

NATIONAL ENDOWMENT FOR THE HUMANITIES

### NOTICE OF FUNDING OPPORTUNITY

### Funding Opportunity Title: NEH-Mellon Fellowships for Digital Publication

Funding Opportunity Number: 20220420-FEL

Funding Opportunity Type: New

Federal Assistance Listing Number: 45.160

### Application Deadline: April 20, 2022

Ensure your Grants.gov registration and password are current. NEH will not grant deadline extensions for lack of registration.

Division of Research Programs Telephone: 202-606-8200 Email: <u>fellowships@neh.gov</u> Federal Relay: 800-877-8399

OMB control number 3136-0134, expiration date October 31, 2024

# **Executive Summary**

The National Endowment for the Humanities (NEH) Division of Research Programs is accepting applications for the NEH-Mellon Fellowships for Digital Publication program. The purpose of this program is to support individual scholars pursuing significant humanities projects that require digital expression and digital publication. NEH-Mellon Fellowships for Digital Publication provide recipients time to conduct research, prepare publications, and develop and incorporate multimedia components using existing technologies and platforms. Products must be published in digital form and include, but are not limited to, monographs, peer-reviewed articles, websites, virtual exhibitions, translations with annotations or a critical apparatus, and critical editions.

Funding Opportunity Title	NEH-Mellon Fellowships for Digital	
	Publication	
Funding Opportunity Number	20220420-FEL	
Federal Assistance Listing	45.160	
Optional Draft Deadline	February 28, 2022, 11:59 p.m. Eastern Time	
Application Deadlines	April 20, 2022, 11:59 p.m. Eastern Time	
Anticipated Announcements	December 2022	
Anticipated Funding	Approximately \$480,000	
Estimated Number and Type of Awards	Approximately 8 grants	
Award Amounts	\$30,000 to \$60,000	
Cost Sharing/Match Required	No	
Period of Performance	6-12 months, starting between January 1,	
	2023 and September 1, 2023.	
Eligible Applicants	Individuals	
	See <u>C. Eligibility Information</u> for additional	
	information.	
Program Resource Page	https://www.neh.gov/grants/research/neh-	
	mellon-fellowships-digital-publication	
Pre-Application Webinar	January 31, 2022 at 1:00 pm Eastern Time	
	Join the webinar.	
Published	December 21, 2021	

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# A. Program Description

### 1. Purpose

Through NEH-Mellon Fellowships for Digital Publication, the National Endowment for the Humanities and The Andrew W. Mellon Foundation jointly support competitive awards granted to individual scholars pursuing interpretive research projects that require digital expression and publication. To be considered under this opportunity, an applicant's plans for digital publication must be integral to the project's research goals. That is, the project must be conceived as a digital publication because the research topics being addressed and methods applied demand presentation beyond traditional print publication. Competitive submissions embody exceptional research, rigorous analysis, and clearly articulate a project's value to humanities scholars, general audiences, or both.

NEH-Mellon Fellowships for Digital Publication provide recipients time to conduct research and prepare digital publications. Successful projects will likely incorporate images, video, audio, manipulatable elements, and/or other multimedia materials or flexible reading pathways not possible in traditionally published books. Successful projects also typically have an active distribution plan. Anticipated products must be published in digital form and include, but are not limited to, monographs, peer-reviewed articles, websites, virtual exhibitions, translations with annotations or a critical apparatus, and critical editions. Projects may be at any stage of development.

NEH invites research applications from scholars in all disciplines of the humanities and related subfields of the social sciences, and it encourages submissions from independent scholars and junior scholars.

See <u>D6. Funding Restrictions</u> for unallowable activities.

See E1. Review Criteria for the criteria used to evaluate applications in this program.

### 2. Background

This program is authorized by <u>20 U.S.C. §956</u>, *et seq*. Awards are subject to <u>NEH Fellowships</u> and <u>Awards for Faculty Terms and Conditions</u>.

According to the <u>National Foundation on the Arts and the Humanities Act of 1965</u>, "The term 'humanities' includes, but is not limited to, the study of the following: language, both modern and classical; linguistics; literature; history; jurisprudence; philosophy; archaeology; comparative religion; ethics; the history, criticism and theory of the arts; those aspects of the social sciences which have humanistic content and employ humanistic methods; and the study and application of the humanities to the human environment with particular attention to reflecting our diverse heritage, traditions, and history and to the relevance of the humanities to the current conditions of national life."

Review examples of previously funded NEH-Mellon Fellowships for Digital Publication here.

Examples of NEH-funded projects may be found using the **<u>Funded Projects Query Form</u>**.

Learn more about NEH.

### **NEH Areas of Interest**

# A More Perfect Union: Exploring America's Story and Commemorating its 250th Anniversary

The task of building a more perfect Union rooted in the ideal of human equality falls to every generation of Americans, ours no less than our predecessors. The basic goals of life, liberty, and the pursuit of happiness endure over time, even as the challenges change: from founding a nation out of colonies; to dismantling the institution of slavery; to prevailing through times of economic depression and war; to advancing civil rights for all; to strengthening our democratic institutions; to building a more inclusive and sustainable society.

NEH's <u>A More Perfect Union</u> initiative encourages projects that explore, reflect on, and tell the stories of our quest for a more just, inclusive, and sustainable society throughout our history. NEH especially welcomes projects that bring the perspective of the humanities to questions of racial justice, gender equality, the evolution of the American landscape, as well as America's place in the world. Projects that strengthen Americans' knowledge of our principles of constitutional governance and democracy are strongly encouraged, as are projects that address the experiences of Native Americans and other under-represented communities. In addition, NEH welcomes projects that develop innovative approaches to sustaining the nation's humanities infrastructure and preserving its historical record.

