

Supplementary Cover Sheet for NEH Grant Programs

1. Project Director	Major Field of Study	<input type="text" value="Interdisciplinary: Gender Studies"/>
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2. Institution Information	Type	<input type="text" value="1330: University"/>
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3. Project Funding

Outright Funds	<input type="text" value="29,517.00"/>
Federal Match	<input type="text"/>
Total from NEH	<input type="text" value="29,517.00"/>
Cost Sharing	<input type="text"/>
Total Project Costs	<input type="text" value="29,517.00"/>

4. Application Information

Will this proposal be submitted to another NEH division, government agency, or private entity for funding? Yes No

If yes, please explain where and when:

Type of Application New Supplement

If supplement, list current grant number(s).

Primary project discipline

Secondary project discipline (optional)

Tertiary project discipline (optional)

2/29/2016

National Endowment for the Humanities

Subject: Arizona State University proposal no. FP00007231; Kitch

Enclosed for consideration is a proposal entitled, "The Humanities Laboratory: Discussions of New Campus Models." The University's Principal Investigator is Sally Kitch, of the Institute for Humanities Research. The project period of performance is 6/1/2016 – 5/31/2017 for a requested amount of \$29,517.

The proposal was prepared in accordance with Arizona State University, Sponsor program requirements, and Federal policies and guidelines. As such, the terms and conditions of a contract or agreement resulting from this proposal shall be subject to negotiation and mutual agreement of the Parties. Arizona State University will accept awards using the unmodified Federal Demonstration Partnership (FDP) subaward agreement forms. These forms can be found at http://sites.nationalacademies.org/PGA/fdp/PGA_063626. Arizona State University's DUNS number is (b) (4).

Arizona State University as a public institution of higher learning considers the nature of this research to be fundamental and meets the definition of NSDD 189 for the exemption to apply.

Any award resulting from this proposal should reflect the recipient as "Arizona Board of Regents for and on behalf of Arizona State University."

Your consideration of this proposal is appreciated. If questions arise regarding technical components of the ASU proposal please contact, Dr. Kitch at Sally.Kitch@asu.edu or 480/965-3000. If questions arise regarding budget, administrative, or award matters, please contact the undersigned at ASU.awards@asu.edu.

Thank you for your consideration.

Sincerely,



Lindsey Bosak | Grant & Contract Specialist
Office of Research & Sponsored Projects Administration | Proposals & Negotiations
Arizona State University | Office of Knowledge Enterprise Development | Operations

APPLICATION FOR FEDERAL DOMESTIC ASSISTANCE - Short Organizational	
* 1. NAME OF FEDERAL AGENCY: National Endowment for the Humanities	
2. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER: <input type="text"/>	
CFDA TITLE: <input type="text"/>	
* 3. DATE RECEIVED: <input style="border: 2px solid red;" type="text"/>	SYSTEM USE ONLY
* 4. FUNDING OPPORTUNITY NUMBER: <input type="text"/>	
* TITLE: The Humanities Laboratory: Discussions of New Campus Models	
5. APPLICANT INFORMATION	
* a. Legal Name: AZ Board of Regents on behalf of Arizona State University	
b. Address:	
* Street1: Department: ORSPA; Division: OKED P.O. Box 876011	Street2: <input type="text"/>
* City: Tempe	County/Parish: Maricopa
* State: AZ: Arizona	Province: <input type="text"/>
* Country: USA: UNITED STATES	* Zip/Postal Code: 85287-6011
c. Web Address: http:// <input type="text"/>	
* d. Type of Applicant: Select Applicant Type Code(s): H: Public/State Controlled Institution of Higher Edu Type of Applicant: <input type="text"/> Type of Applicant: <input type="text"/> * Other (specify): <input type="text"/>	* e. Employer/Taxpayer Identification Number (EIN/TIN): 86-01-96696 * f. Organizational DUNS: (b) (4) * g. Congressional District of Applicant: AZ-009
6. PROJECT INFORMATION	
* a. Project Title: The Humanities Laboratory: Discussions of New Campus Models	
* b. Project Description: In our stressful times, universities strive to be more effective in addressing the grand challenges and complex problems facing local, national, and international communities. Arizona State University's charter and mission statement make clear that we enthusiastically pursue that goal. To that end, ASU is among several universities in the U.S. and Europe dedicated to transforming arts and humanities research and curricular activities in newly designed experimental spaces as a crucial step in developing innovative approaches to such challenges and problems.	
c. Proposed Project: * Start Date: <input type="text" value="06/01/2016"/> * End Date: <input type="text" value="05/31/2017"/>	

