

CUNY Research Fdn. RE-21189-94
Queens College

RE-21189-94 Application

FINAL FINANCIAL STATUS REPORT

NEH GRANTS OFFICE

This form is an abbreviated version of the standard financial status report prescribed by the Office of Management and Budget (Standard Form 269 or 269A) and may, at your discretion, be used instead of the OMB forms. Questions concerning this form or its completion should be sent to the NEH Grants Office at the address indicated below, or you may call 202/606-8494.

Within 90 days after the completion date of the grant, the original and two copies of this report should be forwarded to the

GRANTS OFFICE

Room 310
National Endowment for the Humanities
1100 Pennsylvania Avenue, N.W.
Washington, D.C. 20506

GRANTEE ORGANIZATION (Name and complete address, including zip code) RESEARCH FOUNDATION OF CUNY ✓ 30 WEST BROADWAY NEW YORK, NY 10007	NEH GRANT NUMBER <u>R E - 2 1 1 8 9 - 9 4</u> ✓ GRANT PERIOD/PERIOD COVERED BY REPORT (this report should be cumulative for the entire grant period) FROM <u>7/94</u> ✓ THRU <u>3/97</u> ✓ (month/year) (month/year) 448524
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STATUS OF FUNDS

- TOTAL PROJECT EXPENDITURES
- LESS: COST SHARING OR NONFEDERAL SHARE OF OUTLAYS
(Include expenditures covered by cash and noncash (in-kind) contributions from your organization and/or third parties and any gifts certified to obtain NEH matching funds)
- TOTAL EXPENDITURES CHARGED TO NEH
(Item 1 minus Item 2)
- TOTAL AMOUNT OF NEH AWARD
- AMOUNT OF NEH AWARD UNEXPENDED
(Item 4 minus Item 3)

1.	\$ 578,462.00 ✓
2.	\$ 338,462.00 ✓ 59%
3.	\$ 240,000.00 ✓
4.	\$ 240,000.00 ✓
5.	\$ -0- ✓ <i>steven 9/15/97</i>

REMINDER: Any unexpended grant payments must be returned to NEH. A refund check referencing the NEH grant number and made payable to "National Endowment for the Humanities" should be attached to this form.

INDIRECT COST INFORMATION

The amount charged for indirect costs should be included in the total expenditure amounts above. Please itemize the charges for indirect costs in the space below. Use an additional sheet of paper if necessary.

PERIOD RATE IN EFFECT (from/to)	RATE(%)	BASE(\$)	TOTAL AMOUNT(\$)	FEDERAL SHARE (\$)
7/94 - 3/97	56	367,200.50	205,632.28 ✓	75,585.50 ✓
TOTALS			205,632.28 ✓	75,585.50 ✓

I certify that to the best of my knowledge this report is correct and complete, that there are no outstanding unpaid commitments of federal funds, and that all expenditures are for the purposes set forth in the award documents.

SIGNATURE *Fred Chin* DATE 8/29/97

PRINTED NAME & TITLE FRED CHIN DIRECTOR, GRANTS & CONTRACTS

TELEPHONE 212-417-8423 (Virginia Bowles)
(include area code)

The Papers of Robert Morris

July 10, 1997

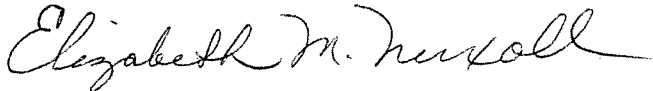
Ms. Alice Hudgins
Grants Office
Rm. 310
National Endowment for the Humanities
1100 Pennsylvania Avenue, N.W.
Washington, D.C. 20506

NEH GRANTS OFFICE
1997 JUL 14 PM 2:58

Dear Ms. Hudgins:

Enclosed are two copies of our sixth and final progress report for grant RE-21189-94 which terminated on March 31, 1997. Supplementary materials include book reviews received since our last progress report and a copy of my paper on the project's contributions to numismatics which is scheduled for publication by the American Numismatic Society later this year.

Sincerely yours,



Elizabeth M. Nuxoll

Enclosures

cc: G. Michael Prasad, Director
Office of Research and Sponsored Programs
Queens College

Directors
Elizabeth M. Nuxoll,
Project Director/Coeditor
Mary A. Y. Gallagher,
Coeditor
Kathleen H. Mullen,
Assistant Editor
Clarence L. Ver Steeg,
Editorial Advisor
E. James Ferguson,
Editor Emeritus

Sponsored by
Queens College/CUNY
under grants from the
National Endowment
for the Humanities
and the
National Historical
Publications and Records
Commission

Published by
University of
Pittsburgh Press



Queens College/CUNY
Flushing, New York 11367-1597
Telephone (718) 997-5180

Narrative Report to the
NATIONAL ENDOWMENT FOR THE HUMANITIES

July 10, 1997

<u>Project:</u>	The Papers of Robert Morris
<u>Grant Number:</u>	RE-21189-94
<u>Project Director:</u>	Elizabeth M. Nuxoll
<u>Institution:</u>	Queens College of the City University of New York
<u>Grant Period:</u>	January 1 to March 31, 1997

FINAL PROGRESS REPORT

Summary

The following chart outlines the status of work planned for grant period January 1, 1997 to March 31, 1997

PLANNED	COMPLETED
Editorial:	
Volume 8	Three reviews received; see attached
Cumulative Index	
Scanning of indexes of first 5 volumes; adjustment of files to coordinate with indexes of volumes 6-9 and microform	Rekeyed, corrected; proofed; index files 1-8 merged. Additional editing and correcting needed; files for volume 9 and microform supplement keyed from revised manuscript; still to be edited and merged with files of previous volumes
Fundraising: \$60,000 match to be raised	\$60,000 raised \$1,537 raised for 1997-1998
Second verification of microform texts completed; textual notes prepared	Done Addenda texts added to microform files
Final editing of microform texts	Ongoing (Gallagher) To be completed when volume 9 done
Submission of microform texts to processor	To be done when volume 9 manuscript completed
Verification and Preliminary annotation of volume 9 done	Done
Final editing of volume 9 done	Done Corrections and revisions entered

Volume 9 submitted to editorial advisers in batches for review; corrections entered

Documents and Notes sent and returned; revisions entered.

Volume 9 submitted to press on diskette

Notes and Document Files Merged
Volume 9 encoded and submitted to press on diskette

A draft manuscript was sent to the press for preparation of subvention application to the NHPRC in the fall of 1995. All scheduled production work on volume 9 was postponed pending publication of volume 8 and receipt of a publication subvention for volume 9. NHPRC Subvention was obtained in March 1996 but staff cutbacks delayed our submission of a revised manuscript until this spring. A detailed press schedule will be provided to the project this summer and staff hours adapted to accommodate that schedule.

These remaining tasks as listed in the plan of work included

Review of copyedited manuscript of volume 9

Changes keyed into machine-readable files; the press currently plans to key the changes into the files rather than require the project to do so.

Narrative

Funding and Staffing

(b) (4)

[REDACTED] but were granted an extension on the current grant, allowing it to stretch out funds. The project raised the full \$60,000 of the NEH matching award. A two year grant for \$4,250 was received from the New Jersey Historical Commission; of this sum only \$3,000 was certified for matching, since the remainder will be spent during the second year and will fall beyond the parameters of the current grant. It is earmarked to cover supplies, equipment repairs, reproduction and travel, rather than staff costs. Delays in the awarding and distribution of gift-and-matching funds during 1995 had already led to salary reductions and to substantial cutbacks in support staff--slowing the process of indexing and manuscript correction and diverting the editors from editorial to administrative tasks. The shortfall during 1996 and 1997 continued this situation. However, gift-and-matching funds, combined with NHPRC funding, federal work-study, and some Queens College support, permitted the project to continue to function. Rather than delay the volume and disrupt health and retirement benefits the editors continued to work at half-salary from November to March. Funds obtained from the NHPRC allowed the staff to be paid full salary from March to May in the case of Dr. Nuxoll and March until June for Dr. Gallagher. Gallagher retired effected June 30 in order to retain her retirement benefits--which would have been

jeopardized by part time status. Nuxoll is on unpaid leave. Negotiations are pending with funders to permit her to return in the fall when the copyedited volume returns from the press and to work full time until eligible for retirement in the spring. If funding is obtained, both Nuxoll and Gallagher can work part time for about a year after retirement to complete the production work on the volume, polish and submit the microform supplement, and merge the indexes to volume 9 and the supplement with previous files to complete the cumulative index.

We retained a graduate assistant from the CUNY Graduate Center to do off site research and checking of sources. He works about 120 hours annually. We obtained one federal work-study student to facilitate clerical tasks in September 1996. A second began work in January 1997. Each worked a total of 160 hours. As their training progressed, they were able to assume more advanced tasks and relieve the two editors of some routine tasks. We expect to be able to rehire one of those students in September, and to obtain another new student aide. We were not able to rehire our assistant editor/administrative assistant Kathy Mullen, who customarily did much of the markup work on the manuscript, entered codes, and otherwise prepared the manuscript for final submission on diskette. Her tasks were assumed by the editors.

Volume 8

This volume was published in December 1995; copies arrived at the Morris Papers office in January 1996. The press forwarded the required copies to the NHPRC and NEH and sent review copies

to appropriate journals. The Queens College communications office prepared and sent appropriate press releases to the media. Copies of reviews received since our last report are attached.

Volume 9

Copies of all the documents, notes and appendices scheduled for volume 9 were sent to the two editorial advisers and returned. Corrections and revisions were entered after consultation with our advisers; this process was delayed by staff reductions but a revised manuscript was sent to the press for copyediting in June.

A draft manuscript was prepared in 1995 for the press to submit a subvention application to the NHPRC. The volume contained 926 documents, including accounts. In submitting its application, the press estimated the size of the volume at 1,200 pages. We believe we have reduced the size to closer to 1000 pages. NEH reviewers for our previous application recommended elimination of most re-identifications and reduction of annotation; consequently, in making final revisions I eliminated many cross references and reidentifications and tightened many of the longer notes. I also moved additional items into reduced type or microform formats. Approximately two hundred pages of the volume will consist of a facsimile reproduction of the printed accounts of Morris's administration as submitted to Congress. Since these provide an overview of the entire Morris administration, I retained them in the volume.

Most final editing was done on the microform supplementary material in conjunction with the editing of volume 9, but

additional polishing is still needed. 450 documents were scheduled for the microform portion of volume 9. However, after final revisions were made, the number of documents slated for the volume was reduced to 887, while a total of 473 were consigned to microform.

All documents previously slated for the addenda (documents found after the volume for that period was published) were placed in the supplement. Dr. Gallagher keyed and verified recently acquired documents and reviewed and corrected the verification of the rest of the addenda documents and formatted them for inclusion in the supplement. The addenda consists of about 125 documents. Dr. Gallagher then reviewed and formatted the supplementary texts associated with volumes 7 and 8 (about 525 documents), but reports that some of the more difficult texts will need some additional review. Some texts may need to be checked against the original manuscripts because questionable points cannot be resolved in the photocopies available to us. We estimate that 1,100 documents will appear in the supplement.

Cumulative Index

In 1995 our aides rekeyed into NLCindex and proofed the indexes to the first five volumes of our series which were not prepared in electronic form. Once the index to volume 8 was completed, a draft cumulative index was prepared merging all the files from volumes 1-8. Some additional correcting and reediting will be needed on these files. Draft index files for the texts and annotation to volume 9 and the microform supplement were prepared and sorted in 1996 and updated in 1997 to match the

revised manuscript. Dr. Gallagher also prepared index files for the addenda texts and added them to the supplement index file. Once final pages of volume 9 arrive, the page fields will be entered into the volume 9 index files, and the index edited and submitted to the press. Page or frame numbers for the microform supplement texts will also be entered for those texts by that time. The new indexes will then be merged with the files for volumes 1-8 to prepare the cumulative index.

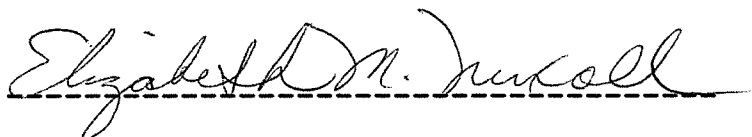
Discussions with the press suggested the following schedule for volume 9. A draft of the volume should be submitted by September 1995 in time to apply for a subvention to the NHPRC in October 1995. It was hoped that once a subvention was obtained, the press could begin copyediting the revised and corrected manuscript in March or April 1996. However, once the subvention was obtained, press schedules and finances would not permit them to begin work until after the start of their new fiscal year in July, and, in any case, project staff cutbacks prevented completion of all the changes deemed necessary for final submission until June 1997. The volume was submitted on paper and also on diskette, with notes and documents merged and codes entered, steps undertaken on previous volumes only after copyediting was completed. During recent discussions with the press, the publishers reported that they will enter all later changes into the files, saving the project work it previously undertook.

The rate of subsequent progress depends on the funding available. Production of the microform texts and the cumulative

index will continue while volume 9 goes through the stages of production at the press.

Outreach

To promote awareness of the edition the editors continue various outreach activities. Both Nuxoll and Gallagher presented papers on the project's naval and maritime topics for the NASOH (North American Society of Oceanic History) conference in Boston in March 1996; both papers have been submitted for publication, but we have no information yet of the time of publication. Nuxoll presented a lecture (with slides) on the project's findings in numismatics before the American Numismatic Society in April 1996. A revised version was prepared and submitted for publication. It is now in press. Nuxoll presented a paper on Robert Morris' Senate career at a conference of the U.S. Capitol Historical Society in April 1997; it is currently being revised for publication by the society.

A handwritten signature in cursive script, reading "Elizabeth M. Nuxoll", written over a horizontal dashed line.

Elizabeth M. Nuxoll, Project Director

The Papers of Robert Morris

SENT TO DIVISION

January 31, 1997

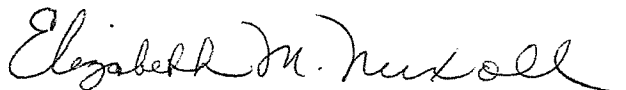
Ms. Alice Hudgins
Grants Office
Rm. 310
National Endowment for the Humanities
1100 Pennsylvania Avenue, N.W.
Washington, D.C. 20506

RECEIVED
GRANTS OFFICE
JAN 31 1997

Dear Ms. Hudgins:

Enclosed are two copies of our fifth semi-annual progress report for grant RE-21189-94 for the period July 1 to December 31, 1996.

Sincerely yours,


Elizabeth M. Nuxoll

FILE

Enclosures

cc: G. Michael Prasad, Director
Office of Research and Sponsored Programs
Queens College

Directors
Elizabeth M. Nuxoll,
Project Director/Coeditor
Mary A. Y. Gallagher,
Coeditor
Kathleen H. Mullen,
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Narrative Report to the
NATIONAL ENDOWMENT FOR THE HUMANITIES

January 31, 1997

<u>Project:</u>	The Papers of Robert Morris
<u>Grant Number:</u>	RE-21189-94
<u>Project Director:</u>	Elizabeth M. Nuxoll
<u>Institution:</u>	Queens College of the City University of New York
<u>Grant Period:</u>	July 1-December 31, 1996

PROGRESS REPORT

Summary

The following chart outlines the status of work planned for grant period July 1-December 31, 1996

PLANNED	COMPLETED
Editorial:	
Volume 8	One review received; see attached
Cumulative Index	
Scanning of indexes of first 5 volumes; adjustment of files to coordinate with indexes of volumes 6-9 and microform	Rekeyed, corrected; proofed; index files 1-8 merged. Additional editing and correcting needed; files for volume 9 and microform supplement keyed from manuscript; still to be edited and merged with files of previous volumes
Fundraising: \$60,000 match to be raised	\$60,000 raised \$1,471 raised for 1997-1998
Second verification of microform texts completed; textual notes prepared	Done Addenda texts added to microform files
Final editing of microform texts	Ongoing (Gallagher) To be completed when volume 9 done
Submission of microform texts to processor	To be done when volume 9 manuscript completed
Verification and Preliminary annotation of volume 9 done	Done
Final editing of volume 9 done	98 percent done Corrections and revisions being entered
Volume 9 submitted to editorial	Documents and Most Notes

advisers in batches for review;
corrections entered

sent; most returned;
revisions being
entered.

Draft manuscript sent to press for preparation of subvention application to NHPRC in the fall of 1995. All scheduled production work on volume 9 was postponed pending publication of volume 8 and receipt of publication subvention for volume 9. NHPRC Subvention was obtained in March 1996 but staff cutbacks have delayed our submission of a revised manuscript until this winter

These tasks as listed in the plan of work included

Review of copyedited manuscript of volume 9

Changes keyed into machine-readable files

Encoding of volume

Volume 9 submitted to press on diskette

Narrative

Funding and Staffing

(b) (4)

but were granted an extension on the current grant, allowing it to stretch out funds. The project raised the full \$60,000 of the NEH matching award. A two year grant for \$4,250 was received from the New Jersey Historical Commission; of this sum only \$3,000 was certified for matching, since the remainder will be spent during the second year and will fall beyond the parameters of the current grant. It is earmarked to cover supplies, equipment repairs, reproduction and travel, rather than staff costs. Delays in the awarding and distribution of gift-and-matching funds during the previous year had already led to salary reductions and to substantial cutbacks in support staff slowing the process of indexing and manuscript correction and diverting the editors from editorial to administrative tasks. The shortfall during the current grant period continued this situation. However, gift-and-matching funds, combined with NHPRC funding, federal work-study, and some Queens College support, permitted the project to continue to function. Rather than delay the volume and disrupt health and retirement benefits the editors have continued to work at half-salary since November, a condition that will continue through at least February.

We retained a graduate assistant from the CUNY Graduate Center to do off site research and checking of sources. He works about 120 hours annually. We obtained one federal work-study

student to facilitate clerical tasks in September. A second began work in January. Each can work a total of 160 hours. As their training progresses, they are able to assume more advanced tasks and relieve the two editors of many routine tasks. We have not been able to rehire our administrative assistant Kathy Mullen, who customarily did much of the mark up on the manuscript and entered codes and otherwise prepared the manuscript for final submission on diskette. Should future funding permit her rehiring for at least a brief period it would facilitate manuscript submission.

Volume 8

This volume was published in December 1995; copies arrived at the Morris Papers office in January 1996. The press forwarded the required copies to the NHPRC and NEH and sent review copies to appropriate journals. The Queens College communications office prepared and sent appropriate press releases to the media. One review has been received to date.

Volume 9

Copies of all the documents and most notes scheduled for volume 9 were sent to the two editorial advisers, as was all the material considered for inclusion in an appendix on the records and debates over the Bank of North America for 1784.

Corrections and revisions continue to be entered after consultation with our advisers; this process has been delayed by staff reductions but a revised manuscript will be sent to the press for copyediting this winter. A draft manuscript was prepared in 1995 for the press to submit a subvention application

to the NHPRC. The volume contained 926 documents, including accounts. In submitting its application, the press estimated the size of the volume at 1,200 pages. We are trying to reduce the size to closer to 900-1000 pages. NEH reviewers for our previous application recommended elimination of most re-identifications and reduction of annotation; consequently, in making final revisions I am eliminating many cross references and reidentifications and tightening many of the longer notes that were prepared. I am also moving additional items into reduced type or microform formats. Approximately two hundred pages of the volume will consist of a facsimile reproduction of the printed accounts of Morris's administration as submitted to Congress. Since these provide an overview of the entire Morris administration, I would like to retain them in the volume. The press was consulted on the most attractive and economical way of preparing the facsimile portion of the volume.

Most final editing was done on the microform supplementary material in conjunction with the editing of volume 9, but additional polishing will need to be done after the revised manuscript of volume 9 is submitted to the press. At present 450 documents are scheduled for the microform portion of volume 9. However, after final revisions are made the number of documents slated for the volume will be a little lower, while a few more will be consigned to microform.

All documents previously slated for the addenda (documents found after the volume for that period was published) are being added to the supplement. Dr. Gallagher has been keying and

verifying recently acquired documents and reviewing and correcting the verification of the rest of the addenda documents and formatting them for inclusion in the supplement. The addenda consists of about 125 documents. Dr. Gallagher is about to begin her review and formatting of the supplementary texts associated with volumes 7 and 8 (about 525 documents). We estimate that a total of 1,100 documents will appear in the supplement.

Cumulative Index

In 1995 our aides rekeyed into NLCindex and proofed the indexes to the first five volumes of our series which were not prepared in electronic form. Once the index to volume 8 was completed, a draft cumulative index was prepared merging all the files from volumes 1-8. Some additional correcting and reediting will be needed on these files. Draft index files for the texts and annotation to volume 9 and the microform supplement were prepared and sorted last spring. Dr. Gallagher will prepare index files for the addenda texts once completed and add those to the supplement file. Once final pages of volume 9 arrive, the page fields will be entered into the volume 9 index files, and the index edited and submitted to the press. Page or frame numbers for the microform supplement texts will also be entered for those texts by that time. The new indexes will then be merged with the files for volumes 1-8 to prepare the cumulative index.

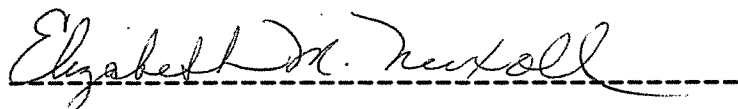
Discussions with the press suggested the following schedule for volume 9. A draft of the volume should be submitted by September 1995 in time to apply for a subvention to the NHPRC in October 1995. It was hoped that once a subvention was obtained,

the press could begin copyediting the revised and corrected manuscript in March or April 1996. However, once the subvention was obtained, press schedules and finances would not permit them to begin work until after the start of their new fiscal year in July, and in any case project staff cutbacks prevented completion of all the changes deemed necessary before final submission.

Changes are progressing rapidly now and we expect the volume to be ready in March for the press. The rate of subsequent progress would depend on the funding available. Production of the microform texts and the cumulative index would continue while volume 9 goes through the stages of production at the press.

Outreach

To promote awareness of the edition the editors continue various outreach activities. Both Nuxoll and Gallagher presented papers on the project's naval and maritime topics for the NASOH (North American Society of Oceanic History) conference in Boston in March 1996; both papers have been submitted for publication, but we have no information yet of the time of publication. Nuxoll presented a lecture (with slides) on the project's findings in numismatics before the American Numismatic Society in April 1996. A revised version is being prepared and will be submitted for publication. Nuxoll also is preparing a paper on Robert Morris' Senate career for a conference in April.

A handwritten signature in cursive script, reading "Elizabeth M. Nuxoll", is written over a horizontal dashed line.

Elizabeth M. Nuxoll, Project Director

✓ *The Papers of Robert Morris, 1781-1784. Vol. 8: May 5-December 31, 1783.* Edited by Elizabeth M. Nuxoll and Mary A. Gallagher. (Pittsburgh: University of Pittsburgh Press, 1995. Frontispiece, sponsors, list of illustrations, introduction, editorial method, editorial apparatus, editors' acknowledgments, tables and figures, notes, appendixes, index. Pp. lxix, 948. \$100.00.)

When Congress failed to approve a comprehensive financial program by January 24, 1783, Robert Morris, the U.S. superintendent of finance and agent of marine, tendered his conditional resignation. Threatening to leave office by the end of May unless measures were adopted to fund the public debt, Morris pressured Congress to sanction a funding measure, which they did on April 18. As a result, Morris agreed to remain in office in order to "pay the army and fulfill his other public engagements." Volume 8 of *The Papers of Robert Morris* begins on May 5, 1783, the day after he agreed to continue his tenure.

Seeking to ensure consummation of the war debt during his tenure, Morris sought initialization of measures to acquire funds. Applying for additional loans from France and other European creditors, auctioning surplus public property, and discounting notes at the Bank of North America, he utilized both international and domestic measures to achieve his directive. He also sought, though usually unsuccessfully, to reduce copious military spending. Morris's efforts to reduce the war debt and to pay soldiers, both before and after the army disbanded, are well documented throughout the volume. This venture met with limited success.

Morris's plan for relieving the war debt was not his only initiative that met with criticism; many of his general programs also came under fire. In early June 1783, as public criticism of Morris's programs and the accusations of his profiteering grew, Congress left Philadelphia. While the U.S. Office of Finance remained in Philadelphia, Congress convened first in Princeton and then in Annapolis, far removed from Superintendent Morris's influence. Arthur Lee, Morris's most vocal critic, seized this opportunity to expound his criticisms of the superintendent, claiming that he was "filling the Papers with Praises calculated to deceive the public . . . [while] the Soldiery [was] defrauded and the public plundered."

Although Lee's accusations were greatly exaggerated, they did hold some truth. By the end of 1783, Morris had expressed new intentions to be "liberated" from office as soon as possible. When he initially accepted the position of superintendent of finance in 1781, Morris reserved the right to retain his previous business associations under the management of others. As postwar commerce assumed a greater importance for the country in late 1783, Morris seized upon circumstances to increase his own business opportunities. Seeing little separation between public and private purposes, he turned his intentions ever increasingly to reorganizing and expanding his mercantile pursuits throughout 1783. He reestablished prewar ties and built upon those he had established

during the war. As volume 8 closes, Robert Morris "redirects his resourcefulness, his optimism, his adventurous and forward-looking spirit to private business."

Established in 1968, *The Papers of Robert Morris* project currently publishes Morris's papers in three formats: (1) in full text in the volume, (2) in abbreviated form using reduced type, and (3) in the microform supplement. The thoroughness of scholarship is evident throughout the volume. Given the complexity and importance of Morris's position and affairs, this volume proves vitally important to an understanding of the early economic development of the United States.

NATIONAL ENDOWMENT FOR THE HUMANITIES
OFFICIAL NOTICE OF ACTION

002690

WARD RECIPIENT		ACTION TAKEN:	DATE OF ACTION:
CUNY Res. Fdn./Queens College Institutional Grant Administrator 1997 JAN 10 AM 9:01 Alan M. Clark Acting President Reverend Foundation of CUNY 77 Fifth Avenue New York, NY 10013		Amendment	01/08/97
PROJECT DIRECTOR		ID NUMBER:	
Elizabeth K. Nuxoll		RE-21185-94	
PROJECT TITLE		GRANT/FELLOWSHIP PERIOD	
The Papers of Robert Morris, 1781-1784		FROM 07/94 THRU 03/97	
		FUNDING	
		OUTRIGHT \$ 180,000.00	
		GIFT \$	
		FEDERAL MATCH \$ 60,000.00	
		TOTAL AWARDED \$ 240,000.00	
		FEDERAL MATCHING FUNDS	
		AMOUNT OFFERED \$ 60000.00	
		OFFER EXPIRES 12/96	

REMARKS:

A gift certification has been received and matched by the Endowment. This grant is therefore increased by \$3,878.04 in matching funds to the new funding level indicated above.

We would appreciate your informing the donor(s) that matching funds have been provided by the Endowment.

Please note that the expenditure of certified gift funds is a part of the non-federal share of project costs (cost sharing) and must be included as such on all financial reports. Also, for every dollar in matching funds which is expended on this project, an equal amount of gift funds must also be expended.

All other terms of this award remain in effect.

This award was funded by the NEH program described in CFDA section 45.161.

NEH GRANTS OFFICE OFFICIAL	
Signature <i>Stephen F. Penney for</i>	Name and Title David J. Wallace Director, Grants Office

FOR AGENCY USE ONLY:

59X0200 254-7-2422-4102 \$3,878.04

Elizabeth K. Nuxoll
The Papers of Robert Morris
Queens College, CUNY
65-30 Kissena Blvd.
Flushing, NY 11357

NATIONAL ENDOWMENT FOR THE HUMANITIES

R&H -- ROUTING SHEET NUMBER:	27 0077 A	RECORDED: 01-07-97
GRANT NUMBER:	RE 21169 94	
GRANTEE:	CUNY Res. Fdn./Queens College	
PROJ. DIRECTOR:	Elizabeth M. Nuxoll	
FED-MATCH AUTHORIZED GRAND TOTAL:	\$60,000.00	
OFFER EXPIRATION:	12-31-93	
MATCHING COMMITMENTS ON HAND:	\$0.00	

--- TO BE PROCESSED NOW ---

ACCT-CODE & PROCESSED GIFT	ACCT-CODES & RELEASED FED-MATCH
999 9 9992 9999 \$3878.04	254 7 2422 4102 \$3878.04
	\$0.00
	\$0.00
	TOTAL MATCH: \$3878.04

---CURRENT SCHEDULE---

MATCH	FY-95	FY-96	FY-97	FY	FY
AUTHORIZED --	\$1650.00	\$52971.96	\$5378.04		
RELEASED --	\$1650.00	\$52971.96	\$5378.04		
BALANCE --	\$0.00	\$0.00	\$0.00		
GIFTS					
RAISED --	\$4150.00	\$50471.96	\$5378.04		
REQUIRED --	\$1650.00	\$52971.96	\$5378.04		
PROCESSED --	\$1650.00	\$52971.96	\$5378.04		
BALANCE --	\$0.00	\$0.00	\$0.00		
EXCESS --	\$2500.00	\$0.00	\$0.00		

*NOTE: Balance = Required - Processed.

---DONATION BREAKDOWN---

CATEGORY	CURRENT	TO-DATE
1	\$3878.04	\$4328.04
2	\$0.00	\$4871.96
3	\$0.00	\$50800.00
4	\$0.00	\$0.00
5	\$0.00	\$0.00
6	\$0.00	\$0.00
7	\$0.00	\$0.00
8	\$0.00	\$0.00
9	\$0.00	\$0.00
TOTAL	\$3878.04	\$60000.00

outright: \$180,000

gifts : — 0 —

match : \$ 60,000

total : \$240,000

ZZ A01: \$3,878.04

ZZ A99

df 1/9/97

[22] From: Elizabeth Nuxoll <EMNQC@CUNYVM.CUNY.EDU> at Internet 12/10/96 5:28PM
(483 bytes: 8 ln)

To: Steve Veneziani at Grants

Subject: Matching funds

RE-21189-94

----- Message Contents -----

We will be certifying a donation for 3878.04, the remainder of
our matching offer of 60,000 dollars before our December 31 deadline.

We have received the pledge and will send the certification as soon as
the check arrives.

Elizabeth M. Nuxoll

The Papers of Robert Morris

NATIONAL ENDOWMENT FOR THE HUMANITIES
OFFICIAL NOTICE OF ACTION

002690

WARD RECIPIENT CUNY Res. Fdn./Queens College Institutional Grant Administrator: Allan M. Shaw Acting President Research Foundation of CUNY 25 Fifth Avenue New York, NY 10001	ACTION TAKEN: Amendment	DATE OF ACTION: 11/21/96							
	ID NUMBER: RE-21189-94								
	GRANT/FELLOWSHIP PERIOD <table> <tr> <td>FROM</td> <td>07/94</td> <td>THRU</td> <td>03/97</td> </tr> </table>		FROM	07/94	THRU	03/97			
	FROM	07/94	THRU	03/97					
FUNDING <table> <tr> <td>OUTRIGHT \$</td> <td>100,000.00</td> </tr> <tr> <td>GIFT \$</td> <td></td> </tr> <tr> <td>FEDERAL MATCH \$</td> <td>56,121.96</td> </tr> <tr> <td>TOTAL AWARDED \$</td> <td>236,121.96</td> </tr> </table>		OUTRIGHT \$	100,000.00	GIFT \$		FEDERAL MATCH \$	56,121.96	TOTAL AWARDED \$	236,121.96
OUTRIGHT \$	100,000.00								
GIFT \$									
FEDERAL MATCH \$	56,121.96								
TOTAL AWARDED \$	236,121.96								
PROJECT DIRECTOR Elizabeth M. Nuxoll	FEDERAL MATCHING FUNDS <table> <tr> <td>AMOUNT OFFERED \$</td> <td>60000.00</td> </tr> <tr> <td>OFFER EXPIRES</td> <td>12/96</td> </tr> </table>		AMOUNT OFFERED \$	60000.00	OFFER EXPIRES	12/96			
AMOUNT OFFERED \$	60000.00								
OFFER EXPIRES	12/96								
PROJECT TITLE The Papers of Robert Morris, 1781-1784									

REMARKS:
Two gift certifications have been received and matched by the Endowment. This grant is therefore increased by \$1,500 in matching funds to the new funding level indicated above.

We would appreciate your informing the donor(s) that matching funds have been provided by the Endowment.

Please note that the expenditure of certified gift funds is a part of the non-federal share of project costs (cost sharing) and must be included as such on all financial reports. Also, for every dollar in matching funds which is expended on this project, an equal amount of gift funds must also be expended.

All other terms of this award remain in effect.

This award was funded by the NEH program described in CFDA section 45.161.

NEH GRANTS OFFICE OFFICIAL Signature: <i>Stephen F. Veneziani</i>	David J. Wallace Director, Grants Office
Signature	Name and Title

FOR AGENCY USE ONLY:

JFF 11/25/96

59X0200 254-7-2422-4102 \$1,500.00

Elizabeth M. Nuxoll
 The Papers of Robert Morris
 Queens College, CUNY
 2510 Kissena Blvd.
 Flushing, NY 11355

UNITED STATES ENDOWMENT FUND THE UNIVERSITY OF THE STATE OF NEW YORK

A E H -- ROUTING SHEET NUMBER: 27 0034 A RECORDED: 11-18-94
 GRANT NUMBER: 95 21100 24
 GRANTEE: CUNY Res. Fdn./Queens College
 PROJ. DIRECTOR: Elizabeth M. Nuxoll
 FED-MATCH AUTHORIZED GRAND TOTAL: \$60,000.00
 OFFER EXPIRATION: 12-31-96
 MATCHING COMMITMENTS ON HAND: \$.00

--- TO BE PROCESSED NOW ---

ACCT-CODE & PROCESSED GIFT	ACCT-CODES & RELEASED FED-MATCH
999 9 9999 9999 \$1500.00	254 7 2422 4102 \$1500.00
	\$0.00
	\$0.00
	TOTAL MATCH: \$1500.00

REMARKS. 2 CERTS/NO SPEC REM

--- CURRENT SCHEDULE ---

MATCH		FY-95	FY-96	FY-97	FY	FY
AUTHORIZED	--	\$1650.00	\$52971.96	\$5378.04		
RELEASED	--	\$1650.00	\$52971.96	\$1500.00		
BALANCE	--	\$0.00	\$0.00	\$3878.04		

GIFTS

RAISED	--	\$4150.00	\$50471.96	\$1500.00
REQUIRED	--	\$1650.00	\$52971.96	\$5378.04
PROCESSED	--	\$1650.00	\$52971.96	\$1500.00
BALANCE	--	\$0.00	\$0.00	\$3878.04
EXCESS	--	\$2500.00	\$0.00	\$0.00

*NOTE: Balance = Required - Processed.