# **B. Federal Award Information**

### 1. Type of Application and Award

NEH seeks new applications in response to this notice (as opposed to supplements or renewals). Previously rejected applications may be revised and resubmitted.

NEH will provide funding in the form of grants.

### 2. Summary of Funding

The period of performance is six to twelve months at a stipend of \$5,000 per month. The maximum award is \$60,000 for a twelve-month period of performance. The period of performance must be continuous and level of effort must be full time for the fellowship's duration.

Successful applicants will be awarded outright funds.

Your period of performance must begin between January 1, 2023, and September 1, 2023.

NEH expects to have approximately \$480,000 to fund an estimated eight recipients.

NEH will not determine the amount available until Congress enacts the final FY 2023 budget. This notice is subject to the availability of appropriated funds, and is a contingency action taken to ensure that, should funds become available for this purpose, applications can be processed and funds awarded in a timely manner.

# **C. Eligibility Information**

### 1. Eligible Applicants

The NEH-Mellon Fellowships for Digital Publication program accepts applications from individuals who meet the following requirements.

### Citizenship

U.S. citizens, whether they reside inside or outside the United States, are eligible to apply. Foreign nationals who have been living in the United States or its jurisdictions for at least the three years prior to the application deadline are also eligible. Foreign nationals who take up permanent residence outside the United States any time between the application deadline and the end of the period of performance will forfeit their eligibility to hold an award. Leaving the U.S. on a temporary basis is permitted.

### **Currently enrolled students**

While applicants need not have advanced degrees, individuals currently enrolled in a degree granting program are ineligible to apply. Applicants who have satisfied all the requirements for a degree and are awaiting its conferral are eligible, but such applicants must include a letter from the dean of the conferring school or the department chair attesting to their status as of the application deadline in <u>Attachment 6: Degree conferral</u>.

### 2. Cost Sharing

Cost sharing is not required in this program.

Cost sharing or matching is the portion of the project costs not paid by NEH funds. Cost sharing will not be considered in the evaluation of applications.

### 3. Other Eligibility Information

### **Concurrent Applications**

You may apply to only one of the following programs in a given federal fiscal year:

- <u>Fellowships</u>
- <u>Awards for Faculty at Hispanic-Serving Institutions</u>, <u>Historically Black Colleges and</u> <u>Universities</u>, and <u>Tribal Colleges and Universities</u>
- NEH-Mellon Fellowships for Digital Publication
- <u>Fellowships for Advanced Social Science Research on Japan</u>

However, you may compete concurrently in NEH-Mellon Fellowships for Digital Publication and the following programs for individuals in a given year:

- Public Scholars
- <u>Summer Stipends</u>
- Dynamic Language Infrastructure Documenting Endangered Languages Fellowships
- NEH-funded <u>Fellowships at Independent Research Institutions</u>

Each competition has distinct application requirements. Refer to the relevant Notice of Funding Opportunity.

### Projects previously supported by NEH

You may not apply for funding for a project previously supported by any of the following programs:

- <u>Fellowships</u>
- <u>NEH-Mellon Fellowships for Digital Publication</u>
- Public Scholars
- Awards for Faculty at Hispanic-Serving Institutions, Historically Black Colleges and Universities, and Tribal Colleges and Universities
- Dynamic Language Infrastructure Documenting Endangered Languages Fellowships
- Fellowships for Advanced Social Science Research on Japan

You may apply for funding for a project previously supported by a two-month NEH <u>Summer</u> <u>Stipend</u>. In such a case, NEH will review the accomplishments from the previous award to determine whether the project warrants additional funding. If the current application includes work proposed in the previously funded Summer Stipend, you must address this overlap in the work plan. NEH may support complimentary but not identical activities previously supported by a Summer Stipend.

### **Dissertation revisions**

You may seek funding for a project based on a completed dissertation. You must state in your narrative that the proposal is to revise a dissertation and explain how the new project moves beyond the original.

### **Concurrent grants from other organizations**

Recipients of NEH fellowships may simultaneously hold fellowships or grants from institutions other than NEH—including sabbaticals and grants from their own institutions—in support of the same project during their period of performance.

### **Collaborative projects**

The NEH-Mellon Fellowships for Digital Publication program is designed primarily for individual researchers. An award may not be divided among multiple collaborators. If you are seeking funding for more than one participant in a collaborative project, each person seeking funding must submit a separate application specifying his or her individual contribution. Peer reviewers will be asked to evaluate each application on its own merits. Each application should clearly explain how the work will be divided and the extent to which each collaborator's contribution depends on that of the other(s). Applicants who are seeking funding only for themselves but who are working as part of a collaborative team are also eligible. Collaborating scholars affiliated with or sponsored by an eligible institution may wish to apply to the <u>Collaborative Research</u> program.

### Organizations

Organizations are not eligible to apply on behalf of scholars. Fellowship awards may not be transferred to the applicant's institution. If an individual recipient elects to have the fellowship paid through their institution, all NEH funds must be remitted to the individual. The institution may not take an institutional allowance or claim indirect costs.

### **Other restrictions**

• You may not have multiple applications considered under this notice.

- You may not hold NEH individual awards (for example, an NEH-Mellon Fellowship and a Public Scholars award, or an NEH-Mellon Fellowship and a Summer Stipend) with overlapping periods of performance or in support of the same activities.
- If you accept an NEH-Mellon Fellowship, any active Summer Stipends application for the same project will be automatically withdrawn.
- Recipients may not work on a project supported by an NEH institutional award as either a project director or participant during the period of performance of an NEH individual award that is held full time.
- If you submit an application more than once prior to the application due date (including submitting to the wrong funding opportunity number or making corrections/updates), NEH will accept your last validated online submission, under the correct funding opportunity number, prior to the Grants.gov application due date as the final and only acceptable application.