APPLICATION FOR FEDERAL DOMESTIC ASSISTANCE - Short Organizational

7. PROJECT DIRECTOR

Prefix: Dr.	* First Name: Sally	Middle Name:
* Last Name: Kitch	Suffix:	
* Title: Director, Institute for Humanities Research	* Email: Sally.Kitch@asu.edu	
* Telephone Number: (480) 965-3000	Fax Number:	
* Street1: P.O. Box 876505 Arizona State University	Street2:	
* City: Tempe	County/Parish: Maricopa	
* State: AZ: Arizona	Province:	
* Country: USA: UNITED STATES	* Zip/Postal Code: 85287-6505	

8. PRIMARY CONTACT/GRANTS ADMINISTRATOR

<input type="checkbox"/> Same as Project Director (skip to item 9):		
Prefix:	* First Name: Lindsey	Middle Name:
* Last Name: Bosak	Suffix:	
* Title: Grant and Contract Officer	* Email: asu.awards@asu.edu	
* Telephone Number: 480/965-7874	Fax Number:	
* Street1: Department: ORSPA; Division: OKED P.O. Box 876011	Street2:	
* City: Tempe	County/Parish: Maricopa	
* State: AZ: Arizona	Province:	
* Country: USA: UNITED STATES	* Zip/Postal Code: 85287-6011	


APPLICATION FOR FEDERAL DOMESTIC ASSISTANCE - Short Organizational

9. * By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties (U.S. Code, Title 218, Section 1001)

** I Agree

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

AUTHORIZED REPRESENTATIVE

Prefix: <input type="text"/>	* First Name: <input type="text" value="Lindsey"/>	Middle Name: <input type="text"/>
* Last Name: <input type="text" value="Bosak"/>	Suffix: <input type="text"/>	
* Title: <input type="text" value="Grant and Contract Officer"/>	* Email: <input type="text" value="asu.awards@asu.edu"/>	
* Telephone Number: <input type="text" value="480/965-7874"/>	Fax Number: <input type="text"/>	
* Signature of Authorized Representative: 	* Date Signed: <input type="text" value="02/29/2016"/>	

Proposal for a National Conversation at the NEH

The Humanities Laboratory: Discussions of New Campus Models

Sally Kitch, Jim O'Donnell, George Justice, Steven Tepper, Michael Simeone, Xin Wei Sha

Arizona State University

In our stressful times, universities strive to be more effective in addressing the grand challenges and complex problems facing local, national, and international communities. Arizona State University's charter and mission statement make clear that we enthusiastically pursue that goal. To that end, ASU is among several universities in the U.S. and Europe dedicated to transforming arts and humanities research and curricular activities in newly designed experimental spaces as a crucial step in developing innovative approaches to such challenges and problems. These spaces encourage artists and humanists to interact with one another and with scientists, engineers, and social scientists in identifying problem thematics and constructing solutions to pressing problems, such as the multiple causes and consequences of climate change. These experimental spaces are known variously as labs, collaboratories, hubs, forums, or studios, and they typically include digital/computational humanities labs within or adjacent to them. Examples include Michigan State University's Center for Integrative Studies in the Arts and Humanities, the University of Pennsylvania's Penn Humanities Forum, the University of Michigan's Humanities Collaboratory, Stanford University's d.school, as well as Arizona State University's proposed Humanities Lab.