--- DONATION BREAKDOWN ---

CATEGORY	CURRENT	TO-DATE
1	\$0.00	\$450.00
2	\$1000.00	\$4871.96
3	\$0.00	\$50800.00
4	\$0.00	\$0.00
5	\$0.00	\$0.00
6	\$0.00	\$0.00
7	\$0.00	\$0.00
8	\$0.00	\$0.00
9	\$0.00	\$0.00
TOTAL	\$1000.00	\$56121.96

outright: \$180,000
 gifts: \$ — 0 —
 match: \$ 56,121.96
 total: \$ 236,121.96

ZZ A01 (revised as follows):

Two gift certifications have been
 received and matched by the
 Endowment \$1,500

ZZ A99

proofed by 11/22/96

[23] From: Daniel Jones at RES 11/21/96 9:08AM (916 bytes: 14 ln)

To: Steve Veneziani at Grants

Subject: matching funds

----- Forwarded -----

From: "Elizabeth M. Nuxoll" <EMNQC@CUNYVM.CUNY.EDU> at Internet 11/20/96 5:04PM
(727 bytes: 14 ln)

To: Daniel Jones at Res

Subject: matching funds

----- Message Contents -----

Dear Dan Jones

Are matching funds held up for any reason? We've certified 1500
and until recently things were matched quickly--these apparently have not been.

Are they just grouping them into higher amounts?

Usually it doesn't matter but as we are piecing our payrolls together
a little at a time, and the research foundation requires paperwork
with funds in hand presented well before payrolls become due, every little
bit helps.

Sincerely,

Elizabeth M. Nuxoll The Papers of Robert Morris, Queens College

RE 2189-94

[27] From: "Elizabeth M. Nuxoll" <EMNQC@CUNYVM.CUNY.EDU> at Internet 11/12/96 6:36PM (776 bytes: 14 ln)
To: Steve Veneziani at Grants
Subject: Match expectations

----- Message Contents -----
In reply to the letter regarding the matching offer on our grant RE-21189-94, I wish to report that we have recently certified an additional \$1,500 for matching, leaving about 3800 remaining on the matching offer.

We hope to raise the remaining sum by December, but at the moment have no actual pledges for the amount.

Should we have expectations of raising the sum, but fear it will arrive late, we will apply for an extension early enough to meet your guidelines.

Sincerely,

Elizabeth M. Nuxoll The Papers of Robert Morris
Queens College, CUNY

NATIONAL ENDOWMENT FOR THE HUMANITIES

WASHINGTON, D.C. 20506



November 1, 1996

Dr. Allan H. Clark
Acting President
Research Foundation of CUNY
79 Fifth Avenue
New York, NY 10003

Ref: RE-21189-94

Dear Dr. Clark:

I wish to inform you that the Endowment's offer to match gifts which are raised for the referenced project will terminate December 31, 1996. If there is a possibility that additional gift certifications will be forwarded to the Endowment before the offer terminates, please advise the Donations Section of the Grants Office of the amount of the gifts and the date by which the certification will be forwarded to NEH.

Any questions you may have about the feasibility of extending the offer period should be resolved before the current offer terminates. In the event we do not hear from your institution by this date, the offer will automatically be closed.

Sincerely,

Stephen Veneziani
Grants Administrator

cc: Elizabeth M. Nuxoll

NATIONAL ENDOWMENT FOR THE HUMANITIES
OFFICIAL NOTICE OF ACTION

002690

AWARD RECIPIENT CUNY Res. Fdn./Queens College Institutional Grant Administrator: Allen M. Stern Acting President Research Foundation of CUNY 79 Fifth Avenue New York, NY 10003	ACTION TAKEN: Amendment	DATE OF ACTION: 09/10/96							
	ID NUMBER: RE-21189-94								
	GRANT/FELLOWSHIP PERIOD <table> <tr> <td>FROM</td> <td>07/94</td> <td>THRU</td> <td>03/97</td> </tr> </table>		FROM	07/94	THRU	03/97			
	FROM	07/94	THRU	03/97					
FUNDING <table> <tr> <td>OUTRIGHT \$</td> <td>180,000.00</td> </tr> <tr> <td>GIFT \$</td> <td></td> </tr> <tr> <td>FEDERAL MATCH \$</td> <td>54,621.96</td> </tr> <tr> <td>TOTAL AWARDED \$</td> <td>234,621.96</td> </tr> </table>		OUTRIGHT \$	180,000.00	GIFT \$		FEDERAL MATCH \$	54,621.96	TOTAL AWARDED \$	234,621.96
OUTRIGHT \$	180,000.00								
GIFT \$									
FEDERAL MATCH \$	54,621.96								
TOTAL AWARDED \$	234,621.96								
PROJECT DIRECTOR Elizabeth M. Nuxoll	FEDERAL MATCHING FUNDS <table> <tr> <td>AMOUNT OFFERED \$</td> <td>60000.00</td> </tr> <tr> <td>OFFER EXPIRES</td> <td>12/96</td> </tr> </table>		AMOUNT OFFERED \$	60000.00	OFFER EXPIRES	12/96			
AMOUNT OFFERED \$	60000.00								
OFFER EXPIRES	12/96								
PROJECT TITLE The Papers of Robert Morris, 1781-1784									

REMARKS:

A gift certification has been received and matched by the Endowment. This grant is therefore increased by \$2,000 in matching funds to the new funding level indicated above.

We would appreciate your informing the donor(s) that matching funds have been provided by the Endowment.

Please note that the expenditure of certified gift funds is a part of the non-federal share of project costs (cost sharing) and must be included as such on all financial reports. Also, for every dollar in matching funds which is expended on this project, an equal amount of gift funds must also be expended.

All other terms of this award remain in effect.

This award was funded by the NEH program described in CFDA section 45.161.

NEH GRANTS OFFICE OFFICIAL

Signature <i>Stephen F. Vaneziani</i>	Name and Title David J. Wallace Director, Grants Office
---------------------------------------	--

FOR AGENCY USE ONLY:

596/70200 251-6-2422-4102 \$2,000.00

9/11/96

Elizabeth M. Nuxoll
The Papers of Robert Morris
Queens College, CUNY
100-30 Kissena Blvd.
Flushing, NY 11355

ANNUAL BUDGET FOR THE ACTIVITIES

ROUTING SHEET NUMBER: 96 0503 A RECORDED: 02-10-96
 GRANT NUMBER: RE 21189 94
 GRANTEE: CUNY Res. Fdn./Queens College
 PROJ. DIRECTOR: Elizabeth M. Nuxoll
 FED-MATCH AUTHORIZED GRAND TOTAL: \$60,000.00
 OFFER EXPIRATION: 12-31-96
 MATCHING COMMITMENTS ON HAND: \$.00

--- TO BE PROCESSED NOW ---

ACCT-CODE & PROCESSED GIFT	ACCT-CODES & RELEASED FED-MATCH
999 9 9999 9999 \$2000.00	251 6 2427 4102 \$2000.00
	\$0.00
	\$0.00
	TOTAL MATCH: \$2000.00

---CURRENT SCHEDULE---

MATCH		FY-95	FY-96	FY	FY	FY
AUTHORIZED	--	\$1650.00	\$58350.00			
RELEASED	--	\$1650.00	\$52971.96			
BALANCE	--	\$0.00	\$5378.04			

GIFTS

RAISED	--	\$4150.00	\$50471.96
REQUIRED	--	\$1650.00	\$58350.00
PROCESSED	--	\$1650.00	\$52971.96
BALANCE	--	\$0.00	\$5378.04
EXCESS	--	\$2500.00	\$0.00

*NOTE: Balance = Required - Processed.

---DONATION BREAKDOWN---

CATEGORY	CURRENT	TO-DATE
1	\$0.00	\$450.00
2	\$2000.00	\$3371.96
3	\$0.00	\$50001.00
4	\$0.00	\$0.00
5	\$0.00	\$0.00
6	\$0.00	\$0.00
7	\$0.00	\$0.00
8	\$0.00	\$0.00
9	\$0.00	\$0.00
TOTAL	\$2000.00	\$54621.96

outright: \$ 180,000

gifts: \$ — 0 —

match: \$ 54,621.96

total: \$ 234,621.96

ZZ A01: \$2,000

ZZ A99

PA 9/14/96

The Papers of Robert Morris

SENT TO DIVISION

August 15, 1996

Ms. Alice Hudgins
Grants Office
Rm. 310
National Endowment for the Humanities
1100 Pennsylvania Avenue, N.W.
Washington, D.C. 20506

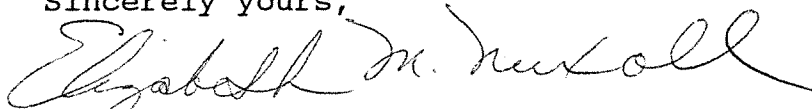
1996 AUG 19 AM 11:14
N.H. GRANTS OFFICE

Dear Ms. Hudgins:

Enclosed are two copies of our fourth semi-annual progress report for grant RE-21189-94 for the period January 1 to June 30, 1996. Since we have received an extension on this award until March 1997, this is not a final report.

Also enclosed as an appendix for inclusion in your records are copies of my article just published in New Jersey History, a report by the American Numismatic Society on my lecture there this spring, and examples of entries in recent auction catalogues in which our project's research is used to identify and provide the context for some recent numismatic finds.

Sincerely yours,



Elizabeth M. Nuxoll

Directors
Elizabeth M. Nuxoll,
Project Director/Coeditor
Mary A. Y. Gallagher,
Coeditor
Kathleen H. Mullen,
Assistant Editor
Clarence L. Ver Steeg,
Editorial Advisor
E. James Ferguson,
Editor Emeritus

Enclosures

cc: G. Michael Prasad, Director
Office of Research and Sponsored Programs
Queens College

Sponsored by
Queens College/CUNY
under grants from the
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for the Humanities
and the
National Historical
Publications and Records
Commission

Published by
University of
Pittsburgh Press



Queens College/CUNY
Flushing, New York 11367-1597
Telephone (718) 997-5180

Narrative Report to the
NATIONAL ENDOWMENT FOR THE HUMANITIES

August 15, 1996

<u>Project:</u>	The Papers of Robert Morris
<u>Grant Number:</u>	RE-21189-94
<u>Project Director:</u>	Elizabeth M. Nuxoll
<u>Institution:</u>	Queens College of the City University of New York
<u>Grant Period:</u>	January 1-June 30, 1996

PROGRESS REPORT

Summary

The following chart outlines the status of work planned for grant period January 1-June 30, 1996

PLANNED

COMPLETED

Editorial:

Volume 8

Publication scheduled for August 1995

Published in December
Volumes distributed to funders; review copies sent out; suitable publicity arranged

Cumulative Index

Scanning of indexes of first 5 volumes; adjustment of files to coordinate with indexes of volumes 6-9 and microform

Rekeyed, corrected; proofed; index files 1-8 merged. Additional editing and correcting needed; files for volume 9 and microform supplement keyed from manuscript; still to be edited and merged with files of previous volumes

Fundraising: \$60,000 match to be raised

\$56,121.96 raised
\$1,200 raised for 1997-1998

Second verification of microform texts completed; textual notes prepared

Done

Final editing of microform texts

To be finalized when volume 9 completed

Submission of microform texts to processor

To be done when volume 9 manuscript completed

Verification and Preliminary annotation of volume 9 done

Done

Final editing of volume 9 done

98 percent done
Corrections and revisions
being entered

Volume 9 submitted to editorial
advisers in batches for review;
corrections entered

Texts sent;
Proposed appendix sent;
comments on appendix
reviewed; corrections
entered; notes sent in
batches; corrections
entered as material
returned

Draft manuscript sent to press for preparation of subvention application to NHPRC in the fall of 1995. All scheduled production work on volume 9 was postponed pending publication of volume 8 and receipt of publication subvention for volume 9. NHPRC Subvention was obtained in March 1996 but staff cutbacks have delayed our submission of a revised manuscript until this fall.

These tasks as listed in the plan of work included

Review of copyedited manuscript of volume 9

Changes keyed into machine-readable files

Encoding of volume

Volume 9 submitted to press on diskette

Narrative

Volume 8

This volume was published in December, 1995; copies arrived at the Morris Papers office in January 1996. The press has forwarded the required copies to the NHPRC and NEH and sent review copies to appropriate journals. The Queens College communications office prepared and sent appropriate press releases to the media.

Volume 9

Copies of all the documents scheduled for volume 9 were sent to the two editorial advisers, as was all the material considered for inclusion in an appendix on the records and debates over the Bank of North America for 1784. The annotation was sent in batches to our advisers. Corrections are entered as their commentary is returned. A draft manuscript was prepared for the press to submit a subvention application to the NHPRC. Approximately two hundred pages of the volume will consist of a facsimile reproduction of the printed accounts of Morris's administration as submitted to Congress. The press was consulted on the most attractive and economical way of preparing the facsimile portion of the volume. Working from the clearest possible xeroxes was considered the best option. Discussions were also held with private collectors for permission to include as illustrations recently discovered notes and certificates associated with Morris's administration (especially an original stock certificate of the Bank of North America and a "Hillegas

note" issued to the army for subsistence). Corrections and revisions continue to be entered after consultation with our editorial advisers; this process has been delayed by staff reductions but a revised manuscript will be sent to the press for copyediting this fall.

Some final editing was done on the microform supplementary material in conjunction with the editing of volume 9, but additional polishing will be done after the revised manuscript of volume 9 is submitted to the press.

Cumulative Index

In 1995 our aides rekeyed into NLCindex and proofed the indexes to the first five volumes of our series which were not prepared in electronic form. Once the index to volume 8 was completed, a draft cumulative index was prepared merging all the files from volumes 1-8. Some additional correcting and reediting will be needed on these files. Draft index files for the texts and annotation to volume 9 and the microform supplement were prepared and sorted this spring. Once final pages of volume 9 arrive, the page fields will be entered into the files, and the index edited and submitted to the press. Page or frame numbers for the microform supplement texts will also be entered for those texts at that time. The new indexes will then be merged with the files for volumes 1-8 to prepare the cumulative index.

Discussions with the press suggested the following schedule for volume 9. A draft of the volume should be submitted by September 1995 in time to apply for a subvention to the NHPRC in

October 1995. It was hoped that once a subvention was obtained, the press could begin copyediting the revised and corrected manuscript in March or April 1996. However, press schedules and finances would not permit them to begin work until after the start of their new fiscal year in July, and project staff cutbacks due to loss of funding have prevented completion of all the changes deemed necessary before final submission. The manuscript will be sent out this fall once staff members return from vacation. It is also hoped that federal work study students can be obtained to facilitate clerical tasks beginning in September. The copyedited manuscript would then arrive around January 1997; the rate of subsequent progress would depend on the funding available. Production of the microform texts and the cumulative index would continue while volume 9 goes through the stages of production at the press.

The editors have also been discussing the various procedures for an electronic edition on diskette, CDROM or online publication with editors and producers of similar editions. Should a cost effective way of rekeying or scanning the first 6 volumes make it possible, the editors plan to put together such an edition as the final versions of volume 9 and the supplement are completed. Scanning and use of work-study students to make the large number of corrections likely under current technology so far seems the most likely option.

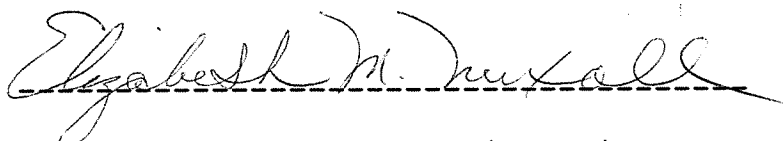
Outreach

To promote awareness of the edition the editors continue

various outreach activities. Nuxoll's revision of her earlier paper: "Illegitimacy, Family Status, and Property in the Early Republic: The Morris-Croxall Family of New Jersey" was published in the winter/spring 1996 issue of New Jersey History. Nuxoll and Gallagher presented papers on the project's naval and maritime topics for the NASOH (North American Society of Oceanic History) conference in Boston in March 1996; both papers have been submitted for possible publication. Nuxoll presented a lecture (with slides) on the project's findings in numismatics before the American Numismatic Society in April 1996. Publication of a printed version is planned.

The project has raised \$56,121.96 toward our current matching award of \$60,000. This sum includes \$3,500 in pledges by three donors which have not yet arrived. A two year grant for \$4,200 was received from the New Jersey Historical Commission; of this sum only \$3,000 has been certified for matching, since the remainder will be spent during the second year and will fall beyond the parameters of the current grant. It is earmarked to cover supplies, equipment repairs, reproduction and travel, rather than staff costs. Delays in the awarding and distribution of gift-and-matching funds have led to salary reductions and to substantial cutbacks in support staff during this report period--slowing the process of indexing and manuscript correction and diverting the editors from editorial to administrative tasks. The project did not obtain an NEH award for the July 1, 1996-June 30, 1998, grant period, but were granted an extension on the

current grant, allowing it to stretch out funds. Gift-and-matching funds, combined with NHPRC funding, will permit the project to continue to function into the fall of 1996, enabling us to submit a revised volume for copyediting and to prepare the volume for submission to the printer on diskette. Progress beyond that point is contingent on raising further private or public funds. Temporary closing of the project or reduction to a minimal part-time staff while awaiting further funds is likely later this year. We will also seek to match the remaining staff time for which we obtain funds to the press schedules so as to remain as close to schedule as possible on volume 9.

A handwritten signature in cursive script, reading "Elizabeth M. Nuxoll", written over a horizontal dashed line.

Elizabeth M. Nuxoll, Project Director

NATIONAL ENDOWMENT FOR THE HUMANITIES
OFFICIAL NOTICE OF ACTION

002690

AWARD RECIPIENT

CUNY Res. Fdn./Queens College

ACTION TAKEN:

Amendment

DATE OF ACTION:

08/05/96

ID NUMBER:

RE-21189-94

GRANT/FELLOWSHIP PERIOD

FROM 07/94 THRU 03/97

FUNDING

OUTRIGHT \$ 180,000.00

GIFT \$

FEDERAL MATCH \$ 52,621.96

TOTAL AWARDED \$ 232,621.96

Institutional Grant Administrator:

Richard M. Freeman
President
Research Foundation of CUNY
79 Fifth Avenue
New York, NY 10003

PROJECT DIRECTOR

Elizabeth M. Nuxoll

PROJECT TITLE

The Papers of Robert Morris, 1781-1784

FEDERAL MATCHING FUNDS
AMOUNT

OFFERED \$ 60000.00

OFFER EXPIRES 12/96

REMARKS:

A gift certification has been received and matched by the Endowment. This grant is therefore increased by \$100.00 in matching funds to the new funding level indicated above.

We would appreciate your informing the donor(s) that matching funds have been provided by the Endowment.

Please note that the expenditure of certified gift funds is a part of the non-federal share of project costs (cost sharing) and must be included as such on all financial reports. Also, for every dollar in matching funds which is expended on this project, an equal amount of gift funds must also be expended.

All other terms of this award remain in effect.

This award was funded by the NEH program described in CFDA section 45.161.

NEH GRANTS OFFICE OFFICIAL

Signature

David J. Wallace
Director, Grants Office

Name and Title

FOR AGENCY USE ONLY:

SEP 8/5/96

596/70200 251-6-2422-4102

\$100.00

Richard M. Nuxoll
The Papers of Robert Morris
Queens College, CUNY
65-15 Kissena Blvd.
Flushing, NY 11357

N A T I O N A L E N D O W M E N T F O R T H E H U M A N I T I E S

N E H -- ROUTING SHEET NUMBER:	96 0442 A	RECORDED: 09-05-96
GRANT NUMBER:	RE 21189 94	
GRANTEE:	CUNY Res. Fdn./Queens College	
PROJ. DIRECTOR:	Elizabeth M. Nuxoll	
FED-MATCH AUTHORIZED GRAND TOTAL:	\$60,000.00	
OFFER EXPIRATION:	12-31-96	
MATCHING COMMITMENTS ON HAND:	\$0.00	

--- TO BE PROCESSED NOW ---

ACCT-CODE & PROCESSED GIFT	ACCT-CODES & RELEASED FED-MATCH	
999 9 9999 9999 \$100.00	251 6 2422 4102	\$100.00
		\$0.00
		\$0.00
	TOTAL MATCH:	\$100.00

---CURRENT SCHEDULE---

MATCH		FY-95	FY-96	FY	FY	FY
AUTHORIZED	--	\$1650.00	\$58350.00			
RELEASED	--	\$1650.00	\$50971.96			
BALANCE	--	\$0.00	\$7378.04			

GIFTS

RAISED	--	\$4150.00	\$48471.96
REQUIRED	--	\$1650.00	\$58350.00
PROCESSED	--	\$1650.00	\$50971.96
BALANCE	--	\$0.00	\$7378.04
EXCESS	--	\$2500.00	\$0.00

*NOTE: Balance = Required - Processed.

---DONATION BREAKDOWN---

CATEGORY	CURRENT	TO-DATE
1	\$100.00	\$450.00
2	\$0.00	\$1371.96
3	\$0.00	\$50800.00
4	\$0.00	\$0.00
5	\$0.00	\$0.00
6	\$0.00	\$0.00
7	\$0.00	\$0.00
8	\$0.00	\$0.00
9	\$0.00	\$0.00
TOTAL	\$100.00	\$52621.96

outright: \$180,000

gifts: \$0

match: \$52,621.96

total: \$232,621.96

ZZ A01: \$100

ZZ A99

proofed 8/5/96

NATIONAL ENDOWMENT FOR THE HUMANITIES
OFFICIAL NOTICE OF ACTION

002690

AWARD RECIPIENT CUNY Res. Fdn./Queens College Institutional Grant Administrator: Richard M. Froelander President Research Foundation of CUNY 72 Fifth Avenue New York, NY 10003	ACTION TAKEN: Extension	DATE OF ACTION: 06/25/9
	ID NUMBER: RE-21189-94	
	GRANT/FELLOWSHIP PERIOD FROM 07/94 THRU 03/97	
	FUNDING OUTRIGHT \$ 180,000.00 GIFT \$ FEDERAL MATCH \$ 52,521.96 TOTAL AWARDED \$ 232,521.96	
PROJECT DIRECTOR Elizabeth M. Nuxoll	FEDERAL MATCHING FUNDS AMOUNT OFFERED \$ 60000.00 OFFER EXPIRES 12/96	
PROJECT TITLE The Papers of Robert Morris, 1781-1784		

REMARKS:

The ending date for this award has been changed as shown in the "Grant/Fellowship Period" box above. In addition, the deadline for the receipt of gifts or certification of gifts for matching purposes has been extended to the new date shown in the "Federal Matching Funds" box above. These actions are taken in response to Elizabeth M. Nuxoll's letter of June 7, 1996.

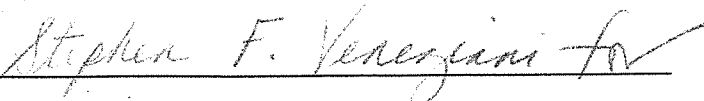
Attached is a revised schedule of report due dates. Please note that the final financial and performance reports are now due within ninety days after the new award ending date.

All other terms of this grant remain in effect.

This award was funded by the NEH program described in CFDA section 45.161.

NEH GRANTS OFFICE OFFICIAL

Signature



Name and Title

 David J. Wallace
 Director, Grants Office

FOR AGENCY USE ONLY:

 Elizabeth M. Nuxoll
 The Papers of Robert Morris
 Queens College, CUNY
 67-30 Kissena Blvd.
 Flushing, NY 11367

The following is a listing of the due dates of the reports required for this grant. A copy of this listing should be forwarded to those individuals responsible for the submission of the required reports.

Report	Due Date	Period To Be Covered
Interim Performance Report	07/31/1996	01/01/1994 to 06/30/1996
Final Financial Report	06/30/1997	07/01/1994 to 03/31/1997
Final Performance Report	06/30/1997	07/01/1994 to 03/31/1997
Federal Cash Transactions Report	07/31/1996	04/01/1996 to 06/30/1996
Federal Cash Transactions Report	10/31/1996	07/01/1996 to 09/30/1996
Federal Cash Transactions Report	01/31/1997	10/01/1996 to 12/31/1996

The original and one copy of interim and final performance reports, and challenge grant annual reports should be forwarded to the NEH Grants Office, Room 311. The original and two copies of final financial reports and program income reports should be forwarded to the NEH Grants Office, Room 311. The Federal Cash Transactions Reports and all requests for payments should be forwarded to the NEH Accounting Office, Room 310.

DATA CHANGE REQUEST

7-90

TO: **Grants Office/Room 310**

DATE: June 24, 1996

FROM: Steve Veneziani

RE: NEH Grant # RE-21189-94

Institution: CUNY/QUEENS COLLEGE

Please process the following data change(s):

☒ Change grant ending date to 3/31/97
month/day/year

☒ Check here if grantee will be required to submit interim performance reports during extension period.

☐ Change grant beginning date to _____
month/day/year

☒ Change G&M offer expiration date to 12/31/96
month/day/year

Grants Office Use Only:
9 out Rpts. 1-2-3 after _____

☐ Note receipt of the ☐ Interim Performance Rpt.
☐ Final Performance Rpt.

☐ Note change in ☐ project director ☐ project director's address.

☒ Other

ZZ E06 : Elizabeth M. Nuxoll's letter of June 7, 1996.

ZZ E03

ZZ E99

Division Will Attach Copies of Correspondence Relating to the Data Change.

NATIONAL ENDOWMENT FOR THE HUMANITIES
OFFICIAL NOTICE OF ACTION

002690

WARD/RECIPIENT

CUNY Res. Fdn./Queens College

Institutional Grant Administrator:

Richard M. Freedland
President
Research Foundation of CUNY
79 Fifth Avenue
New York, NY 10003

ACTION TAKEN:

Amendment

DATE OF ACTION:

06/10/9

ID NUMBER:

RE-21189-94

GRANT/FELLOWSHIP PERIOD

FROM 07/94 THRU 06/96

FUNDING

OUTRIGHT \$ 180,000.00

GIFT \$

FEDERAL MATCH \$ 52,521.96

TOTAL AWARDED \$ 232,521.96

PROJECT DIRECTOR

Elizabeth M. Nuxoll

PROJECT TITLE

The Papers of Robert Morris, 1781-1784

FEDERAL MATCHING FUNDS
AMOUNT

OFFERED \$ 60000.00

OFFER EXPIRES 06/96

REMARKS:

A gift certification has been received and matched by the Endowment. This grant is therefore increased by \$1,121.96 in matching funds to the new funding level indicated above.

We would appreciate your informing the donor(s) that matching funds have been provided by the Endowment.

Please note that the expenditure of certified gift funds is a part of the non-federal share of project costs (cost sharing) and must be included as such on all financial reports. Also, for every dollar in matching funds which is expended on this project, an equal amount of gift funds must also be expended.

All other terms of this award remain in effect.

This award was funded by the NEH program described in CFDA section 45.161.

NEH GRANTS OFFICE OFFICIAL

Signature

Stephen F. Veneziani for

Name and Title

David J. Wallace
Director, Grants Office

OR AGENCY USE ONLY:

JFP 6/11/96

596/7Q200 251-6-2422-4102

\$1,121.96

Elizabeth M. Nuxoll
The Papers of Robert Morris
Queens College, CUNY
65-20 Kissena Blvd.
Flushing, NY 11367

NATIONAL ENDOWMENT FOR THE HUMANITIES

ROUTING SHEET NUMBER:	PC 0341 A	RECORDED: 06-10-96
GRANT NUMBER:	RE 241189 94	
GRANTEE:	CUNY Res. Fdn./Queens College	
PROG. DIRECTOR:	Elizabeth M. Nuxoll	
FED-MATCH AUTHORIZED GRAND TOTAL:	\$60,000.00	
OFFER EXPIRATION:	06-30-96	
MATCHING COMMITMENTS ON HAND:	\$.00	

--- TO BE PROCESSED NOW ---

ACCT-CODE & PROCESSED GIFT	ACCT-CODES & RELEASED FED-MATCH
999 9 9999 9999 \$1121.96	091 6 2422 4102 \$1121.96
	\$.00
	\$.00
	TOTAL MATCH: \$1121.96

--- CURRENT SCHEDULE ---

MATCH	FY-95	FY-96	FY	FY	FY
AUTHORIZED --	\$1650.00	\$50350.00			
RELEASED --	\$1650.00	\$50071.96			
BALANCE --	\$.00	\$7478.04			

GIFTS	FY-95	FY-96	FY	FY	FY
RAISED --	\$4150.00	\$48271.96			
REQUIRED --	\$1650.00	\$50350.00			
PROCESSED --	\$1650.00	\$50071.96			
BALANCE --	\$.00	\$7478.04			
EXCESS --	\$2500.00	\$.00			

*NOTE: Balance = Required - Processed.

--- DONATION BREAKDOWN ---

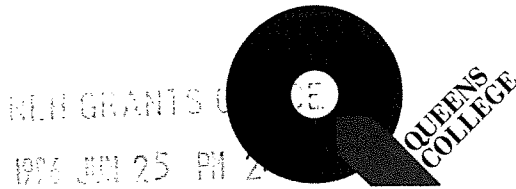
CATEGORY	CURRENT	TO-DATE
1	\$.00	\$350.00
2	\$1121.96	\$1371.96
3	\$.00	\$50000.00
4	\$.00	\$.00
5	\$.00	\$.00
6	\$.00	\$.00
7	\$.00	\$.00
8	\$.00	\$.00
9	\$.00	\$.00
TOTAL	\$1121.96	\$52521.96

outright: \$180,000
 gifts : \$ - 0 -
match : \$ 52,521.96
 total : \$ 232,521.96

ZZ A01: \$1,121.96

ZZ A99

played store 6/7/96



Office of Research and Sponsored Programs

June 21, 1996

Mr. Steve Veneziani
Grant Administrator
NEH Grants Office Room 311
1100 Pennsylvania Avenue NW
Washington, DC 20506

Re: Grant #RE-21189-94

Dear Mr. Veneziani:

We are hereby faxing a copy of Dr. Elizabeth Nuxoll's request for an extension of the above-mentioned grant, which we have given through the Organizational Prior Approval System. The original of this request will be sent you by Air Express.

If any further information is needed, please contact us. Your expediting this request will be greatly appreciated.

Sincerely,

Dolores P. Orsi
Assistant Director

/dpo
Attachment

The Papers of Robert Morris

June 7, 1996

Dolores Orsi, Assistant Director
Office of Research and Sponsored Programs
Queens College

Because (b) (4) [REDACTED]
leaves us with a substantial shortfall for the next fiscal year, I wish to stretch out the expenditure of the gift-and-matching funds we have recently received on our NEH grant RE-21189-94 (RF 448524) which covers the period July 1, 1994, to June 30, 1996. I am therefore requesting a nine-month extension on that grant until March 31, 1997. That will enable us to reallocate our staffing and other resources in ways that will enable us to get our final volume through the early stages of production.

If this request is approved the termination date of RE-21189-94 (RF 448524) will be changed to March 31, 1997, and there will be an automatic extension of the NEH matching offer until December 31, 1996, three months before the end of the grant. The termination date for RF account 771964 which contains the private funds certified for NEH matching (and which must be spent concurrently with RE-21189-94) will also be extended until March 31, 1997. Funds deposited to that account and certified by December 31 will be eligible for NEH matching funds.

Sincerely yours,

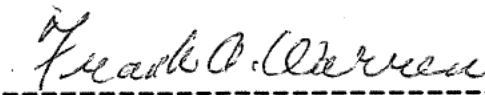


Elizabeth M. Nuxoll
Project Director

Directors
Elizabeth M. Nuxoll,
Project Director/Coeditor
Mary A. Y. Gallagher,
Coeditor
Kathleen H. Mullen,
Assistant Editor
Clarence L. Ver Steeg,
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Publications and Records
Commission

Published by
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Pittsburgh Press

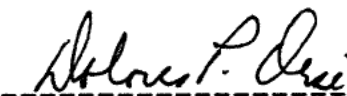


Frank A. Warren
Chairman, History Department



Charles W. Smith
Dean of Social Sciences

Approved:



Dolores Orsi, Assistant Director
Office of Research and Sponsored Programs
Queens College



Queens College/CUNY
Flushing, New York 11367-1597
Telephone (718) 997-5180

NATIONAL ENDOWMENT FOR THE HUMANITIES

WASHINGTON, D.C. 20506



April 2, 1996

Dr. Richard M. Freeland
President
Research Foundation of CUNY
79 Fifth Avenue
New York, NY 10003

Ref: RE-21189-94

Dear Dr. Freeland:

I wish to inform you that the Endowment's offer to match gifts which are raised for the referenced project will terminate May 31, 1996. If there is a possibility that additional gift certifications will be forwarded to the Endowment before the offer terminates, please advise the Donations Section of the Grants Office of the amount of the gifts and the date by which the certification will be forwarded to NEH.

Any questions you may have about the feasibility of extending the offer period should be resolved before the current offer terminates. In the event we do not hear from your institution by this date, the offer will automatically be closed.

Sincerely,

Stephen Veneziani
Grants Administrator

cc: Elizabeth M. Nuxoll

NATIONAL ENDOWMENT FOR THE HUMANITIES
OFFICIAL NOTICE OF ACTION

002690

AWARD RECIPIENT CUNY Res. Fdn./Queens College Institutional Grant Administrator: Richard M. Freeland President Research Foundation of CUNY 72 Fifth Avenue New York, NY 10003	ACTION TAKEN:	DATE OF ACTION:
	Amendment	04/11/96
	ID NUMBER:	
	RE-21182-94	
PROJECT DIRECTOR Elizabeth M. Nuxoll PROJECT TITLE The Papers of Robert Morris, 1781-1784	GRANT/FELLOWSHIP PERIOD	
	FROM	THRU
	07/94	06/96
	FUNDING	
	OUTRIGHT \$	180,000.00
	GIFT \$	
	FEDERAL MATCH \$	21,900.00
	TOTAL AWARDED \$	201,900.00
	FEDERAL MATCHING FUNDS	
	AMOUNT	
	OFFERED \$	60000.00
	OFFER EXPIRES	05/96

REMARKS:

A gift certification has been received and matched by the Endowment. This grant is therefore increased by \$1,500 in matching funds to the new funding level indicated above.

We would appreciate your informing the donor(s) that matching funds have been provided by the Endowment.

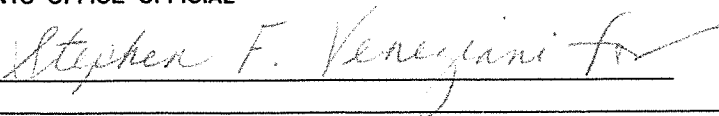
Please note that the expenditure of certified gift funds is a part of the non-federal share of project costs (cost sharing) and must be included as such on all financial reports. Also, for every dollar in matching funds which is expended on this project, an equal amount of gift funds must also be expended.

All other terms of this award remain in effect.

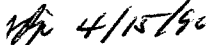
This award was funded by the NEH program described in CFDA section 45.161.