NEH cannot delay the period of performance beyond the stated timelines of this NOFO to accommodate overlapping individual awards.

NEH does not make awards to other federal entities or to applicants whose projects are so closely intertwined with a federal entity that the project takes on characteristics of the federal entity's own authorized activities. This does not preclude applicants from using funds from, or sites and materials controlled by, other federal entities in their projects.

Except for the rare cases covered by NEH's <u>late submission policy</u>, applications must be received by the deadline. Incomplete, nonresponsive, or ineligible applications will not be considered for funding under this notice. Applications must adhere to specified page limits and formatting requirements or they will not be reviewed. See the <u>Application Components Table</u>.

# **D. Application and Submission Information**

### 1. Application Package

This funding opportunity is available in Grants.gov under number 20220420-FEL. There is also a link on the <u>program resource page</u>.

You must apply electronically using Grants.gov Workspace or a Grants.gov system-to-system solution. A multistep registration process is required to submit your application. See <u>D3.</u> <u>Grants.gov Registration Process</u>.

Once you have located the funding opportunity in Grants.gov, the application package can be found under the "Package" tab. It includes a series of required application forms. You will upload additional application components into the <u>Attachments Form</u>.

Contact <u>fellowships@neh.gov</u> to request a paper copy of this notice.

Applicants who are deaf or hard of hearing can contact NEH via Federal Relay at 800-877-8399.

### 2. Content and Form of Application Submission

Your application consists of a series of forms included in Grants.gov Workspace and other components that you will prepare and upload to the <u>Attachments Form</u>. The Application Components Table below will help you prepare a complete application. A budget is not required in this program.

Applications missing any required documents or conditionally required documents will be considered incomplete and will be rejected from further consideration.

Applications that exceed page limits or violate formatting instructions will be considered nonresponsive and will be rejected from further consideration.

# Do not include any other attachments other than those required or conditionally required in this notice. Doing so will result in NEH rejecting your application.

Unless otherwise stipulated in specific instructions, attachments must conform to the following formatting requirements:

- pages no larger than standard letter paper (8 <sup>1</sup>/<sub>2</sub>" x 11")
- at least one-inch margins on all sides for all pages
- a font size no smaller than 11-point
- single-spacing
- recommended fonts: Arial, Georgia, Helvetica, or Times New Roman
- any standard citation style is acceptable; citations are included in page counts

Grants.gov	Naming convention	Page	Notes
form/Attachment		limits	
SF-424 Application for Federal			Required
<u>Assistance - Individual</u>			
<b>NEH Supplemental Information</b>			Required
for Individuals Form			
Project/Performance Site(s)			Required
Location Form			
Attachments Form			Required
Attachment 1: Narrative	narrative.pdf	3 pages	Required
Attachment 2: Work plan	workplan.pdf	1 page	Required
Attachment 3: Bibliography	bibliography.pdf	1 page	Required
Attachment 4: Résumé	resume.pdf	2 pages	Required
Attachment <u>5</u> : Appendices	appendices.pdf	5 pages	Required
Attachment 6: Degree conferral	degreeconferral.pdf		Conditionally
			required
Attachment 7: Explanation of	delinquentdebt.pdf		Conditionally
<u>delinquent federal debt</u>			required

### **Application Component Table**

#### SF-424 Application for Federal Assistance – Individual

This form includes basic information about the applicant and the proposed project. Items **1**, **2**, and **4** will be automatically filled in; item **3** should be left blank.

#### 5a-d. Applicant Information

Provide your name, telephone number, email address, and mailing address. You must include an email address in the "Email" field, even though it is not highlighted as a required field. NEH will use this address to notify you of the outcome of your application. Provide your mailing address. Note: Your ZIP code must include the four-digit extension preceded by a hyphen. If you do not know your four-digit extension, use "-0000" (four zeros). Indicate your citizenship status with an "X."

Enter your Congressional district. To find the number of your Congressional district, type your ZIP code into the "<u>Find Your Representative</u>" tool on the U.S. House of Representatives website. If you do not have a Congressional district (that is, you are in a state or U.S. territory that does not have districts or you reside in a foreign country), enter a "O" (zero). If you live in one district but your institution is in another, provide the number for the district in which you live.

#### 6. Project Information

a. Project Title: Provide the title of your project. It should be brief (no more than 125 characters, including spaces). Successful applications typically have titles that are descriptive of the project and easily understood by the general public. Avoid using a title that requires clarification by the subtitle. When possible, include places and dates indicating a project's scope. NEH reserves the right to retitle funded projects for clarity when announcing its funding decisions and in its own reports and communications, but recipients are permitted to use their preferred title for any award products.

b. Project Description: Provide a brief description of your project. It should be written for a nonspecialist audience and clearly state the importance of the proposed work and its relation to larger issues in the humanities. This description will appear on your application cover sheet and will serve as a project abstract during the review process. Do not exceed one thousand characters, including spaces. If you exceed one thousand characters, including spaces, Grants.gov may reject your application or cut off your project description at the thousand-character limit.

c. Proposed Project: List the start and end dates for your project. Your period of performance must start on the first day of a month and end on the last day of a month. It must be between six and twelve months, and it must be full-time and continuous. Because award amounts depend on the number of months of work that you specify, the dates that you enter here will determine the maximum amount of your award. See <u>B2. Summary of Funding</u> for information regarding allowable periods of performance.

