

CUNY Res. Fdn./ Queens College

RE-20885-90

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Editions Category

Statement of Significance and Impact of Project

Morris has enjoyed the popular reputation of being "the Financier of the American Revolution." He was in fact a Secretary of the Treasury in everything but title, first in a distinguished line of succession with Alexander Hamilton and Albert Gallatin in laying the republic's economic and financial foundations. A signer of the Declaration of Independence, the Articles of Confederation, and the United States Constitution, he was one of only two Founding Fathers whose name appears on all three fundamental testaments of the American Revolution; a powerful committee chairman in the Continental Congress; a founder of the American navy; a key figure in Pennsylvania politics; and the preeminent entrepreneur of his day. Yet of all the major leaders of the Revolution he is the least known for his accomplishments and his influence upon the founding institutions of the new nation. In large part this lack of understanding results from the fact that his papers (unlike those of Washington, Hamilton, Jefferson, Franklin, Madison, John Adams, and others less distinguished) have never previously been assembled nor an edition published.

Morris was the most powerful of the executive officers the Continental Congress appointed early in 1781. His activities extended to national and local politics, military and naval affairs, diplomacy, and nearly every other facet of government. His papers as Superintendent of Finance provide an illuminating day-by-day chronicle of the Office of Finance and its central position in the national government during the early years of the Articles of Confederation, the nation's first constitution. They depict a "War of Finance" in which the inability or unwillingness of either Great Britain or the United States to mobilize its resources could spell victory or defeat.

In the volumes of The Papers of Robert Morris the roots of important economic and financial issues can be traced back 200 years to the American Revolution. Discussion of financial policy and its relationship to economic development during the 1780's embraced many issues, including: the consequences of national debt; the relationship between borrowing and taxation; the effect of prevailing high interest rates; free trade and protectionism; the role of newly established banking institutions; the appropriate relationship between public and private interest; and the extent to which the economy should be subject to state and national regulation. This debate was carried on in the context of a concurrent dialogue about the distribution of political authority between the Federal and State governments. The Papers of Robert Morris demonstrate that the Superintendent of Finance was at the center of these debates and that his administration contributed significantly to the movement for the Federal Constitution of 1787 and presaged the Hamiltonian financial program of the 1790's.

To scholars, students, and the educated public, Morris's diary and correspondence is furnishing insights into the economic and financial dimensions of our national origins.

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NARRATIVE

Significance of the Edition and Overview of Volume

"The Derangement of our Money Affairs. The Enormity of our public Expenditures. The Confusion in all our Departments. The Languour of our general System. The complexity and consequent Inefficacy of our Operations. These are some, among the many, Reasons which have induced Congress to the Appointment of a Superintendant of Finance."

With these words--written on July 4, 1781, the troubled fifth anniversary of American independence--Robert Morris described the problems confronting him as head of the Treasury Department during the closing years of the War for Independence.

The Papers of Robert Morris, 1781-1784, portray Morris's untiring efforts as Superintendent of Finance to strengthen the central government under the Articles of Confederation and to define national priorities in light of national means. Working to stave off national bankruptcy, Morris strove to extract from a war-weary population funds sufficient to free the nation from dependence on foreign aid, largely from France, and to sustain the appearance of the strength and unity of the new nation in the hopes of securing a favorable and lasting peace. His primary objectives were to restore the confidence in government essential to the reestablishment of public credit and to vest powers in Congress adequate to deal with national issues. The Superintendent's policies posed important questions about the distribution of authority between the national and state governments under the Articles of Confederation, especially in matters of taxation and finance. They also

aroused widespread fears of a powerful, centralized Treasury Department and resurrected charges of conflict of interest that had been raised during Morris's earlier service in Congress when private and public business appeared to be intermingled. For his contemporaries, Morris's administration illustrated the difficulties of balancing the goals of national sovereignty and independence with the preservation of such revolutionary ideals as liberty, representative but limited government, local autonomy, and a virtuous republican social order.

Previously published volumes have documented more than half of Morris's administration as Superintendent of Finance and Agent of Marine. Subjects covered include the following:

1. His role in raising money and supplies for the campaign that ended in the defeat of Lord Cornwallis at Yorktown
2. His establishment of the Bank of North America, the nation's first bank, whose notes, supplemented by notes issued by Morris himself and backed with his own personal credit, provided a relatively stable currency after an era when "not worth a Continental" symbolized the state of credit of the newly founded United States
3. His efforts to found a mint and develop a national coinage
4. His initiation of contracting by competitive bidding to supply the Continental army
5. His role in obtaining foreign aid from France
6. His lobbying vigorously for ratification of the Continental impost of 1781 by the states
7. His part in undertaking the settlement of the public accounts of the Revolution, thereby laying the foundation for funding the national debt

8. His plan for reestablishing the American navy

9. His bold effort to mobilize the various public creditors and the army behind federal taxation and the strengthening of the central government.

The seventh and most recent volume (November 1, 1782-May 4, 1783) portrays Morris's continued quest for public credit within the context of the arrival of the long-awaited peace with Great Britain. With the failure of the tax revenues from congressional requisitions on the states to arrive on schedule, Morris's financial maneuvers become more desperate. Unable to repay the loans made by the Bank of North America (the first bank of the United States), he is forced to reassign the government's shares to the bank, thus turning it into a purely private institution. With his military contracts collapsing for want of sufficient specie, Morris has to develop new methods of financing these national obligations. Despite his desire to avoid further dependence on France, Morris is compelled to seek more foreign assistance and secures the permission of Congress to draw on French funds for more money than he knows to be available.

Morris also tries to cope with army grievances in the North and South. Having failed to secure ratification of the impost of 1781, he threatens resignation and seeks to use army discontent to pressure Congress and the states to give him additional support. His efforts culminate in the Newburgh Affair, in which Morris and his brilliant, cynical, peg-legged assistant, Gouverneur Morris, played significant roles. The Superintendent also attempts to provide the means to hold the army together until peace is officially declared, both to eliminate the danger of a last-ditch British offensive as well as to secure the

best possible peace terms by the appearance of strength and unity. Once news of the general peace arrives, Morris takes the first steps in his reemergence in private business. At volume's end, the Financier is still pressing the states for revenues but agrees to pay the disbanding army with his own "Morris's notes." In so doing, he commits himself to remaining in office to redeem these notes and cover his other financial obligations on behalf of Congress.

Volume 8, now in preparation, begins with May 5, 1783, the first working day after Morris agrees to continue as Superintendent of Finance following Congress's assurances of support. However, the mutiny of Continental troops in Philadelphia in June leads to the withdrawal of Congress from Philadelphia, which physically separates the Financier from Congress and effectively reduces his communication with, and influence on, that body. In the aftermath of peace Congress meets less frequently and often fails even to secure a quorum. Nevertheless, localist attacks on the Financier and on congressional power continue. Morris contrives to redeem the notes with which he paid the army upon its disbandment, but only by risking another huge overdraft on foreign loans. Both Robert and Gouverneur Morris turn their efforts to combatting foreign trade restrictions, penning eloquent arguments in support of the principles of free trade. They also begin to incorporate the commerce issue into their nationalist program for strengthening the union. As Agent of Marine, Morris supervises several controversial courts-martial and, despite his belief in the importance of a strong navy, presides over the virtual dismantlement of the American navy. In his private capacity, Morris becomes the principal backer of the first American commercial voyage to China.

Volume 9 (January 1-November 1, 1784) will see Morris fulfilling the last of his financial obligations to free himself for an honorable return to private life. His battle against foreign trade restrictions continues. With little business and less money at his disposal, Morris generates no new political initiatives, but resigns himself to waiting for the arrival of more propitious times, while furthering his career as an entrepreneur in the worlds of finance, commerce, and land development. He also cooperates with the directors of the Bank of North America to deter the establishment of a rival bank in Philadelphia at a time when Morris and his allies believe the economy could not yet sustain two competitive banks. His endeavors lead to the creation of one expanded bank incorporating shareholders of both banking groups and with procedures modified to meet major criticisms of the Bank of North America. These efforts presage Morris's later role as leader of the struggle against Pennsylvania's repeal of the charter of the Bank of North America. Both volumes 8 and 9 are invaluable in depicting the economic problems and opportunities of the new nation at war's end, and document the political weakness of the union that led to the calling of the Constitutional Convention.

To scholars, students, and the educated public, Morris's diary and correspondence is furnishing insights into the economic and financial dimensions of our national origins. "It is not too much to say," a distinguished reviewer of the first volume wrote in the Journal of American History, "that when this record is fully disclosed Alexander Hamilton will be seen standing in the long shadow cast by Robert Morris."

SALES FIGURES

As the attached table indicates, sales of volumes 4, 5, and 6 are holding steady at 500 to 600 copies. There are approximately 240 standing orders.

Print runs have been adjusted by the University of Pittsburgh Press in keeping with sales of the volumes:

Volume 1 (1973)	437 pages:	2,000 copies
Volume 2 (1975)	400 pages:	1,500
Volume 3 (1977)	557 pages:	1,200
Volume 4 (1978)	671 pages:	1,200
Volume 5 (1980)	649 pages:	1,000
Volume 6 (1984)	747 pages:	750
Volume 7 (1988)	912 pages:	750

UNIVERSITY OF PITTSBURGH PRESS

REPORT ON: PAPERS OF ROBERT MORRIS VOLUMES 1-7

SALES FIGURES ARE THRU MARCH 31, 1989

ROYALTY FIGURES ARE THRU 6/30/88

VOLUME	UNITS	SALES DOLLARS	ROYALTIES THRU FY 88
VOLUME 1	1450	\$11,477.95	(b) (6)
VOLUME 2	1085	\$10,959.23	(b) (6)
VOLUME 3	840	\$11,303.23	(b) (6)
VOLUME 4	581	\$11,739.63	(b) (6)
VOLUME 5	615	\$12,495.13	(b) (6)
VOLUME 6	577	\$17,082.25	(b) (6)
VOLUME 7*	434	\$17,345.00	(b) (6)

TOTALS	5,148	\$92,402.42	(b) (6)

*NOTE: These are estimated royalty figures, based on sales figures available as of 3/31/89. First royalties will be paid for for sales in fy 89.

DATE PREPARED
4/25/89 DYK

HISTORY AND DURATION OF THE PROJECT

Background

The Papers of Robert Morris project edits for publication the papers of 18th-century Financier Robert Morris (1734-1806) as Superintendent of Finance and Agent of Marine of the United States from 1781 to 1784 under the auspices of Queens College and the Research Foundation of the City University of New York. Seven volumes have already been published. Volume 7, the largest and most complex volume, appeared in February 1989. Work on volume 8 is well underway.

The Morris Edition is being published by the University of Pittsburgh Press in 9 volumes with a concluding microform supplement. This structure is in accordance with a plan developed in response to the NEH site visit in January 1982 at which time the edition was scaled down from eleven comprehensive volumes. Thus, in addition to the six volumes previously completed, only three additional selective volumes will be published. A tentative selection of documents to be included in volumes 8 and 9 has already been made, to be reviewed as work on these volumes progresses. At an estimated two to two-and-one half years per volume, the published series should be completed in 1992.

The microform supplement will consist of typescripts of documents omitted from volumes 7, 8, and 9. A cumulative index of both letterpress and microform documents is also planned. If it appears impracticable to publish the microform supplement at the same time as volume 9 as previously planned, the cumulative index and supplement could be published and marketed together. These supplementary activities should take an additional two years to complete.

Launched in 1968 by a \$30,000 grant from Donaldson, Lufkin & Jenrette, Inc., The Papers of Robert Morris project has received continuous support from the National Endowment for the Humanities since 1970, and from the National Historical Publications and Records Commission since 1976. Additionally, since 1982 the Morris Edition has received significant funding in the form of matching grants from private foundations and corporations.

Funding this request will bring completion of the editing and publication of The Papers of Robert Morris within sight. This proposal, therefore, asks for renewal funding to finish the production stages of volume 8 and to complete the manuscript for volume 9, the final volume in the series.

PROGRESS REPORT

Summary

The following chart outlines the status of work planned for the first year of the grant period July 1, 1988-June 30, 1989, as presented in our previous application.

PLANNED	COMPLETED (as of June 1)
<u>Volume 7</u>	
Publication	Done
<u>Volume 8</u>	
Preliminary annotation completed	Done, except for some work on headnotes; drafts of headnotes prepared; sent to readers for review
Second verification and final editing 2/3 completed,	Proofreading of all texts about 1/2 done; second verification of difficult texts completed
volume to be submitted to press for copyediting early in 1990.	
<u>Volume 9</u>	
First verification of documents one-third completed	Half completed
Preliminary annotation begun	About 10 percent done.

Overview of Editorial Schedule

Volumes 5 through 7 and part of volume 8 (April 1782-August 1783) comprise the most significant segment of Morris's papers as Superintendent of Finance. This documentation was not only the densest portion by volume (i.e., the number of documents per month), but contained the most significant subject matter of the series. The topics addressed were complex and intricately interrelated and required the most sustained efforts at textual and substantive annotation. We are now reaching the end of that group of documents. Moreover, by building on earlier annotation and becoming more selective on topics to be annotated, we will be able to reduce the amount of annotation as the series moves toward completion.

Editorial Progress

Remaining Work on Volume 7

Volume 7 was published in February 1989. Various efforts to publicize the volume are underway. Notices have been sent to various numismatic publications to inform that specialized audience of the relevant material in volumes 6 and 7. One notice was published in Coin World on March 29, 1989; another is to be published in The Asylum this summer. The editors have assisted the press with material to include in letters soliciting reviews by the relevant journals. A book party and reception for funders and others who have assisted in the publication of volume 7 was held on May 2 with support from Queens College and the University of Pittsburgh Press.

Volume 8

First verification is complete and preliminary annotation has been written and entered on diskette. However, major notes on such topics as

postwar commerce, Morris's overdrafts on the Dutch loan of 1782, the opening of the China trade, the Philadelphia mutiny of 1783 and the relocation of the nation's capital are still undergoing revision. To facilitate the final editing and second verification of volume 8, Ms. Morris keyed into the files all changes derived from the first verification of texts. Ms. Morris and Mr. Mack are proofreading all texts. A list of difficult texts requiring especially careful second verification was prepared and those documents were reverified by Dr. Dearmont, leaving only final checking and review to be done by Nuxoll in the course of her final editing. The formal process of final editing has been deferred to permit substantial work on headnotes and other major notes so that they may be sent to Professors Ver Steeg and Ferguson for review at an earlier stage of development than has heretofore been customary. Such simplifying of the task of final editing should cause it to proceed more quickly, and enable us to be at or near scheduled completion by the end of the year.

Volume 9 and Microfilm Supplement

The keyboarding of the documents planned for volume 9 and the microform supplement is complete. Research on the major topics appearing in this volume which carry over from previous volumes has been done. Our research assistant has completed the first verification of the Diary entries and reduced type documents for this volume and has begun work on the full type documents.

Fund raising.

The fund-raising process is always the wild card in the project's editorial schedule. The full \$30,000 in matching funds was raised toward the 1986-1988 NEH grant. All contributions were relatively

small: \$5,000 from the Aletta Morris McBean Charitable Trust (Mrs. McBean is a descendant of Gouverneur Morris) and from The Dillon Fund, \$3,000 from the Barra Foundation, the Sloan Foundation, and the Eva Gebhard-Gourgaud Foundation, \$2,500 from Dow Jones and Company, \$2,000 from the Littauer Foundation, and \$1,500 from the Florence J. Gould Foundation. Other funds were small personal contributions. The NEH award for the period July 1, 1988-June 30, 1990 also includes a \$30,000 matching component. We expect that this will also have to be raised in small sums, with considerable effort involved. So far \$7,430 has been raised through contributions from the Eva Gebhard-Gourgaud Foundation, The Lynde and Harry Bradley Foundation, and the McKenna Foundation. Having already raised over \$150,000 in private funds over the years, our project has already tapped all the most likely sources of support.

Because of its scholarly nature, the project is perceived as being one step removed from direct contact with the public, and thus frequently falls outside corporate foundation guidelines. Other foundations will not support basic research but will support educational activities. To enhance visibility and enable our project to demonstrate broader relevance and an educational mission to potential funding sources, the various outreach efforts described below have been undertaken.

Although this effort is being appropriately publicized, returns have not been dramatic. To the extent that the coeditors still must patch together small contributions, editorial progress--while remaining steady--will necessarily be slower than is desirable. The ideal would be to obtain far larger gifts that would permit the editors to fulfill the match in the most time-efficient manner possible. There is,

however, still no indication that such large donations can be expected. We therefore seek to keep the gift-and-matching portion of our budget at a moderate level.

The project's goal is to obtain the funds needed to retain a qualified staff roughly equivalent to three full-time editors and a part-time secretary. At this staff level, the editors are governing themselves by the recommendation made by the NEH site visitors in 1982 that the remaining selective volumes take about two-and-a-half years. At lower staff levels, at least three years per volume would be required.

Outreach Programs

Dr. Gallagher has been following up the editors' role in promoting a document-based Bicentennial essay content in 1987 with explorations of the feasibility of publishing documentary source books for class use. Dr. Nuxoll prepared a slide presentation on Robert Morris's career based largely on the illustrative materials obtained for use in our volumes and for the various Bicentennial exhibits that we put together for 1987-1988. The slides were used for a talk on April 2, 1989, at "Summerseat," an historical landmark house in Morrisville, Pennsylvania, once owned by Morris. The slides can be adapted for other public presentations or put to classroom use by various staff members.

Biographical and bibliographical sketches of both Robert and Gouverneur Morris prepared by Drs. Dearmont and Nuxoll appeared in The Guide to American Historical Biography, a major reference work published by Beacham Press late in 1988. Dr. Nuxoll's paper presented at the Naval History Symposium at Annapolis in September 1987 has been revised for publication in a volume of symposium papers scheduled for late 1989.

The paper was based on the naval documents published in our series; we have discovered that most naval historians were not aware that we were publishing Morris's documents as Agent of Marine as well as those as Superintendent of Finance. All the editors also are preparing sketches for a revised version of the Encyclopedia of the American Revolution.

We have also been helping writers who are preparing popular histories on subjects related to our volumes. We have conferred with one freelance writer working on a popular history of the capital, with a prominent numismatist revising his well known reference book on Colonial and Revolutionary paper money, and with an editor of Forbes Magazine interested in preparing a piece on Morris for that publication. All this should make the information we have so painstakingly acquired over the years available to a broader audience and facilitate the wider use of our materials.

The following table capsulizes project work planned for the second year of the current award, assuming sufficient funds are obtained to cover substantial employment of Dearmont:

July 1-December 31, 1989

Second verification and final editing of volume 8 completed by Nuxoll, except for headnotes and introduction

First verification of documents in volume 9 two-thirds completed by Gallagher and Dearmont

Preliminary annotation of volume 9 one-third to one-half completed by Gallagher and Dearmont

January 1-June 30, 1990

Final editing of volume 8 completed by Nuxoll

Submission of volume 8 to press for copyediting; review of copyedited manuscript by Nuxoll; changes keyed into machine-readable files; encoding of volume by Gallagher; volume submitted to press/typesetter

First verification of volume 9 nearly completed by Gallagher and Dearmont

Preliminary annotation of volume 9 carried to the 2/3 point by Gallagher, Nuxoll, and Dearmont

MORRIS PAPERS STAFF

Project Director/Coeditor	Elizabeth M. Nuxoll Full time
Coeditor	Mary A. Gallagher Full time for 11 months
Associate Editor	Nelson S. Dearmont Full time in January and in summer Part time at 20 hours per week during academic year
Assistant Editor	Kathleen H. Mullen Part time at 15 hours per week beginning July 1, 1990
Research Assistant	Christopher Mack; New student to be assigned as of September 1989 Part time during academic year Provided by CUNY Graduate Center
Grant Assistant	Gwendolyn L. Morris; New student to be assigned as of September 1989 Part time at an average of 16 hours per week Provided by Queens College

Project Staff

Professor E. James Ferguson, founder of the Papers of Robert Morris, retired as Director and Coeditor of the Morris Edition in the summer of 1980. At that time, John Catanzariti was charged with responsibility for directing the project. He resigned as editor and project director of The Papers of Robert Morris in 1986 in order to assume his new post as editor of The Papers of Thomas Jefferson. Kathleen Haslbauer Mullen, assistant editor, administrative assistant, and computer specialist for the project, also left in 1986 in order to take a new position at a firm specializing in artificial intelligence systems. She (b) (6) and has expressed her willingness to return to the project on a part-time basis (15 hours per week). Her return will relieve the other editors of some administrative tasks and provide valuable computer assistance in preparing the machine readable files and indexes for volumes 8 and 9.

Dr. Elizabeth M. Nuxoll now serves full time as project director and coeditor of The Papers of Robert Morris. Coeditor Mary Gallagher has usually been employed on a full time basis for ten months per year, but is now available for eleven months per year. Dr. Nelson S. Dearmont, Associate Editor, has been employed full time during the summer months and part time (20 hours per week) during the academic year. He is now available for full time employment for 4 months per year and we have revised our budget to accomodate this schedule. Gwendolyn L. Morris, an honor student at Queens College, has worked as part-time keyboarder, clerical assistant and editorial assistant for 16 hours per week. She will be graduating in June and will begin full-time employment elsewhere. Mullen will take over some of her tasks and a new

student aide will begin work in September for 5-10 hours per week.

Christopher Mack, a student at the CUNY Graduate Center, was assigned as Research Assistant to the project, working for 20 hours per month. He undertakes research at the New York Public Library, proofreads, and does preliminary verification of the Morris Diary and other less complicated documents in the project office. He will become ineligible for reassignment in the fall, when a new graduate student will be appointed by the History department at the Graduate Center. Thus, there will be some staff retraining to be done over the course of the next academic year. Overall, the staff has been reduced by about 20-25% from its period of peak strength. This situation was partly mitigated by Catanzariti's continued (uncompensated) involvement with volume 7; no comparable assistance can be expected from him for volumes 8 and 9.

Clarence L. Ver Steeg, Professor of History at Northwestern University, continues to serve as Editorial Advisor. Both he and E. James Ferguson, now Editor Emeritus of the Morris Papers and Professor of History Emeritus at Queens College, continue to read and comment on manuscripts before they go to press.

As project director, Nuxoll carries ultimate responsibility for administering the project and for fund raising. As coeditor, she will compose preliminary annotation of certain major topics, review the second verification of the texts and do the final editing of the annotation for volumes 8 and 9. She will also prepare new subject entries for the remaining indexes and edit them in conjunction with the rest of the staff.

Gallagher is responsible for advancing preliminary annotation of volumes 8 and 9. She will also compose the name and standard subject

indexes, assist in editing the indexes, and, along with Mullen, have responsibility for encoding the files so that all remaining volumes will be submitted to the printer in machine-readable form. Gallagher also assists in administration and fund raising.

Dearmont participates in the verification and annotation of texts for volume 8 and 9. Dearmont will divide his time between annotation and verification to enable him to move either task forward as the schedule requires.

Many of the clerical, typing, and word processing tasks previously undertaken by Mullen are now done by a part-time keyboarder and clerical assistant. This student aide also has worked with the research assistant proofreading the verified texts. Queens College has assumed the cost of the aide's salary to reduce the necessity to raise private funds.

A graduate research assistant has been committed without cost to the project by the CUNY Graduate Center as part of its graduate assistantship program. This student undertook research under the editors' direction in off-site repositories. The research assistant also has participated in proofreading and in the first verification of simple texts in the office .

PROJECT METHODOLOGY

The project has assembled some 10,500 Morris documents in photocopied form, three-quarters of which fall within the period 1781-1784. Only a tiny portion of the extant Morris documents for the years prior to 1781 and after 1784 was collected. We accessioned the documents according to a system established by Julian P. Boyd, then editor of *The Papers of Thomas Jefferson*. Each document was filed in a jacket on which was recorded the date, correspondents, accession number, number of pages, and repository and collection. A card with the same information was then prepared in triplicate (sometimes in additional copies depending on the number of correspondents) and filed by date, recipient, and accession number. Each Diary entry was separately accessioned but given only a date card. Another file listed accession numbers by repository. The accessioned photocopies were then filed in chronological order. During the collection process we also acquired and accessioned neither-nor items (documents that were neither written to nor by Robert Morris but which throw light on him and the activities of the Office of Finance) and prepared a card file of all such documents arranged by correspondents. A very large and important collection of such neither-nor material was also gathered on microfilm. Comprehensive staff searches also resulted in files listing Morris documents that had been previously printed and sold by autograph dealers. Two other card files compiled from the Morris documents for 1781-1784 were also begun. One of these became the names of people and ships; it has been completed. The other, indexing important subjects, is still in process. Both files have proved to be indispensable for annotation. The

collection process terminated for all practical purposes in 1972; since that time few new Morris manuscripts within the chronological scope of our publication have come to our attention. We do, however, continue to search for neither-nor material as the editorial process continues.

The Diary, the daily record of Morris's transactions in the Office of Finance, is the organizational basis of the 5,900 or so documents scheduled to be published in the series. Each day's Diary entry is followed by Morris's letters and other official papers of the Office of Finance for that day and by letters addressed to, although not necessarily received by, Morris on that date. In the first six volumes Morris's private and business correspondence, which is not plentiful for the years 1781-1784, was omitted unless it shed light on the operations of the Office of Finance. Because the current volumes document Morris's transition to private life at war's end, some significant private documents will be published in volumes 7-9, though most private documents will probably appear in the microform supplement. Noteworthy correspondence and papers of the Financier's assistant, Gouverneur Morris, which often give a more colorful and "inside" glimpse into the Office of Finance than the Superintendent's businesslike letters, are also published, as is the official correspondence handled by Morris's secretaries, usually in an abbreviated format.

Between 1968 and 1970 typed transcriptions of some of the documents for 1781-1784 were made while the initial collecting proceeded, and since then transcriptions have been made of much of the remaining material for those years. Grouped by day and placed in looseleaf notebooks in chronological order, the typescripts are available for the editors' verification and annotation. However, as noted in the section

on computerization, transcriptions have already been keyboarded for the documents scheduled for volumes 7 to 9 and the microfilm supplement, and all the remaining volumes will be compiled on word processors and submitted to the press in machine-readable form.

The verification process is now largely the responsibility of Dearmont and the research assistant. The verification editors examine minutely the texts of documents, establishes their authorship, selects the master text of each document, verifies the typescript against the manuscript, and collates different versions of the same text.

The process begins for each volume with the arrangement of the first day's documents into the preestablished order. The editor then examines the documents in sequence for anything (date, handwriting, provenance, for example) that would lead to questions about authenticity. In most cases authorship is routinely established. In questionable cases, comparison is made with other known texts of similar authorship and correspondence is undertaken with the repository from which the document was received. Any evidence shed on the problem by other Morris documents is evaluated. Whatever the decision in these cases, the results are presented in a textual footnote.

When variant texts exist for any one document, even when authorship presents no unusual problems, the editor must assign priorities among the texts and categorize each as to type (e.g., ALS, LS, copy). Apart from the writing of Morris's clerks, which can be recognized although not ascribed with precision, we try to identify the handwriting from samples we have compiled over the years and those provided by documents in the microfilm edition of the Papers of the Continental Congress. When these prove inadequate or a decisive ascription cannot be made, we

rely heavily on the advice of manuscript curators and specialists at the repositories.

After selecting the most authoritative (or master) text, the editor verifies the transcript against the manuscript, following guidelines that appear in the frontmatter to each volume. Sometimes our photocopy is incomplete, illegible, or has reproduced alterations made on the manuscript by earlier custodians; in such cases, correspondence or a personal visit to the repository is required. Having established a verified master text, the editor then collates the variant texts and prepares footnotes identifying the most significant variations. The address and endorsement (when present), the manuscript identification note that follows each document (with the exception of Diary entries), and any textual notes are printed on green bond paper and placed immediately following the verified typescript. This process is repeated for each document in the volume and, in volumes averaging between 600 and 700 documents, takes approximately twelve months. Statements of our Editorial Method and Editorial Apparatus (except for the list of short titles) together with samples of original documents in order to show how they were edited for publication in volume 7 appear below.

Two other types of documents require special processing. Some of the letters Morris exchanged with American ministers and other correspondents abroad were written in cipher. If the master text is in undeciphered code, the editor deciphers the text using the key to the code in question (when available) and this deciphered text becomes the published text; if the master text has been deciphered by the recipient, that decipherment usually becomes the published text. In both cases, textual discrepancies are taken up in footnotes. Gallagher also makes

transcriptions and translations of foreign language documents (e.g., the important correspondence with Chevalier de La Luzerne, French minister at Philadelphia, and letters exchanged with various Spanish officials). After review by two professors in the Department of Romance Languages at Queens College, both transcriptions and translations are published.

Preparation of the preliminary annotation for each document has been the chief responsibility of Nuxoll. However, because of her responsibility for final editing, for the future she will retain only certain major topics, while preliminary annotation of the rest will be undertaken by Gallagher, or by other part-time staff members. Before the preliminary annotation for a volume is begun, Nuxoll reads through the entire volume to get an overview of its major topics as well as its relationship to past and future volumes. For each 10-point document the editors seek to identify and locate enclosures (which sometimes entails searches on microfilm or correspondence with various likely repositories), to identify individuals who have ~~not~~ been previously identified, and to annotate subjects of importance, placing them at the preferred location and providing the necessary cross-references to previous and future volumes. The card indexes of names and subjects in Morris documents described earlier are extremely valuable at this stage. After researching manuscript and published sources available in the office, the editors will draft brief biographical sketches, summarize acts of Congress affecting the Office of Finance, trace Congress's response to letters and reports submitted by the Superintendent of Finance and, where appropriate, the states' response to circulars received from Morris. In sum, they explain in detail the context of important documents, events, and subjects.

Off-sight research is frequently required to complete the task of preliminary annotation. Most of this work falls to the project's research assistant, who answers the editors' assignments at the New York Public Library, the New-York Historical Society, and Columbia University Library. Beginning in September 1987 a research assistant has been provided by the CUNY Graduate School to undertake such work for 20 hours per month. Incorporating the research assistant's reports into their own work, the editors then complete the preliminary annotation, enter it on diskette, print a copy on green bond and place it immediately following the textual notes for each document.

After the verification and preliminary annotation of texts for a volume have been completed, Nuxoll, as final editor, reviews the work thoroughly, giving final form to the material. With respect to verification, she examines the caption, dateline, any problem passages marked by the other editors, the manuscript identification note, and all textual notes. With respect to annotation, she checks the editorial content for meaning and implication, reviews the substance and style of every note, especially the longer and more important ones, revising and rewriting them as necessary. During this process, she will carry out or call upon the other editors for additional research on subjects that may have been overlooked or given insufficient emphasis. Under past staffing patterns this review has taken roughly eighteen months, allowing for other administrative work. Revised into final form, all changes to the notes are keyed for preparation of final copy, and all retyped annotation is proofed.

The editorial process concludes with the refinement of the manuscript after it is submitted to the publisher. At this stage, the

editors reread the entire volume and make final revisions. These are incorporated into the manuscript when the publisher returns it to the project for review of the copyediting done by its staff.

Galleys will be read by Nuxoll and Gallagher. Until volume 6, the texts of documents were reverified in galleys against the photocopies of the original Morris manuscripts. However, for volumes 7, 8 and 9, which are being compiled on the computer, the second verification is accomplished before submission to the press in machine-readable form. When the University of Pittsburgh Press returns the page proofs of a volume, the corrections will be checked by Nuxoll or Gallagher, a process that takes less time than work on galleys. Revised pages are subsequently proofed. Work on galley and page proofs occupies the staff for approximately two to three months.

Beginning with volume 6, a computer-assisted indexing system has replaced the lengthy, cumbersome and tedious process of indexing on cards the approximately 18,000 entries generated by a 700-page volume. The index requires the participation of the entire staff and, given its size, complexity, and the need to submit it to the publisher within two or three weeks after we return page proofs, it has been imperative that index work begin in the manuscript or galley-proof stage. In order to allow for Catanzariti's continued participation in the final stages of production of volume 7, and to accomodate press schedules, the editors entered the index from manuscript, and added the page fields from final pages. This avoided later delays.

Under our current computerized system, Gallagher compiles on diskette an initial index consisting of the name and all obvious subject entries, underlining each item entered on the manuscript page. These

entries are then printed and reviewed by Nuxoll, who creates additional subject entries where necessary and adds cross references. Page fields are entered as soon as final pages are at hand, and the work of sorting and alphabetizing is accomplished electronically by means of the CINDE program with an appreciable savings of time and money. The indexes are submitted to the printer in machine-readable form, an additional cost-saver, which also minimizes errors resulting from rekeying.

PLAN OF WORK: JULY 1, 1990 TO JUNE 30, 1992

The NEH site visitors recommended that the remaining selective volumes take not more than two-and-a-half years each to complete. The following plan of work accords with this recommendation as nearly as our reduced staff and fund-raising requirements will permit. Strict adherence to our plan to reduce annotation and place documents of lesser significance into reduced type and microform format should make it possible to meet this demanding schedule.

July 1-December 31, 1990

Volume 8 should have been submitted to the press for copyediting early in 1990 and returned by June for correction

Corrections entered and proofed

Encoding of volume 8 by Gallagher and Mullen; submission to press/typesetter

Proofreading and correcting of page proofs of volume 8 by Nuxoll, Dearmont and Mullen

Indexing of volume 8 by Gallagher and Nuxoll

First verification of volume 9 completed by Dearmont and research assistant

January 1-June 30, 1991

Proofreading and correcting of final pages to volume 8

Entering final page fields to index

Running of Cindex program

Editing of index

Submission of index to volume 8 to press

Preliminary annotation of volume 9 completed

Second verification of volume 9 begun

Final editing of volume 9 begun

July 1-December 31, 1991

Publication of volume 8

Final editing and second verification of volume 9 two-thirds completed by Nuxoll

First verification of microfilm documents begun by Gallagher and Dearmont and research assistant

January 1-June 30, 1992

Second verification of volume 9 completed

Final editing of volume 9 completed

Volume submitted to press for copyediting

Review of copyedited manuscript

Changes keyed into machine-readable files

Encoding of volume by Gallagher and Mullen

Volume 9 submitted to press/typesetter

First verification of microfilm documents half done by Dearmont and research assistant

At the end of this grant period proof work on volume 9 and indexing would remain to be done. The volume would be published in 1993. The microfilm supplement would be sent to the press in 1993 and an index prepared for it. A cumulative index could be prepared at this time and published in conjunction with the microform supplement.

FINAL PRODUCT AND DISSEMINATION

As noted elsewhere in this proposal, in 1982 the editors accepted the recommendations of the Endowment's site visitors to reduce the edition from eleven comprehensive volumes to nine volumes and a concluding microfilm supplement. Volumes 7, 8, and 9 will be selective. A tentative selection of documents to be included in volumes 8 and 9 has already been made and will be revised as necessary as work on these final volumes goes forward. The microfilm supplement will consist of typescripts of documents omitted from the volumes. A cumulative index will conclude the series.