NEH GRANTS OFFICE OFFICIAL

Signature



Name and Title

 David J. Wallace
 Director, Grants Office
OR AGENCY USE ONLY:


595/70200 251-6-2422-4102

\$1,500.00

 Elizabeth M. Nuxoll
 The Papers of Robert Morris
 Queens College, CUNY
 65-30 Kissena Blvd.
 Flushing, NY 11367

NATIONAL ENDOWMENT FOR THE HUMANITIES

N E H -- ROUTING SHEET NUMBER: 96 0234 A RECORDED: 04-10-96
 GRANT NUMBER: RE 21189 94
 GRANTEE: CUNY Res. Fdn./Queens College
 PROJ. DIRECTOR: Elizabeth M. Nuxoll
 FED-MATCH AUTHORIZED GRAND TOTAL: \$60,000.00
 OFFER EXPIRATION: 05-31-96
 MATCHING COMMITMENTS ON HAND: \$1.00

--- TO BE PROCESSED NOW ---

ACCT-CODE & PROCESSED GIFT	ACCT-CODES & RELEASED FED-MATCH
999 9 9999 9999 \$1500.00	251 6 2422 4102 \$1500.00
	\$1500.00
	\$1500.00
	TOTAL MATCH: \$1500.00

REMARKS: NO SPECIAL REMARKS

---CURRENT SCHEDULE---

MATCH	FY-95	FY-96	FY-99	FY	FY
AUTHORIZED --	\$1650.00	\$38350.00	\$20000.00		
RELEASED --	\$1650.00	\$20250.00	\$1.00		
BALANCE --	\$1.00	\$16100.00	\$20000.00		

GIFTS

RAISED --	\$4150.00	\$17750.00	\$1.00
REQUIRED --	\$1650.00	\$38350.00	\$20000.00
PROCESSED --	\$1650.00	\$20250.00	\$1.00
BALANCE --	\$1.00	\$16100.00	\$20000.00
EXCESS --	\$2500.00	\$1.00	\$1.00

*NOTE: Balance = Required - Processed.

---DONATION BREAKDOWN---

CATEGORY	CURRENT	TO-DATE
1	\$1.00	\$350.00
2	\$1.00	\$250.00
3	\$1500.00	\$21300.00
4	\$1.00	\$1.00
5	\$1.00	\$1.00
6	\$1.00	\$1.00
7	\$1.00	\$1.00
8	\$1.00	\$1.00
9	\$1.00	\$1.00
TOTAL	\$1500.00	\$21900.00

outright: \$180,000

gifts: \$ — —

match: \$ 21,900

total: \$201,900

ZZ A01: \$1,500

ZZ A99

proof of 4/11/96.

NATIONAL ENDOWMENT FOR THE HUMANITIES
OFFICIAL NOTICE OF ACTION

002690

AWARD RECIPIENT CUNY Res. Fdn./Queens College Institutional Grant Administrator: Richard M. Fracland President Research Foundation of CUNY 79 Fifth Avenue New York, NY 10003	ACTION TAKEN:	DATE OF ACTION:
	Amendment	05/02/96
	ID NUMBER:	RE-21189-94
	GRANT/FELLOWSHIP PERIOD	
	FROM 07/94	THRU 06/96
	FUNDING	
	OUTRIGHT \$	180,000.00
	GIFT \$	
	FEDERAL MATCH \$	23,400.00
	TOTAL AWARDED \$	203,400.00
PROJECT DIRECTOR		
Elizabeth M. Nuxoll		
PROJECT TITLE		
The Papers of Robert Morris, 1781-1784		
	FEDERAL MATCHING FUNDS AMOUNT OFFERED \$ 60000.00 OFFER EXPIRES 05/96	

REMARKS:

A gift certification has been received and matched by the Endowment. This grant is therefore increased by \$1,500 in matching funds to the new funding level indicated above.

We would appreciate your informing the donor(s) that matching funds have been provided by the Endowment.

Please note that the expenditure of certified gift funds is a part of the non-federal share of project costs (cost sharing) and must be included as such on all financial reports. Also, for every dollar in matching funds which is expended on this project, an equal amount of gift funds must also be expended.

All other terms of this award remain in effect.

This award was funded by the NEH program described in CFDA section 45.161.

NEH GRANTS OFFICE OFFICIAL

Signature Stephen F. Venezia

Name and Title David J. Wallace
Director, Grants Office

OR AGENCY USE ONLY:

JFP 5/3/96

596/70200 251-6-2422-4102

\$1,500.00

Elizabeth M. Nuxoll
 The Papers of Robert Morris
 Queens College, CUNY
 65-30 Kissena Blvd.
 Flushing, NY 11367

NATIONAL ENDOWMENT FOR THE HUMANITIES

N E H -- ROUTING SHEET NUMBER:	96 0283 A	RECORDED: 05-01-96
GRANT NUMBER:	RE 21189 94	
GRANTEE:	CUNY Res. Fdn./Queens College	
PROG. DIRECTOR:	Elizabeth M. Nuxoll	
FED-MATCH AUTHORIZED GRAND TOTAL:	\$60,000.00	
OFFER EXPIRATION:	05-31-96	
MATCHING COMMITMENTS ON HAND:	\$1.00	

--- TO BE PROCESSED NOW ---

ACCT-CODE & PROCESSED GIFT	ACCT-CODES & RELEASED FED-MATCH
999 9 9999 9999 \$1500.00	251 G 2422 4102 \$1500.00
	\$1.00
	\$1.00
	TOTAL MATCH: \$1500.00

REMARKS: NO SPECIAL REMARKS

---CURRENT SCHEDULE---

MATCH	FY-95	FY-96	FY-99	FY	FY
AUTHORIZED --	\$1650.00	\$38350.00	\$20000.00		
RELEASED --	\$1650.00	\$21750.00	\$1.00		
BALANCE --	\$1.00	\$16600.00	\$20000.00		

GIFTS

RAISED --	\$4150.00	\$19250.00	\$1.00		
REQUIRED --	\$1650.00	\$38350.00	\$20000.00		
PROCESSED --	\$1650.00	\$21750.00	\$1.00		
BALANCE --	\$1.00	\$16600.00	\$20000.00		
EXCESS --	\$2500.00	\$1.00	\$1.00		

*NOTE: Balance = Required - Processed.

---DONATION BREAKDOWN---

CATEGORY	CURRENT	TO-DATE
1	\$1.00	\$350.00
2	\$1.00	\$250.00
3	\$1500.00	\$22800.00
4	\$1.00	\$1.00
5	\$1.00	\$1.00
6	\$1.00	\$1.00
7	\$1.00	\$1.00
8	\$1.00	\$1.00
9	\$1.00	\$1.00
TOTAL	\$1500.00	\$23400.00

outright: \$ 180,000

gifts : \$ - 0 -

match : \$ 23,400

total : \$ 203,400

ZZ A01: \$1,500

ZZ A99

proofed SN 5/2/96

NATIONAL ENDOWMENT FOR THE HUMANITIES
OFFICIAL NOTICE OF ACTION

002690

WARD RECIPIENT CUNY Res. Fdn./Queens College Institutional Grant Administrator: Richard M. Freelano President Research Foundation of CUNY 79 Fifth Avenue New York, NY 10003	ACTION TAKEN: Amendment	DATE OF ACTION: 05/20/96							
	ID NUMBER: RE-21189-94								
	GRANT/FELLOWSHIP PERIOD <table> <tr> <td>FROM</td> <td>07/94</td> <td>THRU</td> <td>06/96</td> </tr> </table>		FROM	07/94	THRU	06/96			
	FROM	07/94	THRU	06/96					
FUNDING <table> <tr> <td>OUTRIGHT \$</td> <td>180,000.00</td> </tr> <tr> <td>GIFT \$</td> <td></td> </tr> <tr> <td>FEDERAL MATCH \$</td> <td>26,400.00</td> </tr> <tr> <td>TOTAL AWARDED \$</td> <td>206,400.00</td> </tr> </table>		OUTRIGHT \$	180,000.00	GIFT \$		FEDERAL MATCH \$	26,400.00	TOTAL AWARDED \$	206,400.00
OUTRIGHT \$	180,000.00								
GIFT \$									
FEDERAL MATCH \$	26,400.00								
TOTAL AWARDED \$	206,400.00								
PROJECT DIRECTOR Elizabeth M. Nuxoll	FEDERAL MATCHING FUNDS AMOUNT OFFERED \$ 60000.00 OFFER EXPIRES 05/96								
PROJECT TITLE The Papers of Robert Morris, 1781-1784									

REMARKS:

A gift certification has been received and matched by the Endowment. This grant is therefore increased by \$3,000 in matching funds to the new funding level indicated above.

We would appreciate your informing the donor(s) that matching funds have been provided by the Endowment.

Please note that the expenditure of certified gift funds is a part of the non-federal share of project costs (cost sharing) and must be included as such on all financial reports. Also, for every dollar in matching funds which is expended on this project, an equal amount of gift funds must also be expended.

All other terms of this award remain in effect.

This award was funded by the NEH program described in CFDA section 45.161.

NEH GRANTS OFFICE OFFICIAL Signature <u>Stephen F. Veneziani for</u>	David J. Wallace Director, Grants Office
OR AGENCY USE ONLY:	Name and Title

596/76200 251-6-2422-4102 \$3,000.00

3FF 514196

Elizabeth M. Nuxoll
 The Papers of Robert Morris
 Queens College, CUNY
 65-30 Kissena Blvd.
 Flushing, NY 11367

N A T I O N A L E N D O W M E N T F O R T H E H U M A N I T I E S

N E H -- ROUTING SHEET NUMBER:	96 0315 A	RECORDED: 05-20-96
GRANT NUMBER:	RE 11189 94	
GRANTEE:	CUNY Res. Fdn./Queens College	
PROJ. DIRECTOR:	Elizabeth M. Nuxoll	
FED-MATCH AUTHORIZED GRAND TOTAL:	\$60,000.00	
OFFER EXPIRATION:	05-31-96	
MATCHING COMMITMENTS ON HAND:	\$.00	

--- TO BE PROCESSED NOW ---

ACCT-CODE & PROCESSED GIFT	ACCT-CODES & RELEASED FED-MATCH
999 9 9999 9999 \$3000.00	291 6 2422 4102 \$3000.00
	\$.00
	\$.00
	TOTAL MATCH: \$3000.00

---CURRENT SCHEDULE---

MATCH	FY-95	FY-96	FY-97	FY	FY
AUTHORIZED --	\$1650.00	\$38350.00	\$20000.00		
RELEASED --	\$1650.00	\$24750.00	\$.00		
BALANCE --	\$.00	\$13600.00	\$20000.00		
GIFTS					
RAISED --	\$4150.00	\$22250.00	\$.00		
REQUIRED --	\$1650.00	\$38350.00	\$20000.00		
PROCESSED --	\$1650.00	\$24750.00	\$.00		
BALANCE --	\$.00	\$13600.00	\$20000.00		
EXCESS --	\$2500.00	\$.00	\$.00		

*NOTE: Balance = Required - Processed.

---DONATION BREAKDOWN---

CATEGORY	CURRENT	TO-DATE
1	\$.00	\$350.00
2	\$.00	\$250.00
3	\$3000.00	\$25000.00
4	\$.00	\$.00
5	\$.00	\$.00
6	\$.00	\$.00
7	\$.00	\$.00
8	\$.00	\$.00
9	\$.00	\$.00
TOTAL	\$3000.00	\$26400.00

outright : \$180,000

gifts : \$ 0

match : \$ 26,400

total : \$ 206,400

ZZ A01: \$3,000

ZZ A99

proof of 5/20/96



National Endowment for the Humanities
Washington DC 20506

MEMORANDUM

DATE: May 17, 1996
FROM: Steve Veneziani, Grants
TO: Dan Jones, RED
SUBJECT: Request for extension of matching offer deadline

(b) (5)

The Papers of Robert Morris

NEH GRANTS OFFICE

1996 MAY 16 PM 3:03

May 8, 1996

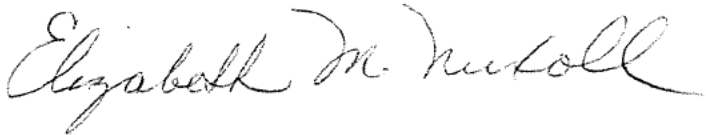
Mr. Steven Veneziani
Grants Office
Rm. 310
National Endowment for the Humanities
1100 Pennsylvania Avenue, N.W.
Washington, D.C. 20506

Dear Mr. Veneziani:

Because of delays in receipt of matchable funds I wish to request an extension of the matching deadline on our grant RE-21189-94 which covers the period July 1, 1994 to June 30, 1996. Our current deadline is May 1996. May our project have an extension until the end of June or as close to that date as possible. (b) (4)

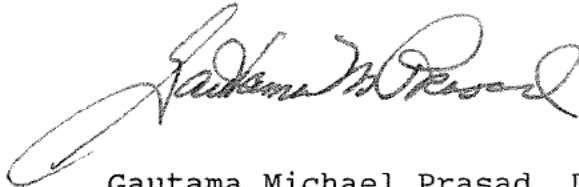
We are trying to cover as much of our expenses as possible under the current award so as to reserve our other resources for use during our next fiscal year.

Sincerely yours,



Elizabeth M. Nuxoll
Project Director

Directors
Elizabeth M. Nuxoll,
Project Director/Coeditor
Mary A. Y. Gallagher,
Coeditor
Kathleen H. Mullen,
Assistant Editor
Clarence L. Ver Steeg,
Editorial Advisor
E. James Ferguson,
Editor Emeritus



Gautama Michael Prasad, Director
Office of Research and Sponsored Programs
Queens College

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Commission

Published by
University of
Pittsburgh Press



Queens College/CUNY
Flushing, New York 11367-1597
Telephone (718) 997-5180

[11] From: Betty Nuxoll <EMNQC@CUNYVM.CUNY.EDU> at Internet 5/22/96 3:21PM (1932 bytes: 26 ln)
To: Daniel Jones at Res
Subject: Re: Extension

----- Message Contents -----

Text item 1: Text Item

By co incidence I was just in the process of doing the math, and it does look like we will raise the full match or very close to it, and would need an extension to spend the funds appropriately. Originally I thought we would only need to transfer charges made to other accounts, particularly our current NHPRC grant, but the amount currently received or pledged now amounts to more than that. Is there any limit or advised amount of time we should request? Could we actually have asked for a matching deadline later than the end of June? I don't think we would want to, but I want to understand the process. In the past we always held anything that came in late toward the next grant. This is the first time there was no next grant, so the process is somewhat unfamiliar to us all.

I'm not quite finished the math but I think we could probably use up the full amount of gift and matching without an absurd amount of juggling in about a month extra. New Jersey Historical Commission Funds are mostly limited to supplies, travel, photoduplication and computer repair, and it would probably be better to stretch that out rather than stock up on supplies or consolidate and rush our research travel to meet the deadline. Would a three month extension be out of line? 6? At the moment our funding prospects seem to change every day and planning has been difficult.

When we have a better idea of our prospects over the next year, I'll have to confer regarding our grant application for the subsequent year to match staffing and funding requests to likely press schedules etc. and to coordinate better with our application to the NHPRC which will have to go out at more or less the same time. Betty Nuxoll

[8] From: Betty Nuxoll <EMNQC@CUNYVM.CUNY.EDU> at Internet 5/27/96 10:22PM (605 bytes: 8 ln)
To: Daniel Jones at Res
Subject: Re: Extension

----- Message Contents -----

Text item 1: Text Item

I'll get an extension request out as soon as possible. As things now stand we would be about \$10,000 over once all gift and matching money came in (or 48,000 over if we didnt transfer charges made to the NHPRC this spring.) It would be about 20,000 if we get the rest of our matching offer before the deadline. (in each case half would be left over on NEH line, half on the gift account).

Betty Nuxoll

NATIONAL ENDOWMENT FOR THE HUMANITIES
OFFICIAL NOTICE OF ACTION

002690

WARD RECIPIENT

CUNY Res. Fdn./Queens College

Institutional Grant Administrator:

Richard M. Freeland
President
Research Foundation of CUNY
79 Fifth Avenue
New York, NY 10003

ACTION TAKEN:

DATE OF ACTION:

05/22/9

ID NUMBER:

RE-21189-94

GRANT/FELLOWSHIP PERIOD

FROM 07/94 THRU 06/96

FUNDING

OUTRIGHT \$ 180,000.00

GIFT \$

FEDERAL MATCH \$ 26,400.00

TOTAL AWARDED \$ 206,400.00

PROJECT DIRECTOR

Elizabeth M. Nuxoll

PROJECT TITLE

The Papers of Robert Morris, 1781-1784

FEDERAL MATCHING FUNDS

AMOUNT

OFFERED \$ 60000.00

OFFER EXPIRES

06/96

REMARKS:

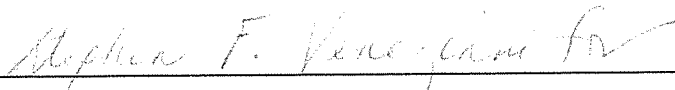
The deadline for the receipt of gifts or certification of gifts for matching purposes has been extended to the new date shown in the "Federal Matching Funds" box above. This action is taken in response to Elizabeth M. Nuxoll's letter of May 8, 1996.

All other terms of this grant remain in effect.

This award was funded by the NEH program described in CFDA section 45.161.

NEH GRANTS OFFICE OFFICIAL

Signature



Name and Title

David J. Wallace
Director, Grants Office

FOR AGENCY USE ONLY:

Elizabeth M. Nuxoll
The Papers of Robert Morris
Queens College, CUNY
65-30 Kissena Blvd.
Flushing, NY 11367

Steve

DATA CHANGE REQUEST

7-90

TO: Grants Office/Room 310

DATE: May 21, 1996

FROM: Dan Jones

RE: NEH Grant # RE-21189-94

Institution: Queens Col/CUNY

Please process the following data change(s):

☐ Change grant ending date to _____
month/day/year

☐ Check here if grantee will be required to
submit interim performance reports
during extension period.

☐ Change grant beginning date to _____
month/day/year

☒ Change G&M offer expiration date to June 30, 1996
month/day/year

Grants Office Use Only:
9 out Rpts. 1-2-3 after _____

☐ Note receipt of the ☐ Interim Performance Rpt.
☐ Final Performance Rpt.

☐ Note change in ☐ project director ☐ project director's address.

☒ Other

ZZ ED2 : Elizabeth M. Nuxoll's letter of May 8, 1996.

ZZ E99

Division Will Attach Copies of Correspondence Relating to the Data Change.

NATIONAL ENDOWMENT FOR THE HUMANITIES
OFFICIAL NOTICE OF ACTION

002690

WARD RECIPIENT		ACTION TAKEN:		DATE OF ACTION:	
CUNY Res. Fdn./Queens College		Amendment		06/05/96	
Institutional Grant Administrator:		ID NUMBER: RE-21189-94			
Richard H. Freedland President Research Foundation of CUNY 79 Fifth Avenue New York, NY 10003		GRANT/FELLOWSHIP PERIOD			
		FROM 07/94		THRU 06/96	
PROJECT DIRECTOR		FUNDING			
Elizabeth M. Nuxoll		OUTRIGHT \$		180,000.00	
PROJECT TITLE		GIFT \$			
The Papers of Robert Morris, 1781-1784		FEDERAL MATCH \$		51,400.00	
		TOTAL AWARDED \$		231,400.00	
		FEDERAL MATCHING FUNDS			
		AMOUNT			
		OFFERED \$		60000.00	
		OFFER EXPIRES		06/96	

REMARKS:

Two gift certifications have been received and matched by the Endowment. This grant is therefore increased by \$25,000 in matching funds to the new funding level indicated above.

We would appreciate your informing the donor(s) that matching funds have been provided by the Endowment.

Please note that the expenditure of certified gift funds is a part of the non-federal share of project costs (cost sharing) and must be included as such on all financial reports. Also, for every dollar in matching funds which is expended on this project, an equal amount of gift funds must also be expended.

All other terms of this award remain in effect.

This award was funded by the NEH program described in CFDA section 45.161.

NEH GRANTS OFFICE OFFICIAL	
Signature <i>Stephen F. Veneziani</i>	Name and Title David J. Wallace Director, Grants Office

OR AGENCY USE ONLY:	
596/70200 251-6-2422-4102	\$25,000.00
Elizabeth M. Nuxoll The Papers of Robert Morris Queens College, CUNY 65-30 Kissena Blvd. Flushing, NY 11367	

INTERNATIONAL ENDOWMENT FOR THE HUMANITIES

NEH -- ROUTING SHEET NUMBER:	94 0329 A	RECORDED: 06-03-96
GRANT NUMBER:	RE 21129 94	
GRANTEE:	CUNY Res. Fdn./Queens College	
PROJ. DIRECTOR:	Elizabeth M. Nuxoll	
FED-MATCH AUTHORIZED GRAND TOTAL:	\$60,000.00	
OFFER EXPIRATION:	06-30-96	
MATCHING COMMITMENTS ON HAND:	\$.00	

----- TO BE PROCESSED NOW -----

ACCT-CODE & PROCESSED GIFT	ACCT-CODES & RELEASED FED-MATCH
999 9 9999 9999 \$25000.00	251 6 2422 4102 \$25000.00
	\$.00
	\$.00
	TOTAL MATCH: \$25000.00

REMARKS: two donors--\$

----- CURRENT SCHEDULE -----

MATCH		FY-95	FY-96	FY	FY	FY
AUTHORIZED	--	\$1650.00	\$58350.00			
RELEASED	--	\$1650.00	\$49750.00			
BALANCE	--	\$.00	\$8600.00			

GIFTS

RAISED	--	\$4150.00	\$47250.00
REQUIRED	--	\$1650.00	\$59350.00
PROCESSED	--	\$1650.00	\$49750.00
BALANCE	--	\$.00	\$8600.00
EXCESS	--	\$2500.00	\$.00

*NOTE: Balance = Required - Processed.

----- DONATION BREAKDOWN -----

CATEGORY	CURRENT	TO-DATE
1	\$.00	\$350.00
2	\$.00	\$250.00
3	\$25000.00	\$50800.00
4	\$.00	\$.00
5	\$.00	\$.00
6	\$.00	\$.00
7	\$.00	\$.00
8	\$.00	\$.00
9	\$.00	\$.00
TOTAL	\$25000.00	\$51400.00

outright: \$ 180,000
 gifts : \$ — 0 —
 match: \$ 51,400

total : \$ 231,400

ZZ A01: Two gift certifications have
 been received and matched . . .
 \$25,000.

ZZ A99

Ma 6/5/96

The Papers of Robert Morris

NEH GRANTS OFFICE

1996 JUN -3 PM 4:02

May 30, 1996

Grants Office
Rm. 310
National Endowment for the Humanities
1100 Pennsylvania Avenue, N.W.
Washington, D.C. 20506

Dear Sirs:

(b) (4)

I wish to stretch out the expenditure of the matching funds we have recently received on our grant RE-21189-94 which covers the period July 1, 1994, to June 30, 1996. May our project have a six-month extension on that grant until December 31, 1996? That will enable us to reallocate our staffing and other resources in ways that will enable us to get our final volume through the early stages of production.

Attached is a report including a summary of the progress achieved, a justification of the extension, an estimate of unexpended grant funds, and the plan of work for the activities to be undertaken during the extension period.

Sincerely yours,



Elizabeth M. Nuxoll
Project Director

Directors
Elizabeth M. Nuxoll,
Project Director/Coeditor
Mary A. Y. Gallagher,
Coeditor
Kathleen H. Mullen,
Assistant Editor
Clarence L. Ver Steeg,
Editorial Advisor
E. James Ferguson,
Editor Emeritus

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National Historical
Publications and Records
Commission

Published by
University of
Pittsburgh Press



Dolores Orsi, Assistant Director
Office of Research and Sponsored Programs
Queens College

FILE



Queens College/CUNY
Flushing, New York 11367-1597
Telephone (718) 997-5180

1996 JUN -3 PM 4:02

Report to the
National Endowment for the Humanities

Requesting a Six Month Extension on Grant RE-21189-94

May 30, 1996

<u>Project:</u>	The Papers of Robert Morris
<u>Project Director:</u>	Elizabeth M. Nuxoll
<u>Institution:</u>	Queens College of the City University of New York
<u>Grant Period:</u>	July 1, 1994-June 30, 1996

ONLY COPY

PROGRESS REPORT

Summary

The following chart outlines the status of work planned for period January 1-June 30, 1996, the last quarter of the grant period

PLANNED	COMPLETED
Fundraising: \$60,000 in matching funds to be obtained	\$53,900 raised
Editorial:	
Volume 8 published and publicized	Done
Final editing of volume 9 done	Corrections being entered
Volume 9 submitted to editorial advisers in batches for review corrections entered	Texts sent; Proposed appendix sent; comments on appendix reviewed; corrections entered; notes sent in batches; corrections entered as material returned
Subvention obtained by press from NHPRC for volume 9.	Obtained, February 1996
Second verification of microform texts completed; textual notes prepared	Done

Scheduled for July-December 1996

Revised manuscript of volume to be sent to press

Copyediting of volume 9 scheduled to begin August 1996.

Final editing of microform texts to be completed while volume 9 is in press

Copyedited manuscript to be returned November or December 1996

Review of copyedited manuscript to be begun

Scheduled for 1997 (if funding sufficient for above schedule to proceed)

Changes keyed into machine-readable files

Encoding of volume

Volume 9 submitted to press on diskette

Rough Pages obtained, reviewed, and returned to press

Final pages obtained, reviewed and returned to press

Page fields from final pages entered in volume 9 index files; index edited and submitted to press.

Transcripts of microform supplement submitted and filmed.

Fiche sent to press for marketing along with volume 9

Cumulative index files run, edited, and submitted to the press;

Narrative

Volume 8

This volume was published in December; early copies arrived at the Morris Papers office in January 1996. The press has sent review copies to appropriate journals. The Queens College communications office prepared and sent press releases to the media.

Volume 9

All the texts and important annotation has been sent to our editorial advisers, E. James Ferguson and Clarence Ver Steeg, and their advice is being considered and incorporated. A draft manuscript was prepared for the press to enable it to submit a subvention application to the NHPRC last fall; the subvention was awarded in February and will allow the press to produce the volume with appropriate acid-free paper and strong binding that will meet the standards for documentary editions. Copyediting of the manuscript has been scheduled by the press to begin this summer; corrections and revisions will continue to be entered until that time, at which point a revised manuscript will be sent to the press.

Some final editing was done on the microform supplementary material in conjunction with the editing of volume 9, but additional polishing must be done after the revised manuscript of volume 9 is submitted to the press for copyediting.

Cumulative Index

Our aide rekeyed into NLCindex program the indexes to the

first five volumes of our series which were not prepared in electronic form. In conjunction with our research assistant she proofread all the entries. Once the index to volume 8 was completed, Dr. Gallagher prepared a draft cumulative index this spring by merging all the files from volumes 1-8. Some additional correcting and reediting will be needed on these files. Index files to volume 9 and the microform supplement are now prepared. Once final pages of volume 9 arrive next year, the page fields will be entered into the files, and the index edited and submitted to the press. Page or frame numbers for the microform supplement texts will also be entered for those texts at that time. The new indexes will then be merged with the files for volumes 1-8 to prepare the final cumulative index.

Discussions with the press suggested the following schedule for volume 9. A draft of the volume was submitted by September 1995 in time to apply for a subvention to the NHPRC in October 1995. Portions of the volume were also submitted to our editorial advisers. Once a subvention was obtained the press scheduled copyediting of a revised and corrected manuscript for summer 1996. The copyedited manuscript would then arrive in November or December 1996 and continue on approximately the same schedule as that for volume 8. The press will give the project an updated schedule for production of the volume once the copyeditor has examined the volume. Production of the microform texts and the cumulative index will continue while volume 9 goes through the stages of production at the press.

Outreach

To promote awareness and use of the edition the editors continued various outreach activities. Nuxoll revised her earlier paper: "Illegitimacy, Family Status, and Property in the Early Republic: The Morris-Croxall Family of New Jersey" for publication in the spring/summer 1996 issue of New Jersey History (forthcoming). Nuxoll and Gallagher presented papers on the project's naval and maritime topics for the NASOH (North American Society of Oceanic History) conference in Boston in March 1996. These are being revised and submitted for publication. Nuxoll presented a lecture (with slides) on the project's findings in numismatics before the American Numismatic Society in April 1996.

To date the project has raised \$53,900 toward our current matching award of \$60,000 from the National Endowment for the Humanities, most of which has already been certified for matching--some award letters are due to arrive shortly. Most of these awards arrived only during the last quarter of the grant--the previous quarters having been too close in time to previous rounds of fundraising to be very productive. Because of delays in the arrival of such gifts, together with the uncertainty whether or when the awards would be matched by the NEH, the project had to keep its staffing and costs to a minimum, and to delay whatever expenditures they could. The timing of the arrival of funds was too uncertain to bring our administrative assistant/assistant editor Kathleen Mullen back (b) (6)

as quickly as we wished or for any significant number of

hours. Neither did we have funds to replace our student aide, Anne Anastasi, when she obtained a new fulltime job.

Consequently, the editors had to assume additional clerical and administrative tasks, as well as undertake more fundraising than usual. With such personnel shortages, it was impossible to make the desired progress on revising the manuscript of volume 9 for presentation to the press once a subvention was obtained. Now that substantial funds have begun to arrive we wish to apply them as needed to correct the manuscript of volume 9, get it to the press, and later to make the necessary changes to the copyedited manuscript so that it can be sent to the printer.

To make up for delays in gift and matching funds, the project has been spending its National Historical Publications and Records Commission grant (\$38,220 for March 1-1996-February 28, 1997) at a much faster rate than anticipated in the budget. An extension would permit us to correct this cashflow problem without undue juggling of the accounts for expenditures made while we were waiting for funds to arrive.

In addition, \$3,000 of the two-year grant for \$4,250 from the New Jersey Historical Commission has been certified for matching under the current award. Most of this award is restricted to use on travel, duplication, supplies, and other support services. It would be better to husband these funds and apply them only when absolutely necessary, stretching them over as long a period as possible--that is an additional reason for the request for an extension.

Estimate of unexpended funds.

Based on the current amount of gift-and-matching funds raised or pledged, \$48,000 would remain unexpended after July 1, 1996 (\$24,000 in gifts and \$24,000 NEH matching). Should expenditures recently charged to NHPRC be transferred to these accounts less than \$10,000 would remain unexpended--again to be divided between the NEH and gift accounts.

Should the full \$60,000 in gift-and-matching funds be obtained on time, the funds not yet expended or encumbered for the grant period would be approximately \$60,200 (\$30,100 in gifts and \$30,100 in NEH funds). Should NHPRC charges be transferred, the amount unexpended would be about \$22,000 or \$11,000 in gifts and 11,000 in matching funds.

Should an extension be granted approximately \$1,800 of the New Jersey Historical Commission funds certified for matching would be reserved for the July-December 1996 period--travel and other postponable expenses would be delayed until that time.

[13] From: Betty Nuxoll <EMNQC@CUNYVM.CUNY.EDU> at Internet 6/7/96 3:22PM (1006 bytes: 15 ln)

To: Steve Veneziani at Grants

Subject: Re: Extension for grant RE-21189-94

----- Message Contents -----

Thank you for the information regarding the extension. I spoke to the Queens College Grants Office which said they had such an arrangement with NHS and NIH but hadn't known it could be done with NEH.

They want me to compose a notification letter to you regarding the extension then all the relevant powers that be with sign off on it.

I will get it to the Grants Office as soon as possible.

From the wording of your note I wasn't sure if there was an automatic extension on the match offer as well or if the June 30 deadline remains in effect. Within a week you should be receiving certification notices that will raise our match amount to \$54,121.96. That leaves \$5978.04 to go. I have no definite pledge for that amount but still have some prospects. E. Nuxoll

From: Steve Veneziani at GRANTS 6/6/96 11:36AM
To: EMNQC@CUNYVM.CUNY.EDU at Internet
Subject: Extension for grant RE-21189-94

----- Message Contents -----

This will acknowledge receipt of the extension request dated May 30, 1996 for the above referenced grant.

NEH approval of this request is not required. The General Grant Provisions for Organizations (Revised 10/94) require that grantees have in place an organizational prior approval system. This delegation of authority allows the grantee organization to authorize a one-time extension of a grant period for up to a 12-month period (See Article 5, Grant Period and Extensions). The institutional grant administrator is required to notify the NEH Grants Office of the new expiration date at least 10 days before the grant is scheduled to expire.

The Endowment will acknowledge the new ending date for your grant (and the extension of the matching deadline) upon receipt of this correspondence from your grant administrator.

If you have any questions about this matter, you may contact me at 202/606-8606.

Steve Veneziani
Grant Administrator
NEH Grants Office ROOM 311
1100 Pennsylvania Avenue NW
Washington DC 20506
phone: 202/606-8606
fax : 202/606-8633
email: sveneziani@neh.fed.us

*Justification for extension already
attached!*



1996 JUN 24 AM 6:50
Office of Research and Sponsored Programs

FACSIMILE TRANSMISSION

DATE:

6/21/96

TIME:

FROM:

Dolores P. Orsi

FAX PHONE NO.: 718-997-5409

PHONE NO.: 718-997-5400

PAGE

1

OF

3

TO:

Mr. Steve Veneziani

GRANTS ADMINISTRATOR

NEH - Room 311

202-606-8633

COMMENTS:

RE: GRANT RE-21189-94 EXTENSION



Office of Research and Sponsored Programs

June 21, 1996

Mr. Steve Veneziani
Grant Administrator
NEH Grants Office Room 311
1100 Pennsylvania Avenue NW
Washington, DC 20506

Re: Grant #RE-21189-94

Dear Mr. Veneziani:

We are hereby faxing a copy of Dr. Elizabeth Nuxoll's request for an extension of the above-mentioned grant, which we have given through the Organizational Prior Approval System. The original of this request will be sent you by Air Express.

If any further information is needed, please contact us. Your expediting this request will be greatly appreciated.

Sincerely,

Dolores P. Orsi
Assistant Director

/dpo
Attachment

The Papers of Robert Morris

June 7, 1996

Dolores Orsi, Assistant Director
Office of Research and Sponsored Programs
Queens College

(b) (4)

I wish to stretch out the expenditure of the gift-and-matching funds we have recently received on our NEH grant RE-21189-94 (RF 448524) which covers the period July 1, 1994, to June 30, 1996. I am therefore requesting a nine-month extension on that grant until March 31, 1997. That will enable us to reallocate our staffing and other resources in ways that will enable us to get our final volume through the early stages of production.

If this request is approved the termination date of RE-21189-94 (RF 448524) will be changed to March 31, 1997, and there will be an automatic extension of the NEH matching offer until December 31, 1996, three months before the end of the grant. The termination date for RF account 771964 which contains the private funds certified for NEH matching (and which must be spent concurrently with RE-21189-94) will also be extended until March 31, 1997. Funds deposited to that account and certified by December 31 will be eligible for NEH matching funds.