#### 7. Signature

By clicking on the "I Agree" box, you certify the following:

1) You are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in covered transactions by any federal department or agency, and have not—within the three years preceding the submission of this application—been convicted of or had a civil judgment rendered against you for commission of fraud or a criminal offense in connection with a public (federal, state, or local) transaction or a contract under a public transaction; for violation of federal or state antitrust statutes; for commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property; had any public transactions terminated for cause or default; and are not presently indicted for or otherwise criminally or civilly charged by a governmental entity with any of the preceding offenses. (See <u>2 CFR parts 180</u> and <u>3369</u>.)

2) You are not delinquent in the repayment of any federal debt or you are providing explanatory information about any delinquency. Examples of relevant debt include student loans, delinquent taxes, delinquent child support payments, and delinquent payroll taxes for household or other employees. See <u>OMB Circular A-129</u>.

3) You will comply with the <u>NEH Fellowships and Awards for Faculty Terms and Conditions</u>.

Click on the "Save" button at the top of the form to save your work and return to the main menu.

#### NEH Supplemental Information for Individuals Form

#### **Field of Project**

Using the drop-down menu, indicate the field(s) of your project. You may select one, two, or three fields. If you select more than one, list the primary field first. Program staff will take this information into account when assigning your application to a review panel.

#### **Project Director Field of Study**

Using the drop-down menu, choose the field of study that best describes your area of expertise.

#### **Address Information**

Indicate whether the address that you have given on the <u>Application for Federal Assistance SF</u> <u>424 - Individual</u> form is your home or work address.

#### **Institutional Affiliation**

If you are not affiliated with an institution of higher education, click "No" and continue to the Status section. If you are affiliated with an institution of higher education, complete the information for that institution. Applicants with an institutional affiliation must include their institution's Unique Entity Identifier (UEI), omitting hyphens. This number is generally provided by the institution's sponsored research office and it ensures that NEH identifies your institution correctly in the materials it provides to external reviewers.

#### Status

There is no expectation in this program that applicants be professional scholars. Nonetheless, for purposes of processing, indicate if you are a junior scholar or a senior scholar. Junior scholars are defined as those who are seven years or fewer beyond the day they received their terminal degree; senior scholars are defined as those who are more than seven years beyond the day that they received their terminal degree. Academic rank does not contribute to status.

#### **Reference Letters**

Provide the names, email addresses, and affiliations for your two references. Enter only one email address for each. If you provide two email addresses for a reference, NEH will be unable to contact him or her. Applicants are responsible for providing accurate email addresses. The NEH grants management system will use the addresses exactly as they have been entered on this form.

See <u>Section D7</u>. <u>Other Submission Requirements</u> for additional instructions on the submission of reference letters and confirmation of their receipt by NEH.

#### **Project/Performance Site Location(s) Form**

Provide the primary location and any other locations where the project activity will occur during the period of performance. You may include multiple performance sites. If you need to add more project/performance site locations than the form allows, enter the information in a separate file and add it to the "Additional Locations" section. Instructions for each requested data element may be viewed by positioning your cursor over the blank field.

#### **Attachments Form**

This form can accommodate up to fifteen attachments. Consult the <u>Application Components</u> <u>Table</u> to name and sequence your attachments in the proper order so that NEH can easily identify them.

**Attachments must be in Portable Document Format (.pdf)**. Reformat all non-PDF files (i.e., Word, Excel, images, etc.) as PDFs. If an attachment contains multiple documents, merge them into a single file. Do not attach portfolios containing multiple PDFs. NEH cannot accept security-enhanced PDFs (e.g., password-protection, encryption, digital signatures, etc.). Flatten these files before uploading to Grants.gov.

Visit the <u>Grants.gov Adobe Software Compatibility page</u> to verify the compatibility of your current software or to download the appropriate version. If you have a problem installing Adobe Reader, contact your system administrator.

Limit file names to 50 or fewer characters. Do not attach any documents with the same name. Each attachment should have a unique name. Use only the following UTF-8 characters when naming your attachments: A-Z, a-z, 0-9, underscore, hyphen, space, period, parenthesis, curly braces, square brackets, ampersand, tilde, exclamation point, comma, semicolon, apostrophe, at sign, number sign, dollar sign, percent sign, plus sign, and equal sign. If you do not follow these naming conventions, your application may be rejected.

Grants.gov may accept and validate your submission even if required components are missing or are improperly formatted. This may result in NEH rejecting your application as incomplete or nonresponsive. It is your responsibility to ensure that all required components are properly formatted, attached, and submitted.

# Occasionally, converting a document to PDF may alter its length. It is your responsibility to ensure that all attachments are within the stated page limits.

#### Attachment 1: Narrative (required)

Compose a narrative that provides a comprehensive description of your proposed project. Your narrative should be succinct, well organized, and free of technical terms and jargon so that peer reviewers can understand the proposed project.

You must limit the narrative to three single-spaced pages with one-inch margins and a font size no smaller than eleven points. Images, charts, diagrams, footnotes, and endnotes are allowed, if they fit within the three-page limit.

NEH has aligned each section with a primary corresponding review criterion, but note that the criteria can be relevant in more than one section and that, taken together, the parts of the narrative should form a coherent whole. Refer to <u>E1. Review Criteria</u>.

Use the following section headings, providing the information indicated.

Name the file narrative.pdf.

#### Significance and contribution (corresponds primarily to review criterion 1)

State the project's thesis or claim and provide an overview, explaining the basic ideas, problems, or questions examined by the study. Describe the intellectual significance of the proposed project, including its value to humanities scholars, general audiences, or both. Explain how the project will complement, challenge, or expand relevant existing studies in the field. Explain why

digital publication is essential to your project and how your scholarship will be presented to benefit the intended audiences.