The three selective volumes are expected to contain approximately 50 to 70 percent of what would have been included in volumes 7-11 of the comprehensive edition initially projected. Of the approximately 5,900 official documents in the Morris edition, we have published about 2,700, leaving some 3,200 to go. As tentatively envisioned, therefore, the three selective volumes will contain approximately 2,100 documents, or roughly 700 documents per volume if apportioned evenly, making them each slightly larger than volume 6, which contains about 675 documents. Chronologically, however, we have attempted to arrange the new volumes 7 and 8 to contain roughly what was originally intended to be in volumes 7-9 of the comprehensive edition--or, in terms of dates, until the end of 1783. The new volume 9 is expected to contain material originally intended for volumes 10 and 11 in the comprehensive edition. Under the restructured organization, volumes 7 and 8 would each have fewer but more critically important documents. Volume 9 would include more than

700 documents, generally somewhat briefer but important for depicting the transition of the nation from war to peace and of Morris from public servant to private entrepreneur.

The format of the selective volumes remains the same, with the Diary providing the organizational basis of the series. Each day's entry will be followed as usual by letters and papers for that day, both outgoing and incoming. Most of the letters and papers selected for inclusion in the volumes will appear in 10-point type, but some kinds of documents (e.g., contracts, secondary letters from the receivers of Continental taxes, and possibly documents of a routine nature which nevertheless provide significant data on a major topic) would still be presented in 9-point type--a practice we began with volume 5.

The selection process for the letterpress volumes utilizes the following two criteria:

1. Significance. Each document will be assessed for its intrinsic significance and/or relationship to major themes or subjects in the series. The selection process would not apply to Diary entries, each of which would be printed in full in 10-point type. The question of private letters is taken up below.

2. Representativeness. The importance of this criterion arises with certain categories of documents. Examples of such categories include correspondence with the receivers of Continental taxes, the heads of army staff departments (e.g., the Quartermaster General and the Commissary General of Military Stores), public creditors and claimants, routine documents from the Marine Office, and letters of introduction. Representative documents in these categories will be included to give a

feeling for the nature and scope of Morris's correspondence and the wide-ranging activities of the Office of Finance.

The letters from the receivers of Continental taxes are a special case in point. Of the 11 receivers appointed by Morris, incoming correspondence of any consistency survives only for Alexander Hamilton of New York, William and Joseph Whipple of New Hampshire, and William Churchill Houston of New Jersey. Since Hamilton left office in November 1782, most of his letters have already appeared in our edition, but this is not the case with Houston and the Whipples. On the grounds of representativeness alone, virtually every letter from Houston and the Whipples should be published in the selective volumes, with significant items placed in 10-point type and secondary documents in 9 point. Since the Morris edition presents national affairs as seen from the Office of Finance in Philadelphia, the receivers' letters have a special character because they illuminate pervasive attitudes in the states that would not otherwise be represented and reflect the steady--in Houston's case almost weekly--pace of incoming mail, with their disappointing Continental tax receipts and attendant problems, both substantive and administrative, with which Morris had to grapple.

The Morris editors and the site visitors also considered the value of incorporating the private Morris documents into the edition. There are approximately 300 such additional documents beginning with volume 7. Up to now we have been publishing only those which shed light on Morris's transactions in the Office of Finance, with the expectation of merely listing in volume 11 of the comprehensive edition all of the private documents omitted from the series.

The editors considered two options for the restructured series:

1. Omitting the private documents altogether. This option would require a decision at some future date to determine whether funding could be obtained to publish the private documents for 1781-1784 in a separate volume after completion of the selective volumes and microfilm supplement.

2. Including the private documents in the selective letterpress volumes and microfilm supplement. In this case, private documents would be subjected to the same criteria as the official documents, with truly significant and representative items included in the selective volumes and the remaining documents consigned to the microfilm. In general, those documents which shed light on Morris's official transactions, postwar economic difficulties, and Morris's schemes for American economic development and international trade and finance would be judged significant.

Because potential for conflict of interest between his public and private activities was an enduring theme of Morris's public career, and because the number and significance of the private documents increase in 1783 and 1784, the Morris editors had already begun to question the original decision to omit his private documents. Since the edition was being recast, the second option was chosen because it seemed more desirable to allow the private documents to interact chronologically and substantively with the official documents both in the volumes and in the microform supplement.

Finally, it is necessary to consider other materials. Except where otherwise noted, the most significant documents in each of the following categories could be placed in volume 9 if rigorous selection and the

brevity of the documents included in that volume permit. Secondary items would be consigned to the microform supplement.

1. Addenda: official papers of Robert and Gouverneur Morris subsequently found (relatively few) and private papers omitted from volumes 1-6.

2. Letters and papers of Robert Morris, 1785-1790, relating to the settlement of his accounts with the United States as Superintendent and with Pennsylvania as agent for specific supplies.

3. Morris's official accounts as Superintendent:

- a. Accounts as published by Morris in 1785

- b. Accounts as published by Joseph Nourse in 1790

Whether in volume 9 or on microfilm, these accounts should be reproduced in facsimile. A handsome model of such a facsimile reproduction is the Massachusetts Historical Society edition of the Massachusetts House Journals.

4. Errata. If possible, these should appear in volume 9.

A cumulative index, published as a separate cloth or paper volume, would also be desirable if funding is available. The indexes in the first five volumes can be converted to machine-readable form for this purpose by means of optical character scanning.

The microform supplement will consist of clean, verified transcripts of the 30 to 50 percent of the documents not included in the selective volumes and some or all of the material mentioned above. Annotation will be kept to a bare minimum. It will include only a manuscript identification note listing type (e.g., ALS, ADft, LbC, Copy, etc.) and location of the original; whatever textual notes may be necessary; and the title, date, and location of documents mentioned in

the texts, or if not found, a note to that effect. Correspondents may be briefly identified. Microfilm is the probable format, but microfiche could be employed instead if that appears more feasible and/or marketable at the time of publication.

Preparation of a guide to the microform supplement would also be appropriate. Ideally, the guide would contain a brief description of the reels and a complete name and subject index of the contents of the microfilmed documents by transcript (i.e., page) number, frame number, or some other numbering system, to complement the indexes in the volumes. An index of this kind would minimize for users the difficulties of integrating the filmed documents with those in the volumes. Serious consideration, however, should be given to incorporating such an index as part of the cumulative index mentioned in the preceding section. If this is not a realistic option, at minimum the guide should contain a complete index to correspondents and/or a table of contents listing the documents in order of presentation.

A reviewer of a previous grant application asked about the relationship of the remaining three selective volumes and the concluding microform supplement: "Will the letterpress volumes and microfilms be coordinated? In other words, will all the omitted documents mentioned in volume 7 be on one (or more) rolls and will the volume and the roll(s) be sold as a package? It seems to be very desirable that a user be certain that any omitted material would be available at the same library in which he was using the letterpress."

Although we carefully considered this matter during and after the NEH site visit in 1982, in response to a query from the NEH staff the editors have thoroughly discussed it with Professor Clarence Ver Steeg,

our Editorial Advisor, and Catherine Marshall, the Managing Editor of the University of Pittsburgh Press.

Our strong feelings are these: the microfilm(s) should be saved until the end of the series, but should appear jointly with volume 9 or with the cumulative index, the marketing details to be left for later determination. For one thing, the number of documents expected to be consigned to microfilm in volume 7 (about 235) would not be sufficient to justify an individual reel. Moreover, the mechanics of having microfilms accompany the volumes as they appear are too clumsy and will only serve to slow our editing of the volumes. Meanwhile, as previously planned, each remaining volume will contain an appendix listing the documents omitted from it by correspondent, date, repository, and collection. Where appropriate, notes to the documents printed in the volumes will cross reference related documents consigned to microfilm. The phrase (Mfm) following an entry will be employed in the annotation to distinguish cross references to microfilm documents from references to documents printed in the volumes. Further access to the list will be provided in the indexes to each of the remaining volumes. I believe this is a reasonable solution for document integration that will preserve the design of the series and will satisfy scholars until the microfilm supplement becomes available upon completion of the edition. Meanwhile, we will devote our energies to the completion of the selective volumes and defer major work on the microfilm supplement until volume 9 is underway.

The level of editorial comment in the selective volumes was also addressed at the NEH site visit and by the visitors' reports. The consensus was that the volumes should have introductions and that

annotation of 10-point documents should continue in the manner of recent volumes, which won high praise from the consultants. Since it is the annotation which creates the greatest research demands on the editors, however, it will probably be necessary to limit further the extent to which even important subjects are annotated in volumes 8 and 9 if we are to meet our editorial schedule.

We have also proceeded with previous plans to reduce routine annotation. Except where there is confusion about names or the nature of the material requires special attention, reidentifications are no longer routinely made, the index taking up the slack wherever possible, although correspondents will continue to be reidentified briefly at each letter. Preliminary annotation is being prepared in as final a formulation as possible so that final review can proceed more expeditiously.

Project Files

Except for photocopies specifically restricted by donating repositories or collectors, our documentary files are open to the public. We regularly exchange information with other editorial projects and respond to inquiries and reasonable photocopy requests from researchers.

A number of scholars have used our collection for their dissertations: Barbara A. Chernow for her "Robert Morris: Land Speculator, 1790-1801" (Ph.D. diss., Columbia University, 1974); Ruth Bogin for "Abraham Clark and the Idea of Equality in Revolutionary New Jersey" (Ph.D. diss., Union Graduate School, Ohio, 1978; published 1983); Elizabeth M. Nuxoll, our Coeditor, for her study of "Congress and the Munitions Merchants: The Secret Committee of Trade during the

American Revolution, 1775-1777" (Ph.D. diss., City University of New York, 1979); and David Mattern for his dissertation in progress on Benjamin Lincoln. We have also furnished material to Roland M. Baumann for his article on "John Swanwick: Spokesman for 'Merchant-Republicanism' in Philadelphia, 1790-1798," in the Pennsylvania Magazine of History and Biography for 1973, to Morris H. Saffron for his Surgeon to Washington: Dr. John Cochran, 1730-1807 (New York, 1977), to Morris U. Schappes for his study of Jews mentioned in Morris's Office of Finance Diary, which appeared in the American Jewish Historical Society Quarterly for 1977, to the National Portrait Gallery for their feasibility study of a proposed exhibit on "The Republican Court," to the Philadelphia Maritime Museum for its book and exhibit on the early China trade, and to Independence National Historical Park for their programs for the Bicentennial of the Constitution. Our published volumes, as well as unpublished materials we supplied, were used by Eric P. Newman, the numismatist, for an article on "The Official United States Circulating Currency of Robert Morris: A New Find in American Numismatics," which appeared in The Numismatist for 1977, and for revisions to his book on early American paper money.

The following citations to the published volumes in recent scholarly and popular works which have come to our attention indicate the range of topics for which our volumes are proving invaluable:

Charles W. Calomiris, "Institutional Failure, Monetary Scarcity, and the Depreciation of the Continental," The Journal of Economic History, XLVIII, No. 1 (March 1988), 47-67, and "The Depreciation of the Continental: A Reply," in ibid., no. 3 (September, 1988), pp. 693-698;

Richard B. Morris The Forging of the Union, 1781-1789 (New York, 1987);

Richard Beeman, et.al. eds., Beyond Confederation: Origins of the Constitution and American National Identity (Chapel Hill, 1987); Forrest McDonald, Nova Ordo Seclorum (Kansas, 1985); John J. McCusker and Russell R. Menard, The Economy of British America 1607-1789 (Chapel Hill, 1985); E. Wayne Carp, To Starve the Army at Pleasure: Continental Army Administration and American Political Culture, 1775-1783 (Chapel Hill, 1984); James A. Lewis, "Anglo-American entrepreneurs in Havana: the background and significance of the expulsion of 1784-1785," in Jacques A. Barbier and Allan J. Kuethe, eds., The North American role in the Spanish imperial economy 1760-1819 (Manchester, 1984), 112-126, and "Las Damas De La Havana, El Precursor, and Francisco De Saavedra: A Note on Spanish Participation in the Battle of Yorktown," The Americas, XXXVII (July, 1980), 83-99; Howard M. Maudaus, "Nova Constellatio: The Story of a Shared Heritage," a discussion of common design elements in the coins and flags of the American Revolution, in The Numismatist for July 1983; William G. Anderson, The Price of Liberty: The Public Debt of the American Revolution (Charlottesville, 1983); John J. McCusker, Money and Exchange in Europe and America, 1600-1775: A Handbook (Chapel Hill, N.C., 1978); Martha W. Dixon, "Divided Authority: The American Management of Prisoners in the Revolutionary War, 1775-1783" (Ph.D. diss., University of Utah, 1977); Eric Foner, Tom Paine and Revolutionary America (New York, 1976); Charles W. Royster, "'The Nature of Treason': Revolutionary Virtue and American Reactions to Benedict Arnold," in the William and Mary Quarterly for 1979, and A Revolutionary People at War: The Continental Army and the American Character, 1775-1783 (Chapel Hill, 1979); Jack N. Rakove, The Beginnings of National Politics: An Interpretive History of the Continental Congress

(New York, 1979); Douglas M. Arnold, "Political Ideology and the Internal Revolution in Pennsylvania, 1776-1790" (Ph.D. diss., Princeton University, 1976); and Frederick Wagner, Robert Morris: Audacious Patriot (New York, 1976). Furthermore, many scholars are, to our knowledge, currently using our volumes in the preparation of work as yet unpublished.

The Morris Papers editors have also been actively disseminating the results of their research and seeking to address a wider audience. See the outreach section above.

COMPUTER EQUIPMENT

2 IBM Displaywriter workstations, 1 with telecommunications interface
1 IBM Displaywriter letter-quality printer with automatic sheet feeder
1 ADDS Viewpoint terminal
2 Racal-Vadic 300/1200 baud modems

COMPUTER USE

In April of 1983, the Morris Papers acquired the first of two IBM Displaywriter workstations, and a 5218 IBM letter-quality sheet-feed printer. The Displaywriter is a dedicated word processor now utilizing Textpack 6, a software program which closely resembles the more familiar PC version, Displaywrite. The system cannot be upgraded in any way and is no longer supported by IBM. Documents for all forthcoming volumes and for the microform supplement have been keyed onto the 8-inch diskettes the system uses. Annotation and index files are keyed in as they are prepared. Backup copies of all diskettes are made and kept current. The project inserted typesetting codes, both manually and through global search and replace functions or macros, into the manuscript for volume 7 and submitted it in machine-readable form.

The project also has an ADZ terminal and Racal-Vadic modem which it uses, along with one of the Displaywriter workstations which has been fitted with a telecommunications card, to access the mainframe computer at the City University Computer Center. Processing of the indexes for volumes 6 and 7 was accomplished by uploading files to the mainframe and running the mainframe version of the CINDEX program there.

The Displaywriter system has both advantages and disadvantages. All members of the Morris Papers staff, including student aides, have easily learned to use it. The system has been reliable: no significant amount of material has ever been lost, either through system failure or staff error. To date, hardware malfunctions have been promptly repaired under the maintenance agreements the project has purchased. While our need for such services has increased of late, there has been no systematic breakdown which has substantially interfered with project operations. Our maintenance vendor has assured us that parts for the system will be available for the foreseeable future. The vendor has however, been unable to resolve some printer malfunctions which have surfaced of late.

Because of its limitations, the Displaywriter system does not permit the project to take advantage of NLCINDEX, the PC version of CINDEX. Indexes for volume 6 and 7 were prepared with the mainframe version of this program and run at the City University Computer Center. Catanzariti alone had experience with the mainframe program, and processed the index for volume 7 by accessing the project's account from Princeton. No member of the current staff is completely conversant with the complexities of this system. Downtime on the mainframe computer, used intensively during production of the index, has also occasionally been a problem. Purchase of one PC with a hard disk capacity of 40mg, recommended by Mark Mickleberry of the Newberry Library, where the NLCINDEX program was developed, would enable the project to process the index in house. Files prepared on NLCINDEX are compatible with the mainframe version, and can be utilized in preparing the cumulative index

of all volumes on the mainframe if the disk capacity available at the Morris Papers were inadequate.

The maintenance agreement for the Displaywriter's two workstations, diskette drives, and printer costs the project \$1776 per annum (workstations - \$1320; printer - \$456) at the reduced rate the project obtained by leaving IBM and using an independent vendor. This cost and the increasing number of more or less significant malfunctions we have experienced have convinced us of the need to upgrade the entire system. The Queens College Computer Center has the facility to convert all of the Displaywriter diskettes to PC diskettes and from Textpack 6 to whatever software the project adopts. Since Huron Valley Graphics will be able to read Wordperfect directly by the time volume 8 is submitted, thus sparing the project the time required to translate many of the software codes into typesetting codes, we would have the option of having our files converted into Wordperfect by the Queens College Computer Center before we submitted them to the printer, and/or of adopting Wordperfect as the project's software system. The Queens College Computer Center will make Wordperfect available to us under its multiple-licensing arrangement and would be able to provide us with whatever support we might require in adapting the program to our needs.

Inquiries within Queens College and with the Boston Computer Exchange have convinced us that our Displaywriter system has virtually no resale value. If funds were available, the most efficient course of action would be to acquire immediately, not one, but two PC's and a new printer. This would spare the project the time required to resolve the issues of compatibility, and enable it to derive maximum benefit from the computer portion of its budget. However, the total amount of

funding required (approximately \$6,000) is not immediately available.

In the expectation that the Displaywriter system will survive a bit longer, we have recently requested authorization to terminate the maintenance agreement on the Displaywriter workstations, to utilize these funds for the purchase of the first PC, and to use some funds budgeted for supplies to cover the cost of a near-letter-quality printer. This will afford an opportunity for the staff to become trained on the new equipment, and perhaps on new software. We are applying to the NHPRC for \$2,000 to enable us to acquire a second workstation by the time we submit volume 8 to the press and begin preparation of the index to that volume.

Handwritten notes:
the grant
RE-25732-88

EDITORIAL METHOD AND SAMPLE DOCUMENTS FROM VOLUME 7

The editorial method and apparatus and the photocopies of original documents and printed pages from volume 7 which follow are provided as a sample of our methodology.

Editorial Method

Editorial Apparatus (except list of short titles)

Account of Expenditures of the Superintendent of Finance to
December 31, 1781 (facsimile)

Gouverneur Morris to John Jay, January 1, 1783 (collation)

Observations on the Present State of Affairs, [ca. January, 13,
1783] (headnote; undated and unsigned piece)

La Luzerne to RM, January 18, 1783 (French text with translation)

The Governor of Cuba to RM, March 1, 1783 (Spanish text with
translation)

Robert R. Livingston to RM, March 11, 1783 (reduced type)

Diary, March 12, 1783 (diary)

"Lucius" to RM, March 12, 1783 (newspaper polemic)

RM to John and Francis Baring, April 17, 1783 (private letter)

26/1

Governor Morris to Mr Jay

Philadelphia Jan^y 1795 64

Gown 64

Dear Jay,

"I have received your Letter of the nineteenth of October from Paris. I am daily convinced of the necessity of writing principally in Cypher because it will among other Things tend usefully to give one's Letters a safe Passage when it shall have been found that impertinent or designing curiosity sacrifices his Talents in vain. (relating to Oswald's commission) That Part of your Letter to me in Cypher I have communicated only to Mr Morris and Mr Livingston; To them and to them only for Reasons which will be obvious to you. Your Letters to Congress (for such I call those you write to the Ministers of foreign Affairs) are what they ought to be, and have the Effect you would wish. You should remember however that the West Lands are as important in the Eyes of some, as the Fisheries in those of others. Men are forgetful, and therefore it will be well by timely Declarations of your Sentiments to recall your conduct while in Congress. You and I differ about the Western Country

Country, &c. but you and your Sovereign are of the same Opinion. —

"Gen^l M^r Doyall Col^l Brooks of the Massachusetts, & Col^l Eden of the Jersey Line, are now here with a Petition to Congress from the Army for Pay. The Army are now disciplined, and their wants as to food and clothing are relieved, but they are not paid. Their back Accounts are not settled. If settled the Balances are not secured by competent Funds. No Provision is made for the Half-Pay promised them. Some Persons, & indeed some States, pretend to dispute their claim to it. {The Army have Swords in their Hands. You know enough of the History of Mankind to know much more than I have said, and probably much more than they themselves yet think of. I will add, however, that I am glad to see Things in this present Crisis. Depend not good will arise from the Situation to which we are hastening. And this you may rely on, that my Efforts will not be wanting. I pledge myself to you on the present occasion, and altho I think it probable, that much of Convulsion will ensue, yet it must terminate in giving to Government that Power without which Government is but a Name. Government in America is not possessed of it, but the People are well prepared. Wearied with the late, their Acquiescence may be depended on with absolute certainty, and

you

you and I, my Friend, know by Experience, that when a few Men of
 a Sense and Spirit get together, and declare that they are the Authority,
 such few as are of a different Opinion may easily be convinced of their
 Mistake by that powerful Argument the Majority. It is, however, a most
 melancholy Consideration, that a People should require so much of
 Experience before they will be wise. It is still more painful to think,
 that this Experience is always bought so dear. On the Wisdom of the
 present Moment depends more than is easily imagined, and when
 I look round for the Actors — — — I shudder at the Subject!

"Accept my sincere Wishes, that the Great now com-
 mencing may prove to you and yours the kind Dispenser of every
 human Felicity. present me on the contrary to Mr. Jay affectionately.
 Tell your Friends are well, and assure that you are in a Situation of
 essential to America as that which you now hold. Some Persons have
 insinuated to me, that you are too suspicious. I think they are much
 mistaken. The Observation, if it proceeds from the Truth, shows only
 that they are not so well acquainted with human Nature as you
 are. So on, my good Friend, continue to merit the Crown of all good
 men

Philadelphia, 1st January 1783

Dear Jay

I have received your letter of the thirtieth of October from Paris. I am daily convinced of the necessity of writing principally in English because it will among other things tend virtually to give one's letters a safe Passage when it shall have been found that impertinent, or designing Curiosity exercises her Talents in vain.

That Part of your Letter here in English I have communicated only to Mr. Morris and to Mr. Livingston. To them and to them only for Reasons which will be obvious to you. Your Address to Congress (174. 353. 397. 251. 638. 385. 182. 599. 170. 186. 169. 182. 120. 26. 333. 312. 176. 393. 95. 130) is what they ought to be and have the Effect you would wish. (182. 243. 196. 75. 333. 187. 487. 412. 565. 570. 557. 206. 169. 571. 237. 579. 435. 474. 393. 601. 501. 425. 52.

169. 2. 451. 2. 120. 126. 393. 169. 36. 296. 565. 251. Men are forgetful and therefore it will be well by timely Declarations of your Sentiments, to recall your Conduct while in Congress. You and I differ about the Western Country &c. but you and your Foreigner are of the same opinion.

Genl. M^r Douglass, Col. Brooks of the Massachusetts & Col. Ogden of the Jersey Line are now here with a Petition to Congress from the Army for Pay. The Army are now disciplined and their wants as to food and Clothing

Creditors are relieved but they are not paid. Their bank accounts are not settled. 237. 11.
 If settled the Balances are not secured by competent Funds. No Provision is 35. 26.
 made for the Half-Pay promised them. Some Persons indeed some States
 intend to dispute their claim to it. (169. 171. 618. 423. 566. 157. 50. 636. 135. 182. 213. 155.
 326. 522. 120. 169. 268. 186. 467. 120. 433. 477. 291. 186. 213. 326. 363. 429. 308. 251. 223. 520. 494.
 282. 185. 4. 364. 524. 495. 451. 363. 229. 308. 560. 149. 364. 398. 90. 166. 387. 226. 579. 120.
 I will add however that I am glad to see Things in their present Train.
 Depend on it good will arise from the Situation to which we are hastening. 2114.
 And this you may rely on that my Efforts will not be wanting. Phil.
 I pledge myself to you on the present occasion and all the ^{105. 13. 308. 250. 387. 226. 579. 170.} ~~future~~ ^{105. 13. 308. 250. 387. 226. 579. 170.} ~~future~~
~~probable that much of consultation will attend it must be remembered~~
~~giving to Government that Power without which Government cannot~~
~~Government in the manner and property of it~~ (621. 169. 201. 474. 64. 398. 12. 1174.
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 494. 75. 174. 169. 58. 116 — — — — — 120. 90. 397. 655. 169. 252. 251. 216. 503.)

Accept my Sincere Wishes that the Year now commencing
 may prove to you and yours the kind Dispenser of every human
 Felicity. present me on this occasion to Mrs. Jay affectionately
 All your Friends are well and rejoice that you are in a Situation so
 essential to America as that which you now hold. (126. 35. 460. 226. 423.
 555. 54. 503. 39. 186. 490. 206. 132. 474. 186. 353. 144. 602. 450. 90. 251. 169. 54. 579. 560.
 174. 363. 260. 325. 226.) The Observation, if it proceeds from the Heart,
 shows only that they are not so well acquainted with human Nature as
 you are. Go on my good Friend continue them with the Edition of all
 good Men and give us Every his favorite Food. When you are tired of
 Europe and have completed your Business there I will invite you in
 Shakspeare's Language. Come come my Friend with Taste with
 Genius best, in Age impart thee and in Gold allure —

Adieu

yours

Recd. 17 Feb. 1703

Philadelphia 1st Jan^y 1782

Dear Jay

I have received your Letter of the Thirteenth of October
from Paris. I am daily concerned of the necessity of writing privately,
in cipher because it will, among other things, tend eventually to give
one's letters a safe passage when it shall have been found that imper-
sonal or designing hostility against her talents is occasioned.

That Part of your Letter to me in Cyphers I have communicated only to Mr Morris and to Mr Livingston. To them and to them only for Reasons which will be obvious to you. Your Letters to

for 54. the call shows you are 1/2 to the minute of 10 v 21 in
 Congress 174. 353. 397. 251. 638. 385. 1002. 599. 170. 186. 169. 402. 120. 26. 333. 312. 276.

393. 95. 130. are what they ought to be and have the Effect you would wish. 100.

The following are some examples - see how close that the base h bands are as in
243. 196.75. 383. 407. 407. 402. 465. 574. 557. 466. 460. 571. 237. 579. 435. 474. 393. 601.

portant in this case. 4 to 20% of some cases. The first is in the
501. 225. 54. 169. 2. 451. 2. 120. 126. 393. 169. 36. 296. 565. 251. Men are forgetful

and therefore it will be well by timely notice of your Sentiments
to recall your conduct while in Congress. You and I differ about the
Western

Western Country, &c but you and your Sovereign are of the same Opinion.

Gen^l M^r Douglass. Col^l Brooks of the Massachusetts and Col^l Ogden of the Jersey Line are now here with a Petition to Congress from the Army for Pay. The Army are now disciplined and their Wounds as to Food and Cloathing are relieved but they are not paid. Their back Accounts are not settled. If settled the Ballems are not secured by competent Funds. No Temporary Provision is made for the Half Pay promised them. Some Persons & indeed some States pretend to dispute

their Claim to it. ~~the narrow view of this is that the Government is not bound to pay them until they are discharged from the service.~~

I will add however that I am glad to see Things in their present Train.

Depend on it Good will arise from the Situation to which we are hastening. And this you may rely on that my Efforts will not be wanting

I pledge myself to you on the present Occasion ~~in the discharge of my duty to the Government and to the Army.~~

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[illegible]

Accept my sincere Wishes that the Year now commencing
may prove to you and yours the kind Dispenser of every human felicity.

Present me on the occasion to Mr Jay affectionately. All your Friends are

well and rejoice that you are in a situation so essential to America as

first which you now hold. 126. 35. 4. 60. 226. 4. 23. 556. 54. 503. 39. 186. 496. 206

You are too much in it as of the in the they are much more. here is
~~nothing in it as of the in the they are much more. here is~~

Abstraction, if it proceeds from the Heart, shows only that they are not

is well acquainted with Freeman's place as you are. Go on my good

Friend

Friend continue to merit the esteem of all good men and give to Envy
 her favorite Food. When you are tired of Europe and have completed
 your Business there I will invite you in Sherston's Language come
 come my Friend with Taste with Genius, blest in Age impair thee
 and in Gold allure.

Adieu

Yours

God Morris

Wm. M. Gay

God Morris 1. March 1760
 Jan 17 1760 - 83

America presents to our View an extensive Country, divided already into thirteen different Republics, and liable to further Divisions. From this situation it follows, that the States (if closely United) will become important and respectable; but otherwise they will be misable at Home, and contemptible abroad.

Congress is the common Point of a Union, which must be maintained either by Authority, or by Influence, or by both. If it were by Authority alone, the general Council might engross all Power. The Country being too large for a single Republic, Anarchy might ensue, and then Dispolism. If Influence be the only Band of Union, it may prove too slender. The aggregate Power, therefore, ought to be compounded of both.

Congress should at least have an Authority competent to general Purposes of Commerce and War, as also to decide Disputes between different States. Their Influence should be such as to lead the States into Measures of general Utility, prevent a Disolution of the federal Compact, and procure Obedience to their Authority without military Coercion.

To give Congress proper Authority, the Confederation should be amended. Influence may be obtained by funding the Public Debt, on general Revenues. A large Part of the Community would be thereby interested to support national Authority.

The federal Government of America is incompetent to the Purpose of Revenue. The Cause of this Debility need not be enumerated.

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States were pressed to pay the Interest of past Debt, and support
present operations they would not comply. And if to this were
superadded the Requisition of Authority, instead of non-Com-
pliance we might expect Refusal. These Objections therefore
be singly pursued, in Succession. But which of them is to be
preferred? —

To answer this it may be observed, first that a
Petition for Power is seldom granted. The Discussion would be
tedious, the Event uncertain, and the very Demand would excite
jealousies of the most pernicious Tendency. —

As to the next Point, it must be remembered
that altho Demands of money for present operations may
be agreed to in form, they will not be complied with in Effect.
The several Legislatures, being ignorant of their own Resources
and of the means to draw them forth, would do but little, even
with the best Dispositions; and the Disposition of each is im-
ply a Doubt as to the Execution of others. This necessary Curtail-
ing of annual Quotas, is one Cause why great Requisitions produce
only small Sums. But even if the Fact were otherwise, annual
Grants would not confer Influence, tho they might afford

The Demand of permanent Funds for the
Public Debt, is most likely to succeed; for it would be favored
by many because it is just, and by many more because they are
interested in it. The Clamors of the Army also might be joined
towards the attainment of that object. The Success of this
Demand is not only the most probable, but the most important.
If proper Revenues are chosen, People will learn that much may
be collected with little Inconvenience. If the Collection and

Trust would facilitate every subsequent measure. The Possession
 of Money will acquire Influence. Influence will lead to Authority,
 and authority will open the Purses of the People. But the favorable
 moment both to gain and establish Power, is at the close of a War.
 For all are then recently convinced of the necessity, and few are
 inclined either to dispute the Grant, or oppose the Caution.

The Situation of Things as to War or Peace is
 Doubtful. But supposing the latter, this important question
 presents itself. What should be the Conduct of the French Court?

England will certainly attempt, either to deprive
 France of her new Ally, or to render that Ally useless. England will
 have in her Favor, the Identity of Language, the Similarity of
 Manners, and the Revival of ancient Connections. To these
 will be opposed the jealousy of neighbourhood, the Faith of Treaties,

and the Feelings, the latter to their Understandings. It is natural
 therefore that the British Court should have a Taction in America,
 and that the National Council should adhere to France. The
absolute Force of a Taction will depend upon Circumstances,
 but the Relative Force must be estimated by a Comparison
 with the Powers of Government.

The Present Union of America is from Necessity.
 It is a Vessel whose Parts are kept together by exterior Com-
 pression. When that is entirely Removed, trivial Causes may
 burst it asunder. It is therefore a Matter equally delicate and
 important, to obtain for Congress the Influence and Authority
 which they stand in need of. Else they have the Command
 of Money it is intractable. But if the Army can be kept

Collection of heavy Taxes, their Influence (joined to that of the
Public Creditors) will probably obtain Funds for the Public Debt.

The subsequent Grant of Authority may perhaps meet with
- greater Opposition; but the necessity of it will become daily more
apparent, and the Obstacles by Degrees be removed.

May it not then be inferred, that after the Completion
of a Treaty of Peace, it would be the true Policy of France
to advance Money to Congress, that by Means of it, they may
obtain that Power which is alike necessary to perpetuate the
Alliance, and to Promote the Happiness and Greatness of America.

M^r Rob. Morris.Philadelphia, Pa.
le 18. Jan. 1793.

Philadelphia le 18. Jan. 1793.

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si vous l. l. g. N. m. f. l. d. m. le 13. de ce
mois et je vous remercie des détails dans lesquels
vous m'avez touché l'état des finances du
Congrès mais je suis piqué d'être dans la nécessité
de vous répondre que'il sera hors du pouvoir de la S. M.
de faire pour les Etats unis ce que vous paraissez
en attendre. La commission que j'ai des mesures prises
à l'égard des fonds destinés à ce continent pour 1792
ne me permet pas de vous laisser la plus légère
espérance d'une augmentation de ce que la S. M. a
consenti à faire prêter au Congrès pour l'année
prochaine. Je desire que vous en soyez aussi convaincu
que moi pour vous éviter des demandes absolument
inutiles et éparquer aux Ministres de la S. M. le
désagrément de faire un refus à ce que le Congrès
s'oppose fermement persuadé que ces demandes
seront non seulement superflues mais ne feront
que diminuer de plus en plus la nécessité de ne
pas s'écarter des arrangements ainsi arrêtés et
de ne pas autoriser par une condescendance aussi
extraordinaire l'usage trop complaisant pratiqué
de lever sur le trésor Royal sans le consentement
de la S. M. et ainsi les dépenses les traites sont
nécessaires de nous représenter qu'il importe au
credit et à l'honneur des Etats unis que nous
nous chargions de les payer. Il est plus sûr et
plus juste de ne rien négocier sans notre
consentement préalable. Ainsi conformément
aux instructions de la S. M. je dois vous assurer

que toutes les traites que je n'aurai pas préalablement
 autorisées en seront promptement payées de nos fonds
 et que vos Administrateurs seront obligés d'en
 s'en procurer ailleurs. Le juste mécontentement
 que des opérations de ce genre ont déjà
 occasionné ne font douter bien vivement qu'ils
 ne se répètent point. Tout ce que Vous me faites
 l'honneur d'en écrire touchant les dépenses
 inattendues auxquelles Vous avez été obligé
 et les dérangements occasionnés par votre
 département par le fait d'autrui est applicable
 à nos propres finances et vos opérations sont
 de nature à y produire les effets dont Vous
 êtes plaintes Vous même. Vous êtes M^r trop
 bien instruit de la nécessité de ne pas jeter
 la confusion dans les mesures prises par un
 Ministère des finances par des demandes
 impies et non autorisées pour que je doive
 insister plus longtemps sur les inconvénients
 sans nombre de votre démarche. Vous ne serez
 donc point surpris si les Ministres des États
 unis en Europe Vous informant que ceux des N^s
 n'ont point l'écrit à aucune demande pour
 l'année 1742. Tout ce que je viens d'avoir l'honneur
 de Vous dire peut également s'appliquer à l'année
 courante et les mêmes causes doivent empêcher
 toute opération faite sans notre consentement.
 Je Vous déclare de bonne heure M^r que je ne
 suis en aucune manière autorisé à faire esquisser
 des avances d'argent pour l'année courante, &c.
 d'autant plus de mon devoir de ne pas Vous l'ignorer.

dans l'avenir sur ce point que ce seroit vous exposer
à voir vos traités venir protestés si vous ne
comptez que sur nos ressources pour les acquitter.
C'est vous exposer aussi aux reproches de ceux qui
les achètent et j'en vois d'autres moyen manqué à
l'égard de celle de ne tirer jamais
sans une assurance de ma part que les fonds
seront faits pour acquitter vos traités.