Sincerely yours,

Elizabeth M. Nuxoll

Elizabeth M. Nuxoll
Project Director

Directors

Elizabeth M. Nuxoll,
Project Director/Coordinator
Mary A. Y. Gallagher
Coordinator
Kathleen H. Mullen,
Assistant Editor
Clarence L. Ver Steeg,
Editorial Advisor
E. James Ferguson,
Editor Emeritus

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Queens College/CUNY
under grants from the
National Endowment
for the Humanities
and the
National Historical
Publications and Records
Commission

Published by
University of
Pittsburgh Press

Frank A. Warren
Frank A. Warren
Chairman, History Department

Charles W. Smith
Charles W. Smith
Dean of Social Sciences

Approved:

Dolores Orsi
Dolores Orsi, Assistant Director
Office of Research and Sponsored Programs
Queens College



Queens College/CUNY
Flushing, New York 11367-1507
Telephone (718) 997-5180

DATA CHANGE REQUEST

7-90

TO: *Steve* Grants Office/Room 310

DATE: 3/20/96

FROM: Dan Jones

RE: NEH Grant # RE-21189-94

Institution: Queens Coll. / CUNY

Please process the following data change(s):

☐ Change grant ending date to _____
month/day/year

☐ Check here if grantee **will** be required to submit interim performance reports during extension period.

☐ Change grant beginning date to _____
month/day/year

☒ Change G&M offer expiration date to May 31, 1996
month/day/year

Grants Office Use Only:
9 out Rpts. 1-2-3 after _____

☐ Note receipt of the ☐ Interim Performance Rpt.
☐ Final Performance Rpt.

☐ Note change in ☐ project director ☐ project director's address.

☐ Other

Division Will Attach Copies of Correspondence Relating to the Data Change.



National Endowment for the Humanities
Washington DC 20506

3/18/96 - K12

NEH GRANTS OFFICE
1996 MAR 21 AM 11:18

MEMORANDUM

DATE: March 1, 1996
FROM: Steve Veneziani, Grants
TO: Dan Jones, RED
SUBJECT: Request for extension of matching deadline

(b) (5)

Thanks.

The Papers of Robert Morris

NEW GRANTS OFFICE

1994 FEB 28 AM 9:41

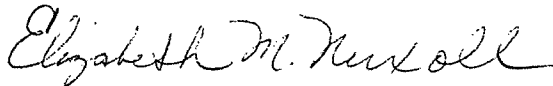
February 26, 1996

Mr. Steven Veneziani
Grants Office
Rm. 310
National Endowment for the Humanities
1100 Pennsylvania Avenue, N.W.
Washington, D.C. 20506

Dear Mr. Veneziani:

Because of delays in receipt of matchable funds I wish to request an extension of the matching deadline on our grant RE-21189-94 which covers the period July 1, 1994 to June 30, 1996. Our current deadline is March 1996. May our project have an extension until May 1 or, if possible, May 15?.

Sincerely yours,



Elizabeth M. Nuxoll

cc: G. Michael Prasad, Director
Office of Research and Sponsored Programs
Queens College

Directors

Elizabeth M. Nuxoll.
Project Director/Coeditor
Mary A. Y. Gallagher.
Coeditor
Kathleen H. Mullen.
Assistant Editor
Clarence L. Ver Steeg.
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University of
Pittsburgh Press



Queens College/CUNY
Flushing, New York 11367-1597
Telephone (718) 997-5180

**NATIONAL ENDOWMENT FOR THE HUMANITIES
OFFICIAL NOTICE OF ACTION**

002690

WARD RECIPIENT CUNY Res. Fdn./Queens College Institutional Grant Administrator: Richard D. Fierman President Research Foundation of CUNY 25 Fifth Avenue New York, NY 10001	ACTION TAKEN: Extension of matching	DATE OF ACTION: 03/13/96							
	ID NUMBER: deadline RE-21189-94								
	GRANT/FELLOWSHIP PERIOD <table> <tr> <td>FROM</td> <td>07/94</td> <td>THRU</td> <td>08/96</td> </tr> </table>		FROM	07/94	THRU	08/96			
	FROM	07/94	THRU	08/96					
FUNDING <table> <tr> <td>OUTRIGHT \$</td> <td>180,000.00</td> </tr> <tr> <td>GIFT \$</td> <td></td> </tr> <tr> <td>FEDERAL MATCH \$</td> <td>20,400.00</td> </tr> <tr> <td>TOTAL AWARDED \$</td> <td>200,400.00</td> </tr> </table>		OUTRIGHT \$	180,000.00	GIFT \$		FEDERAL MATCH \$	20,400.00	TOTAL AWARDED \$	200,400.00
OUTRIGHT \$	180,000.00								
GIFT \$									
FEDERAL MATCH \$	20,400.00								
TOTAL AWARDED \$	200,400.00								
PROJECT DIRECTOR Elizabeth M. Nuxoll	FEDERAL MATCHING FUNDS <table> <tr> <td>AMOUNT OFFERED \$</td> <td>60000.00</td> </tr> <tr> <td>OFFER EXPIRES</td> <td>05/96</td> </tr> </table>		AMOUNT OFFERED \$	60000.00	OFFER EXPIRES	05/96			
AMOUNT OFFERED \$	60000.00								
OFFER EXPIRES	05/96								
PROJECT TITLE The Papers of Robert Morris, 1781-1784									

REMARKS:

The deadline for the receipt of gifts or certification of gifts for matching purposes has been extended to the new date shown in the "Federal Matching Funds" box above. This action is taken in response to Elizabeth M. Nuxoll's letter of February 29, 1996.

All other terms of this grant remain in effect.

This award was funded by the NEH program described in CFDA section 45.161.

NEH GRANTS OFFICE OFFICIAL

Signature	<i>Stephen F. Vanecko</i>	Name and Title	David J. Wallace Director, Grants Office
-----------	---------------------------	----------------	---

FOR AGENCY USE ONLY:

Elizabeth M. Nuxoll
The Papers of Robert Morris
Queens College, CUNY
11-400 Kissena Blvd.
Flushing, NY 11357

DATA CHANGE REQUEST

7-90

TO: Grants Office/Room 310

DATE: 3/12/96

FROM: Stere Veneziani

RE: NEH Grant # RE-21189-94

Institution: CUNY Res. Fdn. / Queens College

Please process the following data change(s):

☐ Change grant ending date to _____
month/day/year

☐ Check here if grantee **will** be required to submit interim performance reports during extension period.

☐ Change grant beginning date to _____
month/day/year

☒ Change G&M offer expiration date to 5/31/96
month/day/year

[Grants Office Use Only:
9 out Rpts. 1-2-3 after _____]

☐ Note receipt of the ☐ Interim Performance Rpt.
☐ Final Performance Rpt.

☐ Note change in ☐ project director ☐ project director's address.

☒ Other

ZZ E02: Elizabeth M. Nuxoll's letter of February 29, 1996.
ZZ E99

Division Will Attach Copies of Correspondence Relating to the Data Change.

*processed
3/15/96*

[34] From: Daniel Jones at RES 3/11/96 3:51PM (402 bytes: 8 ln)

To: Steve Veneziani at Grants

Subject: Re: Request for extension of matching deadline -- update

----- Message Contents -----

Steve:

Re: Nuxoll

(b) (5)

Dan

From: Steve Veneziani at GRANTS 3/11/96 12:14PM

To: Daniel Jones at Res

Subject: Request for extension of matching deadline -- update

----- Message Contents -----

(b) (5)

The Papers of Robert Morris

NEH GRANTS OFFICE

1996 MAR -8 AM 11: 59

February 29, 1996

Mr. Steven Veneziani
Grants Office
Rm. 310
National Endowment for the Humanities
1100 Pennsylvania Avenue, N.W.
Washington, D.C. 20506

Dear Mr. Veneziani:

Because of delays in receipt of matchable funds I wish to request an extension of the matching deadline on our grant RE-21189-94 which covers the period July 1, 1994 to June 30, 1996. Our current deadline is March 1996. May our project have an extension until May 1 or, if possible, May 15?

Sincerely yours,



Elizabeth M. Nuxoll
Project Director



Directors
Elizabeth M. Nuxoll,
Project Director/Coeditor
Mary A. Y. Gallagher,
Coeditor
Kathleen H. Mullen,
Assistant Editor
Clarence L. Ver Steeg,
Editorial Advisor
E. James Ferguson,
Editor Emeritus

Gautama Michael Prasad, Director
Office of Research and Sponsored Programs
Queens College

Sponsored by
Queens College/CUNY
under grants from the
National Endowment
for the Humanities
and the
National Historical
Publications and Records
Commission

Published by
University of
Pittsburgh Press



Queens College/CUNY
Flushing, New York 11367-1597
Telephone (718) 997-5180



National Endowment for the Humanities
Washington DC 20506

MEMORANDUM

DATE: March 1, 1996
FROM: Steve Veneziani, Grants
TO: Dan Jones, RED
SUBJECT: Request for extension of matching deadline

(b) (5)

Thanks.

rec'd
3/8/96.

The Papers of Robert Morris

NEH GRANTS OFFICE

1996 FEB 23 AM 9:41

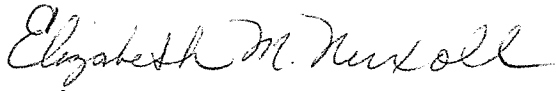
February 26, 1996

Mr. Steven Veneziani
Grants Office
Rm. 310
National Endowment for the Humanities
1100 Pennsylvania Avenue, N.W.
Washington, D.C. 20506

Dear Mr. Veneziani:

Because of delays in receipt of matchable funds I wish to request an extension of the matching deadline on our grant RE-21189-94 which covers the period July 1, 1994 to June 30, 1996. Our current deadline is March 1996. May our project have an extension until May 1 or, if possible, May 15?.

Sincerely yours,


Elizabeth M. Nuxoll

cc: G. Michael Prasad, Director
Office of Research and Sponsored Programs
Queens College

Directors

Elizabeth M. Nuxoll,
Project Director/Coeditor
Mary A. Y. Gallagher,
Coeditor
Kathleen H. Mullen,
Assistant Editor
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Published by

University of
Pittsburgh Press



Queens College/CUNY
Flushing, New York 11367-1597
Telephone (718) 997-5180

CHARITABLE ENDOWMENT FOR THE HUMANITIES

N E N -- ROUTING SHEET NUMBER: 95 0114 A RECORDED: 03-22-96
 GRANT NUMBER: RE 21109 94
 GRANTEE: CUNY Res. Edn./Queens College
 PROJ. DIRECTOR: Elizabeth H. Nuxoll
 FED-MATCH AUTHORIZED GRAND TOTAL: \$60,000.00
 OFFER EXPIRATION: 03-31-96
 MATCHING COMMITMENTS ON HAND: \$.00

--- TO BE PROCESSED NOW ---

ACCT-CODE & PROCESSED GIFT	ACCT-CODES & RELEASED FED-MATCH
229 9 2922 2929 \$5250.00	251 6 2422 4102 \$5250.00
	\$0.00
	\$0.00
	TOTAL MATCH: \$5250.00

REMARKS: TWO CERTIFICATIONS
 NO SPECIAL REMARKS

---CURRENT SCHEDULE---

MATCH		FY-95	FY-96	FY-97	FY	FY
AUTHORIZED	--	\$1650.00	\$38350.00	\$20000.00		
RELEASED	--	\$1650.00	\$18750.00	\$0.00		
BALANCE	--	\$0.00	\$19600.00	\$20000.00		

GIFTS

RAISED	--	\$4150.00	\$16250.00	\$0.00
REQUIRED	--	\$1650.00	\$38350.00	\$20000.00
PROCESSED	--	\$1650.00	\$18750.00	\$0.00
BALANCE	--	\$0.00	\$19600.00	\$20000.00
EXCESS	--	\$2500.00	\$0.00	\$0.00

*NOTE: Balance = Required - Processed.

---DONATION BREAKDOWN---

CATEGORY	CURRENT	TO-DATE
1	\$0.00	\$350.00
2	\$0.00	\$250.00
3	\$5000.00	\$19800.00
4	\$0.00	\$0.00
5	\$0.00	\$0.00
6	\$0.00	\$0.00
7	\$0.00	\$0.00
8	\$0.00	\$0.00
9	\$0.00	\$0.00
TOTAL	\$5000.00	\$20400.00

outright: \$180,000

gifts: \$0

match: \$20,400

total: \$200,400

ZZ A01: Two gift certifications have...
\$5,250....

ZZ A99

proofed SN 2/26/96

NATIONAL ENDOWMENT FOR THE HUMANITIES
OFFICIAL NOTICE OF ACTION

L-0002690

WARD RECIPIENT CUNY Res. Fdn./Queens College Institutional Grant Administrator: Richard M. Fleckman President Research Foundation of CUNY 79 Fifth Avenue New York, NY 10003	ACTION TAKEN: Amendment	DATE OF ACTION: 02/26/90							
	ID NUMBER: RE-21169-94								
	GRANT/FELLOWSHIP PERIOD FROM 07/94 THRU 06/96								
	FUNDING <table> <tr> <td>OUTRIGHT \$</td> <td>180,000.00</td> </tr> <tr> <td>GIFT \$</td> <td></td> </tr> <tr> <td>FEDERAL MATCH \$</td> <td>20,400.00</td> </tr> <tr> <td>TOTAL AWARDED \$</td> <td>200,400.00</td> </tr> </table>		OUTRIGHT \$	180,000.00	GIFT \$		FEDERAL MATCH \$	20,400.00	TOTAL AWARDED \$
OUTRIGHT \$	180,000.00								
GIFT \$									
FEDERAL MATCH \$	20,400.00								
TOTAL AWARDED \$	200,400.00								
PROJECT DIRECTOR Elizabeth M. Nuxoll	FEDERAL MATCHING FUNDS AMOUNT OFFERED \$ 60000.00 OFFER EXPIRES 03/96								
PROJECT TITLE The Papers of Robert Morris, 1781-1784									

REMARKS:

Two gift certifications have been received and matched by the Endowment. This grant is therefore increased by \$5,250 in matching funds to the new funding level indicated above.

We would appreciate your informing the donor(s) that matching funds have been provided by the Endowment.

Please note that the expenditure of certified gift funds is a part of the non-federal share of project costs (cost sharing) and must be included as such on all financial reports. Also, for every dollar in matching funds which is expended on this project, an equal amount of gift funds must also be expended.

All other terms of this award remain in effect.

This award was funded by the NEH program described in CFDA section 45.161.

NEH GRANTS OFFICE OFFICIAL

Signature

David J. Wallace
Director, Grants Office

Name and Title

FOR AGENCY USE ONLY:

596/70200 251-6-2422-4102

\$5,250.00

Elizabeth M. Nuxoll
The Papers of Robert Morris
Queens College, CUNY
65-10 Kissena Blvd.
Flushing, NY 11367

SENT TO DIVISION

NLH GRANTS OFFICE

1

1996 FEB 13 AM 10: 52

FILE

Narrative Report to the
NATIONAL ENDOWMENT FOR THE HUMANITIES

January 31, 1996

<u>Project:</u>	The Papers of Robert Morris
<u>Grant Number:</u>	RE-21189-94
<u>Project Director:</u>	Elizabeth M. Nuxoll
<u>Institution:</u>	Queens College of the City University of New York
<u>Grant Period:</u>	July 1-December 31, 1995

PROGRESS REPORT

Summary

The following chart outlines the status of work planned for grant period July 1-December 31, 1995

PLANNED

COMPLETED

Editorial:

Volume 8

Final page proofs returned (November 1994); reviewed and corrected; returned to press (December)

Final pages arrived in June; returned in July

Corrections and page fields entered to index files

Done when pages arrived in June, except for changes related to a section that had to be revised. These problems were resolved and the changes entered in July

NLCINDEX sort run

Done in June

Index edited and submitted

Done in July/August

Publication scheduled for August 1995

Published in December

Scanning of indexes of first 5 volumes; adjustment of files to coordinate with indexes of volumes 6-9 and microform

Rekeyed, corrected; proofed; index files 1-8 merged. Additional editing and correcting needed; files for volume 9 and microform supplement to be edited, added, and merged.

Fundraising: \$60,000 match to be raised

\$20,400 raised

Second verification of microform texts completed; textual notes prepared

Done

Final editing of microform texts	To be finalized when volume 9 completed
Submission of microform texts to processor	To be done when volume 9 manuscript completed
Verification and Preliminary annotation of volume 9 done	Done
Final editing of volume 9 done	90 percent done Corrections being entered
Volume 9 submitted to editorial advisers in batches for review corrections entered	Texts sent; Proposed appendix sent; comments on appendix reviewed; corrections entered; notes sent in batches; corrections entered as material returned

Draft manuscript sent to press for preparation of subvention application to NHPRC

All scheduled production work on volume 9 postponed pending publication of volume 8 and receipt of publication subvention for volume 9

These tasks as listed in the plan of work included

Review of copyedited manuscript of volume 9

Changes keyed into machine-readable files

Encoding of volume

Volume 9 submitted to press on diskette

Narrative

Volume 8

Final pages arrived in batches between June 9 and June 19, 1995, and checking immediately began. The manuscript was in excellent shape except for an important document toward the end of the volume which had as its enclosures several long and irregularly shaped public accounts. In seeking to make these accounts fit on the printed pages without leaving large amounts of blank space, the printer placed them in inappropriate sequences that would have rendered the texts incomprehensible to readers. This section had to be revised in consultation with the press's designer and some of the following pages adjusted. Revised final pages were prepared for this portion of the volume. Final page fields were entered into the index files for all but the section to be changed. Sorting was done to prepare a preliminary index which was edited insofar as possible, pending a final manuscript. When revisions were adopted, the changed page fields were entered and the index resorted. Editorial changes were entered and a final index prepared for submission to the press in August. The press reviewed the index and suggested changes to be entered in the index files. The revised index was sent on diskette to the printer in September and incorporated into the volume. The volume was published in December; copies arrived at the Morris Papers office in January 1996. The press has forwarded the required copies to the NHPRC and NEH and sent review copies to appropriate journals. The Queens College

communications office has prepared and sent appropriate press releases to the media.

Volume 9

Copies of all the documents scheduled for volume 9 were sent to the two editorial advisers, as was all the material considered for inclusion in an appendix on the records and debates over the Bank of North America for 1784. The annotation was sent in batches to our advisers. Corrections are entered as their commentary is returned. A draft manuscript was prepared for the press to submit a subvention application to the NHPRC. Approximately two hundred pages of the volume will consist of a facsimile reproduction of the printed accounts of Morris's administration as submitted to Congress. Discussions are ongoing with the press on the most attractive and economical way of preparing the facsimile portion of the volume. Acquisition of glossies, digitizing from microfilm, or working from clear xeroxes are among the options being explored. Discussions are also underway with private collectors for permission to include as illustrations recently discovered notes and certificates associated with Morris's administration (especially an original stock certificate of the Bank of North America and a "Hillegas note" issued to the army for subsistence). No copyediting of the manuscript can begin until the press is awarded a subvention; corrections and revisions will continue to be entered until that time, at which point a revised manuscript will be sent to the press for copyediting.

Some final editing was done on the microform supplementary material in conjunction with the editing of volume 9, but additional polishing will be done after the revised manuscript of volume 9 is submitted to the press for copyediting.

Cumulative Index

Our aide rekeyed into NLCindex the indexes to the first five volumes of our series which were not prepared in electronic form. In conjunction with our research assistant she proofread all the entries. The first four indexes were proofed by January 1995, while the fifth was proofed in June 1995 after the students completed coursework for the semester. Once the index to volume 8 was completed, a draft cumulative index was prepared merging all the files from volumes 1-8. Some additional correcting and reediting will be needed on these files. Index files for the texts to volume 9 and the microform supplement are prepared, but indexing of the annotation to volume 9 will be done in the spring of 1996 after the revised manuscript is sent the press and few further changes are likely. Once final pages of volume 9 arrive, the page fields will be entered into the files, and the index edited and submitted to the press. Page or frame numbers for the microform supplement texts will also be entered for those texts at that time. The new indexes will then be merged with the files for volumes 1-8 to prepare the cumulative index.

Discussions with the press suggested the following schedule for volume 9. A draft of the volume should be submitted by September 1995 in time to apply for a subvention to the NHPRC in

October 1995. Should a subvention be obtained the press could begin copyediting of a revised and corrected manuscript in March or April 1996. Given the production delays to volume 8, there was no possibility the press could begin work before that time. The copyedited manuscript would then arrive in June or July 1996 and continue on approximately the same schedule as that for volume 8. Production of the microform texts and the cumulative index would continue while volume 9 goes through the stages of production at the press.

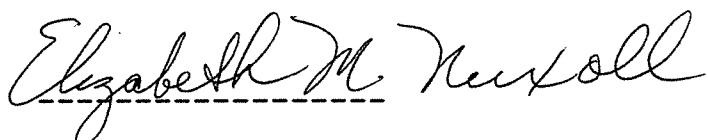
The editors have also been discussing the various procedures for an electronic edition on diskette, CDROM or online publication with editors and producers of similar editions. Should a cost effective way of rekeying or scanning the first 6 volumes make it possible, the editors plan to put together such an edition as the final versions of volume 9 and the supplement are completed.

Outreach

To promote awareness of the edition the editors continue various outreach activities. Gallagher served as a consultant to Primary Source Media's American Revolution Project; this multimedia publication has just been released. Gallagher and Nuxoll both participated in a panel on the demobilization of the Continental army at a conference in Gettysburg, Pennsylvania, on the theme of disbandment sponsored by the Society of Military History in May 1995. Gallagher revised her paper "A Public-Private Enterprise: Robert Morris, Daniel Parker and Company, and

the Disbandment of Washington's Army in 1783" and submitted it for possible future publication in Journal of Military History. Nuxoll revised her earlier paper: "Illegitimacy, Family Status, and Property in the Early Republic: The Morris-Croxall Family of New Jersey" for publication in the winter/spring 1996 issue of New Jersey History (forthcoming). She also formally presented a volume of the Morris Papers and briefly discussed the edition at the annual meeting of the Treasury Historical Association in December. Nuxoll and Gallagher are scheduled to present papers on the project's naval and maritime topics for the NASOH (North American Society of Oceanic History) conference in Boston in March 1996. Nuxoll will present a lecture (with slides) on the project's findings in numismatics before the American Numismatic Society in April 1996.

The project has raised \$20,400 toward our current matching award of \$60,000. An additional two year grant for \$4,200 has been pledged by the New Jersey Historical Commission, a portion of which will be eligible for matching under the current award. Delays in the awarding and distribution of gift-and-matching funds have led to substantial cutbacks in support staff during this report period--slowing the process of index and manuscript correction and diverting the editors from editorial to administrative tasks.

A handwritten signature in cursive script, reading "Elizabeth M. Nuxoll". The signature is written in dark ink and is positioned above a dashed horizontal line.

Elizabeth M. Nuxoll, Project Director



Office of News & Information Services

News Advisory

Re: **DEJA VU**
When Congress and Bureaucrats Couldn't Agree on Budget,
American Troops Mutinied and Congress Fled (All in 1783)

News Summary: The infant American republic suffered from unresolvable budget wrangling as soon as the Revolutionary War was won and it was time to sharply reduce defense spending and demobilize the army, in 1783. Squabbling over taxation between Congress and the states and government administrators threatened to send the troops back home penniless; these were George Washington's heroic fighters who defeated the British and won American independence. Fed up when repeated payment promises fell through, members of the Continental Army mutinied, descended on the Capital in Philadelphia and caused Congress to flee to Princeton, New Jersey.

Desperate for funds, unable to borrow more from Europe, Robert Morris, the financier of the American Revolution, begged Congress to approve a budget resolution and to fund the national debt wisely. To keep the country afloat in the meantime, he was closing hospitals, jails and military installations, selling off naval vessels and other surplus military equipment and issuing his own currency. With great reluctance, he continued providing rations and supplies to friendly Indians, but suggested that they now "be permitted to take care of themselves." Favoring national payment of public debt, Morris, the exponent of a strong central government was forced to dismantle the navy, too. Eventually, the people will want it back, he argued, but now "They will give money for Nothing." And "as personnel departed and were not replaced, the size and significance of all the executive departments shrank." Faced with angry public creditors and destitute soldiers, pressures became intolerable. In October, Morris's newly appointed secretary shot himself to death. Morris himself "remained chained to office until he could cover his public obligations" and even assumed some diplomatic duties and began to deal with the questions: would independence prove a curse or blessing for American commerce? Would the rest of the world open its ports to the ships of the new nation? Would the new nation be able to overcome its unfavorable balance of trade? The most ominous news came from Cuba which closed the Havana port, a vital factor for the American economy during the war. Many European countries also began to impose restrictions against the "aggressively entrepreneurial Americans." To France, England and Spain, Morris fiercely argued the benefits of free trade.

(More)

At the end of 1783, as the nation faced an extraordinarily severe winter, Morris was able to pay off the notes he issued to the army, employing risky financial maneuvers, not sure which bills of exchange would bounce.

This view of America's first budget crisis as an independent nation is revealed in the diary, correspondence and other official documents of Robert Morris who was Superintendent of Finance from 1781 to 1784. The eighth volume of The Papers of Robert Morris, completed by scholars at Queens College/CUNY, is being released this month by the University of Pittsburgh Press. It covers the critical period from May 5 through December 31 of 1783 when money troubles threatened to snatch defeat from the jaws of victory. The editors are Elizabeth M. Nuxoll and Mary A. Gallagher.

After fleeing Philadelphia, Congress refused to return even when the mutiny collapsed, meeting first at Princeton, then in Annapolis, Md. Morris, however, maintained the Office of Finance in Philadelphia. His problems were compounded with the election of a new delegation of post-war Congressmen, obsessed with the concerns of their local voters who, in turn, were chronically suspicious of government agencies. Wrote one critic, "...the public money is lavished away, the soldiery defrauded and the public plundered..." Morris also drew criticism for mingling his private business with public transactions, including selling vessels to his associates at low prices. (When he assumed office in 1781, Morris had been allowed by Congress to retain his business interests under the management of others.) He spent much of 1783 "reorganizing and expanding his mercantile pursuits...often entwined with his public activities..." His vessel The Empress of China was the first to open trade with China. He also formed commercial ventures with individuals he had met in the course of government business. "Some of these ties inspired charges of speculation and conflict of interest, and aroused suspicions that contributed to army unrest."

Through all reverses and controversies, Morris "remained committed to national unity and to "energetic government" write the editors. "He hoped that in time both would revive. Morris, the nation's foremost entrepreneur never "rejected the public sphere nor asserted that private initiative was all that was necessary for the nation's future economic or political well-being." As the most influential private man of business and public servant, he combined in himself the strengths of both working in combination for national survival.

###

Contact: Ron Cannava
Office: 718-997-5590
Home: (b) (6)

releases\morris

The Papers of Robert Morris, 1781–1784

Vol. 8: May 5–December 31, 1783

ELIZABETH M. NUXOLL AND
MARY A. GALLAGHER, EDITORS

Volume 8 of this critically acclaimed series covers a troubled period of transition from war to peace. Having agreed to remain in office to pay the disbanding army, Morris struggles first to distribute, then to redeem, the notes issued to the troops. In June, dissatisfied Continental troops mutiny in Philadelphia, causing Congress to flee to Princeton. Morris's opponents gloat over his consequent loss of influence. With money in short supply, Morris risks a huge overdraft on foreign loans to meet his commitments to the army and other public creditors. He reemerges as America's foremost entrepreneur, forming new partnerships and sponsoring the first U.S. voyage to China.

As in previous volumes, the editors have supplied headnotes to important documents, placing them in historical context and presenting various interpretations. An appendix features significant texts portraying Morris's involvement in the opening of the China trade.

August 1995 / Illus. / Approx. 1,200 pp.
6 1/8 x 9 1/4 / W
Cloth ISBN 0-8229-3886-3 / \$100.00s*

University of Pittsburgh Press
c/o CUP Services
Box 6525
Ithaca, NY 14850

Orders Only: (800) 666-2211
Customer Service: (607) 277-2211

"Robert Morris, traditionally hailed as 'financier of the American Revolution,' was perhaps the most important and influential public official of the Revolutionary and early Confederation eras. This definitive edition . . . comprises his official papers for that period—his diary, his correspondence, and other germane documents. . . . The scholarly labor that manifestly went into the preparation . . . is staggering. . . . [The editors] have provided all students of American history a rich lode of documentary sources which will be mined for decades to come."—JACOB E. COOKE, Virginia Magazine of History and Biography

"The transcriptions are scrupulously accurate, annotations full, the index comprehensive, cross-references extensive. . . . Puts all researchers of the early national period in the editors' debt."—E. WAYNE CARP, Georgia Historical Quarterly



The Papers of Robert Morris, 1781-1784

Credits and Acknowledgments

The American Revolution

History in Your Hands

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ACKNOWLEDGMENTS

General Editor:

Norman Desmarais, Acquisitions Librarian, Phillips Memorial Library, Providence College, Providence, Rhode Island.

Contributing Authors:

Tricia Andryszewski, freelance writer; Henry V. Carter, freelance contributor; Carol Sue Humphrey, Ph.D., associate professor of history, Oklahoma Baptist University, Shawnee, Oklahoma; Erik G. Ledbetter, independent scholar; Laura L. Mitchell, assistant Smithsonian editor, National Museum of History, Washington, DC; Alexandra Mullen, assistant professor of English literature, Providence College, Providence, Rhode Island; John A. Taylor, associate professor of history, Southern Illinois University, Edwardsville, Illinois; Kimberley D. Warren, freelance writer.

Advisory Panel:

Henry V. Carter; Mary A.Y. Gallagher, Ph.D., co-editor of The Papers of Robert Morris, Queens College, Flushing, New York; Erik G. Ledbetter; Thomas T. Taylor, Ph.D., associate professor of history, Wittenberg University, Springfield, Ohio.

Series Editor:

Chris Cipriano

Disc Editors:

Kristin Aceto;
Amy Coulter.

Members of the American Journey team include:

Indexing:

Evelyn Hatkin

Marketing:

Danielle Coviello

Programming:

Michelle Fappiano;
Michael Flickman;
Mark Ford;
Donna King Valleau;
Len Raymond;
Jim Tubridy.

002690

WARD RECIPIENT CUNY Res. Fdn./Queens College Institutional Grant Administrator: Richard M. Freeland President Research Foundation of CUNY 79 Fifth Avenue New York, NY 10007	ACTION TAKEN: Amendment	DATE OF ACTION: 12/18/96								
	ID NUMBER: RE-21189-94									
	GRANT/FELLOWSHIP PERIOD <table> <tr> <td>FROM</td> <td>07/94</td> <td>THRU</td> <td>06/96</td> </tr> </table>		FROM	07/94	THRU	06/96				
	FROM	07/94	THRU	06/96						
FUNDING <table> <tr> <td>OUTRIGHT \$</td> <td>180,000.00</td> </tr> <tr> <td>GIFT \$</td> <td></td> </tr> <tr> <td>FEDERAL MATCH \$</td> <td>15,150.00</td> </tr> <tr> <td>TOTAL AWARDED \$</td> <td>195,150.00</td> </tr> </table>		OUTRIGHT \$	180,000.00	GIFT \$		FEDERAL MATCH \$	15,150.00	TOTAL AWARDED \$	195,150.00	
OUTRIGHT \$	180,000.00									
GIFT \$										
FEDERAL MATCH \$	15,150.00									
TOTAL AWARDED \$	195,150.00									
PROJECT DIRECTOR Elizabeth M. Muxoll	<table> <tr> <td>FEDERAL MATCHING FUNDS</td> <td></td> </tr> <tr> <td>AMOUNT</td> <td></td> </tr> <tr> <td>OFFERED \$</td> <td>60000.00</td> </tr> <tr> <td>OFFER EXPIRES</td> <td>03/96</td> </tr> </table>		FEDERAL MATCHING FUNDS		AMOUNT		OFFERED \$	60000.00	OFFER EXPIRES	03/96
FEDERAL MATCHING FUNDS										
AMOUNT										
OFFERED \$	60000.00									
OFFER EXPIRES	03/96									
PROJECT TITLE The Papers of Robert Morris, 1781-1784										

REMARKS:

Four gift certifications totaling \$13,650 have been received and can be partially matched by the Endowment at this time. This grant is therefore increased by \$13,500 in matching funds to the new funding level indicated above.

We would appreciate your informing the donor(s) that matching funds have been provided by the Endowment.

Please note that the expenditure of certified gift funds is a part of the nonfederal share of project costs (cost sharing) and must be included as such on all financial reports. Also, for every dollar in matching funds which is expended on this project, an equal amount of gift funds must also be expended.

All other terms of this award remain in effect.

This award was funded by the NEH program described in CFDA section 45.161.

NEH GRANTS OFFICE OFFICIAL Signature: <i>Stephen F. Veneziani</i>	David J. Wallace Director, Grants Office
Name and Title	

FOR AGENCY USE ONLY: jhw 11/1/94 996/70200 251-6-2422-4102	\$13,500.00	Elizabeth M. Muxoll The Papers of Robert Morris Queens College, CUNY 65-30 Kissena Blvd. Flushing, NY 11367
---	-------------	---

ZZ A02: Four gift certifications totaling \$13,650 have been received and can be partially matched by the Endowment at this time. This grant is therefore increased by \$13,500 in matching funds to the new funding level indicated above.

(delete second paragraph of ZZ A02)

.... remainder of ZZ A02

ZZ A99

NATIONAL ENDOWMENT FOR THE HUMANITIES

N E H -- ROUTING SHEET NUMBER:	96 0072 A	RECORDED: 12-15-95
GRANT NUMBER:	RE 21189 94	
GRANTEE:	CUNY Res. Fdn./Queens College	
PROJ. DIRECTOR:	Elizabeth M. Nuxoll	
FED-MATCH AUTHORIZED GRAND TOTAL:	\$60,000.00	
OFFER EXPIRATION:	03-31-96	
MATCHING COMMITMENTS ON HAND:	\$150.00	

--- TO BE PROCESSED NOW ---

ACCT-CODE & PROCESSED GIFT	ACCT-CODES & RELEASED FED-MATCH
999 9 9999 9999 \$13500.00	251 6 2422 4102 \$13500.00
	\$.00
	\$.00
	TOTAL MATCH: \$13500.00

REMARKS: partial match

---CURRENT SCHEDULE---

MATCH	FY-96	FY	FY	FY	FY
AUTHORIZED --	\$60000.00				
RELEASED --	\$15150.00				
BALANCE --	\$44850.00				

GIFTS		
RAISED --	\$15300.00	
REQUIRED --	\$60000.00	
PROCESSED --	\$15150.00	
BALANCE --	\$44850.00	
EXCESS --	\$.00	

*NOTE: Balance = Required - Processed.

---DONATION BREAKDOWN---

CATEGORY	CURRENT	TO-DATE
1	\$150.00	\$250.00
2	\$.00	\$250.00
3	\$.00	\$14800.00
4	\$.00	\$.00
5	\$.00	\$.00
6	\$.00	\$.00
7	\$.00	\$.00
8	\$.00	\$.00
9	\$.00	\$.00
TOTAL	\$150.00	\$15300.00

outright: \$ 180,000

gifts: \$ — —

match: \$ 15,150

total: \$ 195,150

The Papers of Robert Morris

July 31, 1995

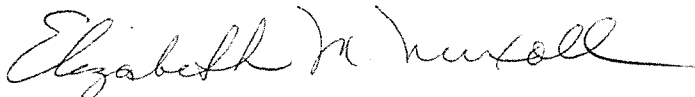
Ms. Alice Hudgins
Grants Office
Rm. 310
National Endowment for the Humanities
1100 Pennsylvania Avenue, N.W.
Washington, D.C. 20506

Dear Ms. Hudgins:

Enclosed are two copies of our second semi-annual progress report for grant RE-21189-94 for the period January 1 to June 30, 1995.