# Organization, concepts, and methods (corresponds primarily to <u>review criterion</u> <u>2</u>)

Explain how your proposed research will help you resolve the problems or questions you are examining. Describe your argument's theoretical framework and explain how your proposed research will advance it. Explain your concepts and your terminology. Describe and discuss your sources.

Indicate the current state of the project and the part or stage of it that will be supported by the fellowship. Explain the digital methods and the reasons for choosing a particular technology, platform, or software. Where possible, provide an outline of the chapters or sections and the digital publication's design (see <u>Attachment 5: Appendices</u>). Identify any data your project will generate or collect and, if relevant, describe how you will address public access, privacy, confidentiality, security, intellectual property, and other rights or requirements.

For editions and translations, describe the project's scholarly apparatus (e.g., introduction, annotations, and paratextual material). If you are applying to translate a work into English and other English translations already exist, provide a rationale for a new translation. Applicants must also provide a rationale for projects resulting in works that will be written in a language other than English.

If you are proposing a dissertation revision, state this and explain the changes, revisions, and expansions you plan, and how they will improve the original work.

#### Competencies, skills, and access (corresponds primarily to review criterion 4)

Explain your competence or background in the area of your project. If the area of inquiry is new to you, explain your reasons for working in it and your qualifications to do so. Provide a self-assessment of your expertise in digital humanities and the technologies involved, and identify any institutional support for your project. If appropriate, specify the level of competence in any language needed for the study. Describe where the study will be conducted and what research materials will be used. If relevant, specify the arrangements for access to archives, collections, or institutions that contain the necessary resources.

#### Final product and dissemination (corresponds primarily to review criterion 5)

Describe the project's intended results and how it will benefit the intended audience(s). Briefly describe the steps you will take to ensure that the project results will be accessible to individuals with disabilities. Provide information on how your work will be evaluated prior to or after publication, such as through peer review. Explain how and where the digital publication will be accessed, when the publication will be available, and how it will be disseminated. If the project has a website, provide the URL. Publication contracts are not required, but if you are in discussions with a press, mention it here.

NEH expects that digital publications resulting from its awards will be maintained to ensure their long-term availability. To that end, describe how your project's digital publication will be sustained and supported beyond the period of performance, including for how long and by whom.

If the final publication will appear in a language other than English, explain how access and dissemination will be affected.

# Guidance for Narrative, Work plan, Bibliography, Résumé, and Apppendices

To ensure that you fully address the review criteria, this table provides a crosswalk between the application components and the review criteria.

Attachment 1: Narrative	Review Criteria
Significance and contribution	1
Organization, concepts and methods	2
Competencies, skills, and access	4
Final product and dissemination	5
Application Component	Review Criteria
Attachment 2: Work plan	3
Attachment 3: Bibliography	2
Attachment 4: Résumé	4
Attachment 5: Appendices	2

#### Attachment 2: Work plan (required)

Indicate the current state of the project and the part or stage of it that will be supported by the fellowship. Provide a work plan (in increments of three months or fewer) describing what will be accomplished during the period of performance, where you will be, and how you will spend your time. Your work plan must be based on a full-time commitment to the project; part-time work is not allowed. If you do not anticipate finishing the entire project during the period of performance, discuss your plan for doing so.

If you will rely on institutional support or other sources to assist your work, describe this and whether funding is in place. If you and another researcher are seeking funds for the same project, explain how the work will be divided and the extent to which each collaborator's contribution depends on that of the other.

Your work plan should not depend heavily on factors beyond your control, such as the receipt of outside reviewers' reports from a publisher. If you plan to submit a manuscript for publication before the end of the period of performance, explain what additional work will be required and why it merits support.

The work plan must not exceed one page. You may present this information in table form.

The work plan will be primarily considered under review criterion 3.

Name the file workplan.pdf.

#### Attachment 3: Bibliography (required)

Your bibliography should consist of primary and secondary sources that relate directly to the project. Include works that pertain to both the project's substance and its theoretical or methodological approaches to give a well-rounded representation of your project. Evaluators will use the bibliography to assess your knowledge of the subject area.

**The bibliography must not exceed a single page**. Any standard bibliographic format is acceptable. Items referenced in the narrative need not appear in the bibliography if the citation in the narrative enables readers to identify the work.

Your bibliography will be considered primarily under <u>review criterion 2</u>. Name the file bibliography.pdf.

#### Attachment 4: Résumé (required)

**Your résumé must not exceed two pages**. Do not submit a narrative biographical statement instead of a résumé. Include the following information:

- current and past positions
- education: list degrees, dates awarded, and titles of theses or dissertations
- awards and honors: include dates. If you have received prior support from NEH, indicate the dates of these awards and the products that resulted from them.
- publications: include full citations for publications and presentations
- other relevant professional activities and accomplishments
- level of competence in digital tools or methodologies, and if applicable, in any relevant foreign languages

Your résumé will be considered primarily under <u>review criterion 4</u>. Name the file resume.pdf.

#### **Attachment 5: Appendices (required)**

**Your appendices must not exceed five pages total** and include only the following items, as appropriate to your proposed project:

- All applicants should include one or more of the following: wireframes, a site map, or annotated screenshots of relevant (draft) pages and features of the project.
- If creating an edition or translation, provide a sample of the original text (one page) and the edited or translated version (one page). If you plan to include annotations, your sample of the edited or translated version should include examples.
- If including visual materials in proposed publication, provide a sample (one page) saved as a PDF (do not use .jpg or other common graphic formats).

#### Applications including any other appendices will not be reviewed.

If applicable, your appendices will be considered primarily under <u>review criterion 2</u>. Name the file appendices.pdf.