Pour me faites l'honneur de m'écrire que vous
avez demandé pour l'année 1782. un subside ou
prêt de 12,000,000. tout ce que je puis vous
répondre c'est que M. n'a pu en suorder que
six. Je ne vois M. d'autre parti à prendre dans
les circonstances actuelles que de vous abstenir
religieusement de négocier une seule traite par
delà celles qui l'ont été jusqu'à ce jour,
d'attendre quelle en fera le sort et de conduire
ensuite les opérations de cette espèce de manière
que ma Cour puisse enfin compter sur
l'exécution des arrangements qu'elle arrête
de concert avec les Ministres des Etats unis.
Vous voyez M. que nous ne sommes nullement
d'accord sur le fond ainsi que vous avez pu en
le croire et en lieu d'être convaincu que vous
devés tirer je suis formellement persuadé que
vous ne devés pas le faire et que si vous ne
comptez que sur nos ressources vous n'êtes
nullement fondés à espérer le payement de
vos traités. Quant aux observations qui

terminent. Votre lettre touchant la situation
critique de l'année; je la connois je sens combien
il importe de la tenir ensemble et les Etats
respectifs doivent les sentir encore mieux; mais
ceci est un point sur lequel je ne me permets
aucune réflexion.

Je ne puis Mr. finir une lettre que je n'ai
envie que vous sachiez sans vous assurer que
personne ne voit avec plus d'admiration que
moi le courage et l'habileté que vous avez
déployés dans la place importante que vous
occupiez et les avantages immenses qui en
ont résulté pour les Etats unis. J'ai toujours
considéré comme un point essentiel la
certitude que les fonds avancés ou donnés aux
Etats unis soient administrés avec toute
l'économie et tout l'ordre imaginables, mais
je n'ai pu m'empêcher en même temps de désirer
que vos talens qui s'exercent si avantageusement
sur les dépenses publiques le fussent
aussi utilement sur la recette.

El Pádis ~~en la guerra~~ habiendo
 v. r. dado las disposi^ones necesa-
 rias para que haya en po-
 der de ellos fondos suficientes
 con que satisfacerlas.

Deseo Yo concurrir
 á este servicio en quanto per-
 miten mis facultades y de jo
 al Sr. de Brown, luego q. se me
 presente, practicare las dilig^{as}
 que tubiese por conv^{er} al voto
 de su designio, y haviendolas
 concluido á su satisfacci^on segun
 me he manifestado tengo. Lo
 ba de Ofrecer á U. d. con este me-
 tido mi suplicada voluntad a.

Servir á esos estados unidos
en quanto penda de mis facul-
tades, y á V. en quanto ~~estado~~
lo quisiere dar obsequio
No sepa

Office for Foreign Affairs

Philadelphia 9th March 1783

March 11, 1783

Robert B. Livingston to Robert Morris

I have the honor to enclose copies of two letters
re^lating to the Gen^l Court, and signed the same
on account of the British. I have in addition inform
you that they have received the contract signed & affix
the notes which relate to your department. I shall
inform you in some letter that they are to receive
the same time from you. I shall however you some
of the same time and the alliance which were placed in my
hands by Capt. Jones. I have the honor to be Sir

With great respect &c

Gen^l Morris

To B. Morris

Gen^l Morris Esq.

Diary in Office of Finance

(March 12.

This Morning arrived the Ship Genl Washington capt. Barney with Dispatches from our Ministers at Paris and with six hundred thousand Livres in Cash on Account of the United States in consequence of my Orders in October last, and this day also appeared a virulent Attack on my Publick and private Character signed Lucius in the Freeman's Journal, replete with the most infamous Falshoods. Assertions without the least shadow of truth to support them, and insinuations as base and infamous as Envy and Malignancy could suggest - I think I know the Author and if my Conjecture is right, He is of that baneful Character which brings dishonor to those whom he means to befriend and the reverse to whom he opposes. —

His Excellency the Minister of France came to the Office respecting Dispatches from his Court which were delivered to M^r Marbois jun^r for him. —

An Officer of the Dana Frigate applied to request that I would order Capt Barney to deliver any french Seamen he may have on board the Washington to the said Frigate commanded by Capt. Copellis. — Inquired of Capt Barney who says he has not any french Seamen. —

John Pierce Esq^r Paymaster General for Money &c. — Sam^l Starbuck and W^m Potete of Nantucket shewed me their Memorial to Congress praying the Inhabitants of that

to whom he opposes. —

His Excellency the Minister of France came to the Office respecting Dispatches from his Court which were delivered to M^{rs} Marbois jun^r for him. —

An Officer of the Dana Frigate applied to request that I would order Capt Barney to deliver any french Seamen he may have on board the Washington to the said Frigate commanded by Cap^t Capellis. — Inquired of Cap^t Barney who says he has not any french Seamen. —

John Pierce Esq^r Paymaster General for Money &c. — Sam^l Starbuck and W^m Potete of Nantucket shewed me their Memorial to Congress praying the Inhabitants of that Island may be permitted to pursue the Whale fishing Business without interruption by American Cruizers, I think they ought to be indulged, promised them any Assistance in my Power & furnished a Copy of Admiral Digby's Passport to one of their fishing Vessels that had been taken by the Alliance. —

Diary in Office of Finance

March 12. 1783.

L. P. Morris Esq^r respecting the Dispatches and the Treaty with Great Britain.

TO ROBERT MORRIS, Esq.

"I have done the deed!" you may cry out like Macbeth, and with equal horror—"I have murdered public credit as she slept—I have murdered her, being my guest!" To your guardianship, sir, she was committed. Where is she now? Does she exist? Has not your announcing to the public, that nothing but the most insupportable injustice governs the councils of this nation—that we are not desirably incurring our debts, as the prospect of paying them diminishes, stab'd her to the heart? If the public give any credit to your assertions, if they do not judge, and such judgment would be right, that the man who is capable of betraying the high secrets of his office, is unworthy of credit; who is it that will henceforth trust a single shilling to the United States of America?

Was this a time, sir, when a persuasion of the impracticability of succeeding had so balanced with the enemy the injury of losing us; that the scales of war and peace hung even—was this a time to make such a disclosure of our weakness to that enemy? Did your tory friends deem it necessary to throw this into the scale of war, that all its horrors might be renewed upon us? That the enemy might be tempted to try once more, what force combined with seduction may do, against a people deprived of credit abroad by you, and thrown into convulsions at home? The able, the artful Carleton, stands ready for the purpose.

Could you, sir, be ignorant that these must be the effects of your publication? You cannot say you were. Ignorance made drunk could not but foresee it. Where then will your conduct find apology or atonement?

But what will the public think of you, when I show that your imputations are not founded in truth. Will you deny, sir, that at the time of your publication, Congress had resolved, that funding the public debts was proper; and that they were employed in preparing such a plan for it, as might be admissible in the States? Will you deny, that the payments from the respective States have been increasing as their abilities increased, and that the pressure of the war, and the almost total abolition of commerce, are the real reasons why their contributions are not fully adequate to satisfy the public demands? With what truth then could you say, that they are increasing their debts as the prospect of paying them diminishes; and that their minister must be the minister of injustice? Though I am not in the secrets of government, yet I have such information that I defy you to contradict myself.

Your partisans will say, you had a right to resign and to publish your reasons for it. In some measure this is true. But had you a right to calumniate Congress and deliver the public? I have shewn that you have attempted both; and, as far as the secrets of the heart are disclosed by actions, you appear to have done it with the most malignant view.

Let us suppose, for I am willing to give you every advantage, that your opinion, with regard to the most proper method of paying the public debt, had not been adopted, would that warrant your accusing Congress or the States of dishonesty and artifice? Can you say with truth, that any State, or portion of a State, has shewn the smallest inclination to defraud the public creditors? On what foundation then do your imputations rest? That your sovereign will should be received as law; that submission to your absolute dictation should be the only rule of government, are manifestly your sentiments. So much has a sudden and enormous acquisition of wealth, by speculating on the distresses of the war, pampered your pride and lubricated your understanding. Remember, sir, what you were and think what you may be. This admonition is the salutary admonition of a friend. There was a time, sir, and it is not long past, when you were compelled to atone, not only to Congress, but to individuals, by the most abject submission, for the injurious insolence of your conduct. Beware of a similar humiliation.

Whether this system of permanent funds, the establishment of which you demand in the name of a sovereign more than of a servant, be eligible, I shall not at present enquire. But as this system, however near your heart, did not originate with you, since you expressly stipulated to take no part in past transactions, it seems unaccountable that these actions should be made the reason of your resignation. Why should

you now be so anxious about these debts, which you stipulated to have no concern with at your entrance into office. Is it that you are engaged in those deep speculations, that have been made in loan office certificates. Must these speculations be secured in the possession of princely fortunes, or the United States be thrown into convulsions, and, as far as you can effect it, their credit ruined? Your former speculations had nearly ruined the worthy citizens of Boston; your present ones strike at the whole union. Perhaps then it will be found that all this boast of honesty and patriotism, is prompted solely by the interest you and your friends have in these certificates, not as original subscribers, but as paribetors from the distressed possessors, at an immense depreciation.

But whether folly, or faction, or private interest, or public enmity, were the real motives of your publication, the act itself is so replete with mischief that it ought to destroy you for ever in the opinion of a discerning people.

LUCIUS.

4. Travel

For each trip, indicate the number of persons traveling, the total days they will be in travel status, and the total subsistence and transportation costs for that trip. When a project will involve the travel of a number of people to a conference, institute, etc., these costs may be summarized on one line by indicating the point of origin as "various." All foreign travel must be listed separately.

| from/to | no. of
persons | total
travel
days | subsistence
costs | + transportation
costs | = NEH Funds
(a) | Cost Sharing
(b) | Total
(c) |
|------------------|-------------------|-------------------------|----------------------|---------------------------|--------------------|---------------------|--------------|
| NYC/Philadelphia | (1) | (3) | \$ 100 | \$ 100 | \$ 200 | | \$ 200 |
| Chicago/NYC | (1) | (3) | 300 | 600 | 900 | | 900 |
| NYC/Washington | (1) | (3) | 100 | 100 | 200 | | 200 |
| | () | () | | | | | |
| | () | () | | | | | |
| | () | () | | | | | |
| | () | () | | | | | |
| SUBTOTAL | | | | | \$ 1,300 | | \$ 1,300 |

5. Supplies and Materials

Include consumable supplies, materials to be used in the project, and items of expendable equipment; i.e., equipment items costing less than \$500 or with an estimated useful life of less than two years.

| item | basis/method of cost computation | (a) | (b) | (c) |
|---------------------|----------------------------------|--------|-----|--------|
| Computer Supplies | @ \$300 per year | \$ 300 | | \$ 300 |
| Expendable Supplies | @ \$200 per year | 200 | | 200 |
| Research Materials | @ \$200 per year | 200 | | 200 |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| SUBTOTAL | | \$ 700 | | \$ 700 |

6. Services

Include the cost of duplication and printing, long distance telephone, equipment rental, postage, and other services related to project objectives that are not included under other budget categories or in the indirect cost pool. For subcontracts over \$10,000, provide an itemization of subcontract costs on this form or on an attachment.

| item | basis/method of cost computation | (a) | (b) | (c) |
|--------------------|----------------------------------|--------|-------|--------|
| Computer Repair | @ \$500 per year | \$ 500 | | \$ 500 |
| Xerox Maintenance | @ \$775 per year | 775 | | 775 |
| Mainframe Computer | | | | |
| Timesharing | @ \$3000 per year | | 3,000 | 3,000 |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

SUBTOTAL

\$ 1,275

\$ 3,000

\$ 4,275

71,535

88,839

160,374

111,300

69.4% of 160,374

40.1-DC
IDC

1116 missing

National Endowment for the Humanities
BUDGET FORM

OMB No. 3136-0119
Expires 2/29/92

Page 4

| | |
|---|--|
| Project Director
Elizabeth M. Nuxoll | If this is a revised budget, indicate the NEH application/grant number: |
| Applicant Organization
Queens College and Research Foundation of CUNY | Requested Grant Period
From <u>July, 1990</u> to <u>June, 1992</u>
mo/yr mo/yr |

The three-column budget has been developed for the convenience of those applicants who wish to identify the project costs that will be charged to NEH funds and those that will be cost shared. FOR NEH PURPOSES, THE ONLY COLUMN THAT NEEDS TO BE COMPLETED IS COLUMN C. The method of cost computation should clearly indicate how the total charge for each budget item was determined. If more space is needed for any budget category, please follow the budget format on a separate sheet of paper.

When the requested grant period is eighteen months or longer, separate budgets for each twelve-month period of the project must be developed on duplicated copies of the budget form.

SECTION A — budget detail for the period from July, 1991 to June, 1992
mo/yr mo/yr

1. Salaries and Wages

Provide the names and titles of principal project personnel. For support staff, include the title of each position and indicate in brackets the number of persons who will be employed in that capacity. For persons employed on an academic year basis, list separately any salary charge for work done outside the academic year.

| name/title of position | no. | method of cost computation (see sample) | NEH Funds (a) | Cost Sharing (b) | Total (c) |
|--|-------|--|---------------|------------------|------------|
| Elizabeth M. Nuxoll
Proj. Director/Coeditor | [1] | 12 mo. FT @ (b) (6) | \$(b) (6) | \$(b) (6) | \$(b) (6) |
| M. Gallagher/Coeditor | [1] | 11 mo. FT @ (b) (6) | | (b) (6) | (b) (6) |
| N. Dearmont/Assoc. Ed. | [1] | 4 mo. FT @ (b) (6)
36 wks. x 20 hrs. x (b) (6) hr | (b) (6) | (b) (6) | (b) (6) |
| K. Mullen/Asst. Ed. | [1] | 52 wks. x 15 hrs. x (b) (6) | | (b) (6) | (b) (6) |
| Student Aide | [1] | 52 wks. x 20 hrs. x (b) (6) | | (b) (6) | (b) (6) |
| Research Assistant | [1] | 10 mos. x 20 hrs. @ stipend (b) (6) | | (b) (6) | (b) (6) |
| | [] | | | | |
| | [] | | | | |
| SUBTOTAL | | | \$ 55,069 | \$ 75,095 | \$ 130,164 |

2. Fringe Benefits

If more than one rate is used, list each rate and salary base.

| rate | salary base | (a) | (b) | (c) |
|-------------------|-------------|-----------|-----------|-----------|
| 23 % of \$(b) (6) | | \$(b) (6) | \$(b) (6) | \$(b) (6) |
| 0 % of \$(b) (6) | | 0 | 0 | 0 |
| SUBTOTAL | | \$(b) (6) | \$(b) (6) | \$(b) (6) |

3. Consultant Fees

Include payments for professional and technical consultants and honoraria.

| name or type of consultant | no. of days on project | daily rate of compensation | (a) | (b) | (c) |
|--------------------------------------|------------------------|----------------------------|-----------|-------|-----------|
| C. L. Ver Steeg
Editorial Advisor | 36 | \$(b) (6) | \$(b) (6) | | \$(b) (6) |
| E. James Ferguson
Reader | honorarium | | | 1,000 | 1,000 |
| | | | | | |
| | | | | | |

4. Travel

For each trip, indicate the number of persons traveling, the total days they will be in travel status, and the total subsistence and transportation costs for that trip. When a project will involve the travel of a number of people to a conference, institute, etc., these costs may be summarized on one line by indicating the point of origin as "various." All foreign travel must be listed separately.

| from/to | no. of
persons | total
travel
days | subsistence
costs | transportation
costs | = | NEH Funds
(a) | Cost Sharing
(b) | Total
(c) |
|------------------|-------------------|-------------------------|----------------------|-------------------------|---|------------------|---------------------|--------------|
| NYC/Philadelphia | (1) | (3) | \$ 100 | \$ 100 | = | \$ 200 | \$ | \$ 200 |
| Chicago/NYC | (1) | (3) | 300 | 600 | = | 900 | | 900 |
| NYC/Washington | (1) | (3) | 100 | 100 | = | 200 | | 200 |
| | () | () | | | = | | | |
| | () | () | | | = | | | |
| | () | () | | | = | | | |
| | () | () | | | = | | | |
| SUBTOTAL | | | | | | \$ 1,300 | \$ | \$ 1,300 |

5. Supplies and Materials

Include consumable supplies, materials to be used in the project, and items of expendable equipment; i.e., equipment items costing less than \$500 or with an estimated useful life of less than two years.

| item | basis/method of cost computation | (a) | (b) | (c) |
|---------------------|----------------------------------|--------|-----|--------|
| Computer Supplies | @ \$300 per year | \$ 300 | \$ | \$ 300 |
| Expendable Supplies | @ \$200 per year | 200 | | 200 |
| Research Materials | @ \$200 per year | 200 | | 200 |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| SUBTOTAL | | \$ 700 | \$ | \$ 700 |

6. Services

Include the cost of duplication and printing, long distance telephone, equipment rental, postage, and other services related to project objectives that are not included under other budget categories or in the indirect cost pool. For subcontracts over \$10,000, provide an itemization of subcontract costs on this form or on an attachment.

| item | basis/method of cost computation | (a) | (b) | (c) |
|----------------------|----------------------------------|----------|----------|----------|
| Computer repair | @ \$500 per year | \$ 500 | \$ | \$ 500 |
| Xerox maintenance | @ \$775 per year | 775 | | 775 |
| Computer timesharing | @ \$3,000 per year | | 3,000 | 3,000 |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| SUBTOTAL | | \$ 1,275 | \$ 3,000 | \$ 4,275 |

NEH Budget Form

7. Other Costs

Include participant stipends and room and board, equipment purchases, and other items not previously listed. Please note that "miscellaneous" and "contingency" are not acceptable budget categories. Refer to the budget instructions for the restriction on the purchase of permanent equipment.

| item | basis/method of cost computation | NEH Funds
(a) | Cost Sharing
(b) | Total
(c) |
|--|----------------------------------|------------------|---------------------|--------------|
| | | \$ | \$ | \$ |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| SUBTOTAL | | \$ | \$ | \$ |
| 8. Total Direct Costs (add subtotals of items 1 through 7) | | \$ 75,690 | \$ 94,411 | \$ 170,101 |

8. Total Direct Costs (add subtotals of items 1 through 7)

9. Indirect Costs [This budget item applies only to institutional applicants.]

5. Indirect Costs [This budget item applies only to institutional applicants.]
If indirect costs are to be charged to this project, check the appropriate box below and provide the information requested. Refer to the budget instructions for explanations of these options.

- ☒ Current indirect cost rate(s) has/have been negotiated with a federal agency. (Complete items A and B.)
- ☐ Indirect cost proposal has been submitted to a federal agency but not yet negotiated. (Indicate the name of the agency in item A and show proposed rate(s) and base(s), and the amount(s) of indirect costs in item B.)
- ☐ Indirect cost proposal will be sent to NEH if application is funded. (Provide an estimate in item B of the rate that will be used and indicate the base against which it will be charged and the amount of indirect costs.)
- ☐ Applicant chooses to use a rate not to exceed 10% of direct costs, less distorting items, up to a maximum charge of \$5,000. (Under item B, enter the proposed rate, the base against which the rate will be charged, and the computation of indirect costs or \$5,000, whichever sum is less.)

A. Dept. of Health and Human Services
name of federal agency

April 28, 1989
date of agreement

B.

| rate(s) | base(s) | NEH Funds
(a) | Cost Sharing
(b) | Total
(c) |
|----------------------|--------------|------------------|---------------------|--------------|
| 69.4 % | of \$ 75,690 | \$ 45,414 | \$ 7,115 | \$ 52,529 |
| 69.4 % | of \$ 94,411 | | 65,521 | 65,521 |
| | 190,101 | \$ 45,414 | \$ 72,636 | \$ 118,050 |
| TOTAL INDIRECT COSTS | | | | |

10. Total Project Costs (direct and indirect) for Budget Period

\$ 121,104 \$ 167,047 \$ 228,151

SECTION B — Summary Budget and Project Funding

SUMMARY BUDGET

Transfer from section A the total costs (column c) for each category of project expense. When the proposed grant period is eighteen months or longer, project expenses for each twelve-month period are to be listed separately and totaled in the last column of the summary budget. For projects that will run less than eighteen months, only the last column of the summary budget should be completed.

| Budget Categories | First Year/
from:
to: | Second Year/
from:
to: | Third Year/
from:
to: | TOTAL COSTS FOR
ENTIRE GRANT
PERIOD |
|---|-----------------------------|------------------------------|-----------------------------|---|
| 1. Salaries and Wages | \$123,303 | \$130,164 | \$ | = \$253,467 |
| 2. Fringe Benefits | (b) (6) | (b) (6) | | = (b) (6) |
| 3. Consultant Fees | (b) (6) | (b) (6) | | = (b) (6) |
| 4. Travel | 1,300 | 1,300 | | = 2,600 |
| 5. Supplies and Materials | 700 | 700 | | = 1,400 |
| 6. Services | 4,275 | 4,275 | | = 8,550 |
| 7. Other Costs | | | | = |
| 8. Total Direct Costs (Items 1-7) | \$160,374 | \$170,101 | \$ | = \$330,475 ✓ |
| 9. Indirect Costs | \$111,300 | \$118,050 | \$ | = \$229,350 |
| 10. Total Project Costs (Direct & Indirect) | \$271,674 | \$288,151 | \$ | = \$559,825 ✓ |

PROJECT FUNDING FOR ENTIRE GRANT PERIOD

| | | | |
|----------------------------------|-----------|----------------------------|-------------|
| Requested from NEH: ¹ | | Cost Sharing: ² | |
| Outright | \$182,961 | Cash Contributions | \$177,250 |
| Federal Matching | \$52,599 | In-Kind Contributions | \$147,015 |
| | | Project Income | \$ |
| TOTAL NEH FUNDING | \$235,560 | TOTAL COST SHARING | \$324,265 ✓ |

Total Project Funding (NEH Funds + Cost Sharing)³ = \$559,825

¹Indicate the amount of outright and/or federal matching funds that is requested from the Endowment.

²Indicate the amount of cash contributions that will be made by the applicant or third parties to support project expenses that appear in the budget. Include in this amount third-party cash gifts that will be raised to release federal matching funds. (Consult the program guidelines for information on cost-sharing requirements.)

Occasionally, in-kind (noncash) contributions from third parties are included in a project budget as cost sharing; e.g., the value of services or equipment that is donated to the project free of charge. If this is the case, the total value of in-kind contributions should be indicated.

When a project will generate income that will be used during the grant period to support expenses listed in the budget, indicate the amount of income that will be expended on budgeted project activities.

³Total Project Funding should equal Total Project Costs.

Institutional Grant Administrator

Complete the information requested below when a revised budget is submitted. Block 11 of the application cover sheet instructions contains a description of the functions of the institutional grant administrator. The signature of this person indicates approval of the budget submission and the agreement of the organization to cost share project expenses at the level indicated under "Project Funding."

Name and Title (please type or print)

Telephone ()

area code

BUDGET JUSTIFICATION

Background

The Summary Budget for this proposal lists the expected funding sources for the project.

The National Endowment has provided the bulk of the funding for the Morris Papers since its inception in 1968. Annual supplemental funding has been provided by the National Historical Publications and Records Commission since 1976. Although the level of its future appropriations from Congress remains uncertain, we have projected an annual grant from NHPRC in the Summary Budget.

if how much?

Justification for specific items in the budget follows:

Salaries and Wages

Editorial staff. Salary increases have been calculated at 6 percent. Compensation for the editors falls within the current average salary range for each rank according to figures compiled by the National Historical Publications and Records Commission.

Clerical and Research Assistants. To take over many of the clerical, administrative, and minor editorial tasks formerly done by Kathleen Haslbauer Mullen, we hired a student skilled in word-processing and clerical tasks who is now graduating. Mullen will return on a part-time basis to assist with complex computer, administrative, and proofreading tasks, while a student aide will perform lesser clerical duties. Salary has been assumed by Queens College. A research assistant valued at about (b) (6) annually is assigned to the project by the Graduate Center of the City University of New York. Our current assistant will also be replaced in September.

Consultants

Clarence L. Ver Steeg, Professor of History and former Dean of the Graduate School of Northwestern University, had been serving as Editorial Advisor to the Morris project since its inception. A distinguished scholar and author of a prize-winning study of Robert Morris, Dr. Ver Steeg is thoroughly familiar with his papers. Professor Ver Steeg reads and comments on important notes while they are in progress and, as before, reviews and comments in detail on the entire manuscript when it is sent to the press. During the two years for which funding is requested in this proposal Professor Ver Steeg will be reading and criticizing work in progress on volume 9; he will also read volume 8 in its entirety before it is submitted to the press in machine-readable form. He has been readily available to give advice to me and has visited our offices annually (b) (6)

(b) (6). We confer with him on all major issues affecting the project.

Retired and living in California, E. James Ferguson is now Editor Emeritus and Emeritus Professor of History at Queens College. He assists us by commenting both on annotation in progress that is particularly related to his special expertise in early American financial history and on volumes as a whole when sent to him after our final editing. The consultant's payment in the second year of this proposal represents compensation to him for reading and commenting on the manuscript of volume 9.

Travel

We have requested funds to enable Professor Ver Steeg to make one two-day trip each year to the Morris Papers office. These visits are essential if the project is to have the full benefit of his guidance.

Most of the unpublished documents or artifacts needed to annotate or illustrate the Morris Papers volumes are on deposit in institutions either in Washington, D.C., or in Philadelphia. Research trips to these places continue to be necessary to complete the final verification of texts that prove unreliable or are unreadable from the photocopies or microfilms in our office, to do research for annotation, and to locate and arrange for the duplication of illustrations. We have, therefore, included in the budget funds for three one-day trips to Philadelphia for research at the Historical Society of Pennsylvania, American Philosophical Society, and other Philadelphia repositories, and for one trip to Washington, D.C., for research in the Library of Congress, the National Archives and the numismatic collections of the Smithsonian Institution.

Supplies and Research Materials

An editorial project like the Morris Papers consumes an appreciable quantity of office supplies. Many of these are now supplied by the college but some items are not among those stocked by their supply department. Chief among our expenditures in this category are computer supplies (print-wheels, ribbons and diskettes), duplication supplies, and paper and stationary supplies of varying kinds.

The annotation of Morris's diary and correspondence requires us to resort to a variety of specialized research materials not readily available in our office, the Queens College Library, or New York City. During the preparation of a volume requests go out to research libraries, historical societies, and state archives throughout the country and abroad requesting copies of relevant eighteenth-century documents either in photocopy form or microfilm. We must also have on

hand for ready reference pertinent articles from scholarly journals. Some books and Ph.D. dissertations prove so useful that copies must be purchased for continuous reference.

Services

Maintenance agreements have been indispensable for the computers upon which we now rely so heavily--they served as insurance policies. However, by replacing outmoded equipment with new items covered by warranties for much of this grant period we can reduce our maintenance charges substantially. We have budgeted \$500 per year to cover any necessary parts and repairs. Time-sharing costs on the CUNY mainframe, contributed by Queens College, are also listed.

Also included is the cost of the maintenance agreement for the project's xerox machine. The xerox machine is essential for duplicating grant proposals, reports, and fund-raising materials, notes and documents sent to Professors Ver Steeg and Ferguson and other consultants for their advice during the preparation of a volume, and copies of the completed manuscript.

Indirect Costs

The approved federal indirect cost rate for Queens College is 69.4 per cent. As part of the increased institutional support of the project, indirect costs are budgeted at 60 per cent of Modified Total Direct Costs and the balance will be cost shared.

Elizabeth Miles Nuxoll

EDUCATION:

Ph.D., 1979. CUNY Graduate Center, New York, New York.
 Major: American History; Minor: Eastern European History.
 M.A., 1965. University of Wyoming, Laramie, Wyoming.
 Major: American Studies; Minor: International Relations.
 B.A., 1964. Marymount Manhattan College, New York, New York.
 Major: History; Minors: Education, Economics, and Political
 Science.

EDITORIAL EXPERIENCE:

Papers of Robert Morris, CUNY Research Foundation and Queens
 College of CUNY, Flushing, New York.
 1987 to date: Project Director and Coeditor
 1981 to 1986: Associate Editor; 1977-1980, Assistant Editor;
 1968-1971, Research Assistant and Assistant Editor (part
 time).

TEACHING EXPERIENCE:

Hofstra University, Hempstead, New York, Fall, 1984
 Adjunct Associate Professor (part time)
 (American History survey to 1865)

Lehman College of CUNY, Bronx, New York, 1972-1974.
 Adjunct Lecturer and Graduate Reader in American History (part
 time).

Hunter College of CUNY, New York, New York, 1969-1970.
 Lecturer in American History.

Queens College of CUNY, Flushing, New York, 1967-1969.
 Lecturer in Contemporary Civilization I (part time)
 (European History and Culture until 1789).

Mephram High School, Bellmore, New York, 1965-1967.
 Social Studies Teacher, 10th and 11th Grade (American History,
 American Studies, and European History).

RESEARCH AND PUBLICATIONS:

The Papers of Robert Morris, 1781-1784, vol. I (1973), V (1980),
 (Assistant Editor); VI (1984), VII (1988), (Associate Editor).

Doctoral Dissertation: "Congress and the Munitions Merchants: The
 Secret Committee of Congress during the American Revolution."
 Published by Garland Publishing, Inc., 1985, in its
 series Dissertations in American Economic History

Master's Thesis: "U.S. Cultural Relations: Exchanges in the Arts,
 1954-1964."

ARTICLES:

Biographical and bibliographical sketch of Robert Morris in
Research Guide to American Historical Biography
 (Beacham Publishing, 1988).

Biographical sketches of Josiah Quincy (1772-1864), David Low Dodge
 (1774-1852), Isaac Tatem Hopper (1771-1852), and Elizabeth
 Sanders (1762-1851) in Great American Reformers (1985).

"The Bank of North America and Robert Morris's Management of the
 Nation's First Fiscal Crisis," Business and Economic History,
 XIII (1984), 159-170.

"Congressional Investigation of Government Corruption during the
 American Revolution" (with E. James Ferguson), Congressional
Studies, VIII, number 2 (1981), 13-36.

"Colonial America: The Eighteenth Century," (Review Article),
Trends in History, I (Spring/Summer, 1979), 171-183.

ORAL PRESENTATIONS:

"The American Navy, the 'War of Finance' and the Quest for Specie,"
 paper presented at Eighth Naval History Symposium, U.S. Naval
 Academy, Annapolis, Maryland, September 25, 1987.

Commentator for panel on "The Economics of the American Revolution
 and Constitution" at the meeting of the Social Science History
 Association, St. Louis, Missouri, October 17, 1986.

"Robert Morris and the Dilemmas of Nationalist Party Leadership:
 Newburgh as a Test Case," paper presented at the Columbia
 University Seminar on Early American History and Culture,
 September 9, 1986.

"Freneau, France, and the Office of Finance," paper presented at
 the conference of the Northeast American Society for 18th
 Century Studies, Providence, Rhode Island, November 2, 1984.

"The Bank of North America and Robert Morris's Handling of the
 Nation's First Fiscal Crisis," paper presented at the Business
 History Conference, Hartford, Connecticut, March 10, 1984.
 Published in Business and Economic History, 1984.

"Robert Morris and the Handling of the Nation's First Fiscal
 Crisis," paper presented at Duquesne University History Forum,
 Pittsburgh, Pennsylvania, October 22, 1982.

Commentator, substituting for Rebecca Gruver, Panel on Reviewing
 American History Survey Textbooks, Organization of American
 Historians Conference, Philadelphia, April 3, 1982.

"Illegitimacy, Family Status, and Property in Revolutionary America: The Morris-Croxall Family as a Case Study," paper presented at The Fifth Berkshire Conference on the History of Women, Vassar College, Poughkeepsie, New York, June 18, 1981.

"The Munitions Merchants and Franco-American Relations during the American Revolution," paper presented at the conference of the Northeast American Society for 18th Century Studies, C.W. Post Center, Long Island University, October 18, 1980.

Recent Trends in Early American Political History" (with Catherine Silverman), paper prepared for panel on New Directions in Political History, at conference on New Frontiers in History, Seton Hall University, South Orange, New Jersey, April 17, 1979.

AWARDS AND HONORS:

N.Y. State Regents Scholarship; Marymount College Scholarship; Graduated cum laude; U. of Wyoming Fellowship; N.Y. State Regents Fellowship; Alternate for NHPRC Fellowship in Historical Editing (1977-1978).

PROFESSIONAL ORGANIZATIONS:

Institute for Research in History; Association for Documentary Editing; Columbia Seminar in Early American History; American Historical Association; Organization of American Historians;

ADDRESS and TELEPHONE

Elizabeth M. Nuxoll

(b) (6)
(b) (6)

Papers of Robert Morris
Queens College
65-30 Kissena Blvd.
Flushing, New York 11367
718/670-4200/4208

MARY A. Y. GALLAGHER

AREAS OF SPECIALIZATION

Latin America: Colonial Period
United States: Colonial Period
Iberian Peninsula: 18th Century

EDUCATION

Ph. D., 1978: Graduate School and University Center of the
City University of New York
Major: Latin American History; Minor: American History,
Colonial Period

M.A., 1967: University of Notre Dame, South Bend, Indiana
Major: Latin American History; Minor: American History

B.A., 1966: Notre Dame College of Staten Island, New York
Majors: History, Music; Minor: French

AWARDS AND HONORS

University Fellowship, University of Notre Dame, 1966-1967
Fellowship for Doctoral Study, SUNY, Stony Brook, 1968 - declined
Research Assistantship, CUNY Graduate Center, 1968-1971
Fulbright-Hays, Spain, 1970 - declined
CUNY Research Subsidy, Peru, 1972
AAUW Shirley Farr Dissertation Fellowship, 1977
Mellon Post-Doctoral Award, First Alternate, CUNY, 1978

WORK EXPERIENCE

Papers of Robert Morris, Queens College of the City University of
New York

1987 to date: Coeditor
1985 to 1986: Associate Editor
1981-1985: Assistant Editor
1979-1980: Editorial Assistant
1973 to date: Translator
1971-1973: Assistant Editor

Hunter College of CUNY

1986 to date: Adjunct Assistant Professor, Latin American and
American History

Notre Dame College of Staten Island, Staten Island, New York,

1967-1968: Instructor in History, Latin American and American
History

Stamford Catholic High School, Stamford, Connecticut

1963-1966: Social Studies Teacher, European History

RESEARCH AND PUBLICATIONS

The Papers of Robert Morris, 1781-1784, vols. I (1973), II (1975), and VI (1984), as assistant editor; VII (proj. 1987) as associate editor

Doctoral Dissertation: "Imperial Reform and the Struggle for Regional Self-Determination: Bishops, Intendants and Creole Elites in Arequipa, Peru (1784-1816)"

"Padre Antonio Vieira and the Role of the New Christians in Portugal, 1640-1668," The Journal of the American-Portuguese Cultural Society, Vol. IV, Nos. 3-4, 1970, pp. 14-30, 58-62.