Sincerely yours,

Elizabeth M. Nuxoll



Enclosures

cc: G. Michael Prasad, Director
Office of Research and Sponsored Programs
Queens College

Directors

Elizabeth M. Nuxoll,
Project Director/Coeditor
Mary A. Y. Gallagher,
Coeditor
Kathleen H. Mullen,
Assistant Editor
Clarence L. Ver Steeg,
Editorial Advisor
E. James Ferguson,
Editor Emeritus

Sponsored by

Queens College/CUNY
under grants from the
National Endowment
for the Humanities
and the
National Historical
Publications and Records
Commission

Published by

University of
Pittsburgh Press



Queens College/CUNY
Flushing, New York 11367-1597
Telephone (718) 997-5180

RECEIVED GRANTS C
1995 JUL -7 AM

ENTERED

SENT TO DIVISION

Narrative Report to the
NATIONAL ENDOWMENT FOR THE HUMANITIES

July 31, 1995

Project: The Papers of Robert Morris
Grant Number: RE-21189-94
Project Director: Elizabeth M. Nuxoll
Institution: Queens College of the
City University of New York
Grant Period: January 1-June 30, 1995

RECEIVED
FEDERAL GRANTS OFFICE
JUL 11 1995

PROGRESS REPORT

Summary

The following chart outlines the status of work planned for grant period January 1-June 30, 1995

PLANNED	COMPLETED
Editorial:	
Rough pages of volume 8 returned to project by September. Proofed, corrected returned to press (October)	Rough pages sent to project in batches in January to March
Final page proofs returned (November); reviewed and corrected; returned to press (December)	Final pages arrived in June; returned in July
Corrections and page fields entered to index files	Done when pages arrived in June, except for changes related to a section that had to be revised. These problems were resolved and the changes entered in July
NLCINDEX sort run	Done in June
Index edited and submitted	To be done in July/August
Publication scheduled for August 1995	Publication rescheduled for October/November
Verification and Preliminary annotation of volume 9 done	Done
Final editing of volume 9 progresses	2/3 done
Volume 9 submitted to editorial advisers in batches for review corrections entered	Texts sent; Proposed appendix sent; comments on appendix reviewed; corrections entered; additional batches sent
Second verification of microform texts completed; textual notes prepared	Done

Final editing begun

Format reviewed and made
consistent; minimal
annotation being
checked for accuracy

Previously scheduled for July-
December 1995

Scanning of indexes of first
5 volumes; adjustment of files
to coordinate with indexes of
volumes 6-9 and microform

Rekeyed, corrected;
proofed

Fundraising: \$60,000 match to
be raised

\$1,650 raised

Narrative

Volume 8

The revised manuscript of volume 8 was sent to the press in November 1993 for copyediting. The copyedited version was returned to the project in batches from March 24 to July 15, 1994, with some delays caused by charts and accounts that require special design formats. The editors reviewed the copyedited version, approving or disapproving changes and entering the corrections into the files, along with the few format codes still required under the new system. The corrected and coded manuscript was returned to the press in September 1994 to coincide with the UPP staff's return from summer vacation. The press then reviewed all the changes, and discussed any pending questions by phone. All further changes were entered on diskette and the files were broken into forty two units of approximately fifty manuscript pages each for submission to the printer. The diskettes were sent to the press at the end of October. Illustrations were also forwarded to the press at that time. After further review by the press's designer, the diskettes were forwarded to the printer at the end of December.

The press estimated that the first set of rough pages would be mailed to the project the last week of January 1995. The first portion, consisting of the first third of the volume, arrived at the Morris Papers office on January 31; the last batch, complicated accounts keyed by the printer rather than derived from the project's diskettes, arrived on March 18. The

rough pages were returned to the press at the end of March. Final pages arrived in batches between June 9 and June 19, and checking immediately began. The manuscript was in excellent shape except for an important document toward the end of the volume which had as its enclosures several long and irregularly shaped public accounts. In seeking to make these accounts fit on the printed pages without leaving large amounts of blank space, the printer placed them in inappropriate sequences that would have rendered the texts incomprehensible to readers. This section had to be revised in consultation with the press's designer and some of the following pages adjusted. Revised final pages will have to be prepared for this portion of the volume. Final page fields were entered into the index files for all but the section to be changed. Sorting was done to prepare a preliminary index which was edited insofar as possible, pending a final manuscript. When revisions were adopted, the changed page fields were entered and the index resorted. Editorial changes are now being entered and a final index prepared for submission to the press in August. The press will review the index and approve it or suggest changes to be entered in the index files. The revised index will then be sent on diskette to the printer and incorporated into the volume. The most recent publication schedule suggested by the press indicated publication in October/November 1995.

Volume 9

Copies of all the documents scheduled for volume 9 were sent

to the two editorial advisers, as was all the material considered for inclusion in an appendix on the records and debates over the Bank of North America for 1784. The annotation is being sent in batches to our advisers.

Cumulative Index

Our aide rekeyed into NLCindex the indexes to the first five volumes of our series which were not prepared in electronic form. In conjunction with our research assistant she has been proofreading all the entries. The first four indexes were proofed by January 1995, while the fifth was proofed in June 1995 after the students completed coursework for the semester. It will therefore be possible to run the cumulative index as soon as the index to volume 9 and the microform supplement is completed, and with minimal re-editing to publish it in conjunction with or shortly after the appearance of the final volume and microform supplement. This process was moved forward in the plan of work because appropriate staff was now available and probably would not be at a later date.

Discussions with the press suggested the following schedule for submission of volume 9. A draft of the volume should be submitted by September 1995 in time to apply for a subvention to the NHPRC in October 1995 and/or to the NEH in February 1996. Should a subvention be obtained the press could begin copyediting of a revised and corrected manuscript in March or April 1996. Given the production delays to volume 8, there is no possibility the press could begin work before that time. The copyedited

manuscript would then arrive in June or July 1996 and continue on approximately the same schedule as that for volume 8. Production of the microform texts and the cumulative index would continue while volume 9 goes through the stages of production at the press.

Outreach

To promote awareness of the edition the editors continue various outreach activities. Mary Gallagher's paper "Reinterpreting the "very trifling mutiny" at Philadelphia in June 1783" was published in the Pennsylvania Magazine of History and Biography in the January/April 1995 issue. Both Gallagher and Nuxoll presented talks at the Washington's Headquarters State Historical Site at Newburgh, New York, on Washington's birthday weekend. They also both participated in a panel on the demobilization of the Continental army at a conference in Gettysburg, Pennsylvania, on the theme of disbandment sponsored by the Society of Military History in May 1995.

The project has raised \$1,650 toward our current matching award of \$60,000.

Elizabeth M. Nuxoll, Project Director

The Papers of Robert Morris

ENTERED

February 1, 1995

Ms. Alice Hudgins
Grants Office
Rm. 310
National Endowment for the Humanities
1100 Pennsylvania Avenue, N.W.
Washington, D.C. 20506

Dear Ms. Hudgins:

Enclosed are two copies of our progress report for
grant RE-21189-94 for the period July 1, 1994 to
December 31, 1994.

Sincerely yours,



Elizabeth M. Nuxoll

Enclosures

cc: G. Michael Prasad, Director
Office of Research and Sponsored Programs
Queens College

Directors

Elizabeth M. Nuxoll,
Project Director/Coeditor
Mary A. Y. Gallagher,
Coeditor
Kathleen H. Mullen,
Assistant Editor
Clarence L. Ver Steeg,
Editorial Advisor
E. James Ferguson,
Editor Emeritus

Sponsored by

Queens College/CUNY
under grants from the
National Endowment
for the Humanities
and the
National Historical
Publications and Records
Commission

Published by

University of
Pittsburgh Press

SENT TO DIVISION



Queens College/CUNY
Flushing, New York 11367-1597
Telephone (718) 997-5180

NATIONAL ENDOWMENT FOR THE HUMANITIES

WASHINGTON, D.C. 20506



NEH GRANTS OFFICE
1995 MAR -3 AM 11:58

March 2, 1995

Dr. Elizabeth M. Nuxoll
The Papers of Robert Morris
Queens College
65-30 Kissena Boulevard
Flushing, NY 11367-1597

Ref.: RE-21189-94

Dear Dr. Nuxoll:

Thank you for the interim performance report dated January 31, 1995, on your grant to edit the papers of Robert Morris. I apologize for this delayed response; February was an extremely busy month for the Editions program. I look forward to seeing Volume 8 in print later this year and remain optimistic that the 1994-1996 grant period will bring the print edition and microform supplement substantially to completion. The final volumes of the series should become a fundamental source for scholars investigating key issues of political economy in the mid-1780s.

Please do not hesitate to write or call me at 202/606-8207 if I may be of further assistance. You may also contact me via fax at 202/606-8204 or by e-mail at darnold@neh.fed.us.

Sincerely,

A handwritten signature in cursive script that reads "Douglas M. Arnold".

Douglas M. Arnold
Program Officer
Editions Program
Division of Research
Programs

ENTERED

NATIONAL ENDOWMENT FOR THE HUMANITIES
OFFICIAL NOTICE OF ACTION

002690

WARD RECIPIENT CUNY Res. Fdn./Queens College Institutional Grant Administrator: Elizabeth M. Nuxoll President Research Foundation of CUNY 70 Fifth Avenue New York, NY 10007	ACTION TAKEN:	DATE OF ACTION:
	Amendment	01/27/92
	ID NUMBER:	RE-21189-94
	GRANT/FELLOWSHIP PERIOD	
	FROM 07/94	THRU 06/96
PROJECT DIRECTOR Elizabeth M. Nuxoll	FUNDING	
	OUTRIGHT \$	180,000.00
	GIFT \$	
	FEDERAL MATCH \$	1,650.00
PROJECT TITLE	TOTAL AWARDED \$ 181,650.00	
The Papers of Robert Morris, 1781-1784	FEDERAL MATCHING FUNDS AMOUNT OFFERED \$ 60000.00 OFFER EXPIRES 03/96	

REMARKS:

A gift certification has been received and matched by the Endowment. This grant is therefore increased by \$100.00 in matching funds to the new funding level indicated above.

We would appreciate your informing the donor(s) that matching funds have been provided by the Endowment.

Please note that the expenditure of certified gift funds is a part of the non-federal share of project costs (cost sharing) and must be included as such on all financial reports. Also, for every dollar in matching funds which is expended on this project, an equal amount of gift funds must also be expended.

All other terms of this award remain in effect.

This award was funded by the NEH program described in CFDA section 45.132.

NEH GRANTS OFFICE OFFICIAL

Signature *Stephen T. Vangelisti*Name and Title David J. Wallace
Director, Grants Office

FOR AGENCY USE ONLY:

K45 1/27/95

595/00200 254-5-2407-4102

\$100.00

Elizabeth M. Nuxoll
The Papers of Robert Morris
Queens College, CUNY
65-10 Kissena Blvd.
Flushing, NY 11367

NATIONAL ENDOWMENT FOR THE HUMANITIES

N E H -- ROUTING SHEET NUMBER:	95 0200 A	RECORDED: 01-26-95
GRANT NUMBER:	RE 21189 94	
GRANTEE:	CUNY Res. Fdn./Queens College	
PROJ. DIRECTOR:	Elizabeth M. Nuxoll	
FED-MATCH AUTHORIZED GRAND TOTAL:	\$60,000.00	
OFFER EXPIRATION:	03-31-96	
MATCHING COMMITMENTS ON HAND:	\$.00	

--- TO BE PROCESSED NOW ---

ACCT-CODE & PROCESSED GIFT	ACCT-CODES & RELEASED FED-MATCH
999 9 9999 9999 \$100.00	254 5 2407 4102 \$100.00
	\$.00
	\$.00
	TOTAL MATCH: \$100.00

---CURRENT SCHEDULE---

MATCH	FY-96	FY	FY	FY	FY
AUTHORIZED --	\$60000.00				
RELEASED --	\$1650.00				
BALANCE --	\$58350.00				

GIFTS

RAISED	--	\$1650.00
REQUIRED	--	\$60000.00
PROCESSED	--	\$1650.00
BALANCE	--	\$58350.00
EXCESS	--	\$.00

*NOTE: Balance = Required - Processed.

---DONATION BREAKDOWN---

CATEGORY	CURRENT	TO-DATE
1	\$100.00	\$100.00
2	\$.00	\$250.00
3	\$.00	\$1300.00
4	\$.00	\$.00
5	\$.00	\$.00
6	\$.00	\$.00
7	\$.00	\$.00
8	\$.00	\$.00
9	\$.00	\$.00
TOTAL	\$100.00	\$1650.00

outright: \$180,000

gifts: \$ ~~4550~~ 0

match: \$ 1,650

total: \$181,650

proofed on 1/27/95

Narrative Report to the
NATIONAL ENDOWMENT FOR THE HUMANITIES

January 31, 1995

Project: The Papers of Robert Morris
Grant Number: RE-21189-94
Project Director: Elizabeth M. Nuxoll
Institution: Queens College of the
City University of New York
Grant Period: July 1-December 31, 1994

SENT TO DIVISION
JAN 31 1995

PROGRESS REPORT

Summary

The following chart outlines the status of work planned for the grant period July 1-December 30, 1994.

PLANNED	COMPLETED
Editorial:	
Review of copyedited manuscript for volume 8; manuscript returned to press	Done
Changes keyed into machine-readable files	Done
Encoding of files	Done
Volume submitted to printer (October 1994)	Done
Rough pages returned to project by September. Proofed, corrected, returned to press (October)	Rough pages to be sent to project in batches in January and February
Final page proofs returned (November); reviewed and corrected; returned to press (December)	Final pages expected in April To be returned by May
Corrections and page fields entered to index files	To be done when pages arrive in April
NLCINDEX run	"
Index edited and submitted	To be done in May
Publication scheduled for January-June 1995	Publication rescheduled for August
Verification and Preliminary annotation of volume 9 done	Done
Final editing of volume 9 progresses	Half done
Volume 9 submitted to editorial advisers in batches for review (July-December); corrections entered	Texts sent; Proposed appendix sent; Comments on appendix reviewed; corrections entered
Second verification of microform texts completed; textual notes prepared	Done
Final editing begun by Mullen	Format being reviewed and made consistent; minimal annotation being checked for accuracy

Previously scheduled for July-
December 1995

Scanning of indexes of first
5 volumes; adjustment of files
to coordinate with indexes of
volumes 6-9 and microform

Fundraising: \$60,000 match to
be raised

Rekeyed and corrected; first
four indexes proofed

\$1,650 raised

Narrative

The revised manuscript of volume 8 was sent to the press in the fall of November 1993 for copyediting. The copyedited version was returned to the project in batches from March 24 and July 15, 1994, with the greatest delays caused by charts and accounts that require special design formats. The editors reviewed the copyedited version, approving or disapproving changes and entering the corrections into the files, along with the few format codes still required under the new system. The corrected and coded manuscript was returned to the press in September 1994 to coincide with the UPP staff's return from summer vacation. The press then reviewed all the changes, and discussed any pending questions by phone. Kathleen Mullen made all further changes on diskette and broke the files into forty two units of approximately fifty manuscript pages each for submission to the printer. The diskettes were sent to the press at the end of October. Illustrations were also forwarded to the press. After further review by the press's designer, the diskettes were forwarded to the printer at the end of December.

The press estimated that the first set of rough pages would be mailed to the project the last week of January 1995. The first portion, consisting of the first third of the volume, arrived at the Morris Papers office on January 31. A preliminary overview indicates that the pages are in excellent shape. The remainder is scheduled to arrive by the end of February. Allowing time for the proofreading of the rough and final page and the editing of the index (the files for which have already been prepared), the press expects the volume to appear in August 1995. Attached is the announcement for the volume in the University of Pittsburgh's spring list.

Copies of all the documents scheduled for volume 9 were sent to the two editorial advisers, as was all the material considered for inclusion in an appendix on the records and debates over the Bank of North America for 1784. The annotation is being sent in batches to our advisers.

Our student aide, Anne Anastasi, rekeyed into NLCindex the indexes to the first five volumes of our series which were not prepared in electronic

form. In conjunction with our research assistant, Thomas Ballinger, she has been proofreading all the entries. The first four indexes have been proofed; the last will be completed in February. It will therefore be possible to run the cumulative index as soon as the index to volume 9 and the microform supplement is completed, and with minimal editing to publish it in conjunction with or shortly after the appearance of the final volume and microform supplement. This process was moved forward in the plan of work because the appropriate staff was now available and probably would not be at a later date.

Recent discussions with the press suggest the following schedule for submission to volume 9. The volume should be submitted by September 1995 in time to apply for a subvention to the NHPRC in October 1995 and/or to the NEH in February 1996. Should a subvention be obtained the press could begin copyediting in March or April 1996. Given the production delays to volume 8, there is no possibility the press could begin work before that time. Production of the microform texts and the cumulative index would continue while volume 9 goes through the stages of production at the press.

To promote awareness of the edition the editors continue various outreach activities. Mary Gallagher's paper "Reinterpreting the "very trifling mutiny" at Philadelphia in June 1783" is scheduled for publication in the Pennsylvania Magazine of History and Biography in the January/April 1995 issue. Both Gallagher and Nuxoll will be presenting talks at the Washington's Headquarters State Historical Site at Newburgh, New York, on Washington's birthday weekend (see the attached tentative program). They will also both participate in a panel on the demobilization of the Continental army at a conference on the theme of disbandment sponsored by the Society of Military History in May 1995.

The project has raised \$1,650 toward our current matching award of \$60,000.

Elizabeth M. Nuxoll, Project Director

SATURDAY 2/18

- 1:00 - 2:00 "The 1777 Delaware River Campaign," a slide lecture by Charles E. Brodine, Jr., Historian, from the Early History Branch of the Naval Historical Center in Washington, D.C. Auditorium.
- 1:00 - 5:00 A Whale of a Boat: A replica whaleboat, with cannon and crew will be displayed. Outdoors.
- 1:00 - 5:00 "Measuring Up: The Height of the 18th Century," a new display. See how you measure up against the average height of adults 200 years ago. Museum's lower gallery.
- 1:30 - 2:00 Musket Drills, followed by participatory children's drill, by the Third New York Regiment. Outdoors.
- 2:00 - 2:30 "An Unwelcome Suggestion: George Washington and the Nicola Letters," by Paul Lear, Interpretive Programs Assistant, Washington's Headquarters State Historic Site, Newburgh. Auditorium.
- 2:30 - 3:00 Film Director David Sutherland will introduce his recent film: "George Washington, The Man Who Wouldn't be King." Auditorium.
- 3:00 - 4:00 Special presentation of "George Washington, The Man Who Wouldn't be King" on a 15' diagonal screen. Auditorium.
- 3:00 - 3:30 Musket Drills, followed by participatory children's drill, by the Third New York Regiment. Outdoors.
- 4:00 - 5:00 "Heroes and Hellions: Whale Boat Warfare in the Revolutionary War," a slide lecture by author Patricia Wall. Museum's upper gallery.
- 4:00 - 5:00 "Sails and Tales," presented by storyteller Jonathan Kruk. Family entertainment. Auditorium.
- 4:30 - 5:00 Musket Drills and Demonstrations, by the Third New York Regiment. Outdoors.
- 5:00 - 5:45 "Small Boat Construction and Warfare in the War for Independence," slide lecture by historical reenactor Warren Stevens. Museum.

SUNDAY 2/19

- 1:00 - 5:00 Ship's Boat display. A replica of the ship's boat from The Wasp will be interpreted by a crew. Outdoors.
- 1:30 - 2:30 "The Sailor's Life in Washington's Day", a lecture by historian Joseph Seymour, illustrated with props. Auditorium.
- 2:30 - 3:30 "Old Sailor Songs and Sea Chanteys of the 18th Century," performed by folk singer Rich Bala. Auditorium.
- 3:30 - 4:30 "Robert Morris, the Continental Navy, and Pay for Washington's Army, 1782-83" lecture by Mary A. Y. Gallagher, Ph.D., co-editor of the Papers of Robert Morris. Auditorium.

MONDAY 2/20

- 1:00 - 2:30 "The Naval War on Lake Champlain," an illustrated joint presentation by Dale Henry from the Lake Champlain Maritime Museum and by Joseph M. Thatcher, Supervising Curator for N.Y.S. Bureau of Historic Sites/OPRHP. Auditorium.
- 1:00 - 5:00 "Scrimshaw, A Time-Honored Naval Pastime," a live craft demonstration by scrimshander Peter Blaisdell from western New York State. Museum.
- 1:00 - 5:00 "Furniture of Washington's Day," a demonstration of the making of hand-made wood furniture (using methods practiced by gentlemen woodworkers 200 years ago) by Mario Rodrigues, Cabinetmaker and Assistant Professor at the Fashion Institute of Technology. Museum's lower gallery.
- 2:30 - 3:30 "George Washington, Robert Morris, and the Treatment of Marine Prisoners of War," a slide lecture by Elizabeth M. Nuxoll, Ph.D., Project Director/co-editor of the Papers of Robert Morris. Auditorium.
- 3:30 - 4:00 narrated video presentation on bateaux (shallow boats), from the Lake Champlain Maritime Museum. Museum.
- 4:00 - 4:30 narrated video presentation on the gunboat Philadelphia.

The Papers of Robert Morris, 1781–1784

Vol. 8: *May 5–December 31, 1783*

ELIZABETH M. NUXOLL AND
MARY A. GALLAGHER, EDITORS

"Robert Morris, traditionally hailed as 'financier of the American Revolution,' was perhaps the most important and influential public official of the Revolutionary and early Confederation eras. This definitive edition . . . comprises his official papers for that period—his diary, his correspondence, and other germane documents. . . . The scholarly labor that manifestly went into the preparation . . . is staggering. . . . [The editors] have provided all students of American history a rich lode of documentary sources which will be mined for decades to come."—JACOB E. COOKE, Virginia Magazine of History and Biography

"The transcriptions are scrupulously accurate, annotations full, the index comprehensive, cross-references extensive. . . . Puts all researchers of the early national period in the editors' debt."—E. WAYNE CARP, Georgia Historical Quarterly

Volume 8 of this critically acclaimed series covers a troubled period of transition from war to peace. Having agreed to remain in office to pay the disbanding army, Morris struggles first to distribute, then to redeem, the notes issued to the troops. In June, dissatisfied Continental troops mutiny in Philadelphia, causing Congress to flee to Princeton. Morris's opponents gloat over his consequent loss of influence. With money in short supply, Morris risks a huge overdraft on foreign loans to meet his commitments to the army and other public creditors. He reemerges as America's foremost entrepreneur, forming new partnerships and sponsoring the first U.S. voyage to China.

As in previous volumes, the editors have supplied headnotes to important documents, placing them in historical context and presenting various interpretations. An appendix features significant texts portraying Morris's involvement in the opening of the China trade.

August 1995 / Illus. / Approx. 1,200 pp.
6 1/8 x 9 1/4 / W
Cloth ISBN 0-8229-3886-3 / \$100.00s*

Stuff That's Gone

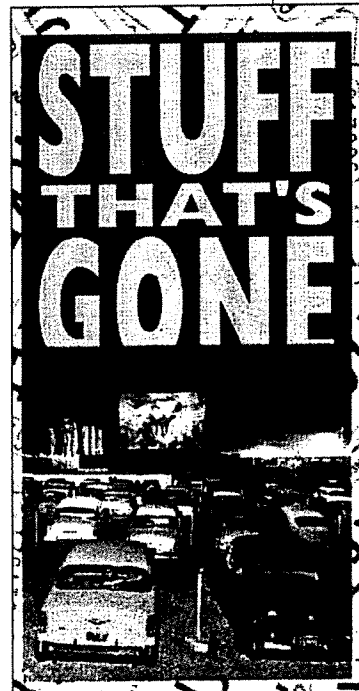
RICK SEBAK, PRODUCER

DISTRIBUTED FOR WQED/PITTSBURGH

Remember Winky's hamburger joints? All the drive-in theaters? The Gazebo restaurant in Shadyside? Did you ever sit up late listening to *Party Line* on KDKA radio? Or stop to gawk at the KQV window? Remember when you could wave good-bye at the old Greater Pittsburgh Airport? Did you know there once were some fifteen inclines in this area? And two great old amusement parks near McKeesport?

Celebrating all the good *Stuff That's Gone*, this new video is a follow-up to WQED's phenomenally successful *Things That Aren't There Anymore*.

1994 / 70 min. / color / VHS / \$19.95s



Houses Around Here

RICK SEBAK, PRODUCER

DISTRIBUTED FOR WQED/PITTSBURGH

This video looks inside ordinary houses in the city and in the western Pennsylvania countryside. It explores Mount Washington's Chatham Village, the castles in Aspinwall, and the work of well-known artists: Moon Lorn, a remote cottage built by artist Malcolm Parcell near Prosperity, and the fascinating details that distinguish the unusual houses of the architect Frederick G. Scheibler, Jr.

1994 / 60 min. / color / VHS / \$19.95s

NATIONAL ENDOWMENT FOR THE HUMANITIES
OFFICIAL NOTICE OF ACTION

WARD RECIPIENT CUNY Res. Fdn./Queens College Institutional Grant Administrator: Richard M. Freedland President Research Foundation of CUNY 77 Fifth Avenue New York, NY 10003	ACTION TAKEN: Amendment	DATE OF ACTION: 11/28/9
	ID NUMBER: RE-21189-94	
	GRANT / FELLOWSHIP PERIOD FROM 07/94 THRU 06/96	
	FUNDING OUTRIGHT \$ 180,000.00 GIFT \$ FEDERAL MATCH \$ 1,550.00 TOTAL AWARDED \$ 181,550.00	
PROJECT DIRECTOR Elizabeth M. Muxoll	FEDERAL MATCHING FUNDS AMOUNT OFFERED \$ 60000.00 OFFER EXPIRES 03/96	
PROJECT TITLE The Papers of Robert Morris, 1781-1784		

REMARKS:

A gift certification has been received and matched by the Endowment. This grant is therefore increased by \$250.00 in matching funds to the new funding level indicated above.

We would appreciate your informing the donor(s) that matching funds have been provided by the Endowment.

Please note that the expenditure of certified gift funds is a part of the non-federal share of project costs (cost sharing) and must be included as such on all financial reports. Also, for every dollar in matching funds which is expended on this project, an equal amount of gift funds must also be expended.

All other terms of this award remain in effect.

This award was funded by the NEH program described in CFDA section 45.132.

NEH GRANTS OFFICE OFFICIAL

Signature Alice R. H. [Signature]

Name and Title David J. Wallace
Director, Grants Office

FOR AGENCY USE ONLY:

45 11/30/94

595/60200 254-5-2407-4102

\$250.00

Elizabeth M. Muxoll
The Papers of Robert Morris
Queens College, CUNY
65-30 Kissena Blvd.
Flushing, NY 11367

N A T I O N A L E N D O W M E N T F O R T H E H U M A N I T I E S

N E H -- ROUTING SHEET NUMBER:	95 0054 A	RECORDED: 11-17-94
GRANT NUMBER:	RE 21189 94	
GRANTEE:	CUNY Res. Fdn./Queens College	
PROJ. DIRECTOR:	Elizabeth M. Nuxoll	
FED-MATCH AUTHORIZED GRAND TOTAL:	\$60,000.00	
OFFER EXPIRATION:	03-31-96	
MATCHING COMMITMENTS ON HAND:	\$.00	

--- TO BE PROCESSED NOW ---

ACCT-CODE & PROCESSED GIFT	ACCT-CODES & RELEASED FED-MATCH	
999 9 9999 9999 \$250.00	254 5 2407 4102	\$250.00
		\$.00
		\$.00
	TOTAL MATCH:	\$250.00

---CURRENT SCHEDULE---

MATCH	FY-96	FY	FY	FY	FY
AUTHORIZED --	\$60000.00				
RELEASED --	\$1550.00				
BALANCE --	\$58450.00				

Fund levels

OR \$180,000.-

G 0

M 1,550.-

Total \$181,550.

GIFTS

RAISED	--	\$1550.00
REQUIRED	--	\$60000.00
PROCESSED	--	\$1550.00
BALANCE	--	\$58450.00
EXCESS	--	\$.00

*NOTE: Balance = Required - Processed.

---DONATION BREAKDOWN---

CATEGORY	CURRENT	TO-DATE
1	\$.00	\$.00
2	\$250.00	\$250.00
3	\$.00	\$1300.00
4	\$.00	\$.00
5	\$.00	\$.00
6	\$.00	\$.00
7	\$.00	\$.00
8	\$.00	\$.00
9	\$.00	\$.00
TOTAL	\$250.00	\$1550.00

ZZA01 - \$250.-

ZZA99

all 11/25/94

all 11/29/94

NATIONAL ENDOWMENT FOR THE HUMANITIES
OFFICIAL NOTICE OF ACTION

002690

WARD RECIPIENT CUNY Res. Fdn./Queens College Institutional Grant Administrator: Richard M. Freedland President Research Foundation of CUNY 77 Fifth Avenue New York, NY 10003	ACTION TAKEN: Amendment	DATE OF ACTION: 06/21/96
	ID NUMBER: RE-21189-94	
	GRANT/FELLOWSHIP PERIOD FROM 07/94 THRU 06/96	
	FUNDING OUTRIGHT \$ 180,000.00 GIFT \$ FEDERAL MATCH \$ 1,300.00 TOTAL AWARDED \$ 181,300.00	
PROJECT DIRECTOR Elizabeth M. Nuxoll	FEDERAL MATCHING FUNDS AMOUNT OFFERED \$ 60000.00 OFFER EXPIRES 03/96	
PROJECT TITLE The Papers of Robert Morris, 1781-1784		

REMARKS:

A gift certification has been received and matched by the Endowment. This grant is therefore increased by \$1300.00 in matching funds to the new funding level indicated above.

We would appreciate your informing the donor(s) that matching funds have been provided by the Endowment.

Please note that the expenditure of certified gift funds is a part of the non-federal share of project costs (cost sharing) and must be included as such on all financial reports. Also, for every dollar in matching funds which is expended on this project, an equal amount of gift funds must also be expended.

All other provisions of this award remain in effect.

This award was funded by the NEH program described in CFDA section 45.132.

NEH GRANTS OFFICE OFFICIAL

Signature Alex K. Higgins for

David J. Wallace
Director, Grants Office

FOR AGENCY USE ONLY:

1245 6/23/94

594/50200 251-4-2407-4102

\$1,300.00

Elizabeth M. Nuxoll
The Papers of Robert Morris
Queens College, CUNY
65-30 Kissena Blvd.
Flushing, NY 11367

N A T I O N A L E N D O W M E N T F O R T H E H U M A N I T I E S

N E H ROUTING SHEET NUMBER:	94 0429 A	RECORDED: 06-21-94
GRANT NUMBER:	RE 21189 94	
GRANTEE:	CUNY Res. Fdn./Queens College	
PROJ. DIRECTOR:	Elizabeth M. Nuxoll	
FED-MATCH AUTHORIZED GRAND TOTAL:	\$60,000.00	
OFFER EXPIRATION:	03-31-96	
MATCHING COMMITMENTS ON HAND:	\$0.00	

--- TO BE PROCESSED NOW ---

ACCT-CODE & PROCESSED GIFT	ACCT-CODES & RELEASED FED-MATCH
999 9 9999 9999 \$1300.00	251 4 2407 4102 \$1300.00
	\$0.00
	\$0.00
	TOTAL MATCH: \$1300.00

---CURRENT SCHEDULE---

MATCH	FY-96	FY	FY	FY	FY
AUTHORIZED --	\$60000.00				
RELEASED --	\$1300.00				
BALANCE --	\$58700.00				

GIFTS	
RAISED --	\$1300.00
REQUIRED --	\$60000.00
PROCESSED --	\$1300.00
BALANCE --	\$58700.00
EXCESS --	\$0.00

*NOTE: Balance = Required - Processed.

Fund. levels

OR \$180,000.-

G 0

M 1,300.-

TOTAL \$181,300.-

---DONATION BREAKDOWN---

CATEGORY	CURRENT	TO-DATE
1	\$0.00	\$0.00
2	\$0.00	\$0.00
3	\$1300.00	\$1300.00
4	\$0.00	\$0.00
5	\$0.00	\$0.00
6	\$0.00	\$0.00
7	\$0.00	\$0.00
8	\$0.00	\$0.00
9	\$0.00	\$0.00
TOTAL	\$1300.00	\$1300.00

ZZ A01 - \$1,300.-

ZZ A99

Cah 6/21/94
p.6/21/94



NEH GRANTS OFFICE
ENCLOSURE LIST

May 1993

☒ GRANTS TO ORGANIZATIONS

I.G.A.	P.D.	
<input checked="" type="checkbox"/>		Enclosure 1 for Organizations
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	General Grant Provisions for Organizations
		Labor Assurance (2 copies), Labor Standards
		and Return Envelope [Room 310]
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Federal Matching Fund Guidelines
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Enclosure 2
		RA (Guidelines for Centers)
		EH (Summary Report for Institute Participants)
		ES (Summary Report for Institute Participants)
		Certification for Participants
		"Notice to Grantees" (Research)
		A-133 (Audit Requirements for Nonprofits,
		Colleges, and Universities)
		"Notice Regarding the Audit Requirements of
		OMB Circular A-133"
		A-128 (Audit Requirements for State
		and Local Governments)
		Procurement Standards (A-110)
		Financial Management Standards
		Organizational Survey and Return Envelope
		(Room 310)
		OTHER: _____

☐ GRANTS TO INDIVIDUALS

_____	Individual Enclosure Package
_____	(Enclosure 1 for Individuals, Enclosure 2, General
_____	Grant Provisions for Individuals, ACH Vendor /
_____	Miscellaneous Payment form, and 5 of each financial
_____	form for individuals)
_____	Federal Matching Fund Guidelines
_____	"Notice to Grantees". (Research)

NATIONAL ENDOWMENT FOR THE HUMANITIES

WASHINGTON, D.C. 20506

May 24, 1994

Dr. Richard M. Freeland
President
Research Foundation of CUNY
79 Fifth Avenue
New York, NY 10003

Ref: RE-21189-94

Dear Dr. Freeland:

It is with pleasure that I write to advise you that the National Endowment for the Humanities has awarded a grant of \$180,000 in support of the project referred to above. This grant is made after careful consideration of the application by the agency's peer review panels and the National Council on the Humanities.

Enclosed is the official notice of action which includes information on the length of the grant period and the terms and conditions that apply to this project. Please review this material carefully and feel free to address any questions concerning the award to the person whose name appears on the second page of the award notification.

I am pleased that the Endowment is able to provide support for this project.