#### **Attachment 6: Degree conferral (conditionally required)**

If you have satisfied all the requirements for a degree and are awaiting its conferral, you must include a letter from the dean of the conferring school or the department chair attesting that you have satisfied all of the requirements for the degree by the application deadline.

Name the file degreeconferral.pdf.

# Attachment 7: Explanation of delinquent federal debt (conditionally required)

If you are delinquent in the repayment of any federal debt, provide explanatory information including evidence that you have entered into a repayment agreement with the Internal Revenue Service, and that you are current on all payments due. Examples of relevant debt include student loans, delinquent taxes, delinquent child support payments, and delinquent payroll taxes for household or other employees. See <u>OMB Circular A-129</u>.

Name the file delinquentdebt.pdf.

### 3. Grants.gov Registration Process

### Registering and creating an "individual applicant" profile

All applicants must submit their applications via Grants.gov Workspace.

If you are registering with Grants.gov for the first time, go to <u>https://apply07.grants.gov/apply/register.faces</u>.

Once you have registered at Grants.gov, you must add an "individual applicant" profile in order to submit an application to programs for individuals. Do this by clicking on the "My Account" link, then on "Manage Profiles" and "Add Profile." Refer to <u>Grant.gov's instructions for adding a profile</u>.

Only applicants whose Grants.gov accounts include an individual applicant profile will be able to submit applications for this program. If you have successfully created an individual applicant profile, the "Apply" button on the Grants.gov opportunity page will be red. Otherwise it will be grayed out.

When you create your "individual applicant" profile, Grants.gov may automatically fill in the box for the Unique Entity Identifier number with a default value. Do not change this number.

Applicants who have already registered at Grants.gov and created an "individual applicant" profile need not re-register to submit their proposals. However, we encourage you to check your account ahead of the deadline to confirm that it is still active and that your password has not expired.

If you have problems registering with Grants.gov or adding an "individual applicant" profile, contact Grants.gov Applicant Support at 1-800-518-4726 or <a href="mailto:support@grants.gov">support@grants.gov</a>.

Applicants who lose their username and password can request a reminder at <u>https://apply07.grants.gov/apply/login.faces?userType=applicant&cleanSession=1</u>.

### Additional registration information

Individuals do not need to register in the System for Award Management (SAM). Awards will not be processed for individuals with delinquent federal debt or for individuals who have been suspended, debarred, declared ineligible, or voluntarily excluded from eligibility for covered transactions by any federal department or agency. To see if you have delinquent federal debt or other exclusions recorded in SAM, go to the <u>SAM website</u>; click on "Entity Information" and then select "Exclusions" from the drop-down menu under "Search."

NEH may not make an award to an applicant until the applicant has complied with all applicable requirements and, if an applicant has not fully complied with the requirements by the time NEH is ready to make an award, NEH may determine that the applicant is not qualified to receive an award and use that determination as the basis for making an award to another applicant.

NEH strongly recommends that you complete your registration at least two weeks before the application deadline. If you fail to allow sufficient time to complete registration with Grants.gov, you will not be eligible for a deadline extension or waiver of the online submission requirement.

### 4. Submission Dates and Times

### Drafts

Applicants may submit a draft of the narrative (up to three pages), the work plan, and the appendices for staff review. Submit drafts by email to <u>fellowships@neh.gov</u>; put "NEH-Mellon Draft" in the subject line of the message. If you choose to submit a draft, you must do so no later than February 28, 2022. Program staff will not review drafts submitted after that date. Staff will read only one draft per individual per deadline. If you prepare a draft, use the format stipulated in the narrative, work plan, and appendices instructions. The comments provided by staff are not part of the formal review process and have no bearing on the evaluation of the application, but previous applicants have found them helpful in strengthening their applications. Applicants are not required to submit a draft.

### Applications

The due date for applications under this notice is April 20, 2022, at 11:59 p.m. Eastern Time.

# Applications must be complete and validated by Grants.gov under the correct funding opportunity prior to the deadline to be considered under this notice.

It is your responsibility to confirm that Grants.gov and subsequently NEH have received your application. <u>Check your application status</u>.

When NEH receives your application from Grants.gov, the agency will assign it a tracking number beginning with FEL-. A tracking number does not guarantee that the application is free of technical problems (such as missing attachments or failure to convert attachments to PDFs). If a technical problem is detected, NEH will notify you via email. It is your responsibility to correct any errors prior to the deadline.

Once you have applied, NEH will not comment on the status of your application except on issues of eligibility, completeness, and responsiveness.

### Letters of reference

Letters of reference should be submitted online no later than May 4, 2022, in order to be assured inclusion in the panel review. See <u>D7</u>. Other Submission Requirements.

### 5. Intergovernmental Review

This funding opportunity is not subject to intergovernmental review under <u>Executive Order</u> <u>12372</u>.

### 6. Funding Restrictions

Awards made under this notice may not be used for the following purposes:

- stand-alone video documentaries or podcasts
- stand-alone databases, digitization projects, and other projects that lack an interpretive argument
- research and writing leading to traditional print or e-book publications
- research by students enrolled in a degree program, including research for doctoral dissertations or theses
- the preparation or revision of textbooks
- curriculum development

- the development of teaching methods or theories
- the writing of books for children or young adults
- educational impact, language impact, or technical impact assessments
- inventories of collections
- the writing of guide books, how-to books, and self-help books
- promotion of a particular political, religious, or ideological point of view
- advocacy of a particular program of social or political action
- support of specific public policies or legislation
- lobbying
- projects that fall outside of the humanities and the humanistic social sciences (including the creation or performance of art; creative writing, autobiographies, memoirs, and creative nonfiction; and quantitative social science research or policy studies)

Awards in this program are made to individuals; indirect costs are unallowable. If an individual recipient elects to have the fellowship paid through their institution, all NEH funds must be remitted to the individual. Institutions may not take an allowance.