As representatives of the Humanities Lab project at ASU, we propose to convene a national conversation about the "lab" concept in Washington, D.C., currently scheduled for July 11-12, 2016, with NEH approval. (The event will kick off with an ASU sponsored dinner on July 10.) This conversation will be a catalyst for enhancing the significance and effectiveness of humanities/arts-based research, pedagogy, and social impact. Although the meeting will include representatives from existing humanities labs—we have commitments (depending on dates selected) from directors or faculty representatives at Michigan, MSU, Penn, and Stanford—the purpose of their participation is less to describe what they already do than to think together, along with design consultants and funding agency and foundation representatives, about how the lab concept can best be implemented to explore, disseminate, and utilize the contents and methodologies of the humanities more effectively and with greater social efficacy. (A digital archive or notebook of materials from each participating university will be available before the meeting to describe current practices.) We will also include representatives from the NSF, NIH, and the DOD, as well as the ACLS and possibly the Carnegie and Mellon Foundations. Participants will discuss what needs to change in the academy and what structures (both organizational and architectural/spatial) will do the most to create the

atmosphere and the mechanisms for enhancing the significance and effectiveness of humanities/arts-based research, pedagogy, and social impact. Funders will discuss existing and probable funding possibilities for the transdisciplinary, socially engaged humanities-centered work we envision and consider ideas presented in the discussion as agencies and foundations shape future funding priorities.

One overarching question for the discussion will be how to transform perceptions of the humanities and to leverage the contributions of the humanities into social and academic assets for public benefit. In short, we want to discuss how new experimental spaces can help the humanities become a platform for asking questions and developing research agendas across disciplines. Sub-questions include:

- How can research practices in the humanities become central to ongoing and new collaborations focused on grand social, political, economic, and environmental challenges and so-called wicked problems (challenges involving tangled disciplinary interests, multiple causes, and no single solutions)?
- How will new “lab” structures transform the overly simplistic and presentist “problem→solution” model that now defines many approaches to cultural and social challenges? In other words, how can humanities labs help society contextualize social and cultural challenges in global terms and in sufficiently broad time scales?

After the Washington meeting, we hope to secure additional funding to form a national blue-ribbon commission that will continue to explore and consult with humanities innovators across the country and develop humanities-based metrics for assessing various lab settings and their impact on the humanities and on the humanities’ significance to society. This committee, possibly called the Adams Humanities Innovation Commission, will allow the creative work generated at the meeting to continue through the sponsorship of the NEH. We will also seek support for preparing an on-line publication that synthesizes the meeting’s results and provides models for ways other universities might develop an impactful experimental space (or lab) within which to pursue innovative humanities/arts research and instruction. We would hope to update the publication on a regular schedule of iteration that will keep the document relevant.

Leadership Rationale

ASU’s core mission and recent identification as the most innovative university in the U.S make our Humanities Lab team appropriate hosts for this event. President Crow challenges us all to think of ASU as “the new American university” and to innovate fearlessly. That is the spirit animating the ASU Humanities Lab design and the proposed national conversation. ASU’s

leadership in this area is also appropriate because our Humanities Lab vision expands humanities experimentation beyond other universities' plans and practices. The Lab's ideas about physical setting and organization, its radical re-mix of disciplines and approaches, and its intention to attract the most venturesome and forward-looking humanities scholars and students at ASU and beyond promise to make it a model enterprise. The Lab's short-term goals are similar to those of other labs or humanities hubs: integrate humanities into other academic disciplines and initiatives across the university and attract interest and attention from scholars and the public beyond the normal constituencies of traditional humanities work. Its long term goals are more expansive, however. We expect that the Humanities Lab model will help to establish new standards for humanities research and pedagogy as well as to promote widespread recognition of the humanities as integral to identifying problem thematic and developing solutions, both inside and outside academia.

To achieve those long-term goals, we do not intend to replicate or imitate research methodologies employed by other fields, especially the sciences, although humanists can clearly learn much from those methodologies. Rather, we intend to develop an experimental approach to humanities and arts research and pedagogy that builds on salient characteristics of those fields and situates them as a resource for addressing compelling social and cultural questions and problems. So, even as the Lab offers artists and humanists the benefits of laboratory and design practices in other fields, including an emphasis on precise methodologies, transdisciplinary collaboration, coordinated research goals, and shared responsibility for outcomes, it will also develop, articulate, and utilize unique qualities of arts and humanities inquiry that are often omitted from traditional problem-solving methodologies and solution planning. Those qualities include design process, rapid prototyping, the construction of new expressive tools and creative approaches to measuring qualitative outcomes, and powerful methods for public engagement, as well as attention to language, representation, symbolic systems, context, meaning, subjectivity, and human diversity and affect.

