- demonstrates an understanding of levels of engagement, pacing, and logistics, and includes related activities, such as a robust communication plan leading up to, during, and following the convening
- provides specific plans for recruitment and selection criteria for steering committee and working group members, foregrounding strategic collaborations with key stakeholders, who have or can build on national partnerships
- demonstrates cohesion in its format and schedule
- describes post-convening analysis and evaluation of topics of critical importance

Fundraising and budget (aligns with “Design, content, and implementation” and “Institutional expertise and resources”)
The extent to which the proposal:

- demonstrates a successful history of fundraising and/or feasible plan for raising the required third-party, nonfederal gifts
- includes a timeline and plan for fundraising that is realistic and aligned with the project schedule and goals
- provides an appropriate and reasonable budget and budget justification

Impact and dissemination (aligns with “Intellectual rationale” and “Impact and dissemination”)
The extent to which the proposal:

- describes plans for assessment and synthesis of ideas and topics presented by the steering committee, during and after the convening
- offers a compelling strategic vision for implementing changes within graduate humanities education
- demonstrates that the project will engage the proposed audiences of higher education professionals, national leaders, students, and the general public, including plans for publicizing the convening and resulting dissemination material
- demonstrates a methodology for assembling and evaluating key topics in graduate humanities education that reflects knowledge of current strategic initiatives in these areas
- develops robust plans for the creation, publication, and dissemination of the final report(s), actions, and priorities to a range of stakeholders
2. Review and Selection Process
NEH staff review all applications for eligibility, completeness, and responsiveness. The agency then conducts a peer review process for all applications that pass this initial screening.

Peer reviewers are experts in their fields with knowledge and expertise relevant to the activities that the program supports. NEH instructs peer reviewers to evaluate applications according to the review criteria in this notice. Peer reviewers must comply with federal ethics rules governing conflicts of interest.

NEH program officers supplement the peer reviewers’ comments to address matters of fact or significant points that the peer reviewers have overlooked. They then make funding recommendations to the National Council on the Humanities. The National Council meets at least twice each year to review applications and advise the NEH Chair. By law, the Chair has the sole authority to make final funding decisions.

Following NEH’s public announcement of funded projects, you may request copies of the peer reviewers’ evaluations of your proposal by contacting challenge@neh.gov.

Learn more about the NEH review process.

Apply to be a peer reviewer for NEH.

3. Assessment of Risk and Other Pre-Award Activities
Following the Chair’s initial selection of applications for support, the NEH Office of Grant Management (OGM) conducts a risk assessment for selected applications. OGM will consider the applicant’s past performance, if applicable; analyze the project budget; assess the applicant’s management systems; confirm the applicant’s continued eligibility; and evaluate compliance with public policy requirements. This assessment guards against the risk that federal financial assistance might be wasted, used fraudulently, or abused.

NEH will consider information about your organization that is in the Responsibility/Qualification data bank in SAM.gov (formerly Federal Awardee Performance and Integrity Information System (FAPIIS)). This database records the integrity and performance of entities applying for federal funding. NEH will review the Responsibility/Qualification database when assessing your organization’s integrity, business ethics, and record of performance under federal awards, as described in 2 CFR § 200.206. You may comment on information provided by federal awarding agencies about your organization. Per 2 CFR § 200.213, NEH will report determinations that your organization is not qualified to SAM.gov.

OGM may request that you submit additional programmatic or administrative information (such as an updated budget or supporting documentation) or undertake certain activities (such as negotiating an indirect cost rate) in anticipation of an award. Such requests do not guarantee that NEH will make an award.

After completing its risk assessment, NEH will determine whether making an award would be consistent with the agency’s risk management policy, whether it must impose any special terms and conditions, and what funding level is appropriate. NEH may elect not to issue awards to applicants with management or financial instability that affects their ability to comply with the terms and conditions of the award (2 CFR § 200.206).
Award decisions are discretionary and are not appealable to any federal official or board.

4. Anticipated Announcement and Award Dates
NEH will notify you of funding decisions by email in December 2024. This is not an authorization to begin performance or incur related costs.

F. Federal Award Administration Information

1. Federal Award Notices
If NEH selects your application for an award, the NEH Office of Grant Management will send award documents to the institutional grant administrator and project director through eGMS Reach beginning in January 2025.