Sincerely,



Sheldon Hackney
Chairman

Enclosures

cc: Elizabeth M. Nuxoll

NATIONAL ENDOWMENT FOR THE HUMANITIES
 OFFICIAL NOTICE OF ACTION

002690

ARD RECIPIENT UNY Res. Fdn./Queens College Institutional Grant Administrator: Richard M. Freedland President Research Foundation of CUNY 70 Fifth Avenue New York, NY 10003	ACTION TAKEN: New Grant	DATE OF ACTION: 05/24/94							
	ID NUMBER: RE-21189-94								
	GRANT/FELLOWSHIP PERIOD <table border="1"> <tr> <td>FROM</td> <td>07/94</td> <td>THRU</td> <td>06/96</td> </tr> </table>		FROM	07/94	THRU	06/96			
	FROM	07/94	THRU	06/96					
FUNDING <table border="1"> <tr> <td>OUTRIGHT \$</td> <td>180,000.00</td> </tr> <tr> <td>GIFT \$</td> <td></td> </tr> <tr> <td>FEDERAL MATCH \$</td> <td></td> </tr> <tr> <td>TOTAL AWARDED \$</td> <td>180,000.00</td> </tr> </table>		OUTRIGHT \$	180,000.00	GIFT \$		FEDERAL MATCH \$		TOTAL AWARDED \$	180,000.00
OUTRIGHT \$	180,000.00								
GIFT \$									
FEDERAL MATCH \$									
TOTAL AWARDED \$	180,000.00								
JECT DIRECTOR Elizabeth M. Nuxoll	FEDERAL MATCHING FUNDS <table border="1"> <tr> <td>AMOUNT OFFERED \$</td> <td>60000.00</td> </tr> <tr> <td>OFFER EXPIRES</td> <td>03/96</td> </tr> </table>		AMOUNT OFFERED \$	60000.00	OFFER EXPIRES	03/96			
AMOUNT OFFERED \$	60000.00								
OFFER EXPIRES	03/96								
JECT TITLE The Papers of Robert Morris, 1781-1784									

MARKS:

The conditions and special provisions that apply to this grant are attached and will be considered acceptable unless a written objection is submitted within thirty days of the date of this notice. The first request for payment will indicate the grantee's acceptance of the award.

The administration of this grant and the expenditure of funds are subject to:

- General Grant Provisions for Organizations (July 1993);
- Uniform Administrative Requirements of OMB Circular A-110;
- Audit Requirements of OMB Circular A-133; and
- Cost Principles for Educational Institutions (OMB Circular A-21).

Copies of these documents may be obtained from the NEH Grants Office, Room 310.

Instructions for the submission of financial and performance reports will be found in Enclosures 1 and 2 and on the financial reporting forms. A complete schedule of report due dates appears on the last page of the attachment to this notice.

Payment of this grant will be made on an advance basis. Information on requesting payment will be found in Enclosure 1.

This award was funded by the NEH program described in CFDA section 45.132.

GRANTS OFFICE OFFICIAL Signature <u>Alice R. Hudgins</u>		David J. Wallace Director, Grants Office
AGENCY USE ONLY: 940200 225-4-2407-4101 \$180,000.00		Elizabeth M. Nuxoll The Papers of Robert Morris Queens College, CUNY 65-30 Kissena Blvd. Flushing, NY 11367

GRANTEE: CUNY Res. Fdn./Queens
College

GRANT NUMBER: RE-21189-94

Basis of Award

This grant is made in support of the activities described in Endowment application RE-21189.

ENDOWMENT ADMINISTRATION OF THE AWARD

This grant has been funded by the Division of Research Programs. Questions relating to project activities, the scope of the project, or changes in key project personnel should be addressed to Douglas Arnold of this division. Questions about regulations that apply to the grant or requests for budget changes or extensions of the grant period should be addressed to Alice Hudgins of the NEH Grants Office.

SPECIAL CONDITIONS AND PROVISIONS

The following conditions and provisions apply to this grant:

1. NEH offers to provide matching funds of up to \$60,000 on condition that (1) an equal amount of eligible gifts is raised and either certified or forwarded to NEH by March 31, 1996, and (2) sufficient funds allocated for matching purposes are available in the Scholarly Publications/Editions Program of the Division of Research. It should be noted that this offer may be withdrawn at any time by the chairman of NEH. Please see the enclosed "Federal Matching Fund Guidelines" for details on the eligibility of gifts and their certification.
2. The plan of work included with Elizabeth Nuxoll's letter of March 10, 1994 is approved.

Please refer to this plan of work in interim performance reports, comparing goals established in the plan with actual accomplishments. The project's success in meeting its goals will be carefully considered during the evaluation of any request for renewed funding.

3. The budget dated March 9, 1994 is approved. Any variance from the approved budget will be subject to the limitations set forth in the general grant provisions under the heading "Budget Revisions."
4. Please note that for every dollar in matching funds that is expended on this project, an equal amount of gift funds must also be expended.
5. The indirect cost rate of 56% of modified direct costs is accepted as a predetermined rate through June 30, 1996.

6. An acknowledgment of Endowment support must be contained in all materials publicizing or resulting from grant activities. The Endowment suggests that the acknowledgment indicate that this project/book/publication has been supported by a grant from the National Endowment for the Humanities, an independent federal agency.

One copy of all grant products must be forwarded to the Endowment as it becomes available.

ALL CONDITIONS AND PROVISIONS OF THIS GRANT WILL BE CONSIDERED ACCEPTABLE TO THE GRANTEE UNLESS A WRITTEN OBJECTION IS SUBMITTED WITHIN THIRTY DAYS FROM THE DATE OF THIS AWARD.

The following is a listing of the due dates of the reports required for this grant. A copy of this listing should be forwarded to those individuals responsible for the submission of the required reports.

Report	Due Date	Period To Be Covered
Interim Performance Report	01/31/1995	07/01/1994 to 12/31/1994
Interim Performance Report	07/31/1995	01/01/1995 to 06/30/1995
Interim Performance Report	01/31/1996	07/01/1995 to 12/31/1995
Final Financial Report	09/30/1996	07/01/1994 to 06/30/1996
Final Performance Report	09/30/1996	07/01/1994 to 06/30/1996
Federal Cash Transactions Report	10/31/1994	07/01/1994 to 09/30/1994
Federal Cash Transactions Report	01/31/1995	10/01/1994 to 12/31/1994
Federal Cash Transactions Report	04/30/1995	01/01/1995 to 03/31/1995
Federal Cash Transactions Report	07/31/1995	04/01/1995 to 06/30/1995
Federal Cash Transactions Report	10/31/1995	07/01/1995 to 09/30/1995
Federal Cash Transactions Report	01/31/1996	10/01/1995 to 12/31/1995
Federal Cash Transactions Report	04/30/1996	01/01/1996 to 03/31/1996

The original and one copy of interim and final performance reports, and challenge grant annual reports should be forwarded to the NEH Grants Office, Room 310. The original and two copies of final financial reports and program income reports should be forwarded to the NEH Grants Office, Room 310. The Federal Cash Transactions Reports and all requests for payments should be forwarded to the NEH Accounting Office, Room 317.

The Papers of Robert Morris

7

March 30, 1994

Ms. Alice Hudgins
Grants Office
Room 310
National Endowment for the Humanities
1100 Pennsylvania Avenue, N.W.
Washington, D.C. 20506

REC-123-5 PM 3:03

Dear Ms. Hudgins:

I am enclosing our revised budget and plan of work for grant RE 21189 for the period July 1, 1994, to June 30, 1996.

My apologies for the delay. I was awaiting a consultation with the press after it made significant progress on the copyediting of volume 8 so as to have the most accurate information possible on the plan of work. The first third of the volume has now been returned with no undue complications and the anticipated production schedule indicated.

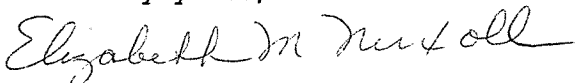
The press has agreed to enter most formatting codes into the files rather than requiring the editors to do so. This will be a major savings in staff time without substantially increasing production costs. Most textual codes are already entered through WordPerfect.

The press has also agreed to market the microform supplement on fiche in conjunction with volume 9. The format will be similar to that followed by the Documentary History of the Ratification of the Constitution. The project will make the necessary arrangements for the production of the fiche and have them sent to the press to coincide with the publication of volume 9 (probably early 1997).

The schedule precludes major reediting for the cumulative index. Correction of errors, filling in of names subsequently ascertained, and better coordination of major topics would be the most permitted within this time frame, and production and proof work would undoubtedly extend beyond the time of this grant.

Directors
Elizabeth M. Nuxoll,
Project Director/Coeditor
Mary A. Y. Gallagher,
Coeditor
Kathleen H. Mullen,
Assistant Editor
Clarence L. Ver Steeg,
Editorial Advisor
E. James Ferguson,
Editor Emeritus

Sincerely yours,



Elizabeth M. Nuxoll

Enclosure

cc: Mike Prasad
Office of Research and Sponsored Programs
Queens College CUNY

Sponsored by
Queens College/CUNY
under grants from the
National Endowment
for the Humanities
and the
National Historical
Publications and Records
Commission

Published by
University of
Pittsburgh Press



Queens College/CUNY
Flushing, New York 11367-1597
Telephone (718) 997-5180



National Endowment for the Humanities
Washington DC 20506

MEMORANDUM

DATE: 5/6/94
FROM: Alice Hudgins, Grants ^{amh}
TO: Doug Arnold, Research
SUBJECT: Revised Budget Submitted by CUNY-Queens Col./Nuxoll
RE- 21189

(b) (5)

(revised 01/90)

AWARD MEMO

TO: Alice Hudgins, Grants Office, Room 310
FROM: Douglas Arnold, Research Programs Date: 5/12/94
SUBJECT: APPROVAL TO ISSUE AWARD FOR APPLICATION NO. RE-21189 7/94
COUNCIL DATE (mo./yr.): 2/94
PROJECT DIRECTOR/INSTITUTION: Elizabeth Nuxoll / Queens College-CUNY

Division approval is hereby given to the Grants Office to issue the above-referenced award once final approval has been received from the chairman. Any pre-award negotiations with the applicant have been completed.

[NOTE: If negotiations relating to reduced funding, a need for a revised budget, or unmet pre-award conditions are not yet completed, do not send this award memo to the Grants Office. If you wish the Grants Office to issue an OFFER LETTER for this application, please request this by separate memo, indicating the conditions to be included and other terms of the offer.]

Please check, as appropriate Costs shown in ☐ the application budget
☒ the attached revised budget dated 3/9/94

have been reviewed and approved as reasonable and necessary for the project.

☐ The NEH Native American Code of Ethics applies to this project. The signed code from the applicant is attached.

☐ The project has been assigned a working title, which has been entered into the NEH computer system.

☐ A consultant report must be submitted at the end of the project.

The grant period is: ☒ as shown on the application cover sheet.

(Check one) ☐ changed to read: from _____ (mo./yr.) through _____ (mo./yr.)

List any corrections or changes of the information shown on the application cover sheet for the "Institution" or "Project Director," including any change of address. If none, write "N/A."

N/A

List relevant correspondence (ATTACH COPY) and/or telephone contact subsequent to the application that should be referenced in the award documents. If none, write "N/A."

N/A

Are there Council and/or program conditions that should be included in the award documents?

- ☒ NO, there are none. ☐ NO, they have already been met.
- ☐ YES, as they appear in the committee book.
- ☐ YES, as follows:

List the provisions to be included in the award documents. Use the code letter or symbol from the glossary of grant award inserts, or write out any special provisions not in the glossary.

b; the plan of work included with Elizabeth Nuxoll's letter of March 30, 1994, is approved.

Should we include a special program income condition in the award, in addition to the standard program income requirements contained in the general grant provisions?

- ☒ NO. ☐ YES, use insert _____.

Interim performance reports should be required

- ☐ QUARTERLY. ☒ SEMIANNUALLY. ☐ ANNUALLY. ☐ NONE REQUIRED.

For the acknowledgment of Endowment support, use glossary insert D .

The program officer to contact about this project is Douglas Arnold .

Any additional comments or instructions?

N/A

SECTION B — Summary Budget and Project Funding**SUMMARY BUDGET**

Transfer from section A the total costs (column c) for each category of project expense. When the proposed grant period is eighteen months or longer, project expenses for each twelve-month period are to be listed separately and totaled in the last column of the summary budget. For projects that will run less than eighteen months, only the last column of the summary budget should be completed.

Budget Categories	First Year/ from: 7/94 to: 6/95	Second Year/ from: 7/95 to: 6/96	Third Year/ from: to:	TOTAL COSTS FOR ENTIRE GRANT PERIOD
1. Salaries and Wages	\$ 117,667	\$ 122,355	\$ _____	= \$ 240,022
2. Fringe Benefits	(b) (6)	(b) (6)	_____	= (b) (6)
3. Consultant Fees	1,000	1,000	_____	= 2,000
4. Travel	250	250	_____	= 500
5. Supplies and Materials	850	850	_____	= 1,700
6. Services	4,150	4,150	_____	= 8,300
7. Other Costs	_____	_____	_____	= _____
8. Total Direct Costs (items 1-7)	\$ 156,645	\$ 162,694	\$ _____	= \$ 319,339
9. Indirect Costs	\$ 87,721	\$ 91,109	\$ _____	= \$ 178,830
10. Total Project Costs (Direct & Indirect)	\$ 244,366	\$ 253,803	\$ _____	= \$ 498,169

PROJECT FUNDING FOR ENTIRE GRANT PERIOD**I. Requested from NEH:**

Outright \$ 180,000 ✓
 Federal Matching \$ 60,000 ✓
 TOTAL FROM NEH: \$ 240,000 ✓

II. Cost Sharing:¹

A. Third-Party Contributions \$ 60,000 ✓
 B. Applicant Contributions \$ 122,614
 TOTAL COST SHARING: \$ 182,614 ✓

III. Funding from Other Federal Agencies: \$ 75,555

TOTAL COST SHARING AND FUNDING
 FROM OTHER FEDERAL AGENCIES (II + III): \$ 258,169 ✓

TOTAL PROJECT FUNDING (Total of I + II + III) ² = \$ 498,169 ✓

¹ Under Cost Sharing, line II.A. should indicate the amount of contributions to be made by third parties (including any third-party cash gifts that will be raised to release federal matching funds). On line II.B., indicate the amount that will be contributed to the project by the applicant institution. NOTE that the Endowment's cost-sharing expectations may be met either through contributions from third parties or from the institution's own resources.

² Total Project Funding should equal Total Project Costs.

Institutional Grant Administrator

Complete the information requested below when a revised budget is submitted. Block 11 of the application cover sheet instructions contains a description of the functions of the institutional grant administrator. The signature of this person indicates approval of the budget submission and the agreement of the organization to cost share project expenses at the level indicated under "Project Funding."

G. Michael Prasad, Director, Office of Research
 and Sponsored Programs, Queens College

Telephone (718) 997-5400
 area code

Name and Title (please type or print)

Date

3/9/94

Signature

RE-21189

BUDGET FORM

Project Director Elizabeth M. Nuxoll	If this is a revised budget, indicate the NEH application/grant number: RE-21189
Applicant Organization Queens College and the Research Foundation of the City University of New York	Requested Grant Period From 7/94 to 6/96 mo/yr mo/yr

The three-column budget has been developed for the convenience of those applicants who wish to identify the project costs that will be charged to NEH funds and those that will be cost shared. FOR NEH PURPOSES, THE ONLY COLUMN THAT NEEDS TO BE COMPLETED IS COLUMN C. The method of cost computation should clearly indicate how the total charge for each budget item was determined. If more space is needed for any budget category, please follow the budget format on a separate sheet of paper.

When the requested grant period is eighteen months or longer, separate budgets for each twelve-month period of the project must be developed on duplicated copies of the budget form.

SECTION A — budget detail for the period from 7/94 to 6/95 mo/yr mo/yr

1. Salaries and Wages

Provide the names and titles of principal project personnel. For support staff, include the title of each position and indicate in brackets the number of persons who will be employed in that capacity. For persons employed on an academic year basis, list separately any salary charge for work done outside the academic year.

name/title of position	no.	method of cost computation (see sample)	NEH Funds (a)	Cost Sharing (b)	Total (c)
E. Nuxoll/Project Dir. Coeditor	[1]	12 mos. FT @ (b) (6)	\$ (b) (6)	\$ (b) (6)	\$ (b) (6)
M. Gallagher/Coeditor	[1]	11 mos. FT @ (b) (6)	(b) (6)	(b) (6)	(b) (6)
K. Mullen/Asst. Editor	[1]	52 wks. x 20 hrs. x (b) (6)	(b) (6)		(b) (6)
Student Aide	[1]	52 wks. x 10 hrs. x (b) (6)	(b) (6)		(b) (6)
Research Assistant	[1]	10 mos. x 20 hrs. @ stipend (b) (6)		(b) (6)	(b) (6)
	[]				
	[]				
	[]				
SUBTOTAL			\$ 81,416	\$ 36,251	\$ 117,667

2. Fringe Benefits

If more than one rate is used, list each rate and salary base.

rate	salary base	(a)	(b)	(c)
30 % of (b) (6)		\$ (b) (6)	\$ (b) (6)	\$ (b) (6)
12 % of \$ (b) (6)		(b) (6)		(b) (6)
No fringe benefits on \$6,700 stipend.				
SUBTOTAL		\$ 23,863	\$ 8,865	\$ 32,728

3. Consultant Fees

Include payments for professional and technical consultants and honoraria.

name or type of consultant	no. of days on project	daily rate of compensation	(a)	(b)	(c)
Clarence L. Ver Steeg	honorarium	\$	\$ 500		\$ 500
E. James Ferguson	honorarium	\$	500		500
		\$			
		\$			
		\$			
SUBTOTAL			\$ 1,000		\$ 1,000

4. Travel

For each trip, indicate the number of persons traveling, the total days they will be in travel status, and the total subsistence and transportation costs for that trip. When a project will involve the travel of a number of people to a conference, institute, etc., these costs may be summarized on one line by indicating the point of origin as "various." All foreign travel must be listed separately.

from/to	no. of persons	total travel days	subsistence costs	+ transportation costs	= NEH Funds (a)	Cost Sharing (b)	Total (c)
NYC/Philadelphia	[1]	[3]	\$ 125	\$ 125	\$	\$ 250	\$ 250
	[]	[]					
	[]	[]					
	[]	[]					
	[]	[]					
	[]	[]					
	[]	[]					
	[]	[]					
SUBTOTAL					\$	\$ 250	\$ 250

5. Supplies and Materials

Include consumable supplies, materials to be used in the project, and items of expendable equipment; i.e., equipment items costing less than \$500 or with an estimated useful life of less than two years.

item	basis/method of cost computation	(a)	(b)	(c)
Computer Supplies	@ \$350 per year	\$ 86	\$ 264	\$ 350
Expendable Supplies	@ \$250 per year	75	175	250
Research Materials	@ \$250 per year	75	175	250
SUBTOTAL		\$ 236 ✓	\$ 614	\$ 850 ✓

6. Services

Include the cost of duplication and printing, long distance telephone, equipment rental, postage, and other services related to project objectives that are not included under other budget categories or in the indirect cost pool. For subcontracts over \$10,000, provide an itemization of subcontract costs on this form or on an attachment.

item	basis/method of cost computation	(a)	(b)	(c)
Computer Repair	@ \$750 per year	\$ 375	\$ 375	\$ 750
Duplication	@ \$400 per year	200	200	400
Mainframe Computer Timesharing	@ \$3,000 per year		3,000	3,000
SUBTOTAL		\$ 575 ✓	\$ 3,575	\$ 4,150 ✓

7. Other Costs

Include participant stipends and room and board, equipment purchases, and other items not previously listed. Please note that "miscellaneous" and "contingency" are not acceptable budget categories. Refer to the budget instructions for the restriction on the purchase of permanent equipment.

item	basis/method of cost computation	NEH Funds (a)	Cost Sharing (b)	Total (c)
		\$	\$	\$
SUBTOTAL		\$	\$	\$

8. Total Direct Costs (add subtotals of items 1 through 7) \$ 107,090 \$ 49,555 \$ 156,645

9. Indirect Costs [This budget item applies only to institutional applicants.]

If indirect costs are to be charged to this project, check the appropriate box below and provide the information requested. Refer to the budget instructions for explanations of these options.

- ☒ Current indirect cost rate(s) has/have been negotiated with a federal agency. (Complete items A and B.)
- ☐ Indirect cost proposal has been submitted to a federal agency but not yet negotiated. (Indicate the name of the agency in item A and show proposed rate(s) and base(s), and the amount(s) of indirect costs in item B.)
- ☐ Indirect cost proposal will be sent to NEH if application is funded. (Provide an estimate in item B of the rate that will be used and indicate the base against which it will be charged and the amount of indirect costs.)
- ☐ Applicant chooses to use a rate not to exceed 10% of direct costs, less distorting items, up to a maximum charge of \$5,000. (Under item B, enter the proposed rate, the base against which the rate will be charged, and the computation of indirect costs or \$5,000, whichever sum is less.)

A. Dept. of Health and Human Services March 6, 1992
name of federal agency date of agreement

B.	rate(s)	base(s)	NEH Funds (a)	Cost Sharing (b)	Total (c)
	56 % of	\$ 107,090	\$ <u>49,261*</u>	\$ <u>10,709</u>	\$ <u>59,970</u>
	56 % of	\$ 49,555		<u>27,751</u>	<u>27,751</u>
TOTAL INDIRECT COSTS			\$ <u>49,261</u>	\$ <u>38,460</u>	\$ <u>87,721</u>

10. Total Project Costs (direct and indirect) for Budget Period

\$ 156,351 \$ 88,015 \$ 244,366

*Requested at 46%; the balance is cost-shared.

3/13/93 HHS
pred. 7/93 - 6/96 56% mdc onc research
prov. 7/96 - until 2. "

* excl. equip, esub over 25K, Hf site rent/insur,
student travel + support costs

BUDGET FORM

Project Director Elizabeth M. Nuxoll	If this is a revised budget, indicate the NEH application/grant number: RE-21189
Applicant Organization Queens College and the Research Foundation of the City University of New York	Requested Grant Period From <u>7/94</u> to <u>6/96</u> mo/yr mo/yr

The three-column budget has been developed for the convenience of those applicants who wish to identify the project costs that will be charged to NEH funds and those that will be cost shared. FOR NEH PURPOSES, THE ONLY COLUMN THAT NEEDS TO BE COMPLETED IS COLUMN C. The method of cost computation should clearly indicate how the total charge for each budget item was determined. If more space is needed for any budget category, please follow the budget format on a separate sheet of paper.

When the requested grant period is eighteen months or longer, separate budgets for each twelve-month period of the project must be developed on duplicated copies of the budget form.

SECTION A — budget detail for the period from 7/95 to 6/96 mo/yr mo/yr

1. Salaries and Wages

Provide the names and titles of principal project personnel. For support staff, include the title of each position and indicate in brackets the number of persons who will be employed in that capacity. For persons employed on an academic year basis, list separately any salary charge for work done outside the academic year.

name/title of position	no.	method of cost computation (see sample)	NEH Funds (a)	Cost Sharing (b)	Total (c)
E. Nuxoll/Project Dir. Coeditor	[1]	12 mos. FT @ (b) (6)	\$ (b) (6)	\$ (b) (6)	\$ (b) (6)
M. Gallagher/Coeditor	[1]	11 mos. FT @ (b) (6)		(b) (6)	(b) (6)
K. Mullen/Asst. Editor	[1]	52 wks. x 20 hrs. x (b) (6)	(b) (6)	(b) (6)	(b) (6)
Student Aide	[1]	52 wks. x 10 hrs. x (b) (6)	(b) (6)	(b) (6)	(b) (6)
Research Assistant	[1]	10 mos. x 20 hrs. stipend (b) (6)		(b) (6)	(b) (6)
	[]				
	[]				
	[]				
SUBTOTAL			\$ 43,546 ✓	\$ 78,809	\$ 122,355 ✓

2. Fringe Benefits

If more than one rate is used, list each rate and salary base.

rate	salary base	(a)	(b)	(c)
30 % of \$ (b) (6)		\$ (b) (6)	\$ (b) (6)	\$ (b) (6)
12 % of \$ (b) (6)		(b) (6)	(b) (6)	(b) (6)
No fringe benefits on (b) (6) stipend.				
SUBTOTAL		\$ 12,748 ✓	\$ 21,341	\$ 34,089 ✓

3. Consultant Fees

Include payments for professional and technical consultants and honoraria.

name or type of consultant	no. of days on project	daily rate of compensation	(a)	(b)	(c)
Clarence L. Ver Steeg	honorarium	\$	\$	\$ 500	\$ 500
E. James Ferguson	honorarium	\$		500	500
		\$			
		\$			
		\$			
SUBTOTAL			\$	\$ 1,000	\$ 1,000

For each trip, indicate the number of persons traveling, the total days they will be in travel status, and the total subsistence and transportation costs for that trip. When a project will involve the travel of a number of people to a conference, institute, etc., these costs may be summarized on one line by indicating the point of origin as "various." All foreign travel must be listed separately.

from/to	no. of persons	total travel days	subsistence costs +	transportation costs =	NEH Funds (a)	Cost Sharing (b)	Total (c)
NYC/Washington, DC	[1]	[3]	\$ 125	\$ 125	\$ _____	\$ 250	\$ 250
_____	[]	[]	_____	_____	_____	_____	_____
_____	[]	[]	_____	_____	_____	_____	_____
_____	[]	[]	_____	_____	_____	_____	_____
_____	[]	[]	_____	_____	_____	_____	_____
_____	[]	[]	_____	_____	_____	_____	_____
_____	[]	[]	_____	_____	_____	_____	_____
SUBTOTAL					\$ _____	\$ 250	\$ 250

Include consumable supplies, materials to be used in the project, and items of expendable equipment; i.e., equipment items costing less than \$500 or with an estimated useful life of less than two years.

item	basis/method of cost computation	(a)	(b)	(c)
Computer Supplies	@ \$350 per year	\$ 175	\$ 175	\$ 350
Expendable Supplies	@ \$250 per year	125	125	250
Research Materials	@ \$250 per year	125	125	250
	SUBTOTAL	\$ 425	\$ 425	\$ 850 ✓

Include the cost of duplication and printing, long distance telephone, equipment rental, postage, and other services related to project objectives that are not included under other budget categories or in the indirect cost pool. For subcontracts over \$10,000, provide an itemization of subcontract costs on this form or on an attachment.

item	basis/method of cost computation	(a)	(b)	(c)
Computer Repair	@ \$750 per year	\$ 375	\$ 375	\$ 750
Duplication	@ \$400 per year	200	200	400
Mainframe Computer Timesharing	@ \$3,000 per year		3,000	3,000
	SUBTOTAL	\$ 575	\$ 3,575	\$ 4,150

Include participant stipends and room and board, equipment purchases, and other items not previously listed. Please note that "miscellaneous" and "contingency" are not acceptable budget categories. Refer to the budget instructions for the restriction on the purchase of permanent equipment.

8. Total Direct Costs (add subtotals of items 1 through 7)

3. Indirect Costs (This budget item applies only to institutional applicants.)
If indirect costs are to be charged to this project, check the appropriate box below and provide the information requested. Refer to the budget instructions for explanations of these options.

- March 6, 1992
date of agreement

10. Total Project Costs (direct and indirect) for Budget Period

*Requested at 46%; the balance is cost-shared.

PLAN OF WORK: JULY 1, 1994 TO JUNE 30, 1996

July 1-December 31, 1994

By July 1 corrected copyedited text of volume 8 returned to press on diskette

Press checks diskette; adds formatting codes; sends to printer

Rough pages returned to project by September. Proofed, corrected, returned to press (October)

Final page proofs returned (November); reviewed and corrected; returned to press (December)

Corrections and page fields entered to index files

NLCINDEX run

Index edited

Volume 9 submitted to editorial advisers in batches for review (July-December); corrections entered

Final editing of microform supplement begun by Mullen

January 1-June 30, 1995

Publication of volume 8

Advisers' suggestions entered to volume 9

Indexing of annotation to volume 9 by Gallagher

Final editing of volume 9 completed by Nuxoll

Volume 9 submitted to press for copyediting

July 1-December 31, 1995

Review of copyedited manuscript of volume 9

Changes keyed into machine-readable files

Encoding of volume

Volume 9 submitted to press on diskette

Final editing of microform texts completed

Submission of microform texts to processor

Scanning of indexes of first 5 volumes; adjustment of files to coordinate with indexes of volumes 6-9 and microform

January 1-June 30, 1996

Proofreading and correcting of rough and final pages to volume 9

Entering page fields to index

Running of NLCINDEX

Editing of index to volume 9 and microform supplement

Submission of index to volume 9 to press

Index to Microform texts completed

Processing of microform texts completed

NLCINDEX run on cumulative index files

Editing of cumulative index

NATIONAL ENDOWMENT FOR THE HUMANITIES
WASHINGTON, D.C. 20506

February 16, 1994

Dr. Richard M. Freeland
President
Research Foundation of CUNY
79 Fifth Avenue
New York, NY 10003

Dear Dr. Freeland:

This letter is in reference to application RE-21189 submitted by CUNY Research Foundation/Queens College for support of the project entitled, "The Papers of Robert Morris, 1781-1784" under the direction of Elizabeth M. Nuxoll.

The Endowment offers to support this project in an amount not to exceed \$180,000 in outright funds and \$60,000 in federal matching funds subject to the following conditions:

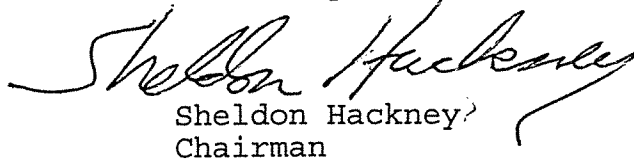
1. The offer of federal matching funds is conditional upon (1) an equal amount of eligible gifts being raised and either certified or forwarded to NEH by March 31, 1996 (or ninety days before the ending date of your grant period), and (2) the availability of sufficient funds allocated for matching purposes in the Scholarly Publications/Editions Program of the Division of Research. It should be noted that this offer may be withdrawn at any time by the chairman of NEH. Please see the enclosed "Federal Matching Fund Guidelines" for details on the eligibility of gifts and their certification.
2. A revised budget (an original and one copy) which reflects the level and type of funding offered must be submitted for Endowment approval. A copy of the NEH budget form is enclosed for this purpose.
3. Please confirm that the plan of work contained in this application will be followed. Any proposed change in the workplan should be discussed with the NEH program officer, whose name appears below.

If you wish to accept this offer of support, the revised budget should be submitted to the Endowment no later than March 31, 1994. The material should be addressed to Alice Hudgins, Grants Office, Room 310, National Endowment for the Humanities, 1100 Pennsylvania Avenue, N.W., Washington, D.C. 20506. Please note that the Endowment's review of the materials requested and

the preparation of the award documents require approximately four weeks.

You are cautioned that this letter does not constitute an award. Should you have any questions concerning this offer of support, please feel free to call Ms. Hudgins at 202/606-8611 or the program officer, Douglas Arnold at 202/606-8207.

Sincerely,


Sheldon Hackney
Chairman

Enclosures

cc: Elizabeth M. Nuxoll

RE-21189

Queens College Foundation

Flushing

NY

Elizabeth M. Nuxoll

The Papers of Robert Morris, 1781-1784

REQUESTED: OR: 199,492.00 MATCH: 53,000.000 TOTAL: 252,492.00

TERM OF PROJECT: 07/01/94 - 06/30/96 = 24 (REN: RE-21010-92)

2RECOMMENDED: OR: 180,000.00 MATCH: 60,000.00 TOTAL: 240,000.00

PANEL RATINGS (C): (b) (5)

TO SUPPORT the preparation of an edition of the papers of Robert Morris, United States superintendent of finance, 1781-1784.

(b) (5)

(b) (5)

PROJECT HISTORY: 18 grants, 26 years; \$1,812,431 in outright funds, \$168,489 in federal matching funds. Seven of 9 projected print volumes published, 1 in press; microform supplement in preparation, and cumulative index planned.

THE BUDGET REQUEST includes salaries, fringe benefits, consultant fees, domestic travel, supplies and services, and indirect costs. Overall cost sharing is 34%.

(b) (5)

Prepared by Douglas M. Arnold

NEH APPLICATION COVER SHEET

1. Individual applicant or project director

a. Name and mailing address

Name Nuxoll Elizabeth M.
(last) (first) (initial)
Address The Papers of Robert Morris
Queens College, 65-30 Kissena Blvd.
Flushing NY 11367-1597
(city) (state) (zip code)

b. Form of address: 6

c. Telephone number

Office: 718/997-5180 Home: (b) (6)
(area code) (area code)d. Major field of applicant
or project director American History A3
(code)e. Citizenship: (b) (6)

2. Type of applicant

a. by an individual b. X through an org./institution
If a, indicate an institutional affiliation, if applicable, on line 11a.
If b, complete block 11 below and indicate here:c. Type Educational
d. Status Public Nonprofit

3. Type of application

a. new b. X supplement

4. Program to which application is being made

EditionsEndowment Initiatives: (code)

5. Requested grant period

From: 7/94 To: 6/96
(month/year) (month/year)

6. Project Funding

a. Outright funds	\$ <u>199,492</u>
b. Federal match	\$ <u>53,000</u>
c. Total from NEH	\$ <u>252,492</u>
d. Cost sharing	\$ <u>245,679</u>
e. Total project costs	\$ <u>498,171</u>

7. Field of project A3
(code)

8. Descriptive title of project

The Papers of Robert Morris, 1781-1784

9. Description of project (do not exceed space provided) This project is publishing the definitive edition of the papers of Robert Morris as Superintendent of Finance and Agent of Marine, 1781-1784. Included are Morris's diary in the Office of Finance and his public and private correspondence (both incoming and outgoing). These papers depict the activities of the U.S. government under the Articles of Confederation. Morris's administration set the stage for the adoption of the Constitution of 1787 and anticipated Hamilton's fiscal program of the 1790s. The series, published by the University of Pittsburgh Press will contain 9 volumes (the last 3 selective) and a concluding microform supplement. This proposal requests funding to publish volume 9 and the microform supplement.

10. Will this proposal be submitted to another government agency or private entity for funding? (if yes, indicate where and when): National Historical Publications and Records Commission, October 1, 1993

11. Institutional data

a. Institution or organization:

Queens College/City University of New York
(name)
Flushing New York
(city) (state)

b. Employer identification number: 1131988190D5

c. Name of authorizing official:

Prasad Guatama M.
(last) (first) (initial)
Director, Office of Research and Sponsored
(title) Programs

d. Name and mailing address of institutional grant administrator:

Lanzetta Mary Ann
(last) (first) (initial)
Controller, Research Foundation/CUNY
79 Fifth Avenue
New York New York 10003
(city) (state) (zip code)
Telephone: 212 / 886-2922 Form of address 4
(area code) (date)

12. Certification--By signing and submitting this application, the individual or the authorizing official of the applicant institution (block 11c) is providing the applicable certifications regarding the nondiscrimination statutes and implementing regulations, federal debt status, debarment and suspension, a drug-free workplace, and lobbying activities as set forth in the appendix to these guidelines.