In addition, we see the potential of the arts and humanities to supply both content and methodologies for discovering sometimes hidden themes and questions within identifiable problems (that may alter their definitions) and for synthesizing research results from many disciplines. We also plan to construct humanities-based metrics for gauging the success of problem solutions and their application by assessing what is often overlooked by conventional criteria. As stated in the initial proposal, we envision both research and curricular impacts from these innovative approaches.

Finally, ASU will be an appropriate leader for the national humanities lab conversation because the institution is well positioned to implement the most innovative designs that emerge from the discussion. Existing resources for advancing the ASU Humanities Lab’s experimental approach include the latest in digital humanities and computational informatics (such as data visualization, social media, text and network analysis, user experience design/architecture , and collaboration design), offered by the IHR’s Nexus Lab for Digital Humanities and Transdisciplinary Informatics. Existing resources for innovation also include several research centers at ASU, such as the Institute for Humanities Research, the Center for Science and the Imagination, and the Center for the Study of Religion and Conflict, as well as the School for Arts, Media and Engineering and the Herberger Institute for Design and the Arts. Resources still to be developed include experimental sites such as the Synthesis Center, which prototypes responsive environments and methods for experiential experiment, and a creative measurement lab. Because the ASU Humanities Lab will incorporate the core humanities collections from ASU’s main library, its assets also include creative reclassifications and displays of subject matter that will contribute to innovative transdisciplinary collaborations.

Proposed Agenda

First evening Welcoming dinner for presenters and out-of-town guests (funded by ASU Humanities)

Day 1

Morning session—

Welcome and presentation of ASU Humanities Lab goals; what is the problem or situation the lab idea is addressing? Review and discussion of guiding questions. (Presenters: Sally Kitch, Director, Institute for Humanities Research; Jim O’Donnell, University Librarian)

Presentations by university representatives from Michigan State, University of Michigan, Penn, and Stanford responding to this question: Having established what they have established, what do they now wish they could do? (Details about what their labs currently do will be available in digital or notebook form.) (Moderators: George Justice, Dean of Humanities, and Steven Tepper, Dean of the Herberger Institute for Design and the Arts)

Lunch

Afternoon session, Workshop 1—

Three groups (including university representatives and funding agency representatives) develop aspirations for the next iteration of a humanities lab and produce a prototype for it. (Groups will be facilitated by Prasad Boradkar, Director, Innovation Space, Professor of Industrial Design, ASU; Anne Balsamo, Dean, School of Media Studies, New School for Social Research; Michael Simeone, Director, Nexus Lab for Digital Humanities and Transdisciplinary Informatics, ASU; Xin Wei Sha, Director, Arts, Media, and Engineering, ASU)

Day 2

Morning session, Workshop 2—

Rearranged small groups will apply lab concepts and academic resources to a test case for transdisciplinary collaboration—the wicked problem of sustainability. They will develop a process for thinking through, formulating, and asking guiding questions and develop models and mechanisms for constructing research projects and curriculum that incorporate faculty, students, centers, the library, etc. They will design and allocate space for lab activities and consider the intersection between lab work, the rest of the university, and the public. (Moderator: Gary Dirks, Director, Julie Ann Wrigley Global Institute for Sustainability)

Lunch

Afternoon session—

All participants will meet together to discuss meeting results, lessons learned, and next steps. (Moderators: Sally Kitch and George Justice)

Budget Form

Applicant Institution: *Arizona State University*

Project Director: *Sally Kitch*

Project Grant Period:

		FY2016		FY2017		FY2018		Project Total
		1/01/16 - 12/31/16		1/01/17 - 12/31/17		1/01/18 - 12/31/18		
Travel								
9 travelers from Phoenix to Conference in DC	roundtrip airfare, \$4600; luggage fees, \$450; ground transportation \$405; lodging 3 nights, \$6102; per diem 4 days, \$2124.	9	\$13,681					\$13,681
2 travelers from Detroit to Conference in DC	roundtrip airfare, \$700; luggage fees, \$100; ground transportation \$165; lodging 3 nights, \$1356	2	\$2,321					\$2,321
1 traveler Philadelphia from to Conference in DC	roundtrip train \$40; ground transportation \$45; lodging 3 nights, \$678	1	\$763					\$763
1 traveler from San Francisco	roundtrip airfare, \$500; luggage fees, \$50; ground transportation to and from airport and in DC, \$105; lodging 3 nights, \$678;	1	\$1,333					\$1,333
1 traveler from New York City	roundtrip airfare, \$200; luggage fees, \$50; ground transportation in DC, \$45; lodging 3 nights, \$678	1	\$973					\$973
<i>Sub-Total</i>			\$19,071		\$0		\$0	\$19,071
Supplies & Materials								
								\$0
								\$0
<i>Sub-Total</i>			\$0		\$0		\$0	\$0
Services								
								\$0
								\$0
<i>Sub-Total</i>			\$0		\$0		\$0	\$0
Other Costs								
Travel Honoraria	5 participants from Detroit, Philadelphia, New York City, and San Francisco @ \$250 per person	5	\$1,250					\$1,250
Light Refreshments	Basic beverages and light refreshments during all day sessions for 2 full days @ 50 attendees	4	\$3,105					\$3,105
			\$4,355		\$0		\$0	\$4,355
Total Direct Costs								
			\$23,426		\$0		\$0	\$23,426
Total Indirect Costs								
	Indirect Cost Rate	26.00%	\$6,091	26.00%	\$0	26.00%	\$0	\$6,091
Total Project Costs		(Direct and Indirect costs for entire project)						\$29,517

Travel

Transportation & subsistence

Funding is requested to cover the costs associated with 14 individuals' travel to Washington, DC. Origin cities are Phoenix (9), Detroit (2), Philadelphia (1), New York (1) and San Francisco (1).

Subsistence costs (lodging and per diem) are based on lodging and meal reimbursement rates published in the state of Arizona Travel Policy. ASU participants will receive a per diem allowance of \$59 for four days rather than a travel honorarium.

Travel costs include roundtrip airfare or train fare, baggage fees, and ground transportation (\$25 for taxis to/from Reagan National airport or Union Station + \$20 for travel in DC for each person. For travelers from Ann Arbor, East Lansing, and Palo Alto - who require extra travel to/from their nearest large airport - additional airport shuttle funds are built into the request). Travel costs were estimated through travel websites, DC taxi fare calculators, and airport shuttle companies' websites.

Other Costs

Travel honoraria

Honoraria of \$250 per person are requested for the participants traveling from Detroit, Philadelphia, New York, and San Francisco.

Light refreshments

Light refreshments and basic beverages (including water, tea, and coffee) will be provided to participants in the day-long sessions. Due to the day-long, intensive nature of these meetings, conference participants will have a working lunch. Rates were calculated via Seasons Culinary Services, as recommended by NEH. This covers a light continental breakfast (\$7.65 per person), sandwich tray (\$13.60 per person), and beverage service (\$9.80 per person) for two days for fifty people (the target audience number identified by NEH).

Note: The Dean of Humanities at Arizona State University will provide funds for the welcome dinner for out-of-town guests on the first night.

Indirect Costs

An indirect costs rate of 26% (ASU's current federally-negotiated rate for off-campus, non-research activities) is applied to this request.

Project/Performance Site Location(s)

Project/Performance Site Primary Location

I am submitting an application as an individual, and not on behalf of a company, state, local or tribal government, academia, or other type of organization.

Organization Name:

DUNS Number:

* Street1:

Street2:

* City: County:

* State:

Province:

* Country:

* ZIP / Postal Code:

* Project/ Performance Site Congressional District:

Project/Performance Site Location 1

I am submitting an application as an individual, and not on behalf of a company, state, local or tribal government, academia, or other type of organization.

Organization Name:

DUNS Number:

* Street1:

Street2:

* City: County:

* State:

Province:

* Country:

* ZIP / Postal Code:

* Project/ Performance Site Congressional District:

Additional Location(s)