"Aristocratic Opposition to the Establishment of a Foundling Home in Arequipa, Peru," Studies in Eighteenth Century Culture, (University of Wisconsin Press, 1979), Vol. 9, pp. 45-58.

"Interactions," Trends in History, Vol. 1 (Fall, 1979), pp. 153-164.

ORAL PRESENTATIONS

"Aristocratic Opposition to the Establishment of a Foundling Home in Arequipa, Peru" at the Convention of the Northeast American Society for Eighteenth-Century Studies, October, 1977.

"Women and the Establishment of the Foundling Home in Arequipa, Peru, 1780-1805" at the Fourth Berkshire Conference of Women Historians, August, 1978.

"The Conflict over the Appointment of Parish Clergy to Ecclesiastical Benefices in Peru: The Case of Arequipa, 1784-1795" at the Convention of the Northeast American Society for Eighteenth-Century Studies, October, 1978. Re-presented at the Seton Hall University Conference, April, 1979.

"Imperial Reform and Creole Ambition: The Conflict over Higher Education in Peru, 1750-1825" at the Convention of the East-Central Region of the American Society for Eighteenth-Century Studies, November 1979.

"Indian Emancipation and Arequipenan Enterprise, 1785-1795" at the Convention of the Northeast American Society for Eighteenth-Century Studies, October, 1980.

"Naval Pay and Captain's Privilege during the American Revolution" at the Eighth Naval History Symposium, September, 1987.

PROFESSIONAL ORGANIZATIONS

Northeast American Society for Eighteenth-Century Studies
Institute for Research in History

Member, Board of Directors, 1979-1981

Member, Political History Research Group

Association of Documentary Editors, 1981-

NELSON S. DEARMONT

AREAS OF SPECIALIZATION IN HISTORY

Early United States
17th and 18th-century British
History of Ideas/History of Science

EDUCATION

Ph. D., 1975: Graduate School and University Center of the City
University of New York

Major: American History to 1860
Minor: Modern British History/History of Science

Graduate Studies in History, 1958-1959: Brown University

Undergraduate Studies, 1948-1958

Duke University, 1948-1949
Westminster College, Fulton, Mo., 1949-1950
Education interrupted 1951-1955, by service in U.S. Air Force
Brown University, Providence, R.I., 1955-1958; B.A. Magna cum
laude, April 1958. Major: Philosophy

AWARDS AND HONORS

Francis Wayland Scholar (Brown University) in 1956 and 1957
Phi Beta Kappa
Queens College Graduate Fellowship, 1965-1966
NDEA Graduate Fellowship, 1966-1969

WORK EXPERIENCE

Papers of Robert Morris, Queens College of the City University of
New York

1980-1981, 1987 to date: Associate Editor
1973-1980, 1984: Assistant Editor

Queens College of CUNY
1980 to date: Adjunct Assistant Professor in American History

Hunter College of CUNY
1970-1973: Adjunct Instructor in American History

Program for Loyalist Studies and Publications, Graduate School and
University Center of CUNY
1971: Researcher

WORK EXPERIENCE (Continued)

Queens College of CUNY
1965-1966: Graduate Assistant

Roberts, Rutter and Company, New York, New York
1959-1965: Portfolio analyst, investment advisory department

RESEARCH AND PUBLICATIONS

Doctoral Dissertation: "Secrecy in Government: The Public Debate in Congress during the Formative Years of the American Republic"

Conference in American Intellectual History in honor of Donald Fleming, Cambridge, Massachusetts, October 14-15, 1988

"Federalist Attitudes toward Governmental Secrecy in the Age of Jefferson," The Historian, XXXVII (February 1975)

The Papers of Robert Morris, 1781-1784 (Pittsburgh: University of Pittsburgh Press), vol. III (1977), IV (1978), and VII (1989) as assistant editor; V (1980), and VI (1984), as associate editor

"Tunis Wortman," in American Writers Before 1800: A Biographical and Critical Dictionary (London & Westport, Conn.: Greenwood Press, 1983)

"Gouverneur Morris, 1752-1816," in Research Guide to American Historical Biography (Washington, DC.: Beacham Publishing, 1988)

Reviews: Richard L. Blanco, The War of the American Revolution: A Selected Annotated Bibliography of Published Sources (New York: Garland, 1983) in American History: A Bibliographic Review (1985).

Wayne Cutler, ed., North for Union: John Appleton's Journal of a Tour to New England Made by President Polk in June and July 1847 (Nashville: Vanderbilt, 1986) in Journal of the Early Republic (summer 1987).

PROFESSIONAL ORGANIZATIONS

American Historical Association
Institute of Early American History and Culture
Society for the History of the Early American Republic
American Association for the History of Medicine
Columbia University Seminar on American Civilization
Association for Documentary Editing

ABBREVIATED VITA

CLARENCE L. VER STEEG

Professor of History

Northwestern University, Evanston, Illinois

GENERAL INFORMATION

Born:

(b) (6)

Degrees:

B.A. Morningside College, Sioux City,
Iowa (1943, in absentia because of
early induction into United States
Army Air Forces)

M.A. Columbia University (1946)

Ph.D. Columbia University (1950)

Post-Graduate
Education:

Northwestern University Law School
(1974-1975), currently in good
standing

War Service:

1942-1945, USAAF; combat as radar
navigator in heavy bombardment
squadron, Southwest Pacific; decorated:
Air Medal with three Oak Leaf Clusters

PRINCIPAL SCHOLARLY PUBLICATIONS

1. Robert Morris, Revolutionary Financier, University of Pennsylvania Press, 1954. Manuscript awarded the Beveridge Prize by the American Historical Association, 1952.
2. A True and Historical Narrative of the Province of Georgia, University of Georgia Press, 1960. Edited with Introduction.

3. The American People: Their History, Harper and Row, 1961, 1964.
4. The Formative Years, 1607-1763, Hill and Wang, 1964; published in England by Macmillan, 1965.
5. The Story of Our Country, Harper and Row, 1965.
6. Great Issues in American History: From Settlement to Revolution, 1584-1776, Vintage, Random-House, 1969, edited with Richard Hofstadter.
7. Investigating Man's World, Scott, Foresman and Company, 1969, co-author.

| | |
|------------|-------------------------------|
| Volume I | Family Studies |
| Volume II | Local Studies |
| Volume III | Metropolitan Studies |
| Volume IV | Regional Studies |
| Volume V | United States Studies (1970) |
| Volume VI | Inter-American Studies (1970) |
8. A People and a Nation, Harper and Row, 1971, co-author with Richard Hofstadter, most recently revised 1981.
9. The Origins of a Southern Mosaic: Studies in the Early Carolinas and Georgia, University of Georgia Press, 1976.
10. World Cultures, Scott, Foresman and Company, 1977.
11. American Spirit, Follett Publishing Company, 1982.
12. Publications Other Than Complete Books:
 - A. "The Launching of the New Government" in Problems in American History, edited by R. W. Leopold and A. S. Link, Prentice-Hall, 1952, 1957, 1966.
 - B. "Alexander Hamilton: His Contribution to Constitutional Government" from Representative American Speeches, 1956-57, edited by A. Craig Baird, H. W. Wilson Company, 1957.

- C. "The North American Colonies in the Eighteenth Century, 1688-1763" from Interpreting and Teaching American History, W. H. Cartwright and R. L. Watson, Jr., co-editors, National Council for Social Studies, 1961.
- D. "The Founding of America" in The Democratic Experience, Scott, Foresman and Company, 1963, most recently revised, 1981.
- E. "Historians and the Southern Colonies" from The Reinterpretation of Early American History, edited by R. A. Billington, The Huntington Library Press, 1966; reprinted by W. W. Norton and Company, 1968.
- F. "The Preamble to the Constitution of the United States of America, 1787" from An American Primer, edited by Daniel J. Boorstin, University of Chicago Press, 1966.
- G. Approximately 15 articles, perhaps the most significant of which is "The American Revolution Considered as an Economic Movement" published originally in the Huntington Library Quarterly, but since republished in ten different anthologies.
- H. More than 100 book reviews of which about 50 have appeared in learned Journals.
- I. Editorial consultant, The Papers of Robert Morris, 1969--; Volumes I-VI published 1973--, Volumes VII-IX in preparation.

HONORS

- 1. National Fellow in Economic History, Social Science Research Council, 1948-49
- 2. Albert J. Beveridge Prize awarded by the American Historical Association, 1952
- 3. George A. and Eliza Gardner Howard Foundation Fellow, 1954-55

4. Research Fellow, Huntington Library, 1955
5. National Fellow, American Council of Learned Societies, 1958-59
6. John Simon Guggenheim Fellow, 1964-65
7. Visiting Member, Institute for Advanced Study, 1967-68
8. Senior Fellow, National Endowment for the Humanities, 1973

TEACHING AT OTHER UNIVERSITIES

1. Columbia University, Lecturer, 1946-48; Instructor, 1949-50
2. Harvard University, Visiting Professor, 1959-60
3. Lecturer in American History, Summer Institute sponsored by Stanford University for teachers of American History in Europe, North Africa, and the Middle East, Alpach, Austria, 1966

CAREER AT NORTHWESTERN UNIVERSITY

Instructor, 1950-52
 Assistant Professor, 1952-55
 Associate Professor, 1955-59
 Professor, 1959-
 Dean, The Graduate School, 1975-86

PROFESSIONAL ACTIVITIES IN HISTORY

1. Council of the Institute of Early American History and Culture, Williamsburg, Virginia, 1961-64; 1968-72; Chairman, Executive Committee, 1970-72
2. Manuscript Committee of the Frederick Jackson Turner Prize of the Organization of American Historians, 1964-65

3. Committee for the Future, Organization of American Historians, 1963-65
4. American representative to the Anglo-American Historical Committee, 1964-65
5. Committee on the Commemoration of the American Revolution Bicentennial of the American Historical Association, 1963-73; Chairman, 1969-73
6. Elected Chairman of the Nominating Committee, American Historical Association, 1965-68
7. Member of the National Research Panel, the National Endowment for the Humanities, Washington, D. C., 1967-68; 1969-72
8. Member of the International Council on the Publication of the Loyalist Papers, 1968-74
9. Appointed member of the Nominating Committee of the Southern Historical Association, 1970-72
10. Member of the Advisory Planning Committee for the Newberry Library, 1970-73

SPECIAL PROFESSIONAL ACTIVITIES IN HIGHER EDUCATION

Most members of a university faculty participate in an endless variety of department, school, and university-wide committees. This vita assumes this type of activity as a given. Only those activities which can be properly identified as unique are listed.

1. Chairman, Northwestern Faculty Committee to Plan and Build the New University Library, 1961-70
2. Chairman, Northwestern University Faculty Committee to Plan the Future of the University, 1962-65, that developed a "Plan of the Seventies" that has been largely implemented
3. Chairman, Faculty Committee to Advise the Illinois State Board of Higher Education in Preparing the Master Plan for Higher Education for Public Institutions of the State, 1962-64

4. Member, Board of Directors, The Center for Research Libraries, 1980-1985
5. Member, Graduate Record Examinations Board, Educational Testing Service, 1981-, Chairman, 1984-
6. President, The Association of Graduate Schools in The Association of American Universities, 1984-
7. Member, Board of Directors, The Council of Graduate Schools in the United States, 1983-
8. Member, Steering Committee, Consortium on Financing Higher Education Graduate Research Project, 1981-
9. Member, Government-University-Industry Research Roundtable Working Group on Talent, National Academy of Sciences, 1984-
10. Member, Higher Education Policy Advisory Committee to OCLC, Online Computer Library Center, Inc., 1984-

RECENT ACTIVITY REGARDING INTERNAL EVALUATION AT NORTHWESTERN UNIVERSITY

1. Chairman, Committee to Review the Future of the School of Education, 1977
2. Chairman, Task Force on the Life Sciences, 1977-78

Statement of History of Grants

If the project has received previous support from any federal or nonfederal sources, including the Endowment, please list below the individual sources of these funds as well as the date and total dollar amount of each contribution to the project. If the project has had a long history of support, the sources and contributions may be grouped and summarized so that the list will not exceed one page.

I. NEH Grants: Continuous funding from February 1, 1968 to the present.
 16 grants totalling \$1,543,325
 5 grants carried matching funds
 See below

II. NHPRC Grants

Continuous funding since 1976 except for a brief period in 1982 when no funds were available. In recent years the grants have fluctuated between \$27,000 and \$29,000 per year.
 13 grants totalling \$306,665

III. Professional Staff Congress Grants (City University of New York)
 7 grants between 7/1/77 and 6/30/84.
 Total: \$45,466

N.B. The Papers of Robert Morris are no longer eligible for this grant because no current staff member is a full-time faculty member of CUNY.

IV. Private Contributions

| | |
|--|----------|
| 1. Donaldson, Lufkin & Jenrette, Inc. | \$30,000 |
| 2. Gifts, NEH Grant Period 4/1/77-9/30/80 | 3,000 |
| 3. Gifts, NEH Grant Period 10/1/82-6/30/84 | 29,739 |
| 4. Gifts, NEH Grant Period 10/1/84-6/30/86 | 51,095 |
| 5. Gifts, NEH Grant Period 7/1/86-6/30/88 | 30,000 |
| 6. Gifts, NEH Grant Period 7/1/88-date | 7,430 |

\$151,264

QC/CUNY Cost Sharing, previously estimated as averaging 25% of the project's total budget, now averages about 32% of the budget.

LIST OF SUGGESTED REVIEWERS

- 1) Name: Professor Stuart W. Bruchey
Institution: Columbia University
Address: Department of History
 New York, N.Y. 10027

- 2) Name: Professor John J. McCusker
Institution: University of Maryland
Address: Department of History
 College Park, Maryland 20742

- 3) Name: Professor Jacob E. Cooke
Institution: Lafayette College
Address: Department of History
 Easton, Pennsylvania 18042

- 4) Name: Richard A. Ryerson, Editor in Chief
Institution: The Adams Papers
Address: Massachusetts Historical Society
 Boston, Massachusetts 02215

OVER/

- 5) Name: John P. Kaminsky
Institution: Documentary History of the Ratification of the Constitution
Address: Department of History/Humanities Building
455 North Park Street
University of Wisconsin
Madison, Wisconsin 53706
- 6) Name: William W. Abbott, Editor
Institution: The Papers of George Washington
Address: Alderman Library
The University of Virginia
Charlottesville, Virginia 22901
- 7) Name: Dorothy W. Twohig
Institution: The Papers of George Washington
Address: Alderman Library
The University of Virginia
Charlottesville, Virginia 22901
- 8) Name: Richard K. Showman
Institution: The Papers of Nathanael Greene
Address: Rhode Island Historical Society
110 Benevolent Street
Providence, Rhode Island 02906



Coin World

March 29, 1989

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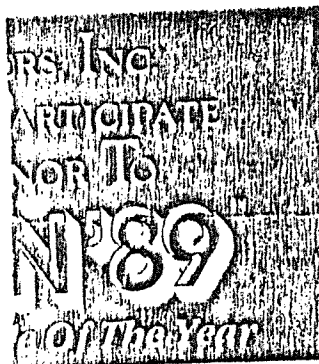
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Robert Morris papers examine early coinage

The seventh volume of *The Papers of Robert Morris, 1781-1784*, in part relating to Morris' presentation to Congress in April 1783 of the Nova Constellatio pattern coins, is available from the University of Pittsburgh Press.

The volume is the seventh in a nine-volume set of papers scheduled about Morris, who as Superintendent of Finance of the United States from 1781 to 1784 under the Articles of Confederation, was responsible for "keeping the money machine going" after the collapse of the Continental currency. The seventh volume covers the period of time in history from Nov. 1, 1782, to May 4, 1783.

The papers document the creation and use of many of the coins, notes and certificates of the Revolutionary Era.

Volume 7, published in February, prints the documents relating to the Nova Constellatio pattern coins, accompanied by illustrations made available by John J. Ford Jr. Also included are notes analyzing the most recent research concerning the production and design of the Nova Constellatio pieces and their relationship to subsequent American coinage and the development of national consciousness (see *Coin World* issues Jan. 8, 1980, and Oct. 27, 1982).

The editors have added new insights based on their own research, particularly the significance of the unique Libertas/Justitia ("Liberty and Justice") motto appearing on these pattern pieces.

The notes supplement the discussion of Morris' plan for a Mint of Jan. 15, 1782, which appeared in Volume 4.

Included in Volume 7 is a report of Dec. 12, 1782, drafted by Morris as Assistant Superintendent of Finance, discussing the val-

ue at which foreign coins should be received at the American Treasury. Morris' theories led to the general preference given silver coins by the first American banks, and shaped banking policy on the value of coins throughout the Confederation era.

Also included is a discussion of the virtually unknown "subsistence notes" or "Hillegas notes." Signed by Michael Hillegas, Treasurer of the United States, they were issued in 1783 to Army officers to use for purchasing food and other necessities from Army contractors or on the market. No specimen of this note is known to survive, according to the editors.

Appearing in the appendix are the early records of the Bank of North America, documenting the procedures of the nation's first national and commercial bank, created to implement Morris' Plan for Establishing a National Bank of May 17, 1781.

Volumes of Morris' papers are available at \$55 per volume from the University of Pittsburgh Press, 127 N. Bellefield Ave., Pittsburgh, Pa. 15260, or by telephoning (412) 624-4110. *Coin World* readers wishing to acquire two or more volumes may receive a 20 percent discount by contacting Peter Oresik at the University of Pittsburgh Press within six months.

Persons knowing of the existence in private collections of documents or financial instruments pertaining to Morris' administration as Superintendent of Finance are asked to contact Dr. Elizabeth M. Nuxoll. The Papers of Robert Morris, Queens College of CUNY, 65-30 Kissena Blvd., Flushing, N.Y. 11367.

The volume was edited by John Catanzariti; Elizabeth M. Nuxoll and Mary A.Y. Gallagher served as associate editors.



NEWSLETTER

ISSUE NUMBER 3

MARCH 1989

★ ★ ★ ★ ★ ★ ★ ★ ★ ★ ★ ★ ★ ★



Coming Events



OPEN HOUSE will be Saturday, April 1, from 9 a.m. to 3 p.m.

The Book Cellar and Gift Shop will be open, and refreshments sold.

"Stitches in Time" quilts will be on display.

REGULAR MEETING on Sunday, April 2, at 2 p.m. will feature a presentation by Dr. Elizabeth Nuxoll, coeditor of *The Papers of Robert Morris*. A reception for Dr. Nuxoll will follow.

THE SUMMERSEAT STAMP COLLECTORS meet the fourth Wednesday of every month, 7:30 p.m.

★ ★ ★ ★ ★ ★ ★ ★ ★ ★ ★ ★ ★ ★

"THE PAPERS OF ROBERT MORRIS" depict the last years of the American Revolution as a "war of finance" in which the inability or unwillingness of either side to mobilize its resources could spell defeat. They disclose how Robert Morris put his most effective weapon—his reputation and personal credit—at the service of his country by cosigning for the United States government when the nation's credit was nonexistent. Elizabeth Nuxoll, coeditor and project director for publication of the Morris papers will present a slide-illustrated lecture on Morris's contributions to the success of the Revolution at 2 p.m. on Sunday, April 2, at Summerseat. She will present HMS with a copy of the newly completed seventh volume of *The Papers of Robert Morris*, and her talk will give the audience a sense of how Morris administered the treasury with a keen appreciation of the marketplace and the managerial skills and instincts of a shrewd entrepreneur.

The Papers..., which are being published by the University of Pittsburgh Press, are expected to restore the "Financier of the American Revolution," for whom Morrisville was named, to his rightful place in U.S. history. Reviewing the initial volumes, the *Journal of American History* commented, "It is not too much to say that when this [documentary] record is fully disclosed Alexander Hamilton will be seen standing in the long shadow cast by Robert Morris." *American Historical Review* was equally enthusiastic: "In the hands of skilled and extraordinarily knowledgeable historians the series will clarify the question of how the United States solved the most complex problem it ever faced—how to finance a war without an income." HMS members are welcome to bring friends to Dr. Nuxoll's presentation. Refreshments will be served at a reception for Dr. Nuxoll after the meeting.

ELIZABETH M. NUXOLL, who will speak at the April 2 meeting of HMS, is project director and coeditor of *The Papers of Robert Morris* which are being prepared at Queens College and published by the University of Pittsburgh Press. She received her B.A. degree from Marymount Manhattan College, her M.A. from the University of Wyoming, and her Ph.D. from the City University of New York (CUNY) Graduate Center, majoring in American History with a minor in Eastern European History. She has extensive teaching experience as a lecturer and professor of history at Queens College, Hunter College and Lehman College of CUNY, and at Hofstra University. Her many publications include "The Bank of North America and Robert Morris's Management of the Nation's First Fiscal Crisis," *Business and Economic History* (Beacham Publishing, 1988), and a review article, "Colonial America: the Eighteenth Century," *Trends in History*, (Spring/Summer, 1979). As an undergraduate, Dr. Nuxoll received a New York State Regents Scholarship and a Marymount College Scholarship, where she graduated *cum laude*. She earned a University of Wyoming Fellowship and a New York State Regents Fellowship. Among Dr. Nuxoll's professional affiliations are memberships in the Institute for Research in History, the American Association for Documentary Editing, the Columbia Seminar in Early American History, the American Historical Association, and the Organization of American Historians.



Robert Morris

★ ★ ★ ★ ★ ★ ★ ★ ★ ★ ★ ★ ★ ★

THE STITCHES IN TIME EXHIBIT listed under "Coming Events" will include a collection of old and new quilts, samplers, hand loomed blankets, a jacquard coverlet, embroidered items, and other hand work. The exhibit will be on display in the Lafayette Ballroom during open house April 1 and the April 2 meeting, and at other times to be announced via the local news media.

'Morris Papers' coeditor to speak in Morrisville

Dr. Elizabeth M. Nuxoll of Queens College, project director and coeditor of "The Papers of Robert Morris," will speak at a meeting of Historic Morrisville Society at Summerseat, Hillcrest and Legion Avenues, at 2 p.m. on Sunday, April 2.

Dr. Nuxoll will present the Historic Society with a copy of the newly published seventh volume of the Morris papers in the Colonial mansion owned by "The Financier of the Revolution" from 1791 until 1798.

Dr. Nuxoll's talk, illustrated by slides, will summarize Morris's melodramatic life and illustrious but controversial career, stressing his activities as Superintendent of Finance, commenting on his relationship to Morrisville and on his daughter, Polly Croxall, who married Belvidere, N.J., and on his role in the new government established under the Constitution.

She will cover the question of the location of the capital, which he hoped to establish at the falls of the Delaware, and will comment on his bankruptcy and its impact.

The "Papers of Robert Morris" series has received critical acclaim from historians. Reviewing the initial volumes, the "Journal of American History" commented, "It is not too much to say that when this (documentary) record is fully disclosed Alexander Hamilton will be seen standing in the long shadow cast by Robert Morris."

"The American Historical Review" said, "In the hands of skilled and extraordinarily knowledgeable historians the series will help clarify the question of how the United States solved the most complex problem it ever faced — how to finance a war without an income."

Volume 7 of the Morris papers, now available from the University of Pittsburgh

of the American Revolution as a "war of finance" and discloses how Robert Morris put his most effective weapon, his own reputation and personal credit, at the service of his country by co-signing for the United States government when the nation's credit was nonexistent.

A reception for Dr. Nuxoll will be held after the meeting. There is no admission charge and the public is invited to attend.

Historic Morrisville Society will hold a regular open house on Saturday, April 1 from 9 a.m. to 3 p.m. The Summerseat Book Cellar and Gift Shop will be open as usual during open house, and there will be an exhibit of quilts, samplers, hand loomed blankets, a jacquard coverlet and other hand work in the Lafayette Ballroom.

Summerseat is a national historic landmark, having served as General George Washington's headquarters from December 8 to 14, 1776, and later was the home, at different times, of Robert Morris and George Clymer. Morris and Clymer were signers of both the Declaration of Independence and the Constitution.

March 30, 1989

THE YARDLEY NEWS

Quilt, sampler exhibition at Historic Summerseat

"Stitches in Time," an exhibit of quilts, samplers, hand-loomed blankets, a jacquard coverlet and other hand work, will be on display at Summerseat, Hillcrest and Legion Avenues, Morrisville, four days in April.

The exhibit will be shown during Historic Morrisville Society's open house from 9

a.m. to 3 p.m. on Saturday, April 1 and after Dr. Elizabeth Nuxoll's presentation on Robert Morris at 2 p.m. on Sunday, April 2. The exhibit will open again from 1 p.m. to 4 p.m. on Wednesday, April 5, and from 9 a.m. to 3 p.m. on Saturday, April 8. The public is invited and there is no admission charge at any of these times.

Dr. Nuxoll, who will speak on Robert Morris, is project director and coeditor of "The Papers of Robert Morris," being published in nine volumes by the University of Pittsburgh Press. Her talk, which will be illustrated by slides, will be followed by a reception.

There will also be a card party and fashion show at Summerseat on April 17, sponsored by the Makefield Lakes Garden Club and with fashions by Jamie of Newtown. There will be \$5 charge for the card party, at which dessert will be served, starting at 12:30 p.m.

For information on the card party and fashion show, call 295-3645.

Proceeds from the card party will benefit the restoration fund of Historic Morrisville Society.

Option I. (Certification for Grantees Other than Individuals)

The grantee certifies that it will provide a drug-free workplace by

(a) publishing a statement notifying employees that the unlawful manufacture, distribution, dispensation, possession or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibitions;

(b) establishing a drug-free awareness program to inform employees about

(1) the dangers of drug abuse in the workplace,

(2) the grantee's policy of maintaining a drug-free workplace,

(3) any available drug counseling, rehabilitation, and employee assistance programs, and

(4) the penalties that may be imposed on employees for drug abuse violations;

(c) making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (a);

(d) notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the grant, the employee will

(1) abide by the terms of the statement and

(2) notify the employer of any criminal drug statute conviction for a violation occurring in the workplace not later than five days after such conviction;

(e) notifying the agency within ten days after receiving notice under subparagraph (d)(2) from an employee otherwise receiving actual notice of such conviction;

(f) taking one of the following actions with respect to any employee who is so convicted:

(1) taking appropriate personnel action against such an employee, up to and including termination, or

(2) requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency; and

(g) making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a), (b), (c), (d), (e), and (f).

Option II. (Certification for Grantees Who Are Individuals)

The grantee certifies that he or she will not engage in the unlawful manufacture, distribution, dispensation, possession, or use of a controlled substance in conducting any activity with the grant.

When application is made by an institution, sections (a) and (b) are to be completed. Individual applicants should complete only section (a).

(a) Individual Applicant or Authorizing Official of Applicant Institution

Hannah Petzenbaum Director, Office of Grants and Contracts

Name and Title (Print or Type)

Hannah Petzenbaum

Signature

31 May 1989

Date

(b) Information Concerning this Application

Queens College of CUNY

Research Foundation of CUNY

Name of Applicant Institution

Dr. Elizabeth Nuxoll

Name of Project Director

1. Individual applicant or project director**a. Name and mailing address**

Name Nuxoll, Elizabeth M.
(last) (first) (initial)

Address The Papers of Robert Morris
Queens College
65-30 Kissena Boulevard

Flushing, New York 11367-0904
(city) (state) (zip code)

b. Form of address: Dr.

c. Social Security # (b) (6) Date of birth (b) (6)
(mo./day/yr.)

d. Telephone number
 Office: 718-670-4208 Home (b) (6)
(area code)

e. Major field of applicant or project director American History A3
(code)

f. Citizenship (b) (6)

2. Type of applicant

- a. ☐ by an individual b. ☒ through an org./institute
 If a, indicate an institutional affiliation, if applicable, on line 11a.
 If b, complete block 11 below and indicate here:
 c. Type Educational
 d. Status Public Nonprofit

3. Type of application

- a. ☐ new c. ☒ renewal
 b. ☐ revision and resubmission d. ☐ supplement
 If either c or d, indicate previous grant number:
RE20732-88

4. Program to which application is being made

Texts/Editions

Endowment Initiatives:
(code)

5. Requested grant period

From: 7/90 To: 6/92
(month/year) (month/year)

6. Project funding

| | |
|------------------------|-------------------|
| a. Outright funds | \$ <u>182,961</u> |
| b. Federal match | \$ <u>52,599</u> |
| c. Total from NEH | \$ <u>235,560</u> |
| d. Cost sharing | \$ <u>324,265</u> |
| e. Total project costs | \$ <u>559,825</u> |

7. Field of project

A3

8. Descriptive title of project

The Papers of Robert Morris 1781-1784

9. Description of project (do not exceed space provided) This project is publishing the definitive edition of the papers of Robert Morris as Superintendent of Finance, 1781-1784. Included are Morris's diary in the Office of Finance and his public and private correspondence (both incoming and outgoing). These papers depict the activities of the U. S. government under the Articles of Confederation, our nations first constitution. Morris's administration set the stage for the adoption of the Constitution of 1787 and anticipated Hamilton's fiscal program of the 1790s. The series, published by the University of Pittsburgh Press, has been scaled down to 9 volumes (the last three selective) and a concluding microform supplement. Computer-assisted text editing and indexing have been introduced. Volume 7 was published in 1989. This proposal requests funding to publish volume 8 and submit volume 9 to the press.

10. Will this proposal be submitted to another government agency or private entity for funding?

(if yes, indicate where and when): National Historical Publications and Records Commission/Summer 1989

11. Institutional data**a. Institution or organization:**

Queens College of the City University of NY
(name)
Flushing, New York
(city) (state)

b. Name of authorizing official:

Perzenbaum, Hannah
(last) (first) (initial)
Director, Office of Grants and Contracts
(title)
Hannah Perzenbaum 5/30/89
(signature) (date)

c. Name and mailing address of the institutional grant administrator

Lanzetta, Mary Ann
(last) (first) (initial)
Comptroller, Research Foundation of CUNY
79 Fifth Avenue
New York, New York 10003
(city) (state) (zip code)

Telephone: 212/886/2922 Form of address Ms.
(area code)

12. Federal debt status

I certify that I am not delinquent on repayment of any federal debt.

This institution certifies that it is not delinquent on repayment of any federal debt.

Elizabeth M. Nuxoll
(signature, person named in Block 1)

Hannah Perzenbaum
(signature, authorizing official named in Block 11b)

Note: Federal law provides criminal penalties of up to \$10,000 or imprisonment of up to five years, or both, for knowingly providing false information to an agency of the U.S. government. 18 U.S.C. Section 1001.

For NEH use only
 Date received
 Application #
 Initials

6/15/89
RE20885



FINAL FINANCIAL STATUS REPORT

This form is an abbreviated version of the standard financial status report prescribed by the Office of Management and Budget (Standard Form 269) and may, at your discretion, be used instead of the OMB form. Questions concerning this form or its completion should be sent to the NEH Grants Office at the address indicated below, or you may call (202) 786-0494.

Within 90 days after the completion date of the grant, the original and two copies of this report should be forwarded to the

GRANTS OFFICE

Room 310
National Endowment for the Humanities
1100 Pennsylvania Avenue, N.W.
Washington, D.C. 20506

Copies to Fin + Div - 10/26/92

OCT - 7 10 12
NEH

GRANTEE ORGANIZATION (Name and complete address, including zip code)

Research Foundation of CUNY
79 Fifth Avenue, 3rd Floor
New York, NY 10003

NEH GRANT
NUMBER

RE - 20885 - 90

GRANT PERIOD/PERIOD COVERED BY REPORT (this report should be cumulative for the entire grant period)

FROM 7/90 THRU 6/92
(month/year) (month/year)

OPTIONAL: Your account or other identifying number

(b) (4)

STATUS OF FUNDS

1. TOTAL PROJECT EXPENDITURES *1/2 = 54%*
2. LESS: COST SHARING OR NONFEDERAL SHARE OF OUTLAYS. *68 = 53%*
(Include expenditures covered by cash and noncash (in-kind) contributions from your organization and/or third parties and any gifts certified to obtain NEH matching funds)
3. TOTAL EXPENDITURES CHARGED TO NEH
(Item 1 minus Item 2)
4. TOTAL AMOUNT OF NEH AWARD *188,391.51 OR 27,480 - m*
5. AMOUNT OF NEH AWARD UNEXPENDED
(Item 4 minus Item 3)

1. \$ 463,823.96
2. \$ 251,343.96
3. \$ 212,480.00
4. \$ 212,480.00
5. \$ -0-

Alice Hudgins

REMINDER: Any unexpended grant payments must be returned to NEH. A refund check referencing the NEH grant number and made payable to "National Endowment for the Humanities" should be attached to this form. (Grantees on a letter of credit payment basis have the option of offsetting the unexpended payment against their next drawdown with an explanation on Form NEH 1023).

INDIRECT COST INFORMATION

The amount charged for indirect costs should be included in the total expenditure amounts above. Please itemize the charges for indirect costs in the space below. Use an additional sheet of paper if necessary.

| PERIOD RATE IN EFFECT (from/to) | RATE (%) | BASE (\$) | TOTAL AMOUNT (\$) | FEDERAL SHARE (\$) |
|---------------------------------|----------|------------|-------------------|--------------------|
| 7/90 - 6/92 | 69.4 | 271,457.50 | 188,391.51 | 63,493.00 |
| <i>middle one research</i> | | | | |
| | | | | |
| TOTALS | | | 188,391.51 | 63,493.00 |

I certify that to the best of my knowledge this report is correct and complete, that there are no outstanding unpaid commitments of federal funds, and that all expenditures are for the purposes set forth in the award documents.

SIGNATURE *Frederick Chin* DATE 10/2/92

PRINTED NAME & TITLE Frederick Chin, Manager, Grants & Contracts

TELEPHONE 212-886-2881 (V.BOWLES)
(include area code)

FILE

The Papers of Robert Morris

NELI GRANTS OFFICE

1992 OCT -5 11:10 AM

NEAH

September 29, 1992

David J. Wallace
Director
Grants Office
Room 310
National Endowment for the Humanities
Washington, D.C. 20506

Dear Mr. Wallace:

Enclosed is the original and one copy of our final progress report for grant RE-20885-90 covering the period July 1, 1990, to June 30, 1992.