### 7. Other Submission Requirements

You should solicit two letters of reference. Provide the names, email addresses, and affiliations for your references on the <u>NEH Supplemental Information for Individuals Form</u>. Letters of reference are more highly regarded if they address the specific proposed activity and the candidate's ability to undertake it. Letters of reference are more highly regarded if they address the specific proposed activity and the candidate's ability to undertake it.

If you are proposing a translation, one of your letters must be from an expert in the language(s) of your project and should provide an assessment of the one-page sample translation that you are submitting with your application. Notify this reference that they should address the quality of the translation sample in their letter.

One to two weeks after the deadline, NEH will email your references, asking them to submit their letters. Letters should be submitted online not later than May 4, 2022.

You are responsible for ensuring that your references have received and responded to the NEH request and for providing them with relevant materials (such as a draft of the application). NEH will notify you by email when your letters of reference have been submitted. You may check the status of your reference letters by logging in to the <u>secure area of NEH's website</u>. Enter your application number and your Grants.gov tracking number. You will be able to see the names and email addresses of your references and whether their letters have arrived. If necessary, you may send them reminders (including the upload link) from this site.

If a reference letter is submitted late, it will be added to your application when it arrives, but it is possible that reviewers will not take it into account. If you find that you must change your references after the application deadline, you may do so by contacting program staff at <u>fellowships@neh.gov</u>.

Elected government officials, current NEH staff, and members of the <u>National Council on the</u> <u>Humanities</u> may not serve as letter writers.

Missing reference letters will not disqualify an application from review.

# **E. Application Review Information**

### 1. Review Criteria

Peer reviewers will use the following criteria to review applications in this program:

- 1. The intellectual significance of the proposed project, including its value to humanities scholars, general audiences, or both.
- 2. The quality of the conception, definition, organization, and description of the intellectual and digital aspects of the project and the applicant's clarity of expression.
- 3. The feasibility and appropriateness of the proposed work plan.
- 4. The quality or promise of quality of the applicant's work as an interpreter of the humanities.
- 5. The likelihood that the applicant will complete the project (not necessarily during the period of performance), including, when relevant, the soundness of the dissemination and access plans.

Each review criterion corresponds to specific sections of the narrative and the other application components. See <u>D2. Content and Form of Application Submission</u>.

### 2. Review and Selection Process

NEH staff review all applications for eligibility, completeness, and responsiveness, and then use a peer review process to evaluate all eligible and complete applications. Peer reviewers are experts in the field with relevant knowledge and expertise in the types of project activities identified in the applications. NEH staff instruct reviewers to evaluate applications according to the <u>review criteria</u> in this notice. Peer reviewers must comply with federal ethics and conflicts of interest requirements.

NEH staff comment on matters of fact or on significant issues that otherwise would be missing from peer reviews, then makes recommendations to the <u>National Council on the Humanities</u>. The National Council meets at least twice annually to advise the NEH Chair. The Chair considers the advice provided by the review process and, by law, makes all funding decisions. <u>Learn more about the NEH review process</u>.

### 3. Assessment of Risk and Other Pre-Award Activities

Applications that have received a favorable objective review are reviewed for other considerations that include past performance, as applicable; continued applicant eligibility; and compliance with any public policy requirements. You may be asked to submit additional information or to undertake certain activities in anticipation of an award. However, even at this point in the process, such requests do not guarantee that an award will be made. Following review of all applicable information, NEH's approving and grant management officials will determine whether an award can be made, if special conditions are required, and what level of funding is appropriate. Award decisions are discretionary and are not subject to appeal to any NEH official or board.

### 4. Anticipated Announcement and Award Dates

NEH will notify applicants of funding decisions by email in December 2022. This notification is not an authorization to begin performance or incur related costs. Successful applicants will be required to complete the NEH Fellowship Acceptance Form, from which the payment schedule will be determined. Applicants may request evaluations of their applications by emailing <u>fellowships@neh.gov</u>.

# F. Federal Award Administration Information

### 1. Federal Award Notices

The NEH Office of Grant Management will send offer letters to successful applicants via eGMS Reach as early as January 2023.

### 2. Administrative and National Policy Requirements

Awards are subject to the <u>NEH Fellowships and Awards for Faculty Terms and Conditions</u>, and the specific terms and conditions in the Notice of Action.

# Debarment, suspension, ineligibility, and voluntary exclusion certification

You must comply with <u>2 CFR §§180.335</u> and <u>.350</u> with respect to providing information regarding all debarment, suspension, and related offenses information, as applicable.

- 1) The prospective recipient certifies, by submission of this application, that he or she is not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any federal department or agency.
- 2) Failure to make required disclosures can result in any of the remedies described in <u>2 CFR</u> <u>§200.339</u>, including suspension or debarment. See also 2 CFR parts <u>180</u> and <u>3369</u>.
- 3) If the prospective recipient is unable to attest to the statements in this certification, an explanation must be included in <u>Attachment 7: Explanation of delinquent federal debt</u>.

### Providing access to NEH-funded products

As a taxpayer-supported federal agency, NEH endeavors to make the products of its awards available to the broadest possible audience. Our goal is for scholars, educators, students, and the American public to have ready and easy access to the wide range of NEH award products. All other considerations being equal, NEH gives preference to those that provide free access to the public.

### **Copyright information**

Recipients may copyright any work that is subject to copyright and was developed, or for which ownership was acquired, under an award. In accordance with <u>2 CFR §200.315(b)</u>, NEH reserves a royalty-free, nonexclusive, and irrevocable right to reproduce, publish, or otherwise use the work for federal purposes, and to authorize others to do so. NEH has typically exercised this right in consultation with recipients to publish an excerpt from resulting grant product(s) in <u>Humanities</u> magazine or on the NEH website.