Learn more about managing an NEH award.

2. Administrative and National Policy Requirements
Each award is subject to 2 CFR Part 200 Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, the General Terms and Conditions for Awards to Organizations (for grants and cooperative agreements issued January 1, 2022 or later), and any specific terms and conditions that NEH places on the award in the Notice of Action.

Debarment, suspension, ineligibility, and voluntary exclusion certification
By applying, you certify that neither your institution nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any federal department or agency.

You must comply with 2 CFR §§ 180.335 and .350 with respect to providing information regarding all debarment, suspension, and related offenses information, as applicable.

If you cannot attest to the statements in this certification, explain why not in Attachment 8: Explanation of delinquent federal debt.

Providing access to NEH-funded products
NEH strives to make the products of its awards available to the broadest possible audience by providing ready and easy access to its grant products to scholars, educators, students, and the American public. All other considerations being equal, NEH gives preference to projects that provide free access to the public.

You must comply with Section 504 of the Rehabilitation Act of 1973, which prohibits discrimination on the basis of disability in any program or activity receiving federal financial assistance. Consult Design for Accessibility: A Cultural Administrator’s Handbook.

Copyright information
Subject to applicable law, you may copyright work that you develop or acquire under an award. In accordance with 2 CFR § 200.315(b), NEH reserves a royalty-free, nonexclusive, and irrevocable right to reproduce, publish, or otherwise use the work for federal purposes, and to
authorize others to do so. NEH has typically exercised this right in consultation with recipients to publish excerpts of grant products in *Humanities* magazine or on its website.

**Protecting Personal Information**
If you collect personal identifiable information (PII) as part of your NEH-funded award, you are responsible for protecting and storing it. You must take all reasonable and appropriate actions to prevent inadvertent disclosure, release, or loss of PII. Consult [Protecting Personal Information | The National Endowment for the Humanities](https://www.neh.gov) for more guidance. NEH is not liable if a recipient incurs an inadvertent disclosure, release, loss, or data breach of PII.

**Consent for Survey Participation**
If your NEH-funded project includes surveys, you may not enroll a participant until you have obtained legally informed consent.

**Acknowledging NEH support**
Materials publicizing or products resulting from NEH-funded activities must contain an acknowledgment of NEH support. Consult [Acknowledgment and Publicity Requirements for NEH Awards](https://www.neh.gov) and [Publicizing Your Project](https://www.neh.gov) for guidance.

**Subrecipient monitoring requirements**
Per [2 CFR § 25.300](https://www.cfr.gov), you may only issue subawards with federal funds to organizations that have obtained and provided their Unique Entity Identifier. Subrecipients are not required to complete registration with the [System for Award Management (SAM)](https://www.sam.gov) to obtain a Unique Entity Identifier. See [D3. Unique Entity Identifier and System for Award Management](https://www.neh.gov).

You must monitor your subrecipients to ensure that they use their subawards for authorized purposes; comply with federal statutes, legislative requirements, regulations, and the terms and conditions of the subaward; and achieve their performance goals. You must ensure that your subrecipients track, appropriately use, and report program income generated by the subaward. See [2 CFR § 200.332](https://www.cfr.gov) for information that you must include in subaward agreements.

[Learn more about managing subawards.](https://www.neh.gov)

**Program income**
If your NEH-supported activities generate income during the period of performance, you must use it for additional approved project-related activities. See [2 CFR § 200.307](https://www.cfr.gov) for income that you generate after the period of performance.

**Eliminate waste, fraud, and abuse**
Help NEH eliminate fraud and improve management by reporting allegations or suspicions of waste, fraud, abuse, mismanagement, research misconduct (fabrication, falsification, plagiarism), or unnecessary government expenditures to the [NEH Office of the Inspector General](https://www.neh.gov).

**Termination**
3. Reporting
If you receive an award, you must complete required reports in eGMS Reach, the NEH online grant management system, unless otherwise instructed. NEH will provide further information in the Notice of Action.


2. Performance Progress Report(s). You must submit a performance progress report annually. The “Goals & Progress” section asks for a summary of your goals; a description of what you have achieved; and, if relevant, what tasks remain to complete the project. This section must align with the outcomes and outputs you identify in your application. When you complete the report, or at any time during or after the period of performance, you may also add the project results using the Products and Prizes tab in eGMS Reach.