There have been some staff changes since our last report. Kathleen Haslbauer Mullen, our administrative assistant/assistant editor, (b) (6) Maria, a Queens College student who has been filling in as student aide, is not available for all the hours now allotted to student aides, so we have hired an additional aide, Anne Anastasi, and may add one more to complete the keyboarding of corrections for volume 9 and the microfilm supplement, as well as to do routine paperwork and to reorganize our new office.

We are now in the process of preparing to move our office onto the main Queens College campus. Our new quarters, although slightly smaller, are near the History Department offices and the Grants Office and opposite the Queens College library; they should be much more convenient for both our research and administrative work. Officially, we are scheduled to move on October 9. Our address will remain the same, but we will have a new telephone number. We will notify you of the new number when it is obtained.

Yours truly,

Elizabeth M. Nuxoll

Elizabeth M. Nuxoll

Enclosures

Gautama M. Prasad
Gautama M. Prasad, Director
Office of Research & Sponsored
Programs

Directors
Elizabeth M. Nuxoll,
Project Director/Coeditor
Mary A. Y. Gallagher,
Coeditor
Nelson S. Dearmont,
Associate Editor
Clarence L. Ver Steeg,
Editorial Advisor
E. James Ferguson,
Editor Emeritus

Sponsored by
Queens College, CUNY
under grants from the
National Endowment
for the Humanities
and the
National Historical
Publications and Records
Commission

Published by
University of
Pittsburgh Press

FILE

SENT TO DIVISION
ON 10-5-92



Queens College, CUNY
Flushing, New York 11367-0904
Telephone 718/670-4208

NEH GRANTS OFFICE
1992 OCT -5 PM 12:48
NEAH

NARRATIVE REPORT

TO THE

NATIONAL ENDOWMENT FOR THE HUMANITIES

September 28, 1992

Project: The Papers of Robert Morris

Project Director: Elizabeth M. Nuxoll

Institution: Queens College of The City University of New York

Grant Period: July 1, 1990-June 30, 1992

Grant Number: RE-20885-90

PROGRESS REPORT

Summary

The following chart outlines the status of work planned for the grant period July 1, 1990-June 30, 1992.

| PLANNED | COMPLETED |
|--|--|
| <u>Volume 8</u> | |
| Preliminary annotation completed | Done |
| Second verification completed | Done
Additional texts discovered, transcribed and verified; third verification done by Nuxoll on difficult texts |
| Final editing completed | 95% done; additional new materials obtained as result of queries sent out in course of final editing; changes entered. 3/4 of volume sent to readers for review; returned; changes entered. Final quarter sent this summer in installments |
| Submission of volume 8 to press for copyediting; review of copyedited manuscript | To be done only after entire volume reviewed by advisers and corrected
Rescheduled for fall |
| Changes keyed into machine-readable files | Corrections are still being entered |
| Encoding of volume files | Not yet done; revised system developed with printer; sample run done this summer; no major problems; far less coding needed than previously |
| Volume submitted to press/typesetter | Rescheduled for fall 1992 |
| Indexing begun | NLCindex entries done from manuscript; Draft index |

run; no major problems; to
be revised and page fields
entered from Final Pages

Volume 9

| | |
|--|--|
| First verification of documents
completed | Done |
| Preliminary annotation done | About 2/3 done |
| Second verification completed | Two-thirds done
Corrections are being keyed
and proofed as the work
progresses |
| Addenda texts | Verified and corrected;
some assigned to volume 9;
most to microform
supplement |

Microform Supplement

| | |
|------------------------------|---|
| First verification completed | First verification more than
two-thirds done; corrections
being keyed and proofed as
work progresses |
|------------------------------|---|

Fund Raising

| | |
|-------------------------------------|-------------------------------|
| \$52,000 for 1990-1992 | \$29,480 raised for 1990-1992 |
| \$38,000 to be raised for 1992-1994 | 4,100 raised for 1992-1994 |

Progress Report: Narrative

Editorial Progress

For a number of reasons the editors continue to make greater progress in fulfilling their scheduled preliminary work on volume 9 than on the more complex task of finalizing volume 8. Because it was easier to raise private funds for work on volume 9, our last volume, than for completing volume 8, it has been necessary to advance work on both volumes simultaneously in order to meet the terms of our various grants. Moreover, student aides and research assistants could be assigned to assist in basic tasks remaining to be done for volume 9 and for the microform supplement, but, except for keying corrections or routine checking of references, could not do much toward the polishing and indexing of volume 8. The latter tasks must be held for the coeditors and were affected by the pace of fund-raising and administrative activities. Shortfalls in gift-and-matching funds also led to staff reductions during the second year of the grant. Nelson Dearmont left the payroll in June 1991, but continued as a volunteer when his time permitted. Kathleen Haslbauer Mullen [REDACTED] [REDACTED]. After completing the first verification of all but the microfilm texts, research assistant Dorothea Brady also left the staff last summer. Only a small amount of our student aide funds remained to cover clerical assistance during 1991-1992. Corrections to verified texts, and paperwork generally, were therefore both somewhat slowed.

Because of the global dimensions of the commerce issue and of Morris's post-war entrepreneurial activities, a significant part of the research for volumes 8 and 9 involved sources in foreign languages.

Many of these required translation and transcription for editorial use. Queries made as final editing advanced bore more fruit than expected, and led to further revisions to the first half of the volume. Extra checking and polishing has been needed to ensure that the work of the new editors was as far as possible consistent in style and format with the material in previous volumes. The press has indicated that it does not wish to begin copyediting volume 8 until the entire volume is completed, thoroughly reviewed by our editorial advisers, and all corrections entered. Computer conversion also necessitated some additional work but presumably will save time during the later production processes. We expect to submit the volume this year, but the exact date will depend on when the volume review is complete, meets our advisers' standards, and is fully corrected and proofed. Since our efforts to secure appropriate reviewing for volume 7 proved largely unavailing (most journals preferring to review at least two volumes at a time, or only at the beginning and end of the series), the editors also decided it would be more effective to have the final volumes and microform supplement come out as close together as possible, even if that meant somewhat delaying publication of volume 8 to ensure that volume 9 and the microform supplement keep moving forward. In this way the marketing and reviewing customary for a completed series should encourage maximum use of our materials.

Volume 7

Volume 7 was published in 1989. Although the volume has been energetically publicized, only three reviews have to our knowledge so far appeared in major scholarly journals. See attached copies.

Volume 8

Second verification was completed by Dr. Dearmont, and the corrections entered. Further changes were keyed and proofed as final editing progressed.

Major headnotes on such topics as postwar commerce, the opening of the China trade, the Philadelphia mutiny of 1783, army pay and disbandment, and the relocation of the nation's capital have been revised after having been reviewed at least twice by our editorial adviser Clarence Ver Steeg and by Editor Emeritus E. James Ferguson. It should be noted that these headnotes, although placed at a single document, are intended to provide the background and context not only for that particular document, but for all the relevant texts on the subject, both those printed and those destined for the microform supplement.

Final review of texts is being done by Dr. Nuxoll in the course of her final editing. Final editing was about half completed in 1990. Further progress was somewhat slowed by accumulated vacations, grand jury duty, and the above-mentioned staff reductions. In the interim copies of new material discovered as a result of queries sent out in the course of final editing arrived; Dr. Gallagher incorporated them where relevant. Particularly important were new Marine Department documents recently acquired by the Library of Congress and translations at the Library of Congress of materials in Spanish archives (both made available to us through Paul Smith, editor of the Letters of Delegates to Congress edition). Also utilized were reels of microfilm from the Nathanael Greene Papers covering the period of this volume; these helped

explain obscure references in our texts dealing with the supply and disbandment of the southern army.

Work on the Spanish translations led us to related materials on the issue of post-war American trade with the Spanish colonies and led us to scholars working in this area. This new information has also been incorporated. An anonymous text translated into Spanish that probably emanated from the Office of Finance was among the items discovered; it was verified, translated, and annotated for inclusion in volume 8. Microfilms of related French consular materials were ordered from the Library of Congress in 1990; this material arrived, was translated by Dr. Gallagher, and was incorporated into the notes to volumes 8 and 9. Microfilms and photocopies of Dutch materials were obtained and the relevant texts translated. The new data is being added to notes related to Morris's cultivation of Dutch trade and investment in the last half of volume 8 and in volume 9. Recently new texts relating to the opening of the China trade have been discovered; these were integrated into our coverage of that topic.

Once all the follow-up work was completed, the annotation to the first half of the volume was converted by the Queens College computer center from Displaywriter files into PC/WordPerfect files. All necessary adjustments were then made to test the efficacy of our new system. After proofing and reviewing for stylistic errors and inconsistencies, both the notes and documents to this half of the volume were printed and sent to Professors Ferguson and Ver Steeg for careful review. Corrections needed as a result of their suggestions were entered when that portion of the volume was returned.

The second half of the annotation was then sent to the computer center for conversion since no serious problems were discovered. All subsequent changes are being keyed into the PC files. Dr. Nuxoll returned to final editing of the second half of the volume in 1991 with assistance from Dr. Gallagher who drafted additional annotation on topics missed or inadequately covered by the preliminary annotation. The third quarter of the volume was sent to our editorial advisers for review last winter; corrections are still being entered. The remainder of the volume was sent to our advisers during the spring and summer. Once their corrections are returned and entered we will submit the volume for copyediting later this fall. After changes suggested by the press are entered, we will encode the volume and send it to the printer on diskette.

With the editing virtually complete, Gallagher prepared a preliminary index on NLCindex to master the new system, get a better handle on volume 8 as a guide for preparing the introduction, and to spot inconsistencies. The system worked smoothly. Once final pages arrive we will make whatever further additions and corrections are needed to the index files, enter final page fields, rerun, and then edit the files. Mullen also prepared a sample run of texts and sent them to the printer to test the workability of the WordPerfect codes the printer said could now be read directly by his system. All went well, so far less coding will now be needed than when we were using the Displaywrite system for volume 7. We no longer have to embed special character codes for accents or for monetary and other symbols except for per signs and one form of livre sign.

Volume 9 and Microform Supplement

Dr. Dearmont composed preliminary annotation to volume 9; it is about 2/3 done. Dr. Gallagher has been composing annotation to the documents in volume 9 related to trade in conjunction with her work revising the headnotes on that subject in volume 8. All foreign language texts and their translations have received several verifications. Former research assistants completed the first verification of texts scheduled for volume 9 and the addenda. Corrections have been keyed by our student aide. Dr. Dearmont has been following behind them doing a second verification of texts and proofing of corrections. This process is about two-thirds done. When time permitted, our research assistants undertook preliminary verification of the microform documents. Our present research assistant, Kenneth Pearl, worked on microform texts last summer and this summer. About two-thirds of the microform texts have already received such preliminary verification. Corrections have been keyed for about one-half of these texts, but proofing and second verification of all microform texts still remain to be done.

Outreach Programs

Dr. Gallagher followed up the editors' role in promoting a document-based Bicentennial essay contest in 1987 with explorations of the feasibility of publishing documentary source books for class use under the auspices of the Association for Documentary Editing. She and Dr. Nuxoll met or spoke with various representatives of the ADE, the NEH, and the NHPRC to ascertain the most effective techniques for producing and marketing such educational materials. However, no administrative mechanism for such a program could be established. For

the present, a few individual projects have been developing lesson plans in conjunction with local organizations or funders.

Partly as an outgrowth of that effort, Drs. Nuxoll and Gallagher agreed to participate in a program being established by Queens College under a recently obtained NEH grant for summer institutes for secondary school teachers. The object of the program is to improve secondary school pedagogy by training faculty "to teach close observation and reporting as tools for understanding events in the past and present." Comparison will be made between first-hand, contemporary accounts and subsequent historical or journalistic analysis. Issues of current interest will be given historical context through treatment of similar events in the past. In the course of the institutes, secondary school administrators and teachers will have the opportunity to work with primary source materials in a journalistic medium "learning to appreciate the energy of immediacy while at the same time judiciously questioning its power."

Our role will be to contribute a series of three workshops for Queens County High School Chairs of English, Social Studies, Art, and Music to be held during the 1992-1993 academic year in preparation for the participation by their teachers in the later summer programs. The staff of the Morris Papers will draw on project materials for presentation of three topics of contemporary relevance.

1. "The Confederation, Government Finance, and the National Economy," examines the Confederation Period of U.S. History as it relates to the current unification efforts of the European Economic Community.

2. "Economic Growth through Free Trade" examines American efforts in the 1780s to persuade European powers to abandon mercantilism as they relate to current debates over free trade and protectionism.

3. "East/West Trade" explores the development of American trade with the Far East in the 1780s and 1790s as it relates to current trade tensions between the United States and the Far East and Latin America.

A copy of the current agenda is appended. Meetings were held in June and further meetings are planned for October to plan the implementation of this program and to coordinate our efforts with what will be done in the summer institutes.

These workshops will give us an opportunity to familiarize secondary school personnel with the abundance of resources suitable for use in secondary school which are available in the Morris Papers and other documentary editions.

Scholarly papers and articles have also been prepared or presented. Both Gallagher and Nuxoll will be presenting papers based in part on ongoing work in volumes 8 and 9 on October 30 at a conference of the East-Central chapter of the American Society for Eighteenth Century Studies in Philadelphia. A copy of the program is attached. In the fall of 1991 Nuxoll presented a paper on Robert Morris's monetary policy at a conference on money at Hofstra University, while Gallagher presented one on the participation of Robert and Gouverneur Morris in the Free Trade Debates of the 1780s at the October 1991 conference of the East-Central chapter of the American Society for Eighteenth Century Studies. The articles on Army and Navy Pay, Robert Morris, the Bank of North America, and the Secret Committees of Congress which Nuxoll and

Gallagher prepared for Garland Publishing's The American Revolution: An Encyclopedia are scheduled for publication in February 1993.

The project continued to answer queries from editors, scholars, document collectors, and journalists. There were some novel developments. Gallagher began communicating with an international 18th Century Studies computer network, which has facilitated our own research, and enabled us to answer queries from scholars in the field. Nuxoll also conferred with a BBC documentary producer regarding the Robert Morris-George Washington friendship on which the film maker had questions. She is considering including that topic in a BBC election special on "The Making of the President 1789."

Edited by John Catanzariti, E. M. Nuxoll and M. A. Y. Gallagher. *The Papers of Robert Morris*, Volume 7.

(Pittsburgh: University of Pittsburgh Press, 1989. \$55.00.)

As the Confederation government's Superintendent of Finance in the 1780s Robert Morris was an indispensable man. But indispensable men remain so only as long as they are needed, and need comes from the perception of those they serve. During the six months chronicled by Volume 7 of the *Papers of Robert Morris*, Morris's position and power steadily declined because the members of the Continental Congress and many of their constituents wished, with the end of the war in sight, to return the focus of political and economic affairs to the states and effectively made any national solution to the economic crisis facing the new nation impossible.

Robert Morris was a nationalist and much of this volume is concerned with his effort to force the congress to adopt a funding scheme that would enable it to meet the demands of foreign creditors as well as its own citizens. Morris contemplated a revision of the Articles of Confederation in 1783 similar to that later enshrined in the Constitution. Morris' views are presented very clearly in the "Observations on the Present State of Affairs" of ca. 13 January 1783 (pp. 304-307), and in his letter of 8 March 1783 to the President of Congress (pp. 513-538), containing his response to the funding plan then being considered by the congress. The "Newburgh Conspiracy" is dealt with at some length, and if the editors found no new documents directly implicating Morris, it is clear that he was willing to use the army's unrest, as he did his resignation, to bring pressure on the congress to adopt his solutions. Morris's failure to achieve his ends was almost inevitable because the members of congress lacked both the will and power to act.

The documents in Volume 7 show the full spectrum of Morris' activities and fully delineate the routine matters that occupied most of his time. The editors make clear the degree to which Morris's public and private responsibilities were intertwined and the extent to which his personal credit was a major support of the public's finances. The editors are to be commended for including in Appendix I the early records of the Bank of North America, an important aspect of Morris's plan for the nation's finances. Finally, the inclusion of Morris's diary enables the reader to keep track of Morris's correspondence and daily schedule.

The editors have done their job well. Their mastery of the arcane world of 18th century finance is complete, and for this reader, very enlightening. The texts presented are accurate and the annotation concise and appropriate for its purpose, although I question the need for multiple identifications of the same person. James Lovell, for example, was identified twenty times as the collector of taxes for Massachusetts. The occasional head notes provided for important documents are particularly valuable. The volume is further enhanced by a full and accurate index and a list of documents omitted from the volume, with their locations.

Volume 7 of *The Papers of Robert Morris* is indispensable to understanding the finances of the American Revolution. It illuminates Robert Morris's career, but it and the preceding volumes of the series provide information on eighteenth century economics and finance that is available nowhere else. Historians of the Revolution, whether political, economic, social, or diplomatic, must take into consideration the career of Robert Morris and the scholarship so evident in the published volumes of his papers.

Gregg L. Lint, *The Adams Papers*

THE NORTH CAROLINA HISTORICAL REVIEW
VOLUME LXVII, NUMBER 4, OCTOBER, 1990

The Papers of Robert Morris, 1781-1784. Volume 7: November 1, 1782-May 4, 1784.
Edited by John Catanzariti. (Pittsburgh: University of Pittsburgh Press, 1988.
Frontispiece, illustrations, introduction, editorial method, editorial apparatus, editors'
acknowledgments, appendixes, index. Pp. lx, 893. \$55.00.)

The publication of this volume is an especially welcome occasion because its contents document, among other important topics, the financial and political repercussions of the transition from war to peace. Specifically, the volume provides abundant evidence of Robert Morris's waning public influence as the arrival of peace sapped nationalist aspirations and momentum. The more than seven hundred items in the volume depict, moreover, the mixture of optimism and pessimism, of excitement and turmoil and uncertainty that accompanied the end of the long and difficult struggle to achieve political independence. More narrowly but of particular interest is material that details the army's dissatisfaction and that bears directly upon—if, as the editors take care to acknowledge, it fails to resolve—the enigmatic and probably forever impenetrable episode that came to be called the Newburgh conspiracy. An appendix provides early records of the bank of North America.

This seventh volume in the series has been editorially restructured in a mold to be continued throughout the projected nine volumes remaining in the series. Because of financial and other considerations, the editors have deleted items judged to be routine or specialized in nature. A list of deletions from the period covered by this volume is provided as an appendix, as will be the case for subsequent volumes, and all deleted items will be made available in microform following the publication of the final volume. A second reason for this restructuring is that, with the coming of peace, the editors believe that it is essential that all of Morris's private papers be included so as to shed light on postwar economic development and Morris's ambitions for and role in that development.

In this recasting of the series as in all other respects, this volume benefits, as have its predecessors, from a truly magnificent editorial effort. Thoroughness and prudence are two of the hallmarks of that effort. This volume is a valuable research reference and should also be useful in providing selected documentation in courses specializing in the period.

University of Louisville

James R. Morrill

The Papers of Robert Morris, 1781-1784. Volume 7: November 1, 1782-May 4, 1783. Edited by JOHN CATANZARITI. (Pittsburgh: University of Pittsburgh Press, 1988. lxi, 893p. Illustrations, appendixes, index. \$55.00.)

Though two more volumes remain to be published, the appearance of this latest installment of *The Papers of Robert Morris* marks the climax of the series as a whole. At the point where this volume opens in mid-autumn 1782, the Superintendent of Finance still entertained hopes that Congress would approve the comprehensive financial program he had submitted to it in July. By the closing point of May 1783, however, Congress had effectively rejected the Morris program, notwithstanding the furious efforts of Morris and his coadjutors (notably including his assistant Gouverneur Morris, as well as congressional delegates James Wilson and Alexander Hamilton) to foment an atmosphere of crisis in which Congress would feel compelled to adopt the Superintendent's recommendations. In their place Congress now proposed a fresh set of measures that fell far short of what Morris deemed necessary. Morris responded to these reverses by announcing his plans to resign his office. As the editors note in a prefatory comment on editorial method, his preparations for his return to private life give added significance to the growing portion of his papers devoted to his business correspondence, which in this and the remaining two volumes will be printed comprehensively. It is a revealing comment on the suppleness with which Morris pursued and mingled public and private concerns that he chose April 17, 1783—the day before Congress formally approved its own financial program—to write a letter seeking to reopen his pre-revolutionary connections with the eminent London house of Baring Brothers and Company.

The political story of the defeat of the Morris program depends largely on documents that fall beyond the purview of the Morris archive, but are found instead in the journals of Congress and the papers of its members—including most notably James Madison's notes of debates for the winter and spring of 1783. As in previous volumes, this latest addition to *The Papers of Robert Morris* documents the incredible complexity and variety of his activities. In addition to the routine letters to his various subordinates in the states, Morris's diary provides a fascinating record of his concerns and activities. His remarkable capacity to remain master of the countless prosaic, petty, yet pressing matters that daily claimed his attention is a tribute to his administrative genius which clearly exceeded his political intelligence.

A limited number of documents stand out from this general mass and merit exceptionally detailed editorial treatment—so detailed, in fact, that introductory and explanatory notes often outweigh the spare text of the actual document by several orders of magnitude. For example, a letter of December

The

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PMHB

1101. CXVI

No. 3

July 1992

THE RAW MATERIAL OF HISTORY: FIRST AND LATER DRAFTS SUMMARY

Queens College will build on its existing strong alliance with the Office of the Superintendent of Queens High Schools to implement a three-year collaborative program (1992-95) with the twenty-six public high schools in the borough. We will pose questions of historiography based on an examination of primary documents from a variety of historical periods. Our materials will be first-hand impressions of events as recorded by journalists, diarists, diplomats, and painters.

During all three years we will study first-hand accounts and then use those reports as tools and touchstones for understanding history as a discipline. Teachers will learn to teach close observation and reporting as tools for understanding events in the past and present. These primary documents will also serve as touchstones because of the important and clarifying ways in which they differ from material usually found in history books. Teachers will study first-hand accounts of past events as a way to see the past with a sense of immediacy. Teachers will also look at present events in terms of historical antecedents.

PROGRAM ACTIVITIES

9/92-6/93

Three workshops for 100 high school department chairs

Topic: The Papers of Robert Morris

1. The Confederation, Government Finance, and the National Economy
2. Economic Growth through Free Trade
3. East/West Trade

7/93

Summer institute for 20 teachers

Topic: The European Renaissance: Diplomats, Spies, and Other Observers (including painters and Vasari, their contemporary biographer)

9/93-6/94

Three workshops for 100 high school department chairs

Topic: Presentations by teachers from the summer institute on the European Renaissance

Three follow-up meetings with 7/93 institute participants
Historians and journalists visit high schools

7/94

Summer institute for 20 additional teachers

Topic: Germany Under the Third Reich: Propaganda, First-Hand Observations, and History

9/94-6/95

Three workshops for 100 high school department chairs

Topic: Presentations by teachers from summer institutes on the Renaissance and Germany

Three follow-up meetings with 40 institute participants
Historians and journalists visit schools

6/95-8/95 Consolidation of partnership; report to NEH

We plan an integrated system of activities with an intellectual core. We have designed a three-year cycle that allows teachers and department chairs to move from one level of activity to another. As a result, we will form a fully developed partnership between Queens College and the Queens public high schools that has depth and longevity because its basis is intellectual development. This sustained partnership will improve the teaching of the humanities in the schools.

East-Central/American Society for Eighteenth-Century Studies
Eighteenth-Century Scottish Studies Society

Joint Annual Meetings

CENTERS
AND
PERIPHERIES
OF
ENLIGHTENMENT

October 28 – November 1, 1992
Holiday Inn
Independence Mall
Philadelphia, Pennsylvania

FRIDAY, OCTOBER 30

8:30 a.m. -10:30 a.m.

Registration. Holiday Inn foyer
Book Exhibit

(Sherman Room)

9:00 a.m. -10:30 a.m.

Concurrent Seminars

Seminar 5A. *Northern Rhetorics*

(Franklin Room)

Chair: Roger Fechner, Adrian College

Paul Bator, Santa Clara University, "Enlightenment Rhetoric at
St. Andrews: Peripheral or Inconsequential?"

Catharine Hobbs Peadar, University of Oklahoma, "Scottish
Marginalia: Lord Monboddo and Aristotelian Rhetoric."

H. Grant Sampson, Queen's University, "Hugh Blair and the Rhetoric
of Theology"

Seminar 5B. *Vitriolic Writers, Rioting Soldiers, and Returning
Congressmen: Philadelphia as the Seat of Government
During the Revolutionary Era*

(Jefferson Room)

Chair: Mary A. Y. Gallagher, Queens College, C.U.N.Y.

Elizabeth M. Nuxoll, Queens College, C.U.N.Y., " 'Altogether in his
Pay?': Robert Morris, the 'Nationalist' Movement, and the
Philadelphia Press in the Confederation Era"

Mary A.Y. Gallagher, "Soldiers, Citizens, and Nationalists:
The Philadelphia Mutiny of 1783"

Kenneth R. Bowling, George Washington University, "Elysian Fields
and Oriental Restaurants: The Return of Congress to Philadelphia
in 1790-91"

Seminar 5C. *Women Reimagined in Literature*

(Hancock Room)

Chair: Marie McAllister, Villanova University

Mahasveta Barua, Temple University, "There Are No Female
Quixotes: Lennox and Arabella in *The Female Quixote*"

Susan K. Howard, Duquesne University, "Self-representation in
Selected Poems by Mid-eighteenth Century Women Writers"

April London, University of Ottawa, "Female Authority and
Bourgeois Activity in the Eighteenth-Century Novel"

NATIONAL ENDOWMENT FOR THE HUMANITIES
OFFICIAL NOTICE OF ACTION

002690

| | | |
|--|--|--|
| WARD RECIPIENT

CUNY Res. Fdn./Queens College

Institutional Grant Administrator:

Allan H. Clark
Acting President
Research Foundation of CUNY
79 Fifth Avenue
New York, NY 10003 | ACTION TAKEN:

Amendment | DATE OF ACTION:

06/02/92 |
| | ID NUMBER:

RE-20885-90 | |
| | GRANT/FELLOWSHIP PERIOD

FROM 07/90 THRU 06/92 | |
| | FUNDING

OUTRIGHT \$ 183,000.00

GIFT \$

FEDERAL MATCH \$ 29,480.00

TOTAL AWARDED \$ 212,480.00 | |
| PROJECT DIRECTOR

Elizabeth M. Nixoll | FEDERAL MATCHING FUNDS

AMOUNT OFFERED \$ 52000.00

OFFER EXPIRES 05/92 | |
| PROJECT TITLE

The Papers of Robert Morris 1781-84 | | |

REMARKS:
A gift certification has been received and matched by the Endowment. This grant is therefore increased by \$250 in matching funds to the new funding level indicated above.

We would appreciate your informing the donor(s) that matching funds have been provided by the Endowment.

Please note that the expenditure of certified gift funds is a part of the non-federal share of project costs (cost sharing) and must be included as such on all financial reports. Also, for every dollar in matching funds which is expended on this project, an equal amount of gift funds must also be expended.

All other provisions of this award remain in effect.

This award was funded by the NEH program described in CFDA section 45.146.

| | |
|--|--|
| GRANTS OFFICE OFFICIAL

Signature <u>David J. Wallace</u>

Name and Title <u>Director, Grants Office</u> | |
| AGENCY USE ONLY:

392/30200 251-2-2406-4102 \$250.00

Elizabeth M. Nixoll
The Papers of Robert Morris
Queens College
65-30 Kissena Boulevard
Flushing, NY 11367 | |

NATIONAL ENDOWMENT FOR THE HUMANITIES

| | | |
|-----------------------------------|-------------------------------|--------------------|
| N E H -- ROUTING SHEET NUMBER: | 92 0386 A | RECORDED: 06-01-92 |
| GRANT NUMBER: | RE 20885 90 | |
| GRANTEE: | CUNY Res. Fdn./Queens College | |
| PROJ. DIRECTOR: | Elizabeth H. Nuxoll | |
| FED-MATCH AUTHORIZED GRAND TOTAL: | \$52,000.00 | |
| OFFER EXPIRATION: | 05-31-92 | |
| MATCHING COMMITMENTS ON HAND: | \$.00 | |

--- TO BE PROCESSED NOW ---

| | |
|-------------------------------|---------------------------------|
| ACCT-CODE & PROCESSED GIFT | ACCT-CODES & RELEASED FED-MATCH |
| 999 9 9999 9999 \$250.00 | 251 2 2406 4102 \$250.00 |
| | \$.00 |
| | \$.00 |
| | TOTAL MATCH: \$250.00 |

--- CURRENT SCHEDULE ---

| MATCH | FY-90 | FY-91 | FY-92 | FY | FY |
|--------------------|----------|------------|------------|----|----|
| AUTHORIZED -- | \$.00 | \$23130.00 | \$28870.00 | | |
| RELEASED -- | \$.00 | \$23130.00 | \$6350.00 | | |
| BALANCE -- | \$.00 | \$.00 | \$22520.00 | | |
| | | | | | |
| GIFTS | | | | | |
| RAISED -- | \$130.00 | \$23000.00 | \$6350.00 | | |
| REQUIRED -- | \$.00 | \$23130.00 | \$28870.00 | | |
| PROCESSED -- | \$.00 | \$23130.00 | \$6350.00 | | |
| BALANCE -- | \$.00 | \$.00 | \$22520.00 | | |
| EXCESS -- | \$130.00 | \$.00 | \$.00 | | |

FUND LEVELS

| | |
|-------|------------|
| OR | \$183,000. |
| G | 0 |
| M | 29,480 |
| TOTAL | \$212,480. |

*NOTE: Balance = Required - Processed.

--- DONATION BREAKDOWN ---

| CATEGORY | CURRENT | TO-DATE |
|----------|----------|------------|
| 1 | \$250.00 | \$1350.00 |
| 2 | \$.00 | \$.00 |
| 3 | \$.00 | \$28130.00 |
| 4 | \$.00 | \$.00 |
| 5 | \$.00 | \$.00 |
| 6 | \$.00 | \$.00 |
| 7 | \$.00 | \$.00 |
| 8 | \$.00 | \$.00 |
| 9 | \$.00 | \$.00 |
| TOTAL | \$250.00 | \$29480.00 |

ZZA01- \$250.

ZZA99

can 6/1/92
p.6/3/92

NATIONAL ENDOWMENT FOR THE HUMANITIES
OFFICIAL NOTICE OF ACTION

002690

| | | |
|--|--|--|
| WARD RECIPIENT

CUNY Res. Fdn./Queens College

Institutional Grant Administrator:

Allan M. Clark
Acting President
Research Foundation of CUNY
79 Fifth Avenue
New York, NY 10003 | ACTION TAKEN:

Amendment | DATE OF ACTION:

05/21/90 |
| | ID NUMBER:

RE-20885-90 | |
| | GRANT/FELLOWSHIP PERIOD

FROM 07/90 THRU 06/92 | |
| | FUNDING

OUTRIGHT \$ 183,000.00

GIFT \$

FEDERAL MATCH \$ 29,230.00

TOTAL AWARDED \$ 212,230.00 | |
| PROJECT DIRECTOR

Elizabeth M. Nuxoll | FEDERAL MATCHING FUNDS
AMOUNT
OFFERED \$ 52000.00

OFFER EXPIRES 05/92 | |
| PROJECT TITLE

The Papers of Robert Morris 1781-84 | | |

REMARKS:

A gift certification has been received and matched by the Endowment. This grant is therefore increased by \$5,000 in matching funds to the new funding level indicated above.

We would appreciate your informing the donor(s) that matching funds have been provided by the Endowment.

Please note that the expenditure of certified gift funds is a part of the non-federal share of project costs (cost sharing) and must be included as such on all financial reports. Also, for every dollar in matching funds which is expended on this project, an equal amount of gift funds must also be expended.

All other provisions of this award remain in effect.

This award was funded by the NEH program described in CFDA section 45.146.

| | |
|-----------------------------------|--|
| GRANTS OFFICE OFFICIAL | |
| Signature <i>David J. Wallace</i> | Name and Title <u>David J. Wallace</u>
<u>Director, Grants Office</u> |

| | |
|---|------------|
| AGENCY USE ONLY: | |
| 592/30200 251-2-2406-4102 | \$5,000.00 |
| Elizabeth M. Nuxoll
The Papers of Robert Morris
Queens College
65-30 Kissena Boulevard
Flushing, NY 11367 | |

NATIONAL ENDOWMENT FOR THE HUMANITIES

N E H -- ROUTING SHEET NUMBER: 92 0371 A RECORDED: 05-21-92
 GRANT NUMBER: RE 20885 90
 GRANTEE: CUNY Res. Fdn./Queens College
 PROJ. DIRECTOR: Elizabeth M. Nuxoll
 FED-MATCH AUTHORIZED GRAND TOTAL: \$52,000.00
 OFFER EXPIRATION: 05-31-92
 MATCHING COMMITMENTS ON HAND: \$.00

--- TO BE PROCESSED NOW ---

| | |
|----------------------------|---------------------------------|
| ACCT-CODE & PROCESSED GIFT | ACCT-CODES & RELEASED FED-MATCH |
| 999 9 9999 9999 \$5000.00 | 251 2 2406 4102 \$5000.00 |
| | \$0.00 |
| | \$0.00 |
| | TOTAL MATCH: \$5000.00 |

---CURRENT SCHEDULE---

| | | | | |
|------------|----|--------|------------|------------|
| MATCH | | FY-90 | FY-91 | FY-92 |
| AUTHORIZED | -- | \$0.00 | \$23130.00 | \$28870.00 |
| RELEASED | -- | \$0.00 | \$23130.00 | \$6100.00 |
| BALANCE | -- | \$0.00 | \$0.00 | \$22770.00 |

| | | | | |
|-----------|----|----------|------------|------------|
| GIFTS | | | | |
| RAISED | -- | \$130.00 | \$23000.00 | \$6100.00 |
| REQUIRED | -- | \$0.00 | \$23130.00 | \$28870.00 |
| PROCESSED | -- | \$0.00 | \$23130.00 | \$6100.00 |
| BALANCE | -- | \$0.00 | \$0.00 | \$22770.00 |
| EXCESS | -- | \$130.00 | \$0.00 | \$0.00 |

Fund. level

OR \$193,000.

G 0

M 29,230.

Total \$212,230

NOTE: Balance = Required - Processed.

---DONATION BREAKDOWN---

| | | |
|----------|-----------|------------|
| CATEGORY | CURRENT | TO-DATE |
| 1 | \$0.00 | \$1100.00 |
| 2 | \$0.00 | \$0.00 |
| 3 | \$5000.00 | \$28130.00 |
| 4 | \$0.00 | \$0.00 |
| 5 | \$0.00 | \$0.00 |
| 6 | \$0.00 | \$0.00 |
| 7 | \$0.00 | \$0.00 |
| 8 | \$0.00 | \$0.00 |
| 9 | \$0.00 | \$0.00 |
| TOTAL | \$5000.00 | \$29230.00 |

ZZA01 - \$5,000.