Guatama M. Prasad
(printed name)

Gautama M. Prasad
(signature)5/25/93
(date)

NOTE: Federal law provides criminal penalties of up to \$10,000 or imprisonment of up to five years, or both for knowingly providing false information to an agency of the U.S. government. 18 U.S.C. Section 1001.

For NEH use only

Date received

Application #

RF-21189

Statement of Significance and Impact of Project

Morris has enjoyed the popular reputation of being "the Financier of the American Revolution." He was in fact a Secretary of the Treasury in everything but title, first in a distinguished line of succession with Alexander Hamilton and Albert Gallatin in laying the republic's economic and financial foundations. A signer of the Declaration of Independence, the Articles of Confederation, and the United States Constitution, he was one of only two Founding Fathers whose name appears on all three fundamental testaments of the American Revolution. A powerful committee chairman in the Continental Congress, a founder of the American navy, and a key figure in Pennsylvania politics, he was also the preeminent entrepreneur of his day. Yet of all the major leaders of the Revolution Robert Morris is the least known for his accomplishments and his influence upon the founding institutions of the new nation. In large part this lack of understanding results from the fact that his papers (unlike those of Washington, Hamilton, Jefferson, Franklin, Madison, John Adams, and others less distinguished) have never previously been assembled nor an edition published.

Morris was the most powerful of the executive officers the Continental Congress appointed early in 1781. His activities extended to national and local politics, military and naval affairs, diplomacy, and nearly every other facet of government. His papers as Superintendent of Finance provide an illuminating day-by-day chronicle of the Office of Finance and its central position in the national government during the early years of the Articles of Confederation, the nation's first constitution. They depict a "War of Finance" in which the inability or unwillingness of either Great Britain or the United States to mobilize its resources could spell victory or defeat.

In the volumes of The Papers of Robert Morris the roots of important economic and financial issues can be traced back 200 years to the American Revolution. Discussion of financial policy and its relationship to economic development during the 1780s embraced many issues, including: the consequences of national debt; the relationship between borrowing and taxation; the effect of prevailing high interest rates; free trade and protectionism; the role of newly established banking institutions; the appropriate relationship between public and private interest; and the extent to which the economy should be subject to state and national regulation. This debate was carried on in the context of a concurrent dialogue about the distribution of political authority between the Federal and State governments. The Papers of Robert Morris demonstrate that the Superintendent of Finance was at the center of these debates and that his administration contributed significantly to the movement for the Federal Constitution of 1787 and presaged the Hamiltonian financial program of the 1790s.

To scholars, students, and the educated public, Morris's diary and correspondence is furnishing insights into the economic and financial dimensions of our national origins.

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NARRATIVE

Significance of the Edition and Overview of Volume

"The Derangement of our Money Affairs. The Enormity of our public Expenditures. The Confusion in all our Departments. The Languor of our general System. The complexity and consequent Inefficacy of our Operations. These are some, among the many, Reasons which have induced Congress to the Appointment of a Superintendant of Finance."

With these words--written on July 4, 1781, the troubled fifth anniversary of American independence--Robert Morris described the problems confronting him as head of the Treasury Department during the closing years of the War for Independence.

The Papers of Robert Morris, 1781-1784, portray Morris's untiring efforts as Superintendent of Finance to strengthen the central government under the Articles of Confederation and to define national priorities in light of national means. Working to stave off national bankruptcy, Morris strove to extract from a war-weary population funds sufficient to free the nation from dependence on foreign aid, largely from France, and to sustain the appearance of the strength and unity of the new nation in the hopes of securing a favorable and lasting peace. His primary objectives were to restore the confidence in government essential to the reestablishment of public credit and to vest powers in Congress adequate to deal with national issues. The Superintendent's policies posed important questions about the distribution of authority between the national and state governments under the Articles of Confederation, especially in matters of taxation and finance. They also aroused widespread fears of a powerful, centralized Treasury Department and resurrected charges of conflict of interest that had been raised during Morris's earlier service in Congress when private and public business appeared to be intermingled. For his contemporaries, Morris's administration illustrated the difficulties of balancing the goals of national sovereignty and independence with the preservation of such revolutionary ideals as

liberty, representative but limited government, local autonomy, and a virtuous republican social order.

Previously published volumes have documented more than half of Morris's administration as Superintendent of Finance and Agent of Marine. Subjects covered include the following:

1. His role in raising money and supplies for the campaign that ended in the defeat of Lord Cornwallis at Yorktown

2. His establishment of the Bank of North America, the nation's first bank, whose notes, supplemented by notes issued by Morris himself and backed with his own personal credit, provided a relatively stable currency after an era when "not worth a Continental" symbolized the state of credit of the newly founded United States

3. His efforts to found a mint and develop a national coinage

4. His initiation of contracting by competitive bidding to supply the Continental army

5. His role in obtaining foreign aid from France

6. His lobbying vigorously for ratification of the Continental impost of 1781 by the states

7. His part in undertaking the settlement of the public accounts of the Revolution, thereby laying the foundation for funding the national debt

8. His plan for reestablishing the American navy

9. His bold effort to mobilize the various public creditors and the army behind federal taxation and the strengthening of the central government.

The seventh and most recently published volume (November 1, 1782-May 4, 1783) portrayed Morris's continued quest for public credit within the context of the arrival of the long-awaited peace with Great Britain. When tax revenues from congressional requisitions on the states failed to arrive on schedule, Morris's financial maneuvers became more desperate. Unable to repay the loans made by the Bank of North America, he was forced to reassign the government's shares to the bank, thus turning it into a purely private institution. When his military contracts collapsed for want of sufficient

specie, Morris had to develop new methods of financing these national obligations. Despite his desire to avoid further dependence on France, Morris was compelled to seek more foreign assistance and secured the permission of Congress to draw on French funds for more money than he knew to be available.

Morris also tried to cope with army grievances in the North and South. Having failed to secure ratification of the impost of 1781, he threatened resignation and used army discontent to pressure Congress and the states to give him additional support. His efforts culminated in the Newburgh Affair, in which Morris and his brilliant, cynical, peg-legged assistant, Gouverneur Morris, played significant roles. The Superintendent also attempted to provide the means to hold the army together until peace was officially declared, both to eliminate the danger of a last-ditch British offensive as well as to secure the best possible peace terms by the appearance of strength and unity. Once news of the general peace arrived, Morris took the first steps in his reemergence in private business. At volume's end, the Financier was still pressing the states for revenue but agreed to pay the disbanding army with his own "Morris's notes." In so doing, he committed himself to remain in office to redeem the notes and cover his other financial obligations on behalf of Congress.

Volume 8, begins with May 5, 1783, the first working day after Morris agrees to continue as Superintendent of Finance following Congress's assurances of support. However, the mutiny of Continental troops in Philadelphia in June leads to the withdrawal of Congress from Philadelphia, which physically separates the Financier from Congress and effectively reduces his communication with, and influence on, that body. In the aftermath of peace Congress meets less frequently and often fails to secure a quorum. Nevertheless, localist attacks on the Financier and on congressional power continue. Morris contrives to redeem the notes with which he paid the army upon its disbandment, but only by risking another huge overdraft on foreign loans. Both Robert and Gouverneur Morris turn their efforts to combatting foreign trade restrictions, penning eloquent arguments in support of the

principles of free trade. They also incorporate commercial issues into their nationalist program for strengthening the union. As Agent of Marine, Morris supervises several controversial courts-martial and, despite his belief in the importance of a strong navy, presides over the virtual dismantlement of the American navy. In his private capacity, Morris becomes the principal backer of the first American commercial voyage to China, and restructures his national and international network of business partnerships.

Volume 9 (January 1-November 1, 1784) shows Morris wrapping up various aspects of public business and fulfilling the last of his financial obligations so as to free himself for an honorable return to private life. His battle against foreign trade restrictions continues. With little public business or money at his disposal, Morris resigns himself to waiting for the arrival of more propitious times, while furthering his career in the worlds of finance, commerce, and land development. He also cooperates with the directors of the Bank of North America to deter the establishment of a rival bank in Philadelphia at a time when the economy could not yet sustain two competitive banks. His endeavors lead to the creation of one expanded bank incorporating shareholders of both banking groups with procedures modified to meet major criticisms of the Bank of North America. These efforts presage Morris's later role as leader of the struggle against Pennsylvania's repeal of the charter of the Bank of North America. Both volumes 8 and 9 are invaluable in depicting the economic problems and opportunities of the new nation at war's end, and document the political weakness of the union that led to the calling of the Constitutional Convention.

To scholars, students, and the educated public, Morris's diary and correspondence is furnishing insights into the economic and financial dimensions of our national origins. "It is not too much to say," a distinguished reviewer of the first volume wrote in the Journal of American History, "that when this record is fully disclosed Alexander Hamilton will be seen standing in the long shadow cast by Robert Morris."

SALES FIGURES

As the following table indicates, sales of volumes 4, 5, and 6 are holding steady at 600 to 650 copies. About 500 copies of volume 7 have been sold. There are approximately 240 standing orders.

Print runs have been adjusted by the University of Pittsburgh Press in keeping with sales of the volumes:

Volume 1 (1973)	437 pages:	2,000 copies
Volume 2 (1975)	400 pages:	1,500
Volume 3 (1977)	557 pages:	1,200
Volume 4 (1978)	671 pages:	1,200
Volume 5 (1980)	649 pages:	1,000
Volume 6 (1984)	747 pages:	750
Volume 7 (1988)	912 pages:	750

UNIVERSITY OF PITTSBURGH PRESS
127 N. Bellefield Avenue, Pittsburgh, PA 15260
(412) 624-4110

ANNUAL ROYALTY STATEMENT

Period:

07/01/91 - 06/30/92

Date Prepared:

09/30/92

PAYEE:

Papers of Robert Morris
Queens College
65-30 Kissena Blvd.
Flushing NY 11367

Social Security Number:

(b) (6)

PUBLICATION DATE	TITLE	BINDING CODE	LIST PRICE	COPIES SOLD TO DATE*	RATE CODE	% DUE AUTHOR	COPIES SOLD THIS PERIOD*	NET SALES INCOME*	EARNINGS*	CHARGES	TOTAL EARNINGS PER TITLE
10/01/73	PAPERS R MORRIS I	C	\$ 55.00	1484	F	(b) (6)	3	\$ (b) (6)	\$ (b) (6)		
	Title Total			1484			3	\$ (b) (6)			\$ (b) (6)
08/01/75	PAPERS R MORRIS II	C	\$ 55.00	1121	F	(b) (6)	3	\$ (b) (6)	\$ (b) (6)		
	Title Total			1121			3	\$ (b) (6)			\$ (b) (6)
11/01/77	PAPERS R MORRIS III	C	\$ 55.00	878	F	(b) (6)	3	\$ (b) (6)	\$ (b) (6)		
	Title Total			878			3	\$ (b) (6)			\$ (b) (6)
09/01/78	PAPERS R MORRIS IV	C	\$ 55.00	620	F	(b) (6)	4	\$ (b) (6)	\$ (b) (6)		
	Title Total			620			4	\$ (b) (6)			\$ (b) (6)
04/01/81	PAPERS R MORRIS V	C	\$ 55.00	661	F	(b) (6)	3	\$ (b) (6)	\$ (b) (6)		
	Title Total			661			3	\$ (b) (6)			\$ (b) (6)

Binding Code
A - Audiotape
C - Cloth
P - Paper
V - Videotape

Rate Code
F - Full Royalty
H - Half Royalty
N - No Royalty

Charges
AA - Author's Alterations
AR - Advance on royalties
P - Purchases
UR - Unearned royalties from
prior year
O - Other

*A Negative figure in these columns is the
result of returns exceeding sales.

Direct any inquiries concerning this
statement to the Office Manager at the
above address.

PLEASE RETAIN FOR YOUR INCOME TAX RECORDS

IF YOU HAVE MOVED, PLEASE SEND US YOUR NEW ADDRESS

UNIVERSITY OF PITTSBURGH PRESS
127 N. Bellefield Avenue, Pittsburgh, PA 15260
(412) 624-4110

PAYEE:

Papers of Robert Morris
Queens College
65-30 Kissena Blvd.
Flushing NY 11367

ANNUAL ROYALTY STATEMENT

Period:

07/01/91 - 06/30/92

Date Prepared:

09/30/92

Social Security Number:

(b) (6)

PUBLICATION DATE	TITLE	BINDING CODE	LIST PRICE	COPIES SOLD TO DATE*	RATE CODE	% DUE AUTHOR	COPIES SOLD THIS PERIOD*	NET SALES INCOME*	EARNINGS*	CHARGES	TOTAL EARNINGS PER TITLE
06/01/84	PAPERS R MORRIS VI	C	\$ 55.00	634	F	(b) (6)	7	\$ (b) (6)	\$ (b) (6)		
	Title Total			634			7	\$ (b) (6)			\$ (b) (6)
01/31/89	PAPERS R MORRIS VII	C	\$ 55.00	495	F	(b) (6)	10	\$ (b) (6)	\$ (b) (6)		\$ (b) (6)
	Title Total			495			10	\$ (b) (6)			\$ (b) (6)
Report Total								\$ (b) (6)	\$ 0.00		\$ (b) (6)

Binding Code
A - Audiotape
C - Cloth
P - Paper
V - Videotape

Rate Code
F - Full Royalty
H - Half Royalty
N - No Royalty

Charges
AA - Author's Alterations
AR - Advance on royalties
P - Purchases
UR - Unearned royalties from
prior year
O - Other

*A Negative figure in these columns is the
result of returns exceeding sales.

Direct any inquiries concerning this
statement to the Office Manager at the
above address.

PLEASE RETAIN FOR YOUR INCOME TAX RECORDS.

IF YOU HAVE MOVED, PLEASE SEND US YOUR NEW ADDRESS.

HISTORY AND DURATION OF THE PROJECT

Background

The Papers of Robert Morris project edits for publication the papers of 18th-century Financier Robert Morris (1734-1806) as Superintendent of Finance and Agent of Marine of the United States from 1781 to 1784 under the auspices of Queens College and the Research Foundation of the City University of New York. Seven volumes have already been published. Volume 7, the largest and most complex volume, appeared in February 1989. Final editing of volume 8 is complete and work on volume 9 is well underway.

The Morris Edition is being published by the University of Pittsburgh Press in 9 volumes with a concluding microform supplement. This structure is in accordance with a plan developed in response to the NEH site visit in January 1982 at which time the edition was scaled down from eleven comprehensive volumes. Thus, in addition to the six volumes previously completed, only three additional selective volumes were to be published. A tentative selection of documents to be included in volumes 8 and 9 was made, and is reviewed as work on the volumes progresses. The published series should be completed in 1994.

The microform supplement will consist of typescripts of documents omitted from volumes 7, 8, and 9, as well as most or all of the items located since volumes 1-6 were published and which otherwise would have appeared in the addenda. A cumulative index of both letterpress and microform documents is planned. If it appears impracticable to publish the microform supplement at the same time as volume 9 as planned, the cumulative index and supplement could be published and marketed together. These supplementary activities, together with production work on volume 9, should take an additional two years to complete, making a final project termination date of 1996.

Launched in 1968 by a \$30,000 grant from Donaldson, Lufkin & Jenrette, Inc., The Papers of Robert Morris project has received continuous support from the National Endowment for the Humanities since 1970, and from the National

Historical Publications and Records Commission since 1976. Additionally, since 1982 the Morris Edition has received significant funding in the form of matching grants from private foundations and corporations.

Funding this request should complete the editing and publication of The Papers of Robert Morris. This proposal, therefore, asks for renewal funding to complete production work on the manuscript for volume 9, the final volume in the series, and to produce the microform supplement and cumulative index.

PROGRESS REPORT

Summary

The following chart outlines the status of work planned for the first year of the grant period July 1, 1992-June 30, 1993, as presented in the revised plan for our previous application.

PLANNED	COMPLETED (as of June 1)
<u>July 1, 1992-June 30, 1993</u>	
Submission of volume 8 to press for copyediting	All postponed until subvention obtained
Review of copyedited manuscript	Volume prepared for Subvention application
Changes keyed into machine-readable files	Advisers and Readers corrections reviewed and keyed
Encoding of volume files	
Volume submitted to press/typesetter	
Proofreading and correcting of final pages to volume 8	
Entering final page fields to index	
Running of Cindex program	
Editing of index	Index prepared from manuscript
Submission of index to volume 8 to press	
First verification of microfilm texts completed; corrections entered and proofed.	To be done by end of June
Final editing of volume 9 about one-third done	About 20% done About one-third of annotation remains to be completed
	Workshop given
	Papers given
	\$4,200 in matching funds raised

Revised Plan of Work for Second Year of Grant

July 1-December 31, 1993

Publication of volume 8

Delayed until subvention
obtained and work
postponed above completedFinal editing of volume 9
two-thirds completed by Nuxoll,
with assistance from GallagherProbably about
half completedSecond verification and minimal
annotation of microfilm documents
one-third completed by staffFinal corrections to these microform texts
keyed, proofed, and prepared for
microfilmingJanuary 1-June 30, 1994

Final editing of volume 9 completed

Second verification and annotation
of microform documents
completed by staffCorrections to microform texts
keyed and proofed.

Volume 9 submitted to press for copyediting

Moved to next grant period

Review of copyedited manuscript

Changes keyed into machine-readable files

Encoding of volume by Gallagher and Mullen

Volume 9 submitted to press/typesetter

Proofreading and correcting of final pages
to volume 9

Progress Report: NarrativeBackground

Staffing of the Papers of Robert Morris was reduced substantially during the first half of 1992. That period covered the final quarter of our 1990-1992 NEH grant and staffing was contingent on raising the full amount of gift-and-matching funds included in the grant. Of the \$58,000 matching offer, the project was only able to raise \$29,730. The staff was reduced accordingly to only the coeditors, the research assistant, and a student aide. Since our assistant editor and administrative assistant Kathleen Mullen (b) (6) we postponed her return until the opening of the new NEH grant in July 1992. Because student aide funds were minimal, typing and clerical work was postponed or taken over when necessary by the editorial staff. Associate editor Nelson Dearmont had been off the payroll since 1991, but occasionally assisted on a volunteer basis.

In the summer of 1992 Kathleen Mullen returned for a few hours a week; she resumed her full 15 hour a week schedule in the fall. Student aides assisted the project in moving from an off-campus to on-campus location in October 1992. They helped organize the new project office and caught up with the routine clerical work, then began keying corrections to the texts in volume 9 and the microform supplement. Anne Anastasi, a Queens College senior, is now our chief student aide; (b) (6) Maria, continued working a few hours a week training our new aides and performing tasks requiring considerable experience; she will graduate in June and leave the project.

Our new office is smaller but more conveniently located for both administrative and research purposes. It is in the same building with the History Department and Grants Office, and is opposite the Queens College Library. However, considerable staff time had to be spent on the move and related organizational tasks this fall.

The University of Pittsburgh Press indicated that it did not wish to begin copyediting volume 8 until the entire volume was completed, thoroughly

reviewed by our editorial advisers, and all corrections were entered. That process is now nearly complete. The news that there would be no NHPRC subventions for FY 1993 constituted a more serious obstacle to our progress. The press cannot begin copyediting until assured of a subvention. It agreed to submit an application to the NEH subvention program in the interest of speeding up the publishing process. Copies of the volume were sent to two readers, John McCusker and Paul Smith, who submitted reports to the press for submission with the NEH subvention application. A copy of the volume was also sent to the press to enable the staff to make their cost estimates, and give advice on any further procedures that will facilitate preparation of the final manuscript. Discussions were held with the printer to iron out the few remaining minor problems related to submitting the volume in machine readable form.

Editorial Progress

Volume 8

Major headnotes on such topics as postwar commerce, the opening of the China trade, the Philadelphia mutiny of 1783, army pay and disbandment, establishment of the principles and procedures for settling public accounts, and the relocation of the nation's capital have been revised after receiving several reviews at by our editorial adviser Clarence Ver Steeg and by Editor Emeritus E. James Ferguson. Such headnotes, together with the longer footnotes, are designed to explicate not merely the documents at which they are placed, but all the documents dealing with that subject in our series, including the various Diary references and texts destined for the microform supplement. The other texts are merely cross-referenced to the major note. The volumes do not conveniently divide into chapters, so substantive annotation is placed at the first major reference to a topic, or at a particularly significant text on the subject. Occasionally, long notes are appended to relatively short documents--a situation which has led some reviewers to suggest that such texts are over-annotated. The editors believe this criticism is based on a misunderstanding of our placement policy for

annotation. Nevertheless, the readers chosen by the press for its NEH subvention application were asked to consider the level, appropriateness, and placement of annotation. Copies of their reports can be found in the appendix to this application.

Final review of texts was done by Dr. Nuxoll in the course of her final editing, which is now completed. The third quarter of volume 8 was sent to our editorial advisers for review in the winter of 1991-1992. The remainder of the volume was sent to our advisers during the summer and fall of 1992. Nuxoll composed an introduction to the volume this winter and has been reviewing and entering the last of the advisers' suggestions. Mullen has been working on accounts and other documents presenting unusual formatting problems. Over 900 documents were scheduled for inclusion in volume 8, nearly 200 more than in volume 7. Since this will undoubtedly produce too large a volume, we are transferring additional documents to the microform supplement in consultation with our advisers and the press. If a subvention is obtained, the press can begin copyediting in the fall of 1993. After changes suggested by the press's copyediting are entered, Mullen will encode the volume and send it to the printer on diskette.

Using NLCindex, Gallagher composed an index to volume 8 from manuscript this spring. Once final pages arrive, page fields will be entered, the program will be rerun, and the index will be edited and returned to the press in machine-readable form. If press timetables remain as usual, production work and editing the index would probably take place during the winter and spring of 1994.

Volume 9 and Microform Supplement

Former research assistants completed the first verification of texts scheduled for volume 9 and the addenda in 1991. Student aides then keyed the corrections. Dr. Dearmont, our former associate editor, working with the old Displaywriter system followed behind them doing a second verification of texts, proofing corrections, and composing preliminary annotation. Those processes were about two-thirds done when he ceased work in 1991. His

Displaywriter files of annotation were converted during the summer of 1992 into Wordperfect PC files; student aides then keyed corrections and made format adjustments to the converted annotation files this winter and spring.

Dr. Gallagher composed annotation to all the documents in volume 9 related to trade as she revised the headnotes on that subject in volume 8. She then completed a preliminary index to all the documents in volume 9 to get a better handle on its contents and to identify topics still requiring significant work. This spring she began systematic annotation and reverification of the second half of volume 9, reviewing what was done by Dearmont and continuing where he left off.

Dr. Nuxoll is doing the final editing of the first half of volume 9--checking annotation and reviewing verification of texts. She will also be coordinating and composing annotation for the private commercial texts now scheduled for inclusion, including those related to Morris's tobacco contract with the French Farmers-General, and for documents related to the settlement of Robert Morris's accounts.

When time permitted, our research assistants also undertook preliminary verification of the microform documents. Our present research assistant, Kenneth Pearl, worked on microform texts during the summer of 1992. About ninety percent of the microform texts received such preliminary verification; he will complete this work in June. Corrections have been keyed for most of the verified microform texts, but proofing and second verification of all microform texts still remain to be done.

Outreach

Dr. Gallagher has been following up the editors' role in promoting a document-based Bicentennial essay contest in 1987 with explorations of the feasibility of publishing documentary source books for class use under the auspices of the Association for Documentary Editing. She and Dr. Nuxoll met or spoke with various representatives of the ADE, the NEH, and the NHPRC to ascertain the most effective techniques for producing and marketing such educational materials. However, no funding or administrative mechanism for

this program has yet been established. For the present, a few individual projects have been developing lesson plans on their own in conjunction with local organizations or funders. Dr. Gallagher was asked to help organize a workshop on teaching with documents for the 1993 ADE convention, to participate in a workshop on indexing, and to conduct classes on selection and annotation at "Camp Edit," the NHPRC-sponsored editing institute at the University of Wisconsin this June.

Drs. Nuxoll and Gallagher also agreed to participate in a program established by Queens College under a recent NEH grant for interdisciplinary summer institutes for secondary school teachers. The object of the program is to improve secondary school pedagogy by training faculty "to teach close observation and reporting as tools for understanding events in the past and present." Comparison will be made between first-hand, contemporary accounts and subsequent historical or journalistic analysis. Issues of current interest will be given historical context through treatment of similar events in the past. In the course of the institutes, secondary school administrators and teachers will have the opportunity to work with primary source materials in a journalistic medium "learning to appreciate the energy of immediacy while at the same time judiciously questioning its power."

Our role was to contribute material to sample the approaches to be included in these seminars through a series of three workshops for Queens County High School Assistant Principals (Chairpersons) of English, Social Studies, Art, and Music to be held during the 1992-1993 academic year in preparation for participation by their teachers in the later summer programs. The staff of the Morris Papers was scheduled to draw on project materials for presentation of three topics whose contemporary relevance was to be developed in conjunction with journalists. Those proposed were:

1. "The Confederation, Government Finance, and the National Economy," examines the Confederation Period of U.S. History as it relates to the current evolution of the Confederation of Independent States (formerly U.S.S.R).
2. "Economic Growth through Free Trade" examines American efforts in the

1780s to persuade European powers to abandon mercantilism as they relate to current debates over free trade and protectionism.

3. "East/West Trade" explores the development of American trade with the Far East in the 1780s and 1790s as it relates to current trade tensions between the United States and the Far East and Latin America.

Recent events prompted the directors of the project to revise the topic selected for the first workshop. As presented, the workshop held in November 1992 compared the quest for greater national unification under the Articles of Confederation with the state of unification efforts by the European Economic Community, with particular emphasis on the current issue of monetary union. Dr. Nuxoll gave a slide presentation and lecture based on project materials indicating Morris's role in national unification during the Confederation period. Copies of the contest question and documentary lesson plans developed for the Bicentennial of the Constitution and other project materials were distributed. Dr. Gallagher then spoke on ways to use such documents in the class room. Jeff Sommer, foreign affairs editor for Newsday, gave a news briefing on the current EEC situation. The participants were able to ask questions and comment on the comparisons between the two eras. Dean Elaine Maimon and Journalism Professor Joann Lee then demonstrated ways of applying the techniques of journalism and writing across the curriculum to such topics in the class room (see attached schedule and article from Queens College Reports).

Later discussion with the assistant principals revealed that they could not spare the time from their schedules to participate in additional all-day workshops, but wanted a sample session for teachers thinking of applying to the summer session dealing with the Renaissance. No further workshops for the assistant principals were scheduled. However, all the local high schools are now aware that project materials are available for their use, and the teachers, editors, and journalists benefitted from each other's insights on the topic of unification.

Drs. Nuxoll and Gallagher also presented papers based on material in

volume 8 this fall at an 18th century studies conference held in Philadelphia. Dr. Gallagher's dealt with the Philadelphia mutiny, and Dr. Nuxoll's with Robert Morris's relationship to the press during his administration.

Fund raising

Fund raising toward the \$38,000 gift and matching for the 1992-1994 NEH matching offer has so far netted \$4,200 from foundations and individuals.

PROJECT STAFF

Project Director/Coeditor	Elizabeth M. Nuxoll, Ph.D. Full time
Coeditor	Mary A. Gallagher, Ph.D. Full time for 11 months
Assistant Editor/ Administrative Assistant	Kathleen Haslbauer Mullen Part time at an average of 15 hours per week; Requested at 20 hours under new grant
Research Assistant	Kenneth Pearl Part time (20 hours per month) during academic year Provided by CUNY Graduate Center
New research assistant to be appointed as of September 1993	
Student Aide	Anne Anastasi Part time at an average of 10 hours per week
New aide to be hired when Ms. Anastasi graduates in 1994	
Consultants	Clarence Ver Steeg, Professor Emeritus Northwestern University; author of a study of Robert Morris E. James Ferguson, Editor Emeritus, The Papers of Robert Morris; Professor Emeritus, Queens College

PROJECT STAFF

Editorial staff:

Dr. Elizabeth M. Nuxoll serves full time as project director and coeditor of The Papers of Robert Morris. As project director, Nuxoll carries ultimate responsibility for administering the project, for training personnel, and for fund raising. As coeditor, she composes the introductions to each volume and annotation of certain major topics, reviews the second verification of the texts, and does the final editing of annotation. She also reviews subject entries for indexes and edits them in conjunction with the rest of the staff.

Dr. Mary A. Y. Gallagher is responsible for advancing annotation and second verification of volume 9. She also composes the name and standard subject indexes, assists in editing the indexes, and, along with Mullen, has responsibility for encoding the files so that volumes are submitted to the printer in machine-readable form. Gallagher also transcribes and translates texts and research materials in the French and Spanish languages.

Clarence L. Ver Steeg, Professor of History at Northwestern University, serves as Editorial Advisor. Both he and E. James Ferguson, now Editor Emeritus of the Morris Papers and Professor of History Emeritus at Queens College, continue to read and comment on manuscripts before they go to press.

Support staff:

Kathleen Haslbauer Mullen, former assistant editor, administrative assistant, and computer specialist for the project, left in 1986 in order to take a position at a firm specializing in artificial intelligence systems. She returned to the project in September 1989 on a part-time basis (15 hours per week). Her return relieved the other editors of major administrative tasks and provided valuable computer assistance in preparing the machine-readable files for volumes 8 and 9. Mullen (b) (6)

but returned in the summer of 1992 when computer-related tasks required her assistance and funding again became available. She has also been

assigned primary responsibility for the final editing and arranging for publication of the microform supplement.

Each year a student at the CUNY Graduate Center is assigned as Research Assistant to the project, working for 20 hours per month. He/she undertakes research at the New York Public Library, proofreads, and occasionally does transcription of documents or verification of relatively uncomplicated documents in the project office. The current research assistant is Kenneth Pearl; he is not eligible for reassignment in the fall. Another student will be appointed at that time by the History Department at the Graduate Center.

Most of the routine clerical, typing, and word processing tasks are done by part-time student aides, budgeted at 10-15 hours per week. After former aides resigned, (b) (6) Maria Nuxoll, a student at Queens College familiar with our word-processing system, filled in as needed. Once funds again became available in July 1993, additional aides were hired. Maria will be graduating in June, and will go on to graduate school in psychology; Anne Anastasi, our current chief aide, expects to be available until she graduates in June 1994.

PROJECT METHODOLOGY

The project has assembled some 10,500 Morris documents in photocopied form, three-quarters of which fall within the period 1781-1784. Only a portion of the extant Morris documents for the years prior to 1781 and after 1784 was collected. We accessioned the documents according to a system established by Julian P. Boyd, then editor of *The Papers of Thomas Jefferson*. Each document was filed in a jacket on which was recorded the date, correspondents, accession number, number of pages, and repository and collection. A card with the same information was then prepared in triplicate (sometimes in additional copies depending on the number of correspondents) and filed by date, recipient, and accession number. Each Diary entry was separately accessioned but given only a date card. Another file listed accession numbers by repository. The accessioned photocopies were then filed in chronological order. During the collection process we also acquired and accessioned neither-nor items (documents that were neither written to nor by Robert Morris but which throw light on him and the activities of the Office of Finance) and prepared a card file of all such documents arranged by correspondents. A very large and important collection of such neither-nor material was also gathered on microfilm. Comprehensive staff searches also resulted in files listing Morris documents that had been previously printed and sold by autograph dealers. Two other card files compiled from the Morris documents for 1781-1784 were also created. One of these became the names of people and ships; it has been completed. The other, indexing important subjects, is still in process. Both files have proved to be indispensable for annotation. The collection process terminated for all practical purposes in 1972; since that time few new Morris manuscripts within the chronological scope of our publication have come to our attention. We do, however, continue to search for neither-nor material as the editorial process continues.

The Diary, the daily record of Morris's transactions in the Office of Finance, is the organizational basis of the 5,900 or so documents scheduled to be published in the series. Each day's Diary entry is followed by Morris's

letters and other official papers of the Office of Finance for that day and by letters addressed to, although not necessarily received by, Morris on that date. In the first six volumes Morris's private and business correspondence, which is not plentiful for the years 1781-1784, was omitted unless it shed light on the operations of the Office of Finance. Because the current volumes document Morris's transition to private life at war's end, some significant private documents are being published in volumes 7-9, though most will appear in the microform supplement. Noteworthy correspondence and papers of the Financier's assistant, Gouverneur Morris, which often give a more colorful and "inside" glimpse into the Office of Finance than the Superintendent's businesslike letters, are also published, as is the official correspondence handled by Morris's secretaries, usually in an abbreviated format.

Between 1968 and 1970 typed transcriptions of some of the documents for 1781-1784 were made while the initial collecting proceeded, and since then transcriptions have been made of much of the remaining material for those years. Grouped by day and placed in looseleaf notebooks in chronological order, the typescripts are available for the editors' verification and annotation. However, as noted in the section on computerization, transcriptions were keyboarded for the documents scheduled for volumes 7 to 9 and the microform supplement; these volumes are compiled on word processors and submitted to the press in machine-readable form.

Verification editors examine minutely the texts of documents, establish their authorship, select the master text of each document, verify the typescript against the manuscript, and collate different versions of the same text. Verification begins for each volume with the arrangement of the first day's documents into the preestablished order. The editor then examines the documents in sequence for anything (date, handwriting, provenance, for example) that would lead to questions about authenticity. In most cases authorship is routinely established. In questionable cases, comparison is made with other known texts of similar authorship and correspondence is undertaken with the repository from which the document was received. Any

evidence shed on the problem by other Morris documents is evaluated. Whatever the decision in these cases, the results are presented in a textual footnote.

When variant texts exist for any one document, even when authorship presents no unusual problems, the editor must assign priorities among the texts and categorize each as to type (e.g., ALS, LS, copy). Apart from the writing of Morris's clerks, which can be recognized although not ascribed with precision, we try to identify the handwriting from samples we have compiled over the years and those provided by documents in the microfilm edition of the Papers of the Continental Congress. When these prove inadequate or a decisive ascription cannot be made, we rely heavily on the advice of manuscript curators and specialists at the repositories.

After selecting the most authoritative (or master) text, the editor verifies the transcript against the manuscript, following guidelines that appear in the frontmatter to each volume. Sometimes our photocopy is incomplete, illegible, or has reproduced alterations made on the manuscript by earlier custodians; in such cases, correspondence or a personal visit to the repository is required. Having established a verified master text, the editor then collates the variant texts and prepares footnotes identifying the most significant variations. The address and endorsement (when present), the manuscript identification note that follows each document (with the exception of Diary entries), and any textual notes are printed on green bond paper and placed immediately following the verified typescript. This process is repeated for each document in the volume and, in volumes averaging 700 documents, takes approximately twelve months. Statements of our Editorial Method and Editorial Apparatus (except for the list of short titles) together with samples of original documents in order to show how they were edited for publication in volume 7 appear below.

Preliminary verification was largely the responsibility of Dearmont and the research assistants. The second verification of volume 9 is being completed in the course of final editing by Nuxoll and Gallagher. All verification should be completed at the start of the 1994-1996 grant period

except for the second verification of the microform texts.