3. Final Reports. You must submit a final Federal Financial Report (SF-425) and a final performance report within 120 calendar days after the period of performance ends. The final performance report collects information relevant to progress on program-specific goals; impact of the overall project; the degree to which you achieved the mission, goals, and strategies outlined in the approved application; your objectives and accomplishments; barriers encountered; and your overall experiences during the period of performance.

4. Other required reports and/or products. You must publish and disseminate a public report based on the findings of the steering committee, working groups, and national convening before the last day of your period of performance.

Learn more about performance reporting requirements and financial reporting requirements.

G. Agency Contacts
If you have questions about the program, contact:

Office of Challenge Programs
National Endowment for the Humanities
400 Seventh Street, SW
Washington, DC 20506
challenge@neh.gov
202-606-8309

If you have questions about administrative requirements or allowable costs, contact:

Office of Grant Management
National Endowment for the Humanities
400 Seventh Street, SW
Washington, DC 20506
202-606-8494
grantmanagement@neh.gov

If you are deaf or hard of hearing, you can contact NEH using telecommunications relay at 7-1-1.
If you have questions about registering or renewing your registration with Login.gov or SAM.gov, contact the Federal Service Desk, Monday – Friday, 8:00 a.m. to 8:00 p.m. Eastern Time, at:

**Federal Service Desk**  
U.S. calls: 866-606-8220  
International calls: +1 334-206-7828

For assistance in registering with or submitting your application through Grants.gov, contact:

**Grants.gov Applicant Support**  
U.S. calls: 1-800-518-4726  
International calls: +1-606-545-5035  
support@grants.gov

Always obtain a case number when calling for support.

**H. Other Information**

**Related funding opportunities**

The [Humanities Initiatives](https://www.neh.gov/about/state-humanities-councils) programs offered by the Division of Education Programs support projects that strengthen the teaching and study of the humanities at institutions of higher education by developing new humanities programs, resources (including those in digital format), or courses, or by enhancing existing ones.

You may also find related funding opportunities with your state or jurisdictional humanities council. As mandated by Congress, approximately 40% of the NEH’s program budget supports these councils, which play a critical role in supporting the NEH’s mission and goals at a local, grassroots level. Contact information for each council can be found here: [https://www.neh.gov/about/state-humanities-councils](https://www.neh.gov/about/state-humanities-councils).

**Privacy policy**

NEH solicits the information in this Notice of Funding Opportunity under the authority of the National Foundation on the Arts and Humanities Act of 1965, as amended, [20 U.S.C. 951, et seq.](https://www.neh.gov/about/privacy-policy). Disclosure of the information is voluntary. The principal purpose for which NEH will use the information is to process the application, which may include determining eligibility, evaluating the application, selecting recipients, and administering the award program. Panelists and other third parties may assist in the evaluation of applications, in which case NEH will take appropriate security measures with respect to the information provided to such individuals for review. NEH may also use or disclose the information it collects as required by law and for governmental purposes such as statistical research, analysis of trends, Congressional oversight, and the other routine uses set forth in the systems of records notice (“SORN”) published by NEH in the Federal Register. NEH ordinarily will not publicly disclose the contents of applications that NEH does not select for funding, except as set forth in the SORN. Failure to provide the information solicited in this Notice may result in rejection of the application.
Application completion time
The Office of Management and Budget requires federal agencies to supply information on the
time needed to complete forms and also to invite comments on the paperwork burden. NEH
estimates that on average it takes sixty hours to complete an application. This estimate includes
time for reviewing instructions; researching, gathering, and maintaining the information
needed; and completing and reviewing the application. Time needed may vary from program to
program.

You may send any comments regarding the estimated completion time or any other aspect of
this application, including suggestions for reducing the completion time, to the Chief Funding
Opportunity Officer at grantmanagement@neh.gov. According to the Paperwork Reduction Act
of 1995, no persons are required to respond to a collection of information unless it displays a
valid OMB number. The OMB Control Number for this Notice of Funding Opportunity is 3136-
0134, expiration date October 31, 2024.