ZZA99

OK 5/21/92

p. 5/21/92

OFFICIAL NOTICE OF ACTION

002690

AWARD RECIPIENT

CUNY Res. Fdn./Queens College

Institutional Grant Administrator:

Allan H. Clark
Acting President
Research Foundation of CUNY
79 Fifth Avenue
New York, NY 10003

PROJECT DIRECTOR

Elizabeth M. Nuxoll
PROJECT TITLE

The Papers of Robert Morris 1781-84

REMARKS:

A gift certification has been received and matched by the Endowment. This grant is therefore increased by \$1,000 in matching funds to the new funding level indicated above.

We would appreciate your informing the donor(s) that matching funds have been provided by the Endowment.

Please note that the expenditure of certified gift funds is a part of the non-federal share of project costs (cost sharing) and must be included as such on all financial reports. Also, for every dollar in matching funds which is expended on this project, an equal amount of gift funds must also be expended.

All other provisions of this award remain in effect.

This award was funded by the NEH program described in CFDA section 45.146.

GRANTS OFFICE OFFICIAL

Signature [Signature]

David J. Wallace
Name and Title Director, Grants Office

AGENCY USE ONLY:

92/30200 251-2-2406-4102

\$1,000.00

Elizabeth M. Nuxoll
The Papers of Robert Morris
Queens College
65-30 Kissena Boulevard
Flushing, NY 11367

GRANTS OFFICE

ACTION TAKEN:

Amendment

DATE OF ACTION:

05/19/92

ID NUMBER:

RE-20885-90

GRANT/FELLOWSHIP PERIOD

FROM 07/90 THRU 06/92

FUNDING

OUTRIGHT \$ 183,000.00

GIFT \$

FEDERAL MATCH \$ 24,230.00

TOTAL AWARDED \$ 207,230.00

FEDERAL MATCHING FUNDS AMOUNT

OFFERED \$ 52000.00

OFFER EXPIRES 05/92

NATIONAL ENDOWMENT FOR THE HUMANITIES

| | | |
|-----------------------------------|-------------------------------|--------------------|
| N.E.H. -- ROUTING SHEET NUMBER: | 92 0359 A | RECORDED: 05-13-92 |
| GRANT NUMBER: | RE 20885 90 | |
| GRANTEE: | CUNY Res. Fdn./Queens College | |
| PROJ. DIRECTOR: | Elizabeth M. Nuxoll | |
| FED-MATCH AUTHORIZED GRAND TOTAL: | \$52,000.00 | |
| OFFER EXPIRATION: | 05-31-92 | |
| MATCHING COMMITMENTS ON HAND: | \$0.00 | |

--- TO BE PROCESSED NOW ---

| ACCT-CODE & PROCESSED GIFT | ACCT-CODES & RELEASED FED-MATCH |
|--------------------------------|---------------------------------|
| 992 2 9992 9992 \$1000.00 | 351 2 2406 4102 \$1000.00 |
| | \$0.00 |
| | \$0.00 |
| | TOTAL MATCH: \$1000.00 |

--- CURRENT SCHEDULE ---

| MATCH | FY-90 | FY-91 | FY-92 |
|-------------------|----------|------------|------------|
| AUTHORIZED -- | \$0.00 | \$23130.00 | \$28870.00 |
| RELEASED -- | \$0.00 | \$23130.00 | \$1100.00 |
| BALANCE -- | \$0.00 | \$0.00 | \$27770.00 |
| | | | |
| GIFTS | | | |
| RAISED -- | \$130.00 | \$23000.00 | \$1100.00 |
| REQUIRED -- | \$0.00 | \$23130.00 | \$28870.00 |
| PROCESSED -- | \$0.00 | \$23130.00 | \$1100.00 |
| BALANCE -- | \$0.00 | \$0.00 | \$27770.00 |
| EXCESS -- | \$130.00 | \$0.00 | \$0.00 |

FY FY

Fund. Levels

OR \$183,000.

6 0

M 24,230

total \$207,230

*NOTE: Balance = Required - Processed.

--- DONATION BREAKDOWN ---

| CATEGORY | CURRENT | TO-DATE |
|----------|-----------|------------|
| 1 | \$1000.00 | \$1100.00 |
| 2 | \$0.00 | \$0.00 |
| 3 | \$0.00 | \$23130.00 |
| 4 | \$0.00 | \$0.00 |
| 5 | \$0.00 | \$0.00 |
| 6 | \$0.00 | \$0.00 |
| 7 | \$0.00 | \$0.00 |
| 8 | \$0.00 | \$0.00 |
| 9 | \$0.00 | \$0.00 |
| TOTAL | \$1000.00 | \$24230.00 |

ZZA01- \$1,000.

ZZA99

CWA 5/14/92
D. 5/19/92

NATIONAL ENDOWMENT FOR THE HUMANITIES

WASHINGTON, D.C. 20506

April 1, 1992

Dr. Allan H. Clark
Acting President
Research Foundation of CUNY
79 Fifth Avenue
New York, NY 10003

Ref: RE-20885-90

Dear Dr. Clark:

I wish to inform you that the Endowment's offer to match gifts which are raised for the referenced project will terminate May 31, 1992. If there is a possibility that additional gift certifications will be forwarded to the Endowment before the offer terminates, please advise the Donations Section of the Grants Office of the amount of the gifts and the date by which the certification will be forwarded to NEH.

Any questions you may have about the feasibility of extending the offer period should be resolved before the current offer terminates. In the event we do not hear from your institution by this date, the offer will automatically be closed.

Sincerely,



Stephen Veneziani
Grants Administrator

cc: Elizabeth M. Nuxoll

DATA CHANGE REQUEST

7-90

TO: Grants Office/Room 310

FROM: DOUGLAS ARNOLD

DATE: 3/10/92

RE: NEH Grant # RE-20335-90

Institution: CUNY-Queens College / E Nuxoll

Please process the following data change(s):

☐ Change grant ending date to _____
month/day/year☐ Change grant beginning date to _____
month/day/year☒ Change G&M offer expiration date to 5/31/92
month/day/year☐ Note receipt of the ☐ Interim Performance Rpt.
☐ Final Performance Rpt.☐ Note change in ☐ project director ☐ project director's address.☐ Other☐ Check here if grantee will be required to
submit interim performance reports
during extension period.[Grants Office Use Only:
9 out Rpts. 1-2-3 after _____]ZZE02 - Elizabeth Nuxoll's request of February 27, 1992.
ZZE99

Division Will Attach Copies of Correspondence Relating to the Data Change.

This award was funded by the NEH program described in CFDA section 45.146.

NEH GRANTS OFFICE OFFICIAL

Signature

David J. Wallone

Name and Title

Director, Grants Office

FOR AGENCY USE ONLY:

Elizabeth M. Nuxoll
The Papers of Robert Morris
Queens College
65-30 Kissena Boulevard
Flushing, NY 11367

GRANTS OFFICE

ROUTING AND TRANSMITTAL SLIP

Date

3/10/92

TO: (Name, office symbol, room number, building, Agency/Post)

Initials

Date

1. DOUG ARNOLD, Research

2.

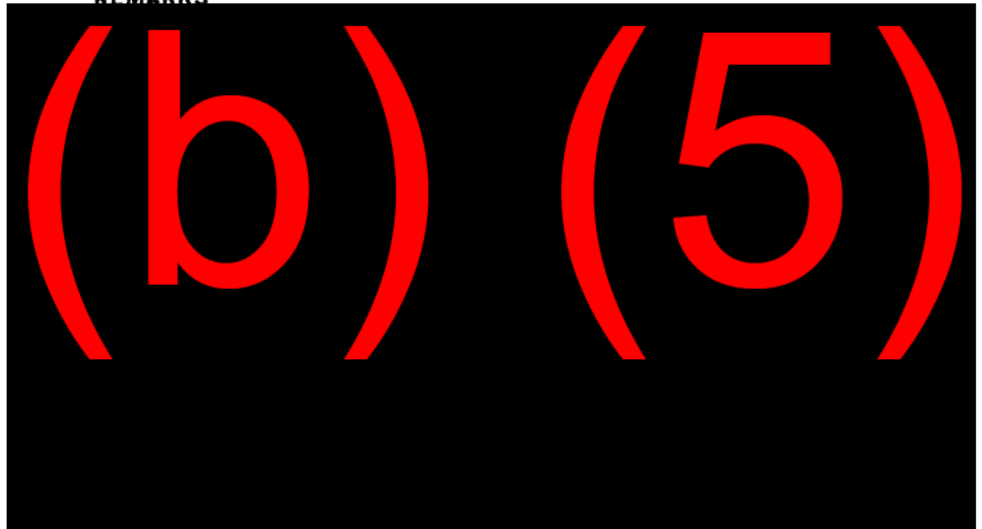
3.

4.

5.

| | | |
|--------------|----------------------|------------------|
| Action | File | Note and Return |
| Approval | For Clearance | Per Conversation |
| As Requested | For Correction | Prepare Reply |
| Circulate | For Your Information | See Me |
| Comment | Investigate | Signature |
| Coordination | Justify | |

REMARKS



DO NOT use this form as a RECORD of approvals, concurrences, disposals, clearances, and similar actions

FROM: (Name, org. symbol, Agency/Post)

Room No.—Bldg.

Alice H / grants

Phone No.

5041-102

GPO : 1987 O - 196-409

OPTIONAL FORM 41 (Rev. 7-76)
Prescribed by GSA
FPMR (41 CFR) 101-11.206

make
ext in
3/12

NATIONAL ENDOWMENT FOR THE HUMANITIES

U E H -- ROUTING SHEET NUMBER: 92 0142 A RECORDED: 01-16-92
 GRANT NUMBER: RE 20885 90
 GRANTEE: CUNY Res. Fdn./Queens College
 PROJ. DIRECTOR: Elizabeth M. Nuxoll
 FED-MATCH AUTHORIZED GRAND TOTAL: \$52,000.00
 OFFER EXPIRATION: 03-31-92
 MATCHING COMMITMENTS ON HAND: \$.00

--- TO BE PROCESSED NOW ---

| ACCT-CODE & PROCESSED GIFT | ACCT-CODES & RELEASED FED-MATCH |
|----------------------------|---------------------------------|
| 999 9 9999 9999 \$100.00 | 251 2 2406 4102 \$100.00 |
| | \$0.00 |
| | \$0.00 |
| | TOTAL MATCH: \$100.00 |

---CURRENT SCHEDULE---

| MATCH | | FY-90 | FY-91 | FY-92 | FY | FY |
|------------|----|--------|------------|------------|----|----|
| AUTHORIZED | -- | \$0.00 | \$23130.00 | \$28870.00 | | |
| RELEASED | -- | \$0.00 | \$23130.00 | \$100.00 | | |
| BALANCE | -- | \$0.00 | \$0.00 | \$28770.00 | | |

Fund Levels

OR \$193,000.

G 0

M 23,230.

Total \$206,230

| GIFTS | | FY-90 | FY-91 | FY-92 |
|-----------|-----|----------|------------|------------|
| RAISED | -- | \$130.00 | \$23000.00 | \$100.00 |
| REQUIRED | -- | \$0.00 | \$23130.00 | \$28870.00 |
| PROCESSED | -- | \$0.00 | \$23130.00 | \$100.00 |
| BALANCE | --- | \$0.00 | \$0.00 | \$28770.00 |
| EXCESS | -- | \$130.00 | \$0.00 | \$0.00 |

NOTE: Balance = Required - Processed.

---DONATION BREAKDOWN---

| CATEGORY | CURRENT | TO-DATE |
|----------|----------|------------|
| 1 | \$100.00 | \$100.00 |
| 2 | \$0.00 | \$0.00 |
| 3 | \$0.00 | \$23130.00 |
| 4 | \$0.00 | \$0.00 |
| 5 | \$0.00 | \$0.00 |
| 6 | \$0.00 | \$0.00 |
| 7 | \$0.00 | \$0.00 |
| 8 | \$0.00 | \$0.00 |
| 9 | \$0.00 | \$0.00 |
| TOTAL | \$100.00 | \$23230.00 |

ZZA01 - \$100.

ZZ A99

can 1/17/92

P. 1/23/92

The Papers of Robert Morris

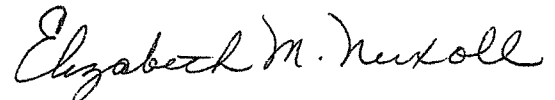
February 27, 1992

Mr. Stephen Veneziani
Grants Administrator
National Endowment for the Humanities
Washington, D.C. 20506

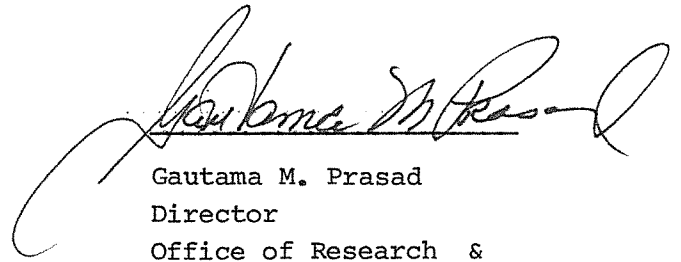
Dear Mr. Veneziani:

I hereby apply for an extension of the Endowment's matching offer on grant RE-20885-90 from March 31 to June 1, 4/30/92 1992, as I am still awaiting answers on a number of grant proposals. I do not yet know the exact amount to be expected, but will inform the Donations section of the Grants Office as soon as the information is available.

Sincerely,



Elizabeth M. Nuxoll
Project Director



Gautama M. Prasad
Director
Office of Research &
Sponsored Programs

Directors

Elizabeth M. Nuxoll,
Project Director/Coeditor
Mary A. Y. Gallagher,
Coeditor
Nelson S. Dearmont,
Associate Editor
Clarence L. Ver Steeg,
Editorial Advisor
E. James Ferguson,
Editor Emeritus

Sponsored by

Queens College, CUNY
under grants from the
National Endowment
for the Humanities
and the
National Historical
Publications and Records
Commission

Published by

University of
Pittsburgh Press



Queens College, CUNY
Flushing, New York 11367-0904
Telephone 718/670-4208

NEH GRANTS OFFICE
1992 MAR -5 APR 11:26
NFAH

The Papers of Robert Morris

NEH GRANTS OFFICE

1992 FEB 10 AM 9:38

NFAH

~~ONLY COPY~~

copy to dw 2/14/92
dw

February 3, 1992

David J. Wallace
Director
Grants Office
Room 310
National Endowment for the Humanities
Washington, D.C. 20506

Dear Mr. Wallace:

Enclosed is the third semi-annual progress report for
RE-20885-90 covering the period July 1 to December 31, 1991.

Yours truly,

Elizabeth M. Nuxoll

Elizabeth M. Nuxoll

Enclosure

Directors

Elizabeth M. Nuxoll,
Project Director/Coeditor
Mary A. Y. Gallagher,
Coeditor
Nelson S. Dearmont,
Associate Editor
Clarence L. Ver Steeg,
Editorial Advisor
E. James Ferguson,
Editor Emeritus

Sponsored by

Queens College, CUNY
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for the Humanities
and the
National Historical
Publications and Records
Commission

Published by

University of
Pittsburgh Press



Queens College, CUNY
Flushing, New York 11367-0904
Telephone 718/670-4208

FILE *js*

~~ONLY COPY~~

NEH GRANTS OFFICE
1992 FEB 10 AM 9:38
NFAH

NARRATIVE REPORT

TO THE

NATIONAL ENDOWMENT FOR THE HUMANITIES

February 3, 1992

Project: The Papers of Robert Morris

Project Director: Elizabeth M. Nuxoll

Institution: Queens College of The City University of New York

Grant Period: July 1-December 31, 1991

Grant Number: RE-20885-90

PROGRESS REPORT

Summary

The following chart outlines the status of work planned for the grant period July 1-December 31, 1991, as presented in the revised plan for our previous application.

PLANNED

COMPLETED

Volume 8

Preliminary annotation
completed

Done
Drafts of headnotes
prepared; reviewed by
readers; corrections
entered; revised
versions sent
for second review;
corrections returned and
entered

Second verification completed

Done
Additional texts
discovered, transcribed
and verified; third
verification done by
Nuxoll on difficult texts

Final editing completed

90% done; new
materials obtained as
result of queries sent out
in course of final editing;
changes entered. First
half of volume sent to
readers for review,
returned; changes entered.
An additional quarter of
the volume sent to
the advisers; corrections
still being entered;
the remainder will be sent
this winter

Submission of volume 8 to press for
copyediting; review of copyedited
manuscript

To be done only after
entire volume reviewed by
advisers and corrected

| | |
|---|---|
| Changes keyed into machine-readable files | Displaywriter files converted into machine readable PC files; corrections are still being entered |
| Encoding of volume files | Not yet done; revised system developed with printer |
| Volume submitted to press/typesetter | Rescheduled for summer 1992 |
| Indexing begun | Scheduled for winter 1992 |

Volume 9

| | |
|--|--|
| First verification of documents nearly completed | Done |
| Preliminary annotation two-thirds done | About 60% done |
| Second verification--not scheduled | Two-thirds done
Corrections are being keyed and proofed as the work progresses |
| Addenda texts | Not scheduled; verified and corrected; some assigned to volume 9; most to microform supplement |

Microform Supplement

| | |
|---------------|---|
| Not scheduled | Documents previously transcribed on Displaywriter converted into PC/WordPerfect files. First verification more than two-thirds done; corrections being keyed and proofed as work progresses |
|---------------|---|

Fund Raising

| | |
|------------------------|---|
| \$52,000 for 1990-1992 | \$23,230 raised for 1990-1992
\$28,770 to go |
|------------------------|---|

Progress Report: Narrative

Editorial Progress

For a number of reasons the editors continue to make greater progress in fulfilling their scheduled preliminary work on volume 9 than on the more complex task of finalizing volume 8. Because it was easier to raise private funds for work on volume 9, our last volume, than for completing volume 8, it has been necessary to advance work on both volumes simultaneously in order to meet the terms of our various grants. Moreover, student aides and research assistants can be assigned to assist in basic tasks remaining to be done for volume 9 and for the microform supplement, but, except for keying corrections or checking outside research, cannot do much toward the polishing and indexing of volume 8. Those tasks must be held for the coeditors and are affected by the pace of fund-raising and administrative activities. Because of the global dimensions of the commerce issue and of Morris's post-war entrepreneurial activities, a significant part of the research for volumes 8 and 9 involved sources in foreign languages. Many of these required translation and transcription for editorial use. Queries made as final editing advanced bore more fruit than expected, and led to further revisions to the first half of the volume. Extra checking and polishing has been needed to ensure that the work of the new editors was as far as possible consistent in style and format with the material in previous volumes. The press has indicated that it does not wish to begin copyediting volume 8 until the entire volume is completed, thoroughly reviewed by our editorial advisers, and all corrections entered. Computer conversion also necessitated some additional work but presumably will save time during the later production processes. We

expect to submit the volume this year, but the exact date will depend on when the volume review is complete, meets our advisers' standards, and is fully corrected and proofed. Since our efforts to secure adequate reviewing for volume 7 proved largely unavailing, the editors also think it will be more effective to have the final volumes and microform supplement come out as close together as possible, even if that means somewhat delaying publication of volume 8 to ensure that volume 9 and the microform supplement keep moving forward. In this way the marketing and reviewing customary for a completed series should encourage maximum use of our materials.

Volume 7

Volume 7 was published in February 1989. Although the volume has been suitably publicized, only one review has to our knowledge so far appeared in a major scholarly journal.

Volume 8

Second verification was completed by Dr. Dearmont. Further changes are being keyed and proofed as final editing progresses.

Major headnotes on such topics as postwar commerce, the opening of the China trade, the Philadelphia mutiny of 1783, army pay and disbandment, and the relocation of the nation's capital have been revised after having been twice reviewed by our editorial adviser Clarence Ver Steeg and by Editor Emeritus E. James Ferguson. Little further work will be needed on these notes.

Final review of texts is being done by Dr. Nuxoll in the course of her final editing. Final editing was about half completed when summer 1990 began. Further progress was somewhat slowed by vacations and by Dr. Nuxoll's absence on alternate days for a month while she was serving

on a grand jury. In the interim copies of new material discovered as a result of queries sent out in the course of final editing arrived; Dr. Gallagher incorporated them where relevant. Particularly important were new Marine Department documents recently acquired by the Library of Congress and translations at the Library of Congress of materials in Spanish archives (both made available to us through Paul Smith, editor of the Letters of Delegates to Congress edition). Also utilized were reels of microfilm from the Nathanael Greene Papers covering the period of this volume; these helped explain obscure references in our texts dealing with the supply and disbandment of the southern army.

Work on the Spanish translations led us to related materials on the issue of post-war American trade with the Spanish colonies and led us to scholars working in this area. This new information has also been incorporated. An anonymous text translated into Spanish that probably emanated from the Office of Finance was among the items discovered; it was verified, translated, and annotated for inclusion in volume 8. Microfilms of related French consular materials were ordered from the Library of Congress in 1990; this material arrived, was translated by Dr. Gallagher, and was incorporated into the notes to volumes 8 and 9. Microfilms of Dutch materials were obtained and the relevant texts submitted to a Dutch historian at Queens College for translation. The new data is being added to notes related to Morris's cultivation of Dutch trade and investment in the last half of volume 8 and in volume 9. Recently new texts relating to the opening of the China trade have been discovered; these were integrated into our coverage of that topic.

Once all the follow-up work was completed, the annotation to the first half of the volume was converted by the Queens College computer

center from Displaywriter files into PC/WordPerfect files. All necessary adjustments were then made to test the efficacy of our new system. After proofing and reviewing for stylistic errors and inconsistencies, both the notes and documents to this half of the volume were printed and sent to Professors Ferguson and Ver Steeg for careful review. Corrections needed as a result of their suggestions were entered when that portion of the volume was returned.

The second half of the annotation was then sent to the computer center for conversion since no serious problems were discovered, and all subsequent changes are being keyed into the PC files. Dr. Nuxoll returned to final editing of the second half of the volume, with assistance from Dr. Gallagher who has been drafting additional annotation on topics missed or inadequately covered by the preliminary annotation. The third quarter of the volume was recently sent to our editorial advisers for review; corrections are still being entered. The remainder of the volume will be sent to our advisers this winter.

Volume 9 and Microform Supplement

Dr. Dearmont has been composing the preliminary annotation to volume 9; it is about 60% done. All foreign language texts and their translations have received several verifications. A former research assistant, Christopher Mack, had completed the first verification of the Diary entries and reduced-type documents for volume 9; Dorothea Brady finished verifying the full-type documents and all items scheduled for the addenda. Corrections have been keyed by our student aide. Dr. Dearmont has been following behind them doing the second verification of all texts and proofing of corrections. This process is about two-thirds done. When time permitted, our research assistants undertook

preliminary verification of the microform documents, which are usually relatively simple and routine texts. Our present research assistant, Kenneth Pearl, worked on microform texts this summer. About two-thirds of the microform texts have already received such preliminary verification. Corrections have been keyed for about one-half of these texts, but proofing and second verification of all microform texts still remain to be done.

Outreach Programs

Dr. Gallagher has been following up the editors' role in promoting a document-based Bicentennial essay contest in 1987 with explorations of the feasibility of publishing documentary source books for class use under the auspices of the Association for Documentary Editing. She and Dr. Nuxoll met or spoke with various representatives of the ADE, the NEH, and the NHPRC to ascertain the most effective techniques for producing and marketing such educational materials.

To publicize materials forthcoming in volumes 8 and 9, Dr. Nuxoll presented a paper on Morris's monetary policy for an interdisciplinary conference on "Money: Lore, Lure and Liquidity" at Hofstra University in November, while Dr. Gallagher delivered a paper on the efforts of Robert and Gouverneur Morris to promote free trade at an Eighteenth Century Studies Conference in Lancaster, Pennsylvania, in October.

NATIONAL ENDOWMENT FOR THE HUMANITIES

WASHINGTON, D.C. 20506

February 3, 1992

Dr. Matthew Goldstein
Executive Director
Research Foundation of CUNY
79 Fifth Avenue
New York, NY 10003

Ref: RE-20885-90

Dear Dr. Goldstein:

I wish to inform you that the Endowment's offer to match gifts which are raised for the referenced project will terminate March 31, 1992. If there is a possibility that additional gift certifications will be forwarded to the Endowment before the offer terminates, please advise the Donations Section of the Grants Office of the amount of the gifts and the date by which the certification will be forwarded to NEH.

Any questions you may have about the feasibility of extending the offer period should be resolved before the current offer terminates. In the event we do not hear from your institution by this date, the offer will automatically be closed.

Sincerely,



Stephen Veneziani
Grants Administrator

cc: Elizabeth M. Nuxoll

**NATIONAL ENDOWMENT FOR THE HUMANITIES
OFFICIAL NOTICE OF ACTION**

002690

| | | | |
|---|--|-------|------------------------|
| WARD RECIPIENT

CUNY Res. Fdn./Queens College

Institutional Grant Administrator:

Matthew Goldstein
Executive Director
Research Foundation of CUNY
79 Fifth Avenue
New York, NY 10003 | ACTION TAKEN: | | DATE OF ACTION: |
| | Amendment | | 01/22/91 |
| | ID NUMBER: | | |
| | RE-20885-90 | | |
| | GRANT/FELLOWSHIP PERIOD | | |
| | FROM | 07/90 | THRU 06/92 |
| | FUNDING | | |
| | OUTRIGHT \$ 183,000.00

GIFT \$

FEDERAL MATCH \$ 23,230.00

TOTAL AWARDED \$ 206,230.00 | | |
| PROJECT DIRECTOR | | | |
| Elizabeth M. Nuxoll | | | |
| PROJECT TITLE | | | |
| The Papers of Robert Morris 1781-84 | | | |
| | FEDERAL MATCHING FUNDS
AMOUNT
OFFERED \$ 52000.00

OFFER EXPIRES 03/92 | | |

REMARKS:

A gift certification has been received and matched by the Endowment. This grant is therefore increased by \$100 in matching funds to the new funding level indicated above.

We would appreciate your informing the donor(s) that matching funds have been provided by the Endowment.

Please note that the expenditure of certified gift funds is a part of the non-federal share of project costs (cost sharing) and must be included as such on all financial reports. Also, for every dollar in matching funds which is expended on this project, an equal amount of gift funds must also be expended.

All other provisions of this award remain in effect.

This award was funded by the NEH program described in CFDA section 45.146.

FOR GRANTS OFFICE OFFICIAL

Signature David J. Wallace Name and Title Director, Grants Office

FOR AGENCY USE ONLY:

592/30200 251-P-2406-4102

\$100.00

Elizabeth M. Nuxoll
 The Papers of Robert Morris
 Queens College
 65-30 Kissena Boulevard
 Flushing, NY 11367

ROUTING AND TRANSMITTAL SLIP

Date 10/18/91

TO: (Name, office symbol, room number,
building, Agency/Post)

Initials

Date

1. ALICE HUDGINS

2.

3. Grants Office

4. Room 310

5.

| Action | File | Note and Return |
|--------------|----------------------|------------------|
| Approval | For Clearance | Per Conversation |
| As Requested | For Correction | Prepare Reply |
| Circulate | For Your Information | See Me |
| Comment | Investigate | Signature |
| Coordination | Justify | |

REMARKS

In place of the one I
Sent you yesterday

DO NOT use this form as a RECORD of approvals, concurrences, disposals,
clearances, and similar actions

FROM: (Name, org. symbol, Agency/Post)

Room No.—Bldg.

Doug Arnold

318

Phone No.

5041-102

GPO : 1987 O - 196-409

OPTIONAL FORM 41 (Rev. 7-76)

Prescribed by GSA

FPMR (41 CFR) 101-11.206

NATIONAL ENDOWMENT FOR THE HUMANITIES

WASHINGTON, D.C. 20506



October 16, 1991

Dr. Elizabeth M. Nuxoll
The Papers of Robert Morris
Queens College
65-30 Kissena Boulevard
Flushing, NY 11367-0904

17 OCT 1991

Ref.: RE-20885-90

Dear Dr. Nuxoll:

Thank you for your letter of September 30, 1991, enclosing an interim performance report on your grant to edit the papers of Robert Morris. Thanks also for your explanation of the delay in the submission of Volume 8 to the publisher and for your discussion of the initial work that has been done on Volume 9 and the microform supplement. I am glad to see that the project is somewhat ahead of schedule in the latter areas.

I have discussed with our Grants Office your question about reporting a contemplated change in Clarence Ver Steeg's employment status. The change, as you outline it, will not require special approval by the Endowment or any special reporting procedures. Please follow the reporting format suggested by your grants office. If you have any questions, please do not hesitate to call me at 202/786-0207.

Sincerely,

A handwritten signature in dark ink, appearing to read "DMA".

Douglas M. Arnold
Program Officer
Texts Program
Division of Research
Programs

NATIONAL ENDOWMENT FOR THE HUMANITIES

WASHINGTON, D.C. 20506



October 16, 1991

Dr. Elizabeth M. Nuxoll
The Papers of Robert Morris
Queens College
65-30 Kissena Boulevard
Flushing, NY 11367-0904

17 OCT 1991

Ref.: RE-20885-90

Dear Dr. Nuxoll:

Thank you for your letter of September 30, 1991, enclosing an interim performance report on your grant to edit the papers of Robert Morris. Thanks also for your explanation of the delay in the submission of Volume 8 to the publisher and for your discussion of the initial work that has been done on Volume 9 and the microform supplement; the project seems to be somewhat ahead of schedule in the latter areas.

I was unsure from your letter whether Dorothea Brady and Nelson Dearmont are permanently off the project's payroll or are off only until more funds are secured. As you describe it, their work has been extremely valuable to the project. Do you have any plans to reinstate them in the near future? I have discussed with our Grants Office your question about reporting a contemplated change in Clarence Ver Steeg's employment status. The change, as you outline it, will not require special approval by the Endowment or any special reporting procedures.

If you have any questions, please do not hesitate to call me at 202/786-0207.

Sincerely,

A handwritten signature in dark ink, appearing to read "DMA".

Douglas M. Arnold
Program Officer
Texts Program
Division of Research
Programs

The Papers of Robert Morris

NEH GRANTS OFFICE

1991 OCT -3 AM 11:48

NEAH

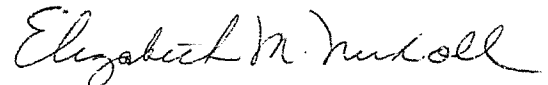
September 30, 1991

David J. Wallace
Director
Grants Office
Room 310
National Endowment for the Humanities
Washington, D.C. 20506

Dear Mr. Wallace:

Enclosed is the second semi-annual progress report for RE-20885-90 covering the period January 1 to June 30, 1991. I thought it had been previously sent out, but apparently it was not.

Yours truly,



Elizabeth M. Nuxoll

Enclosure

Directors
Elizabeth M. Nuxoll,
Project Director/Coeditor
Mary A. Y. Gallagher,
Coeditor
Nelson S. Dearmont,
Associate Editor
Clarence L. Ver Steeg,
Editorial Advisor
E. James Ferguson,
Editor Emeritus

Sponsored by
Queens College, CUNY
under grants from the
National Endowment
for the Humanities
and the
National Historical
Publications and Records
Commission

Published by
University of
Pittsburgh Press

FILE 



Queens College, CUNY
Flushing, New York 11367-0904
Telephone 718/670-4208

NEH GRANTS OFFICE

1991 OCT -3 AM 11:48

NEAH

NARRATIVE REPORT

TO THE

NATIONAL ENDOWMENT FOR THE HUMANITIES

September 30, 1991

Project: The Papers of Robert Morris

Project Director: Elizabeth M. Nuxoll

Institution: Queens College of The City University of New York

Grant Period: January 1-June 20, 1991

Grant Number: RE-20885-90

SENT TO DIVISION

ON 10-3-91

PROGRESS REPORT

Summary

The following chart outlines the status of work planned for the grant period January 1-June 30, 1991, as presented in the revised plan for our previous application.

PLANNED

COMPLETED

Volume 8

Preliminary annotation
completed

Done
Drafts of headnotes
prepared; reviewed by
readers; corrections
entered; revised
versions sent
for second review;
corrections returned and
entered

Second verification completed

Done
Additional texts
discovered, transcribed
and verified; third
verification done by
Nuxoll on difficult texts

Final editing completed

90% done; new
materials obtained as
result of queries sent out
in course of final editing;
changes entered. First
half of volume sent to
readers for review,
returned; changes entered.
An additional quarter of
the volume will be sent to
the advisers this fall;
the remainder will be sent
at the end of the year

Submission of volume 8 to press for
copyediting; review of copyedited
manuscript

To be done only after
entire volume reviewed by
advisers and corrected

| | |
|---|---|
| Changes keyed into machine-readable files | Displaywriter files converted into machine readable PC files; corrections are still being entered |
| Encoding of volume files | Not yet done; revised system developed with printer |
| Volume submitted to press/typesetter | Rescheduled for early 1992 |
| Indexing begun | Scheduled for fall 1991 |

Volume 9

| | |
|--|--|
| First verification of documents nearly completed | Done |
| Preliminary annotation two-thirds done | About 60% done |
| Second verification--not scheduled | Two-thirds done
Corrections are being keyed and proofed as the work progresses |
| Addenda texts | Not scheduled; verified and corrected; some assigned to volume 9; most to microform supplement |

Microform Supplement

| | |
|---------------|---|
| Not scheduled | Documents previously transcribed on Displaywriter converted into PC/WordPerfect files. First verification more than two-thirds done; corrections being keyed and proofed as work progresses |
|---------------|---|

Fund Raising

| | |
|------------------------|---|
| \$52,000 for 1990-1992 | \$23,130 raised for 1990-1992
\$28,870 to go |
|------------------------|---|

Progress Report: Narrative

Editorial Progress

For a number of reasons the editors continue to make greater progress in fulfilling their scheduled preliminary work on volume 9 than on the more complex task of finalizing volume 8. Because it was easier to raise private funds for work on volume 9, our last volume, than for completing volume 8, it has been necessary to advance work on both volumes simultaneously in order to meet the terms of our various grants. Moreover, student aides and research assistants can be assigned to assist in basic tasks remaining to be done for volume 9 and for the microform supplement, but, except for keying corrections or checking outside research, cannot do much toward the polishing and indexing of volume 8. Those tasks must be held for the coeditors and are affected by the pace of fund-raising and administrative activities. Because of the global dimensions of the commerce issue and of Morris's post-war entrepreneurial activities, a significant part of the research for volumes 8 and 9 involved sources in foreign languages. Many of these required translation and transcription for editorial use. Queries made as final editing advanced bore more fruit than expected, and led to further revisions to the first half of the volume. Extra checking and polishing has been needed to ensure that the work of the new editors was as far as possible consistent in style and format with the material in previous volumes. The press has indicated that they do not wish to begin copyediting volume 8 until the entire volume is completed, thoroughly reviewed by our editorial advisers, and all corrections entered. Computer conversion also necessitated some additional work but presumably will save time during the later production processes. We

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NATIONAL ENDOWMENT FOR THE HUMANITIES
OFFICIAL NOTICE OF ACTION

002690

| | | |
|--|---|---------------------------------|
| VARD RECIPIENT

UNY Res. Fdn./Queens College

Institutional Grant Administrator:

Matthew Goldstein
Executive Director
Research Foundation of UNY
79 Fifth Avenue
New York, NY 10003 | ACTION TAKEN:

Amendment | DATE OF ACTION:

09/24/91 |
| | ID NUMBER:

RE-20885-90 | |
| | GRANT/FELLOWSHIP PERIOD

FROM 07/90 THRU 06/92 | |
| | FUNDING

OUTRIGHT \$ 183,000.00

GIFT \$

FEDERAL MATCH \$ 23,130.00

TOTAL AWARDED \$ 206,130.00 | |
| PROJECT DIRECTOR

Elizabeth M. Nuxoll | FEDERAL MATCHING FUNDS
AMOUNT
OFFERED \$ 52000.00

OFFER EXPIRES 03/92 | |
| PROJECT TITLE

The Papers of Robert Morris 1781-84 | | |

MARKS:

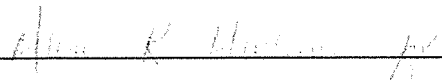
The gift certification that was only partially matched on November 29, 1990 and the gift certification that was acknowledged on June 4, 1991 can now be matched by NEH. This grant is therefore increased by \$11,130 in matching funds to the new funding level indicated above.