### Acknowledging NEH support

All materials publicizing or products resulting from NEH-funded activities must contain an acknowledgment of NEH support. Consult <u>Acknowledgment and Publicity Requirements for</u> <u>NEH Awards</u> and <u>Publicizing Your Project</u> for guidance.

### **NEH Research Misconduct Policy**

In accordance with the Federal Policy on Research Misconduct, published in the December 6, 2000, edition of the Federal Register, 65 Fed. Reg. 76,260, the National Endowment for the Humanities has established procedures for handling allegations of research misconduct applicable to both internal and external research programs supported by NEH. This policy reflects NEH's interest in the accuracy and reliability of the research record and the processes

involved in its development. As defined in the Federal Policy on Research Misconduct, research includes all basic, applied, and demonstration research. Review the <u>NEH Research Misconduct</u> <u>Policy</u>.

### Eliminate waste, fraud, and abuse

Help NEH eliminate fraud and improve management by providing information about allegations or suspicions of waste, fraud, abuse, mismanagement, research misconduct (fabrication, falsification, plagiarism), or unnecessary government expenditures, during the period of award performance, to the <u>NEH Office of the Inspector General</u>.

### Termination

NEH reserves the right to terminate awards consistent with <u>2 CFR §200.340</u>.

### 3. Reporting

Recipients must submit a final performance report within 120 calendar days after the period of performance ends. The final performance report collects information relevant to the accomplishments during the period of performance; the products arising or anticipated to arise from the project; financial support apart from NEH sources; the project's impact; and acknowledgement of NEH support. The final reports must be submitted online in eGMS Reach. Further information will be provided in the Notice of Action.

Learn more about general <u>Performance Reporting Requirements</u> and the <u>reporting</u> requirements for <u>NEH Fellowship recipients</u>.

A final financial report is not required.

NEH encourages recipients to send copies of books resulting from research supported by NEH awards to the address below. In addition, recipients are asked to update the "Products and Prizes" tab in eGMS Reach for any publications or prizes resulting from NEH support.

## **G. Agency Contacts**

If you have questions about the program, contact:

Division of Research Programs National Endowment for the Humanities 400 Seventh Street, SW Washington, DC 20506 202-606-8200 <u>fellowships@neh.gov</u>

If you have questions about administrative requirements or allowable costs, contact:

Office of Grant Management National Endowment for the Humanities 400 Seventh Street, SW Washington, DC 20506 grantmanagement@neh.gov

Applicants who are deaf or hard of hearing can contact NEH via Federal Relay at 800-877-8399.

If you have questions about registering or renewing your registration with login.gov or SAM.gov, contact the Federal Service Desk, Monday – Friday, 8:00 a.m. to 8:00 p.m. Eastern Time, at:

<u>Federal Service Desk</u> U.S. calls: 866-606-8220 International calls: +1 334-206-7828

For assistance in registering with or submitting your application through Grants.gov, contact Grants.gov Applicant Support, 24 hours a day, 7 days a week, excluding federal holidays. at:

<u>Grants.gov Applicant Support</u> Telephone: 1-800-518-4726 International Calls: 606-545-5035 Email: <u>support@grants.gov</u>

Always obtain a case number when calling for support.

# **H. Other Information**

### **Related funding opportunities**

Applicants whose digital projects require collaboration from multiple scholars are encouraged to apply to the <u>Collaborative Research</u> program's Scholarly Digital Projects track.

Applicants seeking support for projects that will result in traditional printed products are encouraged to apply to the <u>Fellowships</u> program.

Applicants seeking support for a period of less than six months should consider the <u>Summer</u> <u>Stipends</u> program.

Applicants seeking support for book-length research projects intended for general audiences should consider the <u>Public Scholars</u> program.

Applicants affiliated with an eligible Hispanic-Serving Institution, Historically Black College or University, or Tribal College or University are eligible to apply to the Awards for Faculty at <u>HSIs</u>, <u>HBCUs</u>, and <u>TCUs</u> programs.

### **Privacy policy**

NEH solicits the information in this Notice of Funding Opportunity under the authority of the National Foundation on the Arts and Humanities Act of 1965, as amended, <u>20 U.S.C. 951</u>, *et seq*. Disclosure of the information is voluntary. The principal purpose for which NEH will use the information is to process the application, which may include determining eligibility, evaluating the application, selecting recipients, and administering the award program. Panelists and other third parties may assist in the evaluation of applications, in which case NEH will take appropriate security measures with respect to the information provided to such individuals for review. NEH may also use or disclose the information it collects as required by law and for governmental purposes such as statistical research, analysis of trends, Congressional oversight, and the other routine uses set forth in the systems of records notice ("SORN") published by NEH in the Federal Register. NEH ordinarily will not publicly disclose the contents of applications that NEH does not select for funding, except as set forth in the SORN. Failure to provide the information solicited in this Notice may result in rejection of the application.

### **Application completion time**

The Office of Management and Budget requires federal agencies to supply information on the time needed to complete forms and also to invite comments on the paperwork burden. NEH

estimates that on average it takes sixty hours to complete this application. This estimate includes time for reviewing instructions, researching, gathering, and maintaining the information needed, and completing and reviewing the application.

You may send any comments regarding the estimated completion time or any other aspect of this application, including suggestions for reducing the completion time, to the Chief Funding Opportunity Officer at grantmanagement@neh.gov. According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB number. The OMB Control Number for this Notice of Funding Opportunity is 3136-0134, expiration date October 31, 2024.