Please note that the expenditure of certified gift funds is a part of the nonfederal share of project costs (cost sharing) and must be included as such on all financial reports. Also, for every dollar in matching funds which is expended on this project, an equal amount of gift funds must be expended.

We would appreciate your informing the donor(s) that matching funds have been provided by the Endowment.

All other provisions of this award remain in effect.

This award was funded by the NEH program described in CFDA section 45.146.

| | |
|---|--|
| GRANTS OFFICE OFFICIAL | |
| Signature  | Name and Title David J. Wallace
Director, Grants Office |

| | | |
|---|-----------------|-------------|
| AGENCY USE ONLY: | | |
| 910200 | 225-1-2406-4102 | \$11,130.00 |
| Elizabeth M. Nuxoll
The Papers of Robert Morris
Queens College
65-50 Kissena Boulevard
Flushing, NY 11367 | | |

NATIONAL ENDOWMENT FOR THE HUMANITIES

| | | |
|-----------------------------------|-------------------------------|--------------------|
| N.E.H. -- ROUTING SHEET NUMBER: | 91 0421 A | RECORDED: 09-20-91 |
| GRANT NUMBER: | RE 20885 90 | |
| GRANTEE: | CUNY Res. Fdn./Queens College | |
| PROJ. DIRECTOR: | Elizabeth M. Nuxoll | |
| FED-MATCH AUTHORIZED GRAND TOTAL: | \$52,000.00 | |
| OFFER EXPIRATION: | 03-31-92 | |
| MATCHING COMMITMENTS ON HAND: | \$0.00 | |

--- TO BE PROCESSED NOW ---

| | |
|---------------------------------|---------------------------------|
| ACCT-CODE & PROCESSED GIFT | ACCT-CODES & RELEASED FED-MATCH |
| 999 9 9999 9999 \$11130.00 | 225 1 2406 4102 \$11130.00 |
| | \$0.00 |
| | \$0.00 |
| REMARKS: NEH/NEH/NEH | TOTAL MATCH: \$11130.00 |
| USE ZZ A88 | |
| 1 | |

---CURRENT SCHEDULE---

| MATCH | FY-90 | FY-91 | FY-92 | FY | FY |
|---------------|----------|------------|------------|----|----|
| AUTHORIZED -- | \$0.00 | \$23130.00 | \$28870.00 | | |
| RELEASED -- | \$0.00 | \$23130.00 | \$0.00 | | |
| BALANCE -- | \$0.00 | \$0.00 | \$28870.00 | | |
| GIFTS | | | | | |
| RAISED -- | \$130.00 | \$23000.00 | \$0.00 | | |
| REQUIRED -- | \$0.00 | \$23130.00 | \$28870.00 | | |
| PROCESSED -- | \$0.00 | \$23130.00 | \$0.00 | | |
| BALANCE -- | \$0.00 | \$0.00 | \$28870.00 | | |
| EXCESS -- | \$130.00 | \$0.00 | \$0.00 | | |

NOTE: Balance = Required - Processed.

Fund. levels:

OR \$183,000.

G 0

M 23,130.

Total \$206,130

---DONATION BREAKDOWN---

| CATEGORY | CURRENT | TO-DATE |
|----------|-----------|------------|
| 1 | \$0.00 | \$0.00 |
| 2 | \$0.00 | \$0.00 |
| 3 | \$3000.00 | \$23130.00 |
| 4 | \$0.00 | \$0.00 |
| 5 | \$0.00 | \$0.00 |
| 6 | \$0.00 | \$0.00 |
| 7 | \$0.00 | \$0.00 |
| 8 | \$0.00 | \$0.00 |
| 9 | \$0.00 | \$0.00 |
| TOTAL | \$3000.00 | \$23130.00 |

2A87 (revise first sentence:) The gift certification that was only partially matched on November 29, 1990 and the gift certification that was acknowledged on June 4, 1991 can now be matched by NEH. - \$11,130.-

2A05

2A99

OK 9/24/91
p. 9/24/91

NATIONAL ENDOWMENT FOR THE HUMANITIES

WASHINGTON, D.C. 20506

June 4, 1991



Virginia Bowles
Project Administrator
Research Foundation of CUNY
79 Fifth Avenue
New York, NY 10003

REF: RE-20885-90

Dear Ms. Bowles:

A certification of a gift in the amount of \$3,000 has been received.

It is the Endowment's intention to match this gift after October 1, 1991 provided that sufficient funds allocated for matching purposes are available in the Editions Program of the Division of Research Programs.

Although federal matching funds are not provided at this time, the certified gift may be used to support budgeted project activities during the grant period.

If you have any questions about this matter, please feel free to call me at 202/786-0006.

Sincerely,

Stephen Veneziani
Grants Administrator
NEH Grants Office

cc: Elizabeth M. Nuxoll

m'd 9/91 - 11,130:
3,000 - 91-421
+ 8,130 - 91-54

N A T I O N A L E N D O W M E N T F O R T H E H U M A N I T I E S

NEW GIFT RECORDED

| | |
|-----------------------------------|-------------------------------|
| GRANT NUMBER: | RE 20885 90 |
| GRANTEE: | CUNY Res. Fdn./Queens College |
| PROJ. DIRECTOR: | Elizabeth M. Nuxoll |
| FED-MATCH AUTHORIZED GRAND TOTAL: | \$52,000.00 |
| OFFER EXPIRATION: | 03-31-92 |
| MATCHING COMMITMENTS ON HAND: | \$11,130.00 |

| | |
|--------------------------------------|---------------------|
| N E H -- GIFT RECORD NUMBER: 91 0421 | RECORDED: 06-04-91 |
| FOR CERTIFICATION | DONATED IN YEAR '91 |

DONOR:

| CATEGORY | CURRENT | TO-DATE |
|----------|-----------|------------|
| 1 | \$.00 | \$.00 |
| 2 | \$.00 | \$.00 |
| 3 | \$3000.00 | \$23130.00 |
| 4 | \$.00 | \$.00 |
| 5 | \$.00 | \$.00 |
| 6 | \$.00 | \$.00 |
| 7 | \$.00 | \$.00 |
| 8 | \$.00 | \$.00 |
| 9 | \$.00 | \$.00 |
| TOTAL | \$3000.00 | \$23130.00 |

---CURRENT SCHEDULE---

| MATCH | | FY-90 | FY-91 | FY-92 | FY | FY |
|------------|----|-------|------------|------------|----|----|
| AUTHORIZED | -- | \$.00 | \$12000.00 | \$40000.00 | | |
| RELEASED | -- | \$.00 | \$12000.00 | \$.00 | | |
| BALANCE | -- | \$.00 | \$.00 | \$40000.00 | | |

GIFTS

| | | | | |
|-----------|----|----------|------------|------------|
| RAISED | -- | \$130.00 | \$23000.00 | \$.00 |
| REQUIRED | -- | \$.00 | \$12000.00 | \$40000.00 |
| PROCESSED | -- | \$.00 | \$12000.00 | \$.00 |
| BALANCE | -- | \$.00 | \$.00 | \$40000.00 |
| EXCESS | -- | \$130.00 | \$11000.00 | \$.00 |

NOTE: Balance = Required - Processed.

NEW GRANTS OFFICE

The following is the revised plan of work for the ~~second~~ ^{second} year of the current grant. The rate of progress will be affected by the amount of gifts-and-matching funds raised to cover staff salaries for the second year of the grant.

Planned

Revised

July 1-December 31, 1991

Final editing completed
Last half of volume
submitted to reviewers;
returned corrections
entered; volume submitted
for copyediting

index prepared from
manuscript

Editing of index

Rescheduled for 1992

Submission of index to volume 8
to press

Rescheduled for 1992

Preliminary annotation of volume 9
completed

To be done if staff
available

Second verification of volume 9 begun

2/3 done now; to be
completed if staff
available

First verification of microfilm documents
half completed by research assistants

Already more than half
done

January 1-June 30, 1992

Publication of volume 8

Probably late 1992 or early
1993

Second verification of volume 9
completed

Should be done if staff
available

Final editing of volume 9 completed

No more than 1/3 done

Volume submitted to press for copyediting

Postponed until 1993

NATIONAL ENDOWMENT FOR THE HUMANITIES

WASHINGTON, D.C. 20506



February 1, 1991

Dr. Elizabeth M. Nuxoll
The Papers of Robert Morris
Queens College
65-30 Kissena Boulevard
Flushing, NY 11367-0904

Ref.: RE-20885-90

Dear Dr. Nuxoll:

Thank you for the detailed interim performance report dated January 24, 1991. It contains a clear explanation of progress and delays in the project's work schedule and a useful discussion of the recent changes in your use of computers.

As you indicated in the report, you are behind in the work on Volume 8, but generally ahead of schedule on Volume 9 and the microform supplement. What is difficult to determine from the report is how this might affect the project's overall work plan. Would you say that currently you were behind, ahead, or roughly on time for the larger goals of the project? In particular, will the delayed submission of Volume 8 to the press (by what looks like about a year) also delay publication of that volume beyond the 1992 date given in your revised plan of work (dated March 5, 1990)? Will delays on Volume 8 adversely affect the publication of Volume 9 and the microform supplement that will conclude the series? I would appreciate having your thoughts on these matters.

Thank you for the description of the changes the project has made in its computer hardware, software, and operating procedures. I suspect that these changes will make the production of Volumes 8 and 9 more efficient, despite the short-term inconveniences caused by the data conversion.

Best wishes to Mary Gallagher, Nelson Dearmont, and the rest of your staff. Please do not hesitate to contact me if I can be of any assistance.

Sincerely,

A handwritten signature in dark ink, appearing to read "Douglas M. Arnold".

Douglas M. Arnold
Program Officer
Texts Program
Division of Research
Programs

The Papers of Robert Morris

JAN 29 1991

January 24, 1990

Dr. Douglas Arnold
Program Officer
Division of Research Grants
National Endowment for the Humanities
Old Post Office (Room 319)
1100 Pennsylvania Avenue, N.W.
Washington, D.C. 20506

FILE

Dear Doug:

Enclosed is a copy of our first semi-annual progress report, covering the period July 1 to December 31, 1990. New discoveries and stylistic inconsistencies uncovered by the final editing process are still holding up the submission of volume 8, but preliminary work on volume 9 is going well.

There have been some staff changes. Since our student aide, Monica Flori, needed full time work for the summer and additional hours in fall, she left the project. Kathleen Haslbauer Mullen, our administrative assistant/assistant editor, has been doing the budgeting and other administrative tasks, along with computer-related editorial assignments. (b) (6) Maria, now a student at Queens College, has been filling in when needed for keyboarding, filing, and routine clerical tasks. The research assistant assigned us last year by the CUNY Graduate Center, Dorothea Brady, proved very adept at verification and proofreading, but was ineligible for reassignment to our project. We have therefore reallocated some of the money budgeted for the student aide to retain her for additional hours to complete the first verification of volume 9, leaving Nelson Dearmont to complete the second verification and preliminary annotation for that volume. In this way we can keep volume 9 on schedule while the coeditors are concentrating on finalizing volume 8. Another student from the CUNY Graduate Center, Kenneth Pearl, was assigned to us in September to act as research assistant; he is completing research requests for volumes 8 and 9 at the New York Public Library and other off-campus repositories.

\$20,130 is still the figure on gifts-for-matching raised; the \$12,000 in NEH matching has arrived as scheduled. ✓

Our best regards to all.

Sincerely,

Elizabeth M. Nuxoll

Elizabeth M. Nuxoll

Enclosures

Directors
Elizabeth M. Nuxoll,
Project Director/Coeditor
Mary A. Y. Gallagher,
Coeditor
Nelson S. Dearmont,
Associate Editor
Clarence L. Ver Steeg,
Editorial Advisor
E. James Ferguson,
Editor Emeritus

Sponsored by
Queens College, CUNY
under grants from the
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for the Humanities
and the
National Historical
Publications and Records
Commission

Published by
University of
Pittsburgh Press



Queens College, CUNY
Flushing, New York 11367-0904
Telephone 718/670-4208

NARRATIVE REPORT

TO THE

NATIONAL ENDOWMENT FOR THE HUMANITIES

January 24, 1991

Project: The Papers of Robert Morris

Project Director: Elizabeth M. Nuxoll

Institution: Queens College of The City University of New York

Grant Period: July 1-December 31, 1990

PROGRESS REPORT

Summary

The following chart outlines the status of work planned for the grant period July 1-December 31, 1990.

| PLANNED | COMPLETED |
|--|--|
| <u>Volume 8</u> | |
| Preliminary annotation completed | Done
Drafts of headnotes prepared; reviewed by readers; corrections entered; revised versions sent for second review |
| Second verification completed | Done
Additional texts discovered, transcribed and verified |
| Final editing completed | Two-thirds done; new materials obtained as result of queries sent out in course of final editing; changes entered. First half of volume sent to readers for review |
| Submission of volume 8 to press for copyediting; review of copyedited manuscript | To be done only after entire volume reviewed by advisers and corrected |
| Changes keyed into machine-readable files | Displaywrite files converted into machine readable PC files but corrections are still being entered |
| Encoding of volume files | Not yet done, but revised system developed with printer |
| Volume submitted to press/typesetter | To be done in 1991 |
| Indexing begun | To be done in 1991 |

Volume 9

| | |
|---|---|
| First verification of documents
nearly completed | Eighty percent done |
| Preliminary annotation two-thirds
done | About half done |
| Second verification--not scheduled | One half done
Corrections are being keyed
for this portion and
proofed as the work
progresses |

Microform Supplement

| | |
|---------------|---|
| Not scheduled | Documents previously
transcribed on Displaywrite
converted into PC/Word
Perfect files. First
verification about one-third
done |
|---------------|---|

Fund Raising

| | |
|-------------------------------------|---------------------------------|
| \$30,000 to be raised for 1988-1990 | Done |
| \$52,000 for 1990-1992 | \$20,130 raised for 1990-1992 ✓ |

NARRATIVE

For a number of reasons the editors have made greater progress in fulfilling their scheduled preliminary work on volume 9 than on the more complex task of finalizing volume 8. Because it was easier to raise private funds for work on volume 9, our last volume, than for completing volume 8, it has been necessary to advance work on both volumes simultaneously in order to meet the terms of our various grants. Moreover, student aides and research assistants can be assigned to assist in basic tasks remaining to be done for volume 9, but, except for keying corrections or checking outside research, cannot do much toward the polishing and indexing of volume 8. Those tasks must be held for the coeditors and are affected by the pace of fund-raising and administrative activities. Queries made as final editing advanced bore more fruit than expected, and led to further revisions to the first half of the volume. Extra checking and polishing was needed to ensure that the work of the new editors was as far as possible consistent in style and format with the material in previous volumes. The press has indicated that they do not wish to begin copyediting volume 8 until the entire volume is completed, thoroughly reviewed by our editorial advisers, and all corrections entered. Computer conversion also necessitated some additional work as described below, but presumably will save time during the later production processes. We still expect to submit the volume this year, but that exact date will depend on when the volume review is complete, meets our advisers' standards, and is fully corrected and proofed.

Computer Changes

The Morris Papers first computerized in 1982, using IMB Displaywriters, which are now old, increasingly obsolete, and too costly to maintain. The project therefore acquired its first PC in 1989 and a second in the summer of 1990. We are in the process of gradually converting from one system to the other, and from IBM software to Word Perfect 5.1, the system advocated and supported by the Queens College Computer Center and furnished to us without cost by Queens College under its multiple licensing system. Staff members will continue to use the Displaywrite system for rough drafts, correspondence, grant proposals, and clerical tasks for as long as it continues to function without maintenance.

Documents for all forthcoming volumes and for the microform supplement had already been keyed onto the 8-inch diskettes the Displaywriter system uses. The project inserted typesetting codes, both manually and through global search and replace functions or macros, into the manuscript for volume 7 and submitted it to the press in machine-readable form. However, it was necessary to convert the data on our Displaywriter diskettes files onto PC diskettes via the mainframe computer because the printer's system cannot utilize Displaywriter diskettes. This step will not be necessary under the new system. Furthermore, our printer (Huron Valley Graphics) also can read many more Word Perfect codes directly than it could Displaywrite codes; thus reducing the task of embedding typesetting codes. Trial conversion of some documents from Displaywrite 6 to Wordperfect showed that a few codes do not transfer properly and have to be adjusted. Kathleen Mullen, assisted by the college computer center, therefore developed

Word Perfect macros to effect these adjustments and to embed some typesetting codes not directly transferable from Wordperfect 5.1 to Hudson Valley Graphic's typesetting codes.

Some annotation, principally headnotes, was prepared in 1989-1990 on the Maxum PC in Word Perfect and therefore did not need to be converted. However, by the time the project acquired the first PC, much of the preliminary annotation for Volume 8 was keyed in Displaywrite. The Queens College Computer Center converted the document texts for volumes 8 and 9 and the microform supplement into Word Perfect and transferred the data to PC diskettes in 1989. It is converting annotation into the new format once its final editing is completed; the first half of the volume was converted this summer.

The conversion process took more time than anticipated. As our test run had revealed, margins needed readjustment, and some codes, notably centering and tabs, did not convert properly. Kathleen Mullen undertook all the necessary changes. Document names to the Displaywrite files were too long for PC files; Mullen had to rename each, a time-consuming process. Otherwise, shortened titles, created by the system's reproducing only the first eight letters of each title, were unrecognizable, or, even worse, came out the same as others and resulted in similarly-named documents writing over and replacing each other.

The project intends to use NLCINDEX, the PC version of CINDEX, to create and process the index for the remaining volumes on the Maxum. The program was acquired this summer, but the staff has not yet begun to use it. Any time spent learning the new version of CINDEX should be offset by the elimination of delays formerly caused by the cumbersome mainframe system used for volumes 6 and 7. Files prepared on NLCINDEX

are compatible with the mainframe version of CINDEX and can be utilized in preparing a cumulative index of all volumes.

Editorial Progress

Volume 7 was published in February 1989. Reviews have not yet appeared.

Volume 8

Second verification was completed by Dr. Dearmont. Further changes are being keyed and proofed as final editing progresses.

Major headnotes on such topics as postwar commerce, the opening of the China trade, the Philadelphia mutiny of 1783, and the relocation of the nation's capital are undergoing revision after having been reviewed by our editorial adviser Clarence Ver Steeg and by Editor Emeritus E. James Ferguson.

Final review of texts is being done by Dr. Nuxoll in the course of her final editing. The formal process of final editing of annotation had been periodically deferred to permit substantial work on headnotes so that they could be sent to Professors Ver Steeg and Ferguson for review at an earlier stage of development than has heretofore been customary. Final editing was about half completed when summer began. Further progress was somewhat slowed by vacations and by Dr. Nuxoll's absence on alternate days for a month while she was serving on a grand jury. In the interim copies of new material discovered as a result of queries sent out in the course of final editing arrived; Dr. Gallagher has been incorporating them where relevant. Particularly important were new Marine Department documents recently acquired by the Library of Congress and translations at the Library of Congress of materials in Spanish archives (both made available to us through Paul Smith). Also

utilized were reels of microfilm from the Nathanael Greene Papers covering the period of this volume; these helped explain obscure references in our texts dealing with the supply and disbandment of the southern army.

Work on the Spanish translations led us to related materials on the issue of post-war American trade with the Spanish colonies and led us to scholars working in this area. This new information has also been incorporated. An anonymous text translated into Spanish that probably emanated from the Office of Finance was among the items discovered; it has been verified and translated and is currently being annotated for inclusion in volume 8. Microfilms of related French materials were ordered from the Library of Congress; this data must be added to the notes to volumes 8 and 9 when the reels arrive.

Once all the follow-up work was completed, the annotation to the first half of the volume was converted by the Queens College Computer Center from Displaywrite files into PC/Word Perfect files. All necessary adjustments were then made to test the efficacy of our new system (see the section on computerization above). After proofing and reviewing for stylistic errors and inconsistencies, both the notes and documents to this half of the volume were printed and sent to Professors Ferguson and Ver Steeg for careful review. Any further corrections needed will be entered when this portion of the volume is returned. Dr. Nuxoll has now returned to final editing of the second half of the volume, with assistance from Dr. Gallagher who is drafting additional annotation on any topics missed or inadequately covered by the preliminary annotation.

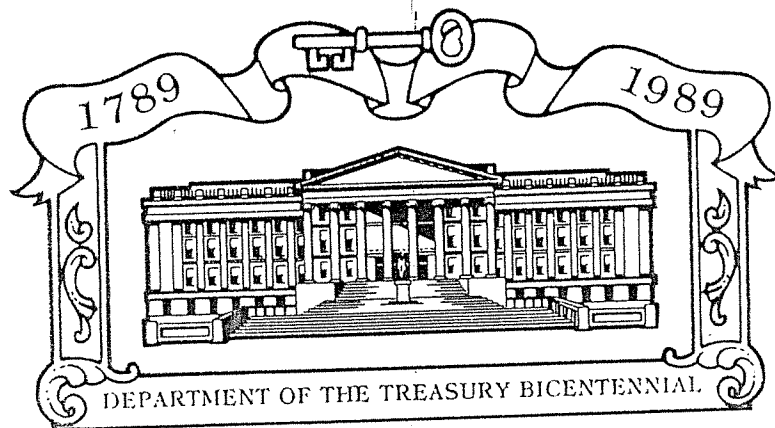
Volume 9 and Microform Supplement

Dr. Dearmont is composing the preliminary annotation to volume 9; it is about half done. All foreign language texts and their translations have received several verifications. A former research assistant, Christopher Mack, completed the first verification of the Diary entries and reduced type documents for volume 9; another research assistant, Dorothea Brady, is verifying the full type documents. She is about 80% done. Corrections are being keyed by our student aide. Dr. Dearmont is following behind them doing the second verification of texts and proofing of corrections. This process is also about half done. When time permits our student aides and research assistants undertake preliminary verification of the microform documents, which are usually relatively simple and routine texts. About one-third of the microform texts have thus received preliminary verification.

Outreach Programs

Dr. Gallagher has been following up the editors' role in promoting a document-based Bicentennial essay contest in 1987 with explorations of the feasibility of publishing documentary source books for class use under the auspices of the Association for Documentary Editing. She and Dr. Nuxoll met with various representatives of the ADE, the NEH and the NHPRC during this grant period to ascertain the most effective techniques for producing and marketing such educational materials.

ANNUAL REPORT
of the
TREASURY HISTORICAL
ASSOCIATION
1989



Department of the Treasury Bicentennial Issue

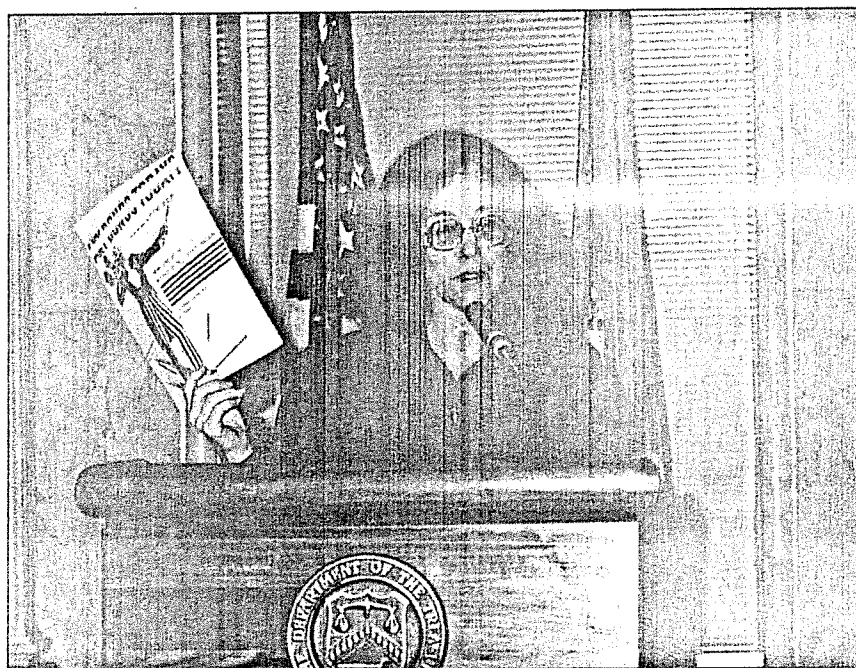
Highlights of Activities

Gift of Irving Berlin's Sheet Music

Two rare souvenir copies of the sheet music of Irving Berlin's World War II song *ANY BONDS TODAY? Theme Song of the National Defense Savings Program* were given to the Treasury Historical Association by Ellen Gilbert Cole (twin sister of Abby Gilbert) of Los Angeles.

Originally published in 1941, the copyright to the song was given to Secretary of the Treasury Henry Morgenthau on May 22, 1941. This was a special copyright arrangement since Berlin was very protective of his songs. And, although Irving Berlin had his own publishing company, he did not publish this song. Instead it was printed by the U.S. Government Printing Office.

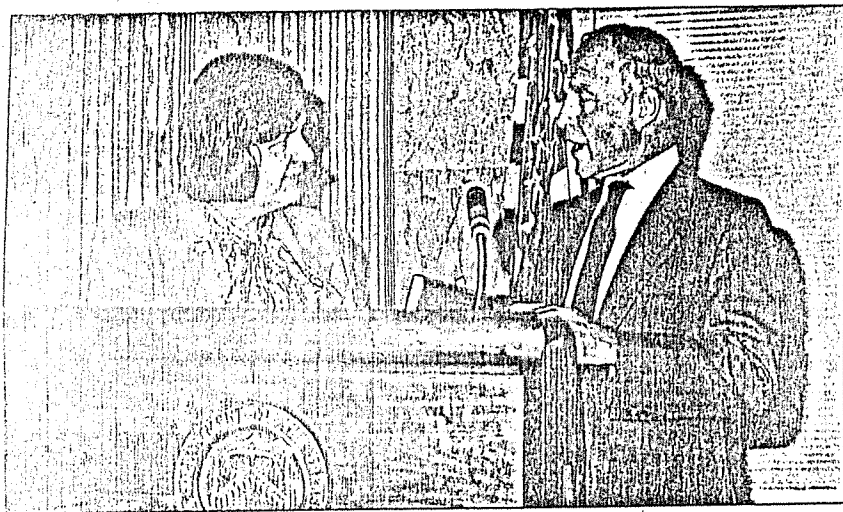
Mrs. Cole, who searched for the music for THA, purchased the sheet music from John Moody, President of the Southern California Society of Sheet Music Collectors.



Ellen Cole's gift of Irving Berlin's sheet music is presented to THA at Bicentennial lecture in October.

Morris Papers Grant

Dr. Elizabeth M. Nuxoll, Editor of the Robert Morris Papers, presented Deputy Secretary of the Treasury John E. Robson with the Treasury Historical Association's copy of Volume 7 of the published papers at the Bicentennial lecture on November 16. Robert Morris was the Minister of Finance during the American Revolution. THA is publicly acknowledged in the volume for its research grant of \$1,000 made in 1988. THA surprised Dr. Nuxoll with a second grant of \$500. Dr. Nuxoll was delighted and said the money would go towards the work on the next volume. THA has given its copy of Volume 7 of the Morris Papers to the Treasury Library.



Dr. Elizabeth Nuxoll, editor of the Robert Morris Papers, presents John Robson with THA's copy of Volume 7 of the Morris Papers.



Robert Aten, Economist in the Office of Economic Policy and Vice President of the Society of Government Economists, introduces Dr. William Baumol. Dr. Aten suggested Dr. Baumol to THA and coordinated our joint meeting with SGE.

NATIONAL ENDOWMENT FOR THE HUMANITIES
OFFICIAL NOTICE OF ACTION

020

| | | |
|---|---|---------------------------------|
| WARD RECIPIENT

UNY Res. Fdn./Queens College

Institutional Grant Administrator:

Matthew Goldstein
Executive Director
Research Foundation of CUNY
79 Fifth Avenue
New York, NY 10003 | ACTION TAKEN:

Amendment | DATE OF ACTION:

11/29/90 |
| | ID NUMBER:

RE-20885-90 | |
| | GRANT/FELLOWSHIP PERIOD

FROM 07/90 THRU 06/92 | |
| | FUNDING

OUTRIGHT \$ 183,000.00

GIFT \$

FEDERAL MATCH \$ 12,000.00

TOTAL AWARDED \$ 195,000.00 | |
| PROJECT DIRECTOR

Elizabeth M. Nuzoll | FEDERAL MATCHING FUNDS
AMOUNT
OFFERED \$ 52000.00

OFFER EXPIRES 03/92 | |
| PROJECT TITLE

The Papers of Robert Morris 1781-84 | | |
| MARKS: | | |

The gift certification in the amount of \$130 that was acknowledged on July 24, 1990 can now be matched by NEH. In addition, a gift certification in the amount of \$20,000 has been received and partially matched with \$11,870. This grant is therefore increased by \$12,000 in matching funds to the new funding level indicated above.

The Endowment anticipates that it will be able to match the balance of this gift certification in October of 1991, the beginning of the next federal fiscal year.

We would appreciate your informing the donor(s) that matching funds have been provided by the Endowment.

Please note that the expenditure of certified gift funds is a part of the non-federal share of project costs (cost sharing) and must be included as such on all financial reports. Also, for every dollar in matching funds which is expended on this project, an equal amount of gift funds must also be expended.

All other provisions of this award remain in effect.

GRANTS OFFICE OFFICIAL

Signature David J. Wallace Name and Title Director, Grants Office

AGENCY USE ONLY:

BT 11/30/90
91/20200 254-1-2406-4102 \$12,000.00
Elizabeth M. Nuzoll
The Papers of Robert Morris
Queens College
65-30 Kissena Boulevard
Flushing, NY 11357

NATIONAL ENDOWMENT FOR THE HUMANITIES

NEH -- ROUTING SHEET NUMBER: 90 0529 A RECORDED: 11-19-90
 GRANT NUMBER: RE 20885 90
 GRANTEE: CUNY Res. Fdn./Queens College
 PROJ. DIRECTOR: Elizabeth M. Nuxoll
 FED-MATCH AUTHORIZED GRAND TOTAL: \$52,000.00
 OFFER EXPIRATION: 03-31-92
 MATCHING COMMITMENTS ON HAND: \$0.00

NOTE - 2 Route Slips Attached

--- TO BE PROCESSED NOW ---
 ACCT-CODE & PROCESSED GIFT ACCT-CODES & RELEASED FED-MATCH
 999 9 9999 9999 \$130.00 254 1 2406 4102 \$130.00 + 11,870
 \$0.00
 \$0.00
 TOTAL MATCH: \$130.00
 \$12,000.

| ---CURRENT SCHEDULE--- | | | | | |
|------------------------|----|----------|------------|------------|--|
| MATCH | | FY-90 | FY-91 | FY-92 | |
| AUTHORIZED | -- | \$0.00 | \$12000.00 | \$40000.00 | |
| RELEASED | -- | \$0.00 | \$130.00 | \$0.00 | |
| BALANCE | -- | \$0.00 | \$11870.00 | \$40000.00 | |
| GIFTS | | | | | |
| RAISED | -- | \$130.00 | \$0.00 | \$0.00 | |
| REQUIRED | -- | \$0.00 | \$12000.00 | \$40000.00 | |
| PROCESSED | -- | \$0.00 | \$130.00 | \$0.00 | |
| BALANCE | -- | \$0.00 | \$11870.00 | \$40000.00 | |
| EXCESS | -- | \$130.00 | \$0.00 | \$0.00 | |

Funding:
 OR \$183,000.
 G 0
 M 12,000.
 Total \$195,000.

---DONATION BREAKDOWN---

| CATEGORY | CURRENT | TO-DATE |
|----------|----------|----------|
| 1 | \$0.00 | \$0.00 |
| 2 | \$0.00 | \$0.00 |
| 3 | \$130.00 | \$130.00 |
| 4 | \$0.00 | \$0.00 |
| 5 | \$0.00 | \$0.00 |
| 6 | \$0.00 | \$0.00 |
| 7 | \$0.00 | \$0.00 |
| 8 | \$0.00 | \$0.00 |
| 9 | \$0.00 | \$0.00 |
| TOTAL | \$130.00 | \$130.00 |

The gift certification ^{in the amount of \$130} that was acknowledged on July 24, 1990 can now be matched by NEH. In addition, a gift certification in the amount of \$20,000 has been received and partially matched ~~with~~ with \$11,870. This grant is therefore increased by \$12,000 in matching funds to the new funding level indicated above.

ZEA02 (delete first paragraph)
 ZEA99

OK 11/26/90
 P. 11/20/90

N A T I O N A L E N D O W M E N T F O R T H E H U M A N I T I E S

| | | |
|-----------------------------------|-------------------------------|--------------------|
| VEH -- ROUTING SHEET NUMBER: | 91 0034 A | RECORDED: 11-20-90 |
| GRANT NUMBER: | RE 20885 90 | |
| GRANTEE: | CUNY Res. Fdn./Queens College | |
| PROJ. DIRECTOR: | Elizabeth M. Nuxoll | |
| FED-MATCH AUTHORIZED GRAND TOTAL: | \$52,000.00 | |
| OFFER EXPIRATION: | 03-31-92 | |
| MATCHING COMMITMENTS ON HAND: | \$8,130.00 | |

----- TO BE PROCESSED NOW -----

| | |
|---------------------------------|---------------------------------|
| ACCT-CODE & PROCESSED GIFT | ACCT-CODES & RELEASED FED-MATCH |
| 999 9 9999 9999 \$11870.00 | 254 1 2406 4102 \$11870.00 |
| | \$.00 |
| | \$.00 |
| | TOTAL MATCH: \$11870.00 |

REMARKS: \$8,130 TO GO IN FY92

-----CURRENT SCHEDULE-----

| | | FY-90 | FY-91 | FY-92 | FY | FY |
|------------|----|--------|------------|------------|----|----|
| AUTHORIZED | -- | \$.00 | \$12000.00 | \$40000.00 | | |
| RELEASED | -- | \$.00 | \$12000.00 | \$.00 | | |
| BALANCE | -- | \$.00 | \$.00 | \$40000.00 | | |

| | | | | | | |
|----------|----|----------|------------|------------|----|----|
| GIFTS | | FY-90 | FY-91 | FY-92 | FY | FY |
| RAISED | -- | \$130.00 | \$20000.00 | \$.00 | | |
| REQUIRED | | \$.00 | \$12000.00 | \$40000.00 | | |

PROCE
BALAN
EXCES
NOTE:

THIS WAS REC'D AFTER 10/1/90

AND HAS NOT BEEN ACKNOWLEDGED

ATEG

TOTAL \$20000.00

11/8/90
130
\$12,130.00

NATIONAL ENDOWMENT FOR THE HUMANITIES

WASHINGTON, D.C. 20506

July 24, 1990



Ms. Virginia Bowles
Project Administrator
Research Foundation of
the City University of New York
79 Fifth Avenue
New York, NY 10003

REF: RE-20885-90

Dear Ms. Bowles:

A certification of a gift in the amount of \$130 has been received.

It is the Endowment's intention to match this gift after October 1, 1990 provided that sufficient funds allocated for matching purposes are available in the Editions Program of the Division of Research Programs.

Although the matching funds are not provided at this time, the certified gift can be used to support budgeted project activities during the grant period.

If you have any questions regarding this matter, please call me at 202/786-0006.

Sincerely,

Stephen Veneziani
Grants Administrator
NEH Grants Office

cc: Elizabeth M. Nuxoll

130 md. 11/90
(plus 11,870 Hom 91-0034)
= 17,000

NATIONAL ENDOWMENT FOR THE HUMANITIES

NEW GIFT RECORDED

RANT NUMBER: RE 20885 90
 RANTEE: CUNY Res. Fdn./Queens College
 ROJ. DIRECTOR: Elizabeth M. Nuxoll
 ED-MATCH AUTHORIZED GRAND TOTAL: \$52,000.00
 FFER EXPIRATION: 03-31-92
 ATCHING COMMITMENTS ON HAND: \$130.00

E H -- GIFT RECORD NUMBER: 90 0529 RECORDED: 07-24-90
 OR CERTIFICATION DONATED IN YEAR '90
 ONOR:

| ATEGORY | CURRENT | TO-DATE |
|---------|----------|----------|
| 1 | \$.00 | \$.00 |
| 2 | \$.00 | \$.00 |
| 3 | \$130.00 | \$130.00 |
| 4 | \$.00 | \$.00 |
| 5 | \$.00 | \$.00 |
| 6 | \$.00 | \$.00 |
| 7 | \$.00 | \$.00 |
| 8 | \$.00 | \$.00 |
| 9 | \$.00 | \$.00 |
| TOTAL | \$130.00 | \$130.00 |

| | | ---CURRENT SCHEDULE--- | | | | |
|------------|----|------------------------|------------|------------|----|----|
| ATCH | | FY-90 | FY-91 | FY-92 | FY | FY |
| AUTHORIZED | -- | \$.00 | \$12000.00 | \$40000.00 | | |
| RELEASED | -- | \$.00 | \$.00 | \$.00 | | |
| BALANCE | -- | \$.00 | \$12000.00 | \$40000.00 | | |

| | | | | | |
|-----------|----|----------|------------|------------|--|
| IFTS | | | | | |
| RAISED | -- | \$130.00 | \$.00 | \$.00 | |
| REQUIRED | -- | \$.00 | \$12000.00 | \$40000.00 | |
| PROCESSED | -- | \$.00 | \$.00 | \$.00 | |
| BALANCE | -- | \$.00 | \$12000.00 | \$40000.00 | |
| EXCESS | -- | \$130.00 | \$.00 | \$.00 | |

NOTE: Balance = Required - Processed.

NATIONAL ENDOWMENT FOR THE HUMANITIES

WASHINGTON, D.C. 20506

March 26, 1990

Dr. Matthew Goldstein
Executive Director
Research Foundation of CUNY
79 Fifth Avenue
New York, NY 10003

Ref: RE-20885-90

Dear Dr. Goldstein:

It is with pleasure that I write to advise you that the National Endowment for the Humanities has awarded a grant of \$183,000 in support of the project referred to above. This grant is made after careful consideration of the application by the agency's peer review panels and the National Council on the Humanities.

Enclosed is the official notice of action which includes information on the length of the grant period and the terms and conditions that apply to this project. Please review this material carefully and feel free to address any questions concerning the award to the person whose name appears on the second page of the award notification.

I am pleased that the Endowment is able to provide support for this project.

Sincerely,

Lynne V. Cheney
Chairman

Enclosures

cc: Elizabeth M. Nuxoll

NATIONAL ENDOWMENT FOR THE HUMANITIES
OFFICIAL NOTICE OF ACTION

| | | |
|---|---|---------------------------------|
| WARD RECIPIENT

NY Univ. Edn./Queens College

Institutional Grant Administrator:

Matthew Goldstein
Executive Director
Research Foundation of CUNY
79 Fifth Avenue
New York, NY 10003 | ACTION TAKEN:

New Grant | DATE OF ACTION:

02/28/90 |
| | ID. NUMBER:

RE 20885-90 | |
| | GRANT/FELLOWSHIP PERIOD

FROM 07/90 THRU 06/92 | |
| | FUNDING

OUTRIGHT \$ 183,000.00

GIFT \$

FEDERAL MATCH \$

TOTAL AWARDED \$ 183,000.00 | |
| PROJECT DIRECTOR

Elizabeth M. Nuxoll | FEDERAL MATCHING FUNDS
AMOUNT
OFFERED \$ 52,000.00

OFFER EXPIRES 03/92 | |
| PROJECT TITLE

The Papers of Robert Morris 1781-84 | | |

REMARKS:

The conditions and special provisions that apply to this grant are attached and will be considered acceptable unless a written objection is submitted within thirty days of the date of this notice. The first request for payment will indicate the grantee's acceptance of the award.

The administration of this grant and the expenditure of funds are subject to:

- General Grant Provisions for Grants to Organizations (Revised October 1985);
- Uniform Administrative Requirements of OMB Circular A-110;
- Audit Requirements of OMB Circular A-133; and
- Cost Principles for Educational Institutions (OMB Circular A-21).

Copies of these documents may be obtained from the NEH Grants Office, Room 310.

Instructions for the submission of financial and performance reports will be found in Enclosures 1 and 2 and on the financial reporting forms. A complete schedule of report due dates appears on the last page of the attachment to this notice.

Payment of this grant will be made on a letter of credit basis. Information on the methods of payment and instructions for requesting payment will be found in Enclosure 1.

| | |
|--------------------------------------|--|
| NEH GRANTS OFFICE OFFICIAL | |
| Signature <u>Alice R. Hudson for</u> | Name and Title <u>David J. Wallace
Director, Grants Office</u> |

| | | |
|---|-----------------|--------------|
| FOR AGENCY USE ONLY: | | |
| 900200 | 225-0-2406-4101 | \$183,000.00 |
| Elizabeth M. Nuxoll
The Papers of Robert Morris
Queens College
65-30 Kissena Boulevard
Flushing, NY 11367 | | |

GRANTEE: CUNY Res. Fdn./Queens College GRANT NO: RE-20885-90

BASIS OF AWARD

This grant is made in support of the activities described in Endowment application RE-20885.

ENDOWMENT ADMINISTRATION OF THE AWARD

This grant has been funded by the Division of Research Programs. Questions relating to project activities, the scope of the project, or changes in key project personnel should be addressed to Douglas Arnold of this division. Questions about the regulations that apply to the grant or requests for budget changes or extensions of the grant period should be addressed to Alice Huggins of the NEH Grants Office.

SPECIAL CONDITIONS AND PROVISIONS

The following conditions and provisions apply to this grant:

1. NEH offers to provide matching funds of up to \$52,000 on condition that (1) an equal amount of eligible gifts is raised and either certified or forwarded to NEH by March 31, 1992, and (2) sufficient funds allocated for matching purposes are available in the Texts (Editions) Program of the Division of Research. It should be noted that this offer may be withdrawn at any time by the chairman of NEH. Please see the enclosed "Federal Matching Fund Guidelines" for details on the eligibility of gifts and their certification.

We anticipate that the matching funds for this project will be available according to the following schedule: \$12,000 in FY 91 (from October 1990 through September 1991) and \$40,000 in FY 92. Grantees are nevertheless encouraged to certify all eligible gifts as soon as possible so that NEH will be able to amend the grant if additional matching funds become available earlier than anticipated.

2. Please note that for every dollar in matching funds that is expended on this project, an equal amount of gift funds must also be expended.
3. The budget submitted with Elizabeth Nuxoll's letter of March 5, 1990 is approved. Any variations from the approved budget will be subject to the limitations set forth in the general grant provisions under the heading "Budget Revisions."
4. The indirect cost rate of 69.4% of modified direct costs is accepted as a predetermined rate through June 30, 1992.

5. The plan of work dated March 5, 1990 is approved. Please refer to this plan of work in interim performance reports, comparing goals established in the plan with actual accomplishments. The project's success in meeting its goals will be carefully considered during the evaluation of any request for renewed funding.
6. An acknowledgment of Endowment support must be contained in all materials publicizing or resulting from grant activities. The Endowment suggests that the acknowledgment indicate that this project/book/publication has been supported by a grant from the National Endowment for the Humanities, an independent federal agency.

Two copies of all grant products must be forwarded to the Endowment as they become available.

ALL CONDITIONS AND PROVISIONS OF THIS GRANT WILL BE CONSIDERED ACCEPTABLE TO THE GRANTEE UNLESS A WRITTEN OBJECTION IS SUBMITTED WITHIN THIRTY DAYS FROM THE DATE OF THIS AWARD.

The following is a listing of the due dates of the reports required for this grant. A copy of this listing should be forwarded to those individuals responsible for the submission of the required reports.

| Report | Due Date | Period To Be Covered |
|----------------------------------|----------|----------------------|
| Interim Performance Report | 01/31/91 | 07/01/90 to 12/31/90 |
| Interim Performance Report | 07/31/91 | 01/01/91 to 06/30/91 |
| Interim Performance Report | 01/31/92 | 07/01/91 to 12/31/91 |
| Final Financial Report | 09/30/92 | 07/01/90 to 06/30/92 |
| Final Performance Report | 09/30/92 | 07/01/90 to 06/30/92 |
| Federal Cash Transactions Report | 10/31/90 | 07/01/90 to 09/30/90 |
| Federal Cash Transactions Report | 01/31/91 | 10/01/90 to 12/31/90 |
| Federal Cash Transactions Report | 04/30/91 | 01/01/91 to 03/31/91 |
| Federal Cash Transactions Report | 07/31/91 | 04/01/91 to 06/30/91 |
| Federal Cash Transactions Report | 10/31/91 | 07/01/91 to 09/30/91 |
| Federal Cash Transactions Report | 01/31/92 | 10/01/91 to 12/31/91 |
| Federal Cash Transactions Report | 04/30/92 | 01/01/92 to 03/31/92 |

The original and one copy of interim and final performance reports, and challenge grant annual reports should be forwarded to the NEH Grants Office, Room 310. The original and two copies of final financial reports and program income reports should be forwarded to the NEH Grants Office, Room 310. The Federal Cash Transactions Reports and all requests for payments should be forwarded to the NEH Accounting Office, Room 317.

ENCLOSURE LIST

☒ GRANTS TO ORGANIZATIONS

I.G.A. P.D.

X

Enclosure 1 for Organizations

X

General Grant Provisions for Organizations

X

General Grant Provisions for Organizations (Excerpts)

Certification Form /Return Envelope
(Rm 310)

Labor Assurance (2 copies) / Return Envelope
Labor Standards (Rm 310)

✓

M

Federal Matching Fund Guidelines

✓

✓

Enclosure 2

Special Reporting Requirements:

RA (Guidelines for Centers)

EH (Summary Report for Institute Partic.)

ES (Summary Report for Institute Partic.)

"Notice to Grantees" (Research)

A-128 (State/Local Govt. Audit Requirement)

Procurement Standards (A-110)

Procurement Standards (A-102)

Checklist & Questionnaire for Non-Profits

Financial Mgmt. Requirements for Non-Profi

Organizational Survey

OTHER:

~~GRANTS TO INDIVIDUALS~~

X

~~Individual Enclosure Package~~

(Enclosure 1, Enclosure 2,

~~Grant Provisions for Individuals,~~

5 of each form for individuals)

Federal Matching Fund Guidelines

" Notice to Grantees" (Research)

Certification Form /Return Env. Room 310

R. 3/16/90

11/88

1/90

A W A R D M E M O

TO: Alice Hudgins, Grants Office, Room 310
FROM: Douglas Arnold Date: 3-16-90
SUBJECT: APPROVAL TO ISSUE AWARD
FOR APPLICATION NO. RE-20885 COUNCIL DATE (mo./yr.): 2/90
PROJECT DIRECTOR/INSTITUTION: Nuxoll/CUNY Res. Fdn./Queens College

Division approval is hereby given to the Grants Office to issue the above referenced award once final approval has been received from the Chairman. Any pre-award negotiations with the applicant have been completed.

[NOTE: If negotiations relating to reduced funding, a need for a revised budget, or unmet pre-award conditions are not yet completed, DO NOT SEND THIS AWARD MEMO TO THE GRANTS OFFICE.]

If you wish the Grants Office to issue an OFFER LETTER for this application, please request this by separate memo, indicating the conditions to be included and other terms of the offer.]

Please check, Costs shown in ☐ the application budget
as ☒ the attached revised budget dated 3/2/90
appropriate

have been reviewed and approved as reasonable and necessary for the project.

☐ The applicant did not certify freedom from federal debt delinquency on block 12 of the proposal cover sheet. A revised cover sheet with block 12 signed is attached. (NOTE: No award will be issued unless a cover sheet with a signed block 12 ("Federal debt status") is received.)

☐ The NEH Native American Code of Ethics applies to this project. The signed original of the text of the Code from the applicant is attached.

☐ The project has been assigned a working title, which has been entered into the NEH computer system.

☐ A consultant report must be submitted at the end of the project.

The grant period is: ☒ as shown on the application cover sheet.

(Check one) ☐ changed to read: from _____ thru _____
(mo./yr.) (mo./yr.)

List any corrections or changes of the information shown on the application cover sheet for the "Institution" or "Project Director," including any change of address. If none, write "n/a."

NA

List relevant correspondence (ATTACH COPY) and/or telephone contact subsequent to the application that should be referenced in the award documents. If none, write "n/a."

Nuxoll RE-20885

Re the budget:

1. We approve the purchase of the PC in the first year.
2. You will notice that Gallagher's time, cost-sharing, and total project costs are all decreased. We wanted to be sure that this did not represent a decrease in the College's support so we called Nuxoll on 3/15/90. The explanation has to do with note 5 in the Explanation of Major Budget Revisions. In the application budget, Nuxoll included in the cost-sharing approximately \$90,000 as NHPRC's contribution--the amount she would request of that agency. Nuxoll thinks, however, that she will actually receive \$62,000 from NHPRC over the course of our grant, and she has wisely budgeted for that smaller amount. Cost-sharing in the attached revised budget, then, represents:

| | |
|------------------|-------|
| \$62,000 | NHPRC |
| 53,000 | gifts |
| 153,410 | CUNY |
| <u>\$268,410</u> | |

In the application budget, cost-sharing represented:

| | |
|------------------|-------|
| \$90,000 | NHPRC |
| 52,599 | gifts |
| 181,666 | CUNY |
| <u>\$324,265</u> | |

The decrease in CUNY's contribution, Nuxoll said, is due to (1) the IDC that is lost when one assumes that NHPRC will provide \$28,000 less than was calculated in the application budget and (2) the decrease in computer time sharing (see note 2). I think there may have been a few more items involved as well, but Nuxoll assured me that CUNY is giving all it promised for the expenses that really make a difference to the productivity of the project.

Nuxoll said that Gallagher will probably actually work 11 mo. in the first yr; Nuxoll will try to compensate for this one way or another in the 2nd yr of the project.

SECTION B — Summary Budget and Project Funding

SUMMARY BUDGET

Transfer from section A the total costs (column c) for each category of project expense. When the proposed grant period is eighteen months or longer, project expenses for each twelve-month period are to be listed separately and totaled in the last column of the summary budget. For projects that will run less than eighteen months, only the last column of the summary budget should be completed.

| Budget Categories | First Year/
from: 7/90
to: 6/91 | Second Year/
from: 7/91
to: 6/92 | Third Year/
from:
to: | TOTAL COSTS FOR
ENTIRE GRANT
PERIOD |
|---|---------------------------------------|--|-----------------------------|---|
| 1. Salaries and Wages | \$ 111,919 | \$ 118,129 | \$ | = \$ 230,048 |
| 2. Fringe Benefits | (b) (6) | (b) (6) | | = (b) (6) |
| 3. Consultant Fees | (b) (6) | (b) (6) | | = (b) (6) |
| 4. Travel | 1,300 | 500 | | = 1,800 |
| 5. Supplies and Materials | 700 | 800 | | = 1,500 |
| 6. Services | 1,800 | 2,065 | | = 3,865 |
| 7. Other Costs | 1,500 | | | = 1,500 |
| 8. Total Direct Costs (items 1-7) | \$ 145,398 | \$ 152,389 | \$ | = \$ 297,787✓ |
| 9. Indirect Costs | \$ 99,865 | \$ 105,758 | \$ | = \$ 205,623 |
| 10. Total Project Costs (Direct & Indirect) | \$ 245,263 | \$ 258,147 | \$ | = \$ 503,410✓ |

PROJECT FUNDING FOR ENTIRE GRANT PERIOD

| | | |
|--|--|---|
| Requested from NEH: ¹ | Cost Sharing: ² | |
| Outright \$ 183,000✓ | Cash Contributions \$ 131,000 (b) (6) | |
| Federal Matching \$ 52,000✓ | In-Kind Contributions \$ 137,410 (b) (6) | |
| TOTAL NEH FUNDING \$ 235,000✓ | Project Income \$ | |
| | TOTAL COST SHARING \$ 268,410 53% | |
| Total Project Funding (NEH Funds + Cost Sharing) ³ = \$ 503,410 | | cash: NHPR 62,000 { 131,000
gifts: 52,000
other: 17,000 |

¹Indicate the amount of outright and/or federal matching funds that is requested from the Endowment.

²Indicate the amount of cash contributions that will be made by the applicant or third parties to support project expenses that appear in the budget. Include in this amount third-party cash gifts that will be raised to release federal matching funds. (Consult the program guidelines for information on cost-sharing requirements.)

Occasionally, in-kind (noncash) contributions from third parties are included in a project budget as cost sharing; e.g., the value of services or equipment that is donated to the project free of charge. If this is the case, the total value of in-kind contributions should be indicated.

When a project will generate income that will be used during the grant period to support expenses listed in the budget, indicate the amount of income that will be expended on budgeted project activities.

³Total Project Funding should equal Total Project Costs.

Institutional Grant Administrator

Complete the information requested below when a revised budget is submitted. Block 11 of the application cover sheet instructions contains a description of the functions of the institutional grant administrator. The signature of this person indicates approval of the budget submission and the agreement of the organization to cost share project expenses at the level indicated under "Project Funding."

Gautama M. Prasad, Acting Director, Office of

Telephone (718) 520-7444

Name and Title (please type or print) Grants & Contracts

area code

Gautama M. Prasad
Signature

Date 3/7/90

The Papers of Robert Morris

19 MAR 1990

March 5, 1990

Ms. Kathy Fuller
Program Officer
Division of Research Grants
National Endowment for the Humanities
Old Post Office (Room 319)
1100 Pennsylvania Avenue, N.W.
Washington, D.C. 20506

Dear Ms. Fuller:

I am enclosing our revised budget and plan of work for grant RE 20885 for the period July 1, 1990 to June 30, 1992.

Sincerely yours,

Elizabeth M. Nuxoll
Elizabeth M. Nuxoll

Enclosure

cc: Mike Prasad, Acting Director
Office of Grants and Contracts
Queens College

Directors

Elizabeth M. Nuxoll,
Project Director/Coeditor
Mary A. Y. Gallagher,
Coeditor
Nelson S. Dearmont,
Associate Editor
Clarence L. Ver Steeg,
Editorial Advisor
E. James Ferguson,
Editor Emeritus

Sponsored by

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under grants from the
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for the Humanities
and the
National Historical
Publications and Records
Commission

Published by

University of
Pittsburgh Press



Queens College, CUNY
Flushing, New York 11367-0904
Telephone 718/670-4208

4. Travel

For each trip, indicate the number of persons traveling, the total days they will be in travel status, and the total subsistence and transportation costs for that trip. When a project will involve the travel of a number of people to a conference, institute, etc., these costs may be summarized on one line by indicating the point of origin as "various." All foreign travel must be listed separately.

| from/to | no. of
persons | total
travel
days | subsistence
costs | +
transportation
costs | =
NEH Funds
(a) | Cost Sharing
(b) | Total
(c) |
|------------------|-------------------|-------------------------|----------------------|------------------------------|-----------------------|---------------------|--------------|
| NYC/Philadelphia | [1] | [3] | \$ 100 | \$ 100 | \$ 200 | \$ | \$ 200✓ |
| Chicago/NYC | [1] | [3] | 300 <i>total</i> | 600 | 900 | | 900✓ |
| NYC/Washington | [1] | [3] | 100 | 100 | 200 | | 200✓ |
| | [] | [] | | | | | |
| | [] | [] | | | | | |
| | [] | [] | | | | | |
| | [] | [] | | | | | |
| SUBTOTAL | | | | | \$ 1,300 | \$ | \$ 1,300✓ |

5. Supplies and Materials

Include consumable supplies, materials to be used in the project, and items of expendable equipment; i.e., equipment items costing less than \$500 or with an estimated useful life of less than two years.

| item | basis/method of cost computation | (a) | (b) | (c) |
|---------------------|----------------------------------|--------|-----|---------|
| Computer Supplies | @ \$300 per year | \$ 300 | \$ | \$ 300 |
| Expendable Supplies | @ \$200 per year | 200 | | 200 |
| Research Materials | @ \$200 per year | 200 | | 200 |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| SUBTOTAL | | \$ 700 | \$ | \$ 700✓ |

6. Services

Include the cost of duplication and printing, long distance telephone, equipment rental, postage, and other services related to project objectives that are not included under other budget categories or in the indirect cost pool. For subcontracts over \$10,000, provide an itemization of subcontract costs on this form or on an attachment.

| item | basis/method of cost computation | (a) | (b) | (c) |
|------------------------------|----------------------------------|--------|----------|-----------|
| Reserved for Computer Repair | @ \$500 per year | \$ 500 | \$ | \$ 500 |
| Xeroxing | @ \$300 per year | 300 | | 300 |
| Computer Time Sharing | @ \$1,000 per year | | 1,000 | 1,000 |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| SUBTOTAL | | \$ 800 | \$ 1,000 | \$ 1,800✓ |

National Endowment for the Humanities

OMB No. 3136-0119

Expires 2/29/92

BUDGET FORM

| | |
|---|---|
| Project Director

Elizabeth M. Nuxoll | If this is a revised budget, indicate the NEH application/grant number:

RE 20885 |
| Applicant Organization
Queens College and
the Research Foundation of CUNY | Requested Grant Period

From <u>July 1990</u> to <u>June 1992</u>
mo/yr mo/yr |

The three-column budget has been developed for the convenience of those applicants who wish to identify the project costs that will be charged to NEH funds and those that will be cost shared. FOR NEH PURPOSES, THE ONLY COLUMN THAT NEEDS TO BE COMPLETED IS COLUMN C. The method of cost computation should clearly indicate how the total charge for each budget item was determined. If more space is needed for any budget category, please follow the budget format on a separate sheet of paper.

When the requested grant period is eighteen months or longer, separate budgets for each twelve-month period of the project must be developed on duplicated copies of the budget form.

SECTION A — budget detail for the period from July 1991 to June 1992 mo/yr mo/yr

1. Salaries and Wages

Provide the names and titles of principal project personnel. For support staff, include the title of each position and indicate in brackets the number of persons who will be employed in that capacity. For persons employed on an academic year basis, list separately any salary charge for work done outside the academic year.

| name/title of position | no. | method of cost computation
(see sample) | NEH Funds
(a) | Cost Sharing
(b) | Total
(c) |
|--|-------|--|------------------|---------------------|----------------|
| Elizabeth Nuxoll/Project Director and Coeditor | [1] | 12 mos FT @ (b) (6) | \$ (b) (6) | \$ | \$ (b) (6) |
| Mary Gallagher/Coeditor | [1] | 10 mos FT @ \$ (b) (6) | (b) (6) | (b) (6) | (b) (6) |
| Nelson Dearmont/Assoc Ed | [1] | 52 wks PT/20 hrs/ (b) (6) hr | | (b) (6) | (b) (6) |
| Kathleen Mullen/Asst Ed | [1] | 52 wks PT/10 hrs/ (b) (6) hr | | (b) (6) | (b) (6) |
| Grant Assistant | [1] | 52 wks PT/20 hrs (b) (6) hr | (b) (6) | | (b) (6) |
| Research Assistant | [1] | stipend of (b) (6) | | (b) (6) | (b) (6) (n.f.) |
| | [] | | | | |
| SUBTOTAL | | | \$ 52,719 | \$ 65,410 | \$ 118,129 |

2. Fringe Benefits

If more than one rate is used, list each rate and salary base.

| rate | salary base | (a) | (b) | (c) |
|-------------------|-------------|------------|------------|------------|
| 23 % of (b) (6) | | \$ (b) (6) | \$ (b) (6) | \$ (b) (6) |
| 0 % of \$ (b) (6) | | 0 | | 0 |
| SUBTOTAL | | \$ (b) (6) | \$ (b) (6) | \$ (b) (6) |

3. Consultant Fees

Include payments for professional and technical consultants and honoraria.

| name or type of consultant | no. of days on project | daily rate of compensation | (a) | (b) | (c) |
|--|------------------------|----------------------------|------------|----------|------------|
| Clarence L. Ver Steeg
(Editorial Advisor) | 36 | \$ (b) (6) | \$ (b) (6) | \$ | \$ (b) (6) |
| E. James Ferguson
(Reader of volume) | honorium | \$ | | 1,000 | 1,000 |
| | | \$ | | | |
| | | \$ | | | |
| SUBTOTAL | | | \$ (b) (6) | \$ 1,000 | \$ (b) (6) |

7. Other Costs

Include participant stipends and room and board, equipment purchases, and other items not previously listed. Please note that "miscellaneous" and "contingency" are not acceptable budget categories. Refer to the budget instructions for the restriction on the purchase of permanent equipment.

| item | basis/method of cost computation | NEH Funds
(a) | Cost Sharing
(b) | Total
(c) |
|----------|----------------------------------|------------------|---------------------|--------------|
| | | \$ | \$ | \$ |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| SUBTOTAL | | \$ | \$ | \$ |
| | | 71,889✓ | 80,500✓ | 152,389✓ |
| | | \$ | \$ | \$ |

8. Total Direct Costs (add subtotals of items 1 through 7)**9. Indirect Costs** [This budget item applies only to institutional applicants.]

If indirect costs are to be charged to this project, check the appropriate box below and provide the information requested. Refer to the budget instructions for explanations of these options.

- ☐ Current indirect cost rates have been negotiated with a federal agency (Complete items A and B.)
- ☐ Indirect cost proposal has been submitted to a federal agency but not yet negotiated. (Indicate the name of the agency in item A and show proposed rate(s) and base(s), and the amount(s) of indirect costs in item B.)
- ☐ Indirect cost proposal will be sent to NEH if application is funded. (Provide an estimate in item B of the rate that will be used and indicate the base against which it will be charged and the amount of indirect costs.)
- ☐ Applicant chooses to use a rate not to exceed 10% of direct costs, less distorting items, up to a maximum charge of \$5,000. (Under item B, enter the proposed rate, the base against which the rate will be charged, and the computation of indirect costs or \$5,000, whichever sum is less.)

A. Health and Human Services
name of federal agency

April 28, 1989
date of agreement

B.

rate(s) base(s)
69.4 % of \$ 71,889
69.4 % of \$ 80,500

TOTAL INDIRECT COSTS

| NEH Funds
(a) | Cost Sharing
(b) | Total
(c) |
|------------------|---------------------|--------------|
| \$ 30,912 | \$ 18,979 | \$ 49,891✓ |
| 0 | 55,867 | 55,867✓ |
| \$ 30,912 | \$ 74,846✓ | \$ 105,758✓ |
| 102,801✓ | 155,346✓ | 258,147✓ |
| \$ | \$ | \$ |

10. Total Project Costs (direct and indirect) for Budget Period

Explanation of Major Budget Revisions

The budget for July 1, 1990 to June 30, 1992 has been revised according to the following principles:

1. Purchase of a second PC workstation originally budgeted for the July 1, 1989 to June 30, 1990 grant period, for which funds were requested from the NHPRC, was postponed for one year. A shortfall in NHPRC funding and the budgetary difficulties confronting the project because of dependence on gift-and-matching funds during the second year of the NEH grant made this advisable. \$1,500 has been budgeted for a second Maxum workstation and related equipment to be obtained from 47th Street Photo. No maintenance agreements have been made for the computers. Free maintenance for a year will be available for the new workstation, but any repairs needed for the first workstation and printer will have to be covered from funds reserved for that purpose in the budget. Should no repairs be needed the reserved funds will be rebudgeted for other supply or equipment needs.

The project is in the process of shifting to PCs from a Displaywriter System which has become obsolete. Displaywriter cannot be used to run Cindex or to submit volumes in machine-readable form to the press. For volume 7 it was necessary to transfer all the files to the CUNY mainframe computer, run the cumbersome mainframe programs, and have former editor John Catanzariti download files onto PC diskettes on his home computer or in the editorial offices of the Jefferson Papers at Princeton. This process was very time-consuming and tedious. With the new system in place it will be possible to employ PC Cindex for the indexing of volumes 8 and 9 and the microfilm supplement and to submit the volumes to the press without reliance on the mainframe or substantial involvement by Catanzariti. The editors have removed maintenance from the Displaywriter machines but will continue to use them for office tasks as long as they function. Displaywrite files are being converted into PC Word Perfect files at the Queens College Computer Center.

2. Because of the acquisition of the PC system, the project will have less need for computer time on the mainframe. We have reduced the Queens College contribution for computer time-sharing to an estimated \$1,000 per year. The mainframe continues to be used for Bitnet communications and remains available should the PCs be inadequate to handle any indexing tasks.

3. In recent years Queens College has provided the funds for a student aide to handle clerical and some routine editing tasks. The budget line from which these funds were provided under the Dean of Graduate Studies and Research disappeared when that office was eliminated last year. Queens College has therefore further reduced the recovery rate at which it collects indirect cost funds from the NEH so as to release funds equivalent to the amount previously budgeted for the salary and fringe benefits of the student aide. The aide is now budgeted on the NEH line but indirect costs billed to the NEH are reduced from 60% to 43%.

REVISED PLAN OF WORK: JULY 1, 1990 TO JUNE 30, 1992

The Plan of work has been revised to accomodate additional time on tasks required by new or inexperienced staff members and reduced staff hours should no increases in NHPRC funds be forthcoming. Additional time has been allotted for corrections to volume 8 which will not be submitted for copyediting before this summer. The production schedule has been pushed back accordingly. Preliminary work on volume 9 remains in accordance with the previous schedule, but with reduced staff hours it may reach only the stage of submission to the press rather than to the printer by the end of the grant period.

July 1-December 31, 1990

Volume 8 submitted to the press for copyediting and returned for correction

Encoding of volume 8 by Gallagher and Mullen; submission to press/typesetter

First verification of volume 9 completed by Dearmont and research assistant

January 1-June 30, 1991

Proofreading and correcting of page proofs of volume 8 by Nuxoll, Dearmont and Mullen

Indexing of volume 8 by Gallagher and Nuxoll

Proofreading and correcting of final pages to volume 8

Running of Cindex program

RE-20885

CUNY Res. Fdn./Queens College

Flushing

NY

Elizabeth M. Nuxoll

REQUESTED: OR: 182,961.00 MATCH: 52,599.00 TOTAL: 235,560.00

The Papers of Robert Morris 1781-84

TERM OF PROJECT: 07/01/90 - 06/30/92 = 24

(REN:RE-20732-88-)

RECOMMENDED: OR: 183,000.00 MATCH: 52,000.00 TOTAL: 235,000.00

TO SUPPORT the preparation of a nine-volume edition of the papers of Robert Morris and the Office of Finance.

(b) (5)



(b) (5)



PROJECT HISTORY: 16 grants, 22 years; \$1,449,431 in outright funds and \$135,139 in federal matching funds; 7 volumes published.

THE BUDGET includes support for salaries, fringe benefits, consultant fees, travel, supplies, services, and indirect costs. Cost sharing is 58%. ✓

incl NHP

(b) (5)



Prepared by David Nichols

(b) (5)



(b) (5)



094

Application / Grant Number: RE-20885

CERTIFICATION CONCERNING LOBBYING ACTIVITIES

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.


Signature

2/2/90
Date

Gautama M. Prasad, Acting Director Office of Grants and Contracts
Printed Name and Title

26 JAN 1990

NATIONAL ENDOWMENT FOR THE HUMANITIES

WASHINGTON, D.C. 20506



NOTICE

RECEIVED

FEB 01 1990

RESEARCH FOUNDATION
CUNY
OFFICE OF CONTROLLER

Application Number: RE-20885

Project Director: Elizabeth M. Nuxoll

A review of the above-referenced application revealed that the information checked below was missing. Please note that some of this material may not have been a part of the application guidelines which you used, and therefore this request does not necessarily reflect any error or omission on your part at the time of application.

The items checked below are enclosed and should be completed and returned to the NEH Grants Office, Room 310, as soon as possible. A business reply envelope is also enclosed for your convenience. If you have any questions concerning this request, you may contact the NEH Grants Office at 202/786-0494. Please have your application reference number available when calling.

- ☐ Certification regarding nondiscrimination statutes, federal debt status, debarment and suspension, and drug-free workplace requirements.
- ☐ Certification regarding federal debt status, debarment and suspension, and drug-free workplace requirements.
- ☒ Certification regarding lobbying activities.
- ☐ Native American Code of Ethics
- ☐ Assurance as to Labor Standards under Sections 5(i) and 7(g).
- ☐ Organizational Survey

Thank you for your assistance.

Application Processing Branch
NEH, Room 310

Enclosures

RE-20885

Ms. Mary Ann Lanzetta
Comptroller, Research
Foundation of CUNY
79 Fifth Avenue
New York, NY 10003

IANITIES



26 JAN 1990

N O T I C E

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Enclosures