Two other types of documents require special processing. Some of the letters Morris exchanged with American ministers and other correspondents abroad were written in cipher. If the master text is in undeciphered code, the editor deciphers the text using the key to the code in question (when available) and this deciphered text becomes the published text; if the master text has been deciphered by the recipient, that decipherment usually becomes the published text. In both cases, textual discrepancies are taken up in footnotes. Gallagher also makes transcriptions and translations of foreign language documents (e.g., the important correspondence with Chevalier de La Luzerne, French minister at Philadelphia, with François Barbé-Marbois, the French consul-general, and letters exchanged with various Spanish officials). After review by linguists, both transcriptions and translations are published.

Preparation of the annotation for each document had been the chief responsibility of Nuxoll. However, because of her responsibility for final editing, she retains only certain major topics, while annotation of the rest is prepared by Gallagher, and, to a lesser extent, by part-time staff members. Before the annotation for a volume is begun, Nuxoll reads through the entire volume to get an overview of its major topics as well as its relationship to past and future volumes. For each 10-point document the editors seek to identify and locate enclosures (which sometimes entails searches on microfilm or correspondence with various likely repositories), to identify individuals who have not been previously identified, and to annotate subjects of importance, placing them at the preferred location and providing the necessary cross-references to previous and future volumes. The card indexes of names and subjects in Morris documents described earlier are extremely valuable at this stage. Computer searches of our texts are now also feasible, and are proving helpful for annotation, as are communications with various scholars through computer networks. After researching manuscript and published sources available in the office, the editors will draft brief biographical sketches, summarize acts of Congress affecting the Office of

Finance, trace Congress's response to letters and reports submitted by the Superintendent of Finance and, where appropriate, the states' response to circulars received from Morris. In sum, they explain in detail the context of important documents, events, and subjects.

Off-site research is frequently required to complete the task of annotation. Most of this work falls to the project's research assistant, who answers the editors' assignments at the New York Public Library, the New-York Historical Society, and Columbia University Library. Since September 1987 a research assistant has been provided by the CUNY Graduate School to undertake such work for 20 hours per month. Incorporating the research assistant's reports into their own work, the editors then complete the annotation.

Nuxoll, as final editor, then reviews the work thoroughly. With respect to verification, she examines the caption, dateline, any problem passages marked by the other editors, the manuscript identification note, and all textual notes. With respect to annotation, she checks the editorial content for meaning and implication, reviews the substance and style of every note, revising and rewriting them as necessary. During this process, she will carry out or call upon the other editors for whatever additional work is required. Under past staffing patterns this review took roughly eighteen months, allowing for other administrative work. With our current reduced staffing, at least two years time is necessary. After final editing, the volume is sent, usually in sections, to the editorial advisers for review, and Nuxoll incorporates their suggestions. Revised into final form, all changes are keyed, printed, and proofed.

The editorial process concludes with the refinement of the manuscript after it is submitted to the publisher. At this stage, the editors reread the entire volume and make final corrections. These are incorporated into the manuscript when the publisher returns it to the project for review of the copyediting done by its staff.

Galleys will be checked by Nuxoll and Mullen. Until volume 6, the texts of documents were reverified in galleys against the photocopies of the

original Morris manuscripts. However, for volumes 7, 8 and 9, which are compiled on the computer, second verification and proofreading are accomplished before submission to the press in machine-readable form. When the University of Pittsburgh Press returns the page proofs of a volume, the corrections will be checked by Nuxoll and Mullen, a process that takes less time than work on galleys. Revised pages are subsequently proofed. Work on galley and page proofs occupies the staff for approximately two to three months.

Beginning with volume 6, a computer-assisted indexing system has replaced the lengthy, cumbersome and tedious process of indexing on cards the approximately 18,000 entries generated by a 700-800 page volume. The index requires the participation of the entire staff and, given its size, complexity, and the need to submit it to the publisher within two or three weeks after we return page proofs, we have begun the process as soon as the manuscript is in final form, adding the page fields from final pages. This avoids later delays.

Under our current computerized system, Gallagher compiles an initial index consisting of the name and all obvious subject entries, underlining each item entered on the manuscript page. These entries are then printed and reviewed by Nuxoll, who creates additional subject entries where necessary and adds cross references. Once page fields are entered, the work of sorting and alphabetizing is accomplished electronically by means of the CINDEK program with an appreciable savings of time and money. The indexes are submitted to the printer in machine-readable form, an additional cost-saver which also minimizes errors resulting from rekeying.

PLAN OF WORK: JULY 1, 1994 TO JUNE 30, 1996

July 1-December 31, 1994

Volume 9 submitted to editorial advisers for review; corrections entered
Indexing of annotation to volume 9 by Gallagher
Volume 9 submitted to press for copyediting
Final Editing of Microform Supplement begun by Mullen

January 1-June 30, 1995

Review of copyedited manuscript
Changes keyed into machine-readable files
Encoding of volume by Gallagher and Mullen
Volume 9 submitted to press/typesetter

July 1-December 31, 1995

Proofreading and correcting of final pages to volume 9
Entering final page fields to index
Running of Cindex program
Editing of index
Submission of index to volume 9 to press
Indexing of Microform texts completed
Editing of microform texts completed
Submission of microform texts to publisher
Scanning of indexes of first 5 volumes; adjustment of files to
coordinate with indexes of volumes 6-9 and microform

January 1-June 30, 1996

Editing of index of microform texts and of
cumulative index.

FINAL PRODUCT AND DISSEMINATION

In 1982 the editors accepted the recommendations of the Endowment's site visitors to reduce the edition from eleven comprehensive volumes to nine volumes and a concluding microfilm supplement. Volumes 7, 8, and 9 are now selective. A tentative selection of documents was made at that time and is revised as necessary as work on the final volumes goes forward. The microform supplement will consist of typescripts of documents omitted from the volumes. A cumulative index will conclude the series.

The three selective volumes contain approximately 50 to 70 percent of what would have been included in volumes 7-11 of the comprehensive edition initially projected. Of the approximately 5,900 official documents in the Morris edition, we had published about 2,700, leaving some 3,200 to go. The three selective volumes were expected to contain approximately 2,100 documents, or roughly 700 documents per volume if apportioned evenly, making them each slightly larger than volume 6, which contains about 675 documents. Acquisition of new documents since that time, together with the decision to include private and commercial documents, has somewhat increased the total number of documents to be edited, but most of the new material will go into the microform supplement. Chronologically, we arranged the new volumes 7 and 8 to contain roughly what was originally intended to be in volumes 7-9 of the comprehensive edition--or, in terms of dates, until the end of 1783. Volume 7 in fact contained about 720 documents. Volume 8 as currently edited contains about 900 documents, but more items will be transferred to the microform supplement before publication. Volume 9 is expected to contain material originally intended for volumes 10 and 11 in the comprehensive edition, as well as the addenda. Volume 9 will include more documents than volumes 7 and 8. They are generally somewhat briefer texts and most require little annotation, but they are important for depicting the transition of the nation from war to peace and of Morris from public servant to private entrepreneur.

The format of the selective volumes remains the same, with the Diary

providing the organizational basis of the series. Each day's entry will be followed as usual by letters and papers for that day, both outgoing and incoming. Most of the letters and papers selected for inclusion in the volumes appear in 10-point type, but some kinds of documents (e.g., contracts, secondary letters from the receivers of Continental taxes, and possibly documents of a routine nature which nevertheless provide significant data on a major topic) would still be presented in 9-point type--a practice we began with volume 5.

The selection process for the letterpress volumes utilizes the following two criteria:

1. Significance. Each document is assessed for its intrinsic significance and/or relationship to major themes or subjects in the series. The selection process does not apply to Diary entries, each of which will be printed in full in 10-point type. The question of private letters is taken up below.

2. Representativeness. The importance of this criterion arises with certain categories of documents. Examples of such categories include correspondence with the receivers of Continental taxes, the heads of army staff departments (e.g., the Quartermaster General and the Commissary General of Military Stores), public creditors and claimants, routine documents from the Marine Office, and letters of introduction. Representative documents in these categories are included to give a feeling for the nature and scope of Morris's correspondence and the wide-ranging activities of the Office of Finance.

The letters from the receivers of Continental taxes are a special case in point. Of the 11 receivers appointed by Morris, incoming correspondence of any consistency survives only for Alexander Hamilton of New York, William and Joseph Whipple of New Hampshire, and William Churchill Houston of New Jersey. Since Hamilton left office in November 1782, most of his letters have already appeared in our edition, but this was not the case with Houston and the Whipples. On the grounds of representativeness alone, virtually every letter

from Houston and the Whipples is being published in the selective volumes, with significant items placed in 10-point type and secondary documents in 9 point. Since the Morris edition presents national affairs as seen from the Office of Finance in Philadelphia, the receivers' letters have a special character because they illuminate pervasive attitudes in the states that would not otherwise be represented and reflect the steady--in Houston's case almost weekly--pace of incoming mail, with their disappointing Continental tax receipts and attendant problems, both substantive and administrative, with which Morris had to grapple.

The Morris editors and the site visitors also considered the value of incorporating the private Morris documents into the edition. There are approximately 300 such additional documents beginning with volume 7. Up to now we have been publishing only those which shed light on Morris's transactions in the Office of Finance, with the expectation of merely listing in volume 11 of the comprehensive edition all of the private documents omitted from the series.

The editors considered two options for the restructured series:

1. Omitting the private documents altogether. This option would require a decision at some future date to determine whether funding could be obtained to publish the private documents for 1781-1784 in a separate volume after completion of the selective volumes and microfilm supplement.

2. Including the private documents in the selective letterpress volumes and microform supplement. In this case, private documents would be subjected to the same criteria as the official documents, with truly significant and representative items included in the selective volumes and the remaining documents consigned to microform. In general, those documents which shed light on Morris's official transactions, postwar economic difficulties, and Morris's schemes for American economic development and international trade and finance would be judged significant.

Potential for conflict of interest between his public and private activities was an enduring theme of Morris's public career, and the number and

significance of the private documents increase in 1783 and 1784. The editors therefore chose the second option so that the private documents could interact chronologically and substantively with the official documents both in the volumes and in the microform supplement.

The most significant documents in each of the following categories will also be placed in volume 9 if rigorous selection and the brevity of the documents included permit. Secondary items will be consigned to the microform supplement.

1. Addenda: official papers of Robert and Gouverneur Morris subsequently found (relatively few) and private papers omitted from volumes 1-6.

2. Letters and papers of Robert Morris, 1785-1790, relating to the transition of authority from Morris to the Treasury Board, and to settlement of Morris's accounts with the United States as Superintendent and with Pennsylvania as agent for specific supplies.

3. Morris's official accounts as Superintendent:

- a. Accounts as published by Morris in 1785

- b. Accounts as published by Joseph Nourse in 1790

Whether in volume 9 or in microform, these accounts should be reproduced in facsimile. A handsome model of such a facsimile reproduction is the Massachusetts Historical Society edition of the Massachusetts House Journals.

4. Errata. These should appear in volume 9 or with the cumulative index.

A cumulative index, published as a separate cloth or paper volume, is desirable. The indexes in the first five volumes can be converted to machine-readable form for this purpose by means of optical character scanning, and programmed so as to be compatible with the NLCindex files of the later volumes.

The microform supplement will consist of clean, verified transcripts of the 30 to 50 percent of the documents not included in the selective volumes and some or all of the material mentioned above. Annotation will be kept to a

bare minimum. It will include only a manuscript identification note listing type (e.g., ALS, ADft, LbC, Copy, etc.) and location of the original; whatever textual notes may be necessary; and the title, date, and location of documents mentioned in the texts, or if not found, a note to that effect. Correspondents may be briefly identified.

Preparation of a guide to the microform supplement would also be appropriate. Ideally, the guide would contain a brief description and a complete name and subject index of the contents of the microfilmed documents by transcript (i.e., page) number, frame number, or some other numbering system, to complement the indexes in the volumes. An index of this kind would minimize for users the difficulties of integrating the filmed documents with those in the volumes. Serious consideration, however, should be given to incorporating such an index as part of the cumulative index mentioned in the preceding section. If this is not a realistic option, at minimum the guide should contain a complete index to correspondents and/or a table of contents listing the documents in order of presentation.

A reviewer of a previous grant application asked about the relationship between the three selective volumes and the concluding microform supplement: "Will the letterpress volumes and microfilms be coordinated? In other words, will all the omitted documents mentioned in volume 7 be on one (or more) rolls and will the volume and the roll(s) be sold as a package? It seems to be very desirable that a user be certain that any omitted material would be available at the same library in which he was using the letterpress."

We carefully considered this matter during and after the NEH site visit in 1982 and thoroughly discussed it with Professor Clarence Ver Steeg, our Editorial Advisor, and Catherine Marshall, the Managing Editor of the University of Pittsburgh Press. Our strong feelings were these: the microform(s) should be saved until the end of the series, but should appear jointly with volume 9 or with the cumulative index, the marketing details to be left for later determination. At present it seems most feasible to produce the supplement on fiche and include it in slip wrap with volume 9, in the

manner followed by some volumes of the Documentary History of the Ratification of the Constitution. Separate marketing of the supplement as a microfilm edition would seem likely to minimize both sales and use, and estimates made by John Kaminski lead us to believe including the supplement would add little cost to the volume (possibly as little as \$5 to \$10). However, the press has made no final decision on this issue.

Recent technological advances make it possible to consider yet another option. If scanning works well for the cumulative index, it could also be used to scan the first 6 volumes so that they could be combined with the machine-readable files of the later volumes and supplement into a CD-ROM edition or some other form of electronic publication for on line research.

Meanwhile, as previously planned, each selective volume contains an appendix listing the documents omitted from it by correspondent, date, repository, and collection. Where appropriate, notes to the documents printed in the volumes cross reference related documents consigned to microform. The phrase (Mfm) following an entry is employed in the annotation to distinguish cross references to microform documents from references to documents printed in the volumes. Further access to the list is provided in the indexes to each of the selective volumes. We believe this is a reasonable solution for document integration that will preserve the design of the series and will satisfy scholars until the microform supplement becomes available upon completion of the edition. Meanwhile, we devoted our energies to the completion of the selective volumes and deferred editing of the microform supplement until volume 9 was underway.

The level of editorial comment in the selective volumes was also addressed at the NEH site visit and by the visitors' reports. The consensus was that the volumes should have introductions and that annotation of 10-point documents should continue in the manner of recent volumes, which won high praise from the consultants. Since it is the annotation which creates the greatest research demands on the editors, however, it was necessary to limit the extent to which even important subjects are annotated in volumes 8 and 9.

We proceeded with previous plans to reduce routine annotation. Except where there is confusion about names or the nature of the material requires special attention, reidentifications of mentioned individuals are no longer made, the index taking up the slack wherever possible, although most correspondents are still reidentified briefly at each letter. Preliminary annotation is being prepared in as final a formulation as possible so that final review can proceed more expeditiously.

Project Files

Except for photocopies specifically restricted by donating repositories or collectors, our documentary files are open to the public. We regularly exchange information with other editorial projects and respond to inquiries and reasonable photocopy requests from researchers. A number of scholars have used our collection for their dissertations: Barbara A. Chernow for her "Robert Morris: Land Speculator, 1790-1801" (Ph.D. diss., Columbia University, 1974); Ruth Bogin for "Abraham Clark and the Idea of Equality in Revolutionary New Jersey" (Ph.D. diss., Union Graduate School, Ohio, 1978; published 1983); Elizabeth M. Nuxoll, our Coeditor, for her study of "Congress and the Munitions Merchants: The Secret Committee of Trade during the American Revolution, 1775-1777" (Ph.D. diss., City University of New York, 1979); and David Mattern for his dissertation on Benjamin Lincoln (Columbia University, 1990; accepted for publication by the University of South Carolina Press). We have also furnished material to Jack Fruchtman and John Keane for books-in-progress on Thomas Paine, and to James Kirschke for his work on Gouverneur Morris, to Kenneth R. Bowling, for his book The Creation of Washington, D.C.: The Idea and Location of the American Capital (Fairfax, Va., 1990); to Forbes Magazine, for an article on Robert Morris (October 23, 1989); to Roland M. Baumann for his article on "John Swanwick: Spokesman for 'Merchant-Republicanism' in Philadelphia, 1790-1798," in the Pennsylvania Magazine of History and Biography for 1973; to Morris H. Saffron for his Surgeon to Washington: Dr. John Cochran, 1730-1807 (New York, 1977); to Morris U. Schappes for his study of Jews mentioned in Morris's Office of Finance

Diary, which appeared in the American Jewish Historical Society Quarterly for 1977; to the National Portrait Gallery for their feasibility study of a proposed exhibit on "The Republican Court;" to the Philadelphia Maritime Museum for its book and exhibit on the early China trade; and to Independence National Historical Park for their programs for the Bicentennial of the Constitution. Our published volumes, as well as unpublished materials we supplied, were used by numismatist Eric P. Newman for an article on "The Official United States Circulating Currency of Robert Morris: A New Find in American Numismatics," which appeared in The Numismatist for 1977, for the revised version of his book on early American paper money (1990), and for a paper on the origin and dissemination of the dollar sign.

The following citations to the published volumes in published scholarly and popular works which have come to our attention indicate the range of topics for which our volumes are proving invaluable:

Light T. Cummins, Spanish Observers and the American Revolution 1775-1783 (Baton Rouge, 1991); John Shy, ed., Winding Down: The Revolutionary War Letters of Lieutenant Benjamin Gilbert of Massachusetts, 1780-1783 (Ann Arbor, 1989); Tee L. Loftin, Contest for a Capital: George Washington, Robert Morris, and Congress, 1783-1791, Contenders (Washington, D.C., 1989); Charles W. Calomiris, "Institutional Failure, Monetary Scarcity, and the Depreciation of the Continental," The Journal of Economic History, XLVIII, No. 1 (March 1988), 47-67, and "The Depreciation of the Continental: A Reply," in ibid., no. 3 (September, 1988), 693-698; Richard B. Morris, The Forging of the Union, 1781-1789 (New York, 1987); Richard Beeman, et.al. eds., Beyond Confederation: Origins of the Constitution and American National Identity (Chapel Hill, 1987); Forrest McDonald, Nova Ordo Seclorum (Kansas, 1985); John J. McCusker and Russell R. Menard, The Economy of British America 1607-1789 (Chapel Hill, 1985); E. Wayne Carp, To Starve the Army at Pleasure: Continental Army Administration and American Political Culture, 1775-1783 (Chapel Hill, 1984); James A. Lewis, "Anglo-American entrepreneurs in Havana: the background and significance of the expulsion of 1784-1785," in Jacques A. Barbier and Allan

J. Kuethe, eds., The North American role in the Spanish imperial economy 1760-1819 (Manchester, 1984), 112-126, and "Las Damas De La Havana, El Precursor, and Francisco De Saavedra: A Note on Spanish Participation in the Battle of Yorktown," The Americas, XXXVII (July, 1980), 83-99; Yukio Matsumoto, "The Bank of North America and Robert Morris's Finance, Laying Stress on Credit Business of the Bank," The Journal of Economic and Business Studies of Ryukoku University, XXIII (1983), 161-171, XXIV, 94-112; Howard M. Maudaus, "Nova Constellatio: The Story of a Shared Heritage," a discussion of common design elements in the coins and flags of the American Revolution, in The Numismatist for July 1983; William G. Anderson, The Price of Liberty: The Public Debt of the American Revolution (Charlottesville, 1983); John J. McCusker, Money and Exchange in Europe and America, 1600-1775: A Handbook (Chapel Hill, N.C., 1978); Martha W. Dixon, "Divided Authority: The American Management of Prisoners in the Revolutionary War, 1775-1783" (Ph.D. diss., University of Utah, 1977); Eric Foner, Tom Paine and Revolutionary America (New York, 1976); Charles W. Royster, "'The Nature of Treason': Revolutionary Virtue and American Reactions to Benedict Arnold," in the William and Mary Quarterly for 1979, and A Revolutionary People at War: The Continental Army and the American Character, 1775-1783 (Chapel Hill, 1979); Jack N. Rakove, The Beginnings of National Politics: An Interpretive History of the Continental Congress (New York, 1979); Douglas M. Arnold, "Political Ideology and the Internal Revolution in Pennsylvania, 1776-1790" (Ph.D. diss., Princeton University, 1976; published, New York, 1989); and Frederick Wagner, Robert Morris: Audacious Patriot (New York, 1976). Furthermore, many scholars are, to our knowledge, currently using our volumes in the preparation of work as yet unpublished.

The Morris Papers editors have also been actively disseminating the results of their research and seeking to address a wider audience through classes taught by staff members, and through papers, encyclopedia articles, and the outreach activities described above.

COMPUTER EQUIPMENT

2 IBM Displaywriter workstations, 1 with telecommunications interface
1 IBM Displaywriter letter-quality printer with automatic sheet feeder
1 Memorex Telex Terminal
2 Racal-Vadic 300/1200 baud modems (inactive)
2 Maxum PCs
1 Panasonic KX-P1124 Printer
1 Hewlett Packard LaserJet III

COMPUTER USE

The Morris Papers computerized in April of 1983, when it acquired the first of two IBM Displaywriter workstations, and a 5218 IBM letter-quality sheet-feed printer. The Displaywriter software system was Textpack 6, a program which closely resembles the more familiar PC version, Displaywrite. Documents for all forthcoming volumes and for the microform supplement were keyed onto the 8-inch diskettes the system uses, and annotation and index files were keyed in as they were prepared. The project inserted typesetting codes, both manually and through global search and replace functions or macros, into the manuscript for volume 7 and submitted it in machine-readable form.

The project also had an ADDS terminal and Racal-Vadic modem which it used, along with one of the Displaywriter workstations which had been fitted with a telecommunications card, to access the mainframe computer at the City University Computer Center. Processing of the indexes for volumes 6 and 7 was accomplished by uploading files to the mainframe and running the mainframe version of the CINDEK program there.

Computer Changes

Although reliable and user-friendly, the Displaywriter is a dedicated word processing system which could not be upgraded in any way, was costly to maintain, and is no longer supported by IBM. Its 8-inch diskettes had to be

converted into diskettes which could be used in Pcs. Its software system could not be read directly by our printer, Huron Valley Graphics (HVG), and was generally obsolete.

In 1989 and 1990 the project acquired two Pcs and began the tedious process of gradually converting from one system to the other. We adopted WordPerfect 5.1, the system advocated and supported by the Queens College Computer Center and furnished to us without cost by Queens College under its multiple licensing system. All of the texts and annotation for Volumes 8 and 9 which had previously been keyed on the Displaywriters has been converted to PC/WordPerfect format at the Queens College Computer Center. The project uses the automated program SITBACK to do daily backups on floppy diskettes.

The conversion process took more time than anticipated. As our test run had revealed, margins needed readjustment, and some codes, notably centering and tabs, did not convert properly. Kathleen Mullen undertook all the necessary changes. Document names to the Displaywriter files were too long for PC files; Mullen had to rename each for volume 8, a time-consuming process. She therefore trained our student aides to perform that task for volume 9, using macros she developed. Otherwise, shortened titles, created by the system's reproducing only the first eight letters of each title, were unrecognizable, or, even worse, came out the same as others and resulted in similarly-named documents writing over and replacing each other.

In order to submit the manuscript in machine-readable form, many of the codes will have to be removed and replaced by the formatting codes used by HVG. This is due to the varying font sizes and spacing of the documents and annotation. With the Displaywriter system, however, HVG could not read any codes directly. A trial run has established that HVG can read many WordPerfect codes such as italics, superscript, and foreign language accent marks. This, combined with the creation of macros, will reduce the time needed and lessen the incidence of error when embedding the typesetting codes. HVG will continue to manually key many of the accounts and charts, and any other special document formatting.

The project will use NLCINDEX, the PC version of CINDEX, to create and process the index for the remaining volumes on the Maxum. Files prepared on NLCINDEX are compatible with the mainframe version of CINDEX used to create the indexes for volumes 6 and 7, and can be utilized in preparing a cumulative index of all volumes.

Since our move to new and smaller (though more convenient) quarters we have put one Displaywriter workstation into storage. The other will remain available for occasional correspondence and clerical tasks for as long as it continues to function without maintenance. Queens College has provided us with a terminal that is hard-wired into the CUNY mainframe to meet our telecommunications needs.

EDITORIAL METHOD AND SAMPLE DOCUMENTS FROM VOLUMES 8

The editorial method and apparatus from volume 7 and the photocopies of original documents and the corresponding portions of the manuscript of volume 8 are provided as a sample of our methodology.

Editorial Method

Editorial Apparatus (except list of short titles)

Sample Documents

SAMPLE DOCUMENTS

Office of Finance Diary:

Diary, May 15, 1783

Full Type Document with Headnote designed to cover all related texts, and with enclosed accounts to be inserted by press:

RM to a Committee of Congress, May 15, 1783

Full Type Document, without headnote, and with accounts summarized

RM to the President of Congress, November 10, 1783

Autograph Draft with full annotation:

Thomas Paine to RM, May 19, 1783

Foreign Language Texts with Translations:

Chevalier de La Luzerne to RM, June 4, 1783 (French)

Governor of Cuba to RM, August 26, 1783 (Spanish)

Reduced Type Documents:

RM to William Churchill Houston, December 1, 1783

RM to Robert R. Livingston, December 5, 1783

Abstract:

Warrant Appointing a Court-Martial for the Trial of Matthew Parke,
May 13, 1783

Private Gouverneur Morris letter on trade issues

Gouverneur Morris to Matthew Ridley, September 25, 1783

Public Robert Morris letter on same subject

RM to Benjamin Franklin, September 30, 1783

Significant or Representative Private and Business Letters

John Vaughan to RM, June 2, 1783

Robert Morris to Ralph Forster, August 8, 1783

Printed Circular of Thomas Willing and Robert Morris, September 15, 1783

Printed Circular of Willing, Morris and Swanwick, September 22, 1783

15 May, 1783
Friday, 359

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May 15th

The Hon.^{ble} Mr. Gorham and Mr. Hamilton two Members of a Committee of Congress for conferring with the Secretary at War. The Secretary of foreign Affairs and myself relative to disbanding the Army, met with the said Ministers at this Office this Morning. I opened the Business and stated very fully the Necessity of disbanding the Army in Order to get clear of an Expence which our Resources are unequal to, and which cannot be supported any longer at any rate, but which if continued any longer will consume the only Means now left for making a payment to the Army when disbanded. — The Gentlemen of the Committee seemed perfectly satisfied of the Necessity of Disbanding the Army on Principles of Economy but opposed to it on Principles of Policy in which the Hon.^{ble} Secretary of foreign Affairs joins them. The Secretary at War said thus, and I related a Observation which he had made to me a few Days before in favor of disbanding the Army directly viz: That they would not continue in the office and in the Enlistments if the War was to break out again, but that in such a case we

15 May, 1787
 Entry II, 360

Secretary in Office of Finance

May 15.

begin entirely a new. The Conclusion of the Conference is that I am to State the Reasons resulting from the Situation of our Finances, which induce an immediate disbanding of the Army in writing to the Committee.

Mr. Oliver Pollock applies to me to State to Congress the Necessity of a Continental Agent at Havannah which I did, see my Letter this Date. —

Mr. Lewis Morris to have the Accounts of the foreign Ministers seen. I desired him to make out a State of it. —

Genl M^r Dougal respecting the Interest due on Officers Balances I gave my Opinion that the Balance due at the End of each Year upon Annual Salaries ought to carry Interest. —

Wrote a Letter to a Committee of Congress.

Disse. . . . to Matthew Stough Esq.

Disse. . . . to the President of Congress

Office of Finance 15th May 1783

Gentlemen

In consequence of the conversation which passed between us this Morning I shall give you the best information in my Power as to the State of my Department and the Resources I can command.

You have in the enclosed Paper Number one an Account of Receipts and Expenditures from the Commencement of the Year to the End of the last Month by which it appears that there is an Advance on Credit to the Amount of near six hundred thousand Dollars exclusive of what may appear in M^r Surinwick's Account for the Month of April. A large Sum is also due on Gen^l Guen's Drafts and the Contractors are to be paid in this Month for the Supplies of January last. At the End of this Month therefore that Anticipation must necessarily be much increased. It will appear from the slightest Reflection after what is to be said of our Resources.

These are either foreign or domestic. As to the first I enclose the Copy of the last Letter I have received from M^r Grand and I have to add to what is contained in that Letter that the Day it was received my Drafts on him over and above those mentioned in it amounted to Three million and forty thousand two hundred and forty eight Livres and six Deniers. I have directed therefore M^r Barclay to pay over to M^r Grand any Money which may be in his Possession and I have directed M^r Willink & Co. of Amsterdam to do the same after deducting what may be necessary to pay the Interest of their Loan falling due the first of June next. But as I have no Accounts how much has been borrowed since the End of January and as all which had been borrowed before was disposed of I cannot determine how far they can come in aid of M^r Grand neither can I tell until the Receipt of his Accounts what via he may stand in need of. In these Circumstances I am obliged to leave about eighteen hundred thousand Livres (which remain of a Loan placed in the Hands of M^r Le Conte for answering Drafts in need thro' them) to answer any Deficiency of other Funds to pay my Drafts on M^r Grand - these then Gentlemen are all the foreign Resources except what the French Court may advance on the late Resolutions of Congress and you will see by the enclosed Translation of a Letter from the Minister of France what little Hope is to be entertained from that Quarter. —

Ours

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 Our domestic Resources are two fold first certain Goods and other Property such as the
 Higgins &c. These latter will produce very little and the former are, by the Peace
 very much reduced in Value and from the Nature of the Goods themselves they are
 chiefly unsaleable. Very little Reliance therefore can be placed on this first Depen-
 dence. The Amount I cannot possibly ascertain for I do not yet know (and even
 until the selling of them now in Hand shall be completed) the Goods Quality or
 Situation. Some are damaged those which were deemed most salable have been
 tried at London and went under the first Cost and much the greater Part will
 remain not sell at a fourth of their Value.

The only remaining Resource is in the Taxes and what they may am-
 to it is impossible to tell. But you have enclosed an Account of what they yielded
 four first Months of this Year and you will see from thence that if all Expense has
 ceased on the first Day of this Month the Anticipations already made would not
 have been absorbed by the same Rate of Taxation in eight Months more.

Now then Gentlemen you will please to consider that if your Army is,
 together they will consume as much in one Month as the Taxes will produce in
 and probably much more. To make them three Months Pay will require I suppose
 at least six hundred thousand Dollars and every Day they continue in the Field
 increases the Practicability of sending them Home satisfied. The Anticipations of
 Revenue are three fold two of which appear as to their Effects in the public Account
 and one very considerable one the it produces great Relief is not seen. It con-
 sists in the Drawing of Bills on me for the public Service by different Persons
 and at different Places. I imagine that this Amount at the present time
 is one hundred thousand Dollars. The other Anticipations consist in Loans from
 the Bank or the issuing of my own Notes. As to the first of these it is limited in
 its Nature by the Capital of the Bank which being small will not admit of great
 Extensions and it depends much upon Circumstances whether the Bank will
 go to the extent which they may go. If they find the Revenue increasing and
 the Expenses diminishing they will otherwise they certainly will not. As to the
 Notes & issue (and which form the greater Part of my Anticipations) there have
 been a certain sum to issue which would be useful. I must not so caution that
 Circulation as that I shall be unable to pay them when presented for that would
 totally destroy their Credit and of course their Utility.

Now Gentlemen if any Thing of this Sort should take place before
 the

The Army are disbanded you will see at once, that they could be fed no longer and must of course disband themselves. I will not dwell on the Consequences but I will draw one clear Conclusion which you have doubtless by this time anticipated, that unless they are disbanded immediately the Means of paying them even in the Paper, will be gone. And this Sentiment, I have not only delivered to you, but to a former Committee as well as to many individual Members of Congress.

But Gentlemen when I speak of disbanded the Army I beg to be understood as meaning to reserve a sufficient garrison for West Point. And on this subject I pray to be indulged in a view of our Political and military Situation, as far as relates to this capital object of my Department. And first as to our political Situation I conceive that we are at Peace. It is true that the definitive Treaty is not but we know of competence but it is equally true that all the other belligerent Powers have been disarming for months past and I presume they are at least as well acquainted with the State of things as we are. To express Doubts of the Sincerity of Britain on this Subject is I know a fashionable but in my Opinion a very foolish Language. We have the best Evidence of their Sincerity which the Nature of Things will admit, for we know they are unable to carry on the War and we see & feel that they are lapsing every day and doing every thing in their Power to conciliate our Affections. Expressions of Doubts as to their Sincerity if intended to foster Enmity against them will fail of the Effect and produce the direct Contrary for every Body will soon learn to consider them as unjustly suspected and their Ministers will take care to intimidate and enforce the Sentiment.

As to our military Situation some of the Troops in the Southern States have already mutinied the principal Part of them are ordered away and since the Floridas are ceded to Spain it follows that those Troops which may remain in the Southern States will serve to fight against the Spaniards if they operate at all, so that every Man except those under the Generals immediate Command and the little Garrison of Fort Pitt are in fact disbanded to every Purpose except that of Defence.

The Prisoners are some of them going and the rest gone into New York so that in a few Days the Enemy will be able to do every thing which they could do if the greater Part of our Army were gone Home. For they could retake West Point if it is properly garrisoned and they could ravage the Country in spite of our Army when theirs shall be all collected —

Our Situation therefore seems to be this, We are keeping up an Army

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 Army at a great Expence and very much against their Inclinations for a mere
 Penetive and by that Means incapacitating ourselves from Performing what
 they begin to consider as a kind of Engagement taken with them. I shall detain
 you no longer on this Subject, but must repeat one Observation which is that
 unless the far greater Part of our Expences be immediately curtailed the Object
 Congress had in View by their Resolutions of the second Instant cannot possibly
 be accomplished.

I have the Honor to be

Gentlemen

your most obedient

and

humble Servant

Thos Morris

The Honorable Mr. ~~Cham~~ ~~Peters~~

Mr. ~~Cham~~ ~~Peters~~

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a committee of Congress

May 11. 1783

Suply. to a Com. of Congress

A State of the public finances & the
 resources he can command.

re. to a plan of the from 1781 to 1783
 1783. letter from to General

the necessity of supporting the Army

Summary State of the Receipts and Expenditures,

To the sum of the sums rec^d into the Continental Treasury as stated
to the Committee on the Treasury Department the 23rd day of
April 1783.....872.114.31
Receipts since that date.....69.462.20
Excess of Expenditures beyond the Receipts.....523.509.75
1,323.209.36

Register's Office

N.B. The Receipts & Expenditures of Mr. John Swanwick's
Accounts since the 31st March last, will not appear
in the Treasury Books until the next day of settlement
to wit. The 1st July next.

Public Monies from 1st of January to 30th of April 17.

By Balance of Expenditures to 26th April 1783.....
Expenditure since that day.....

Witnessed: May 15th 1783—

Extract from the Treasury Books
J^{ts}. N^o 17/6 B^y.

Summary State of the Receipts and Expenditures of Public Monies from the 1st Jan^y to the 25th April 1783

	Dollars	Cts
To Amount of Receipts by John Inneswick	503	475 5
do by Michael Hillegar	319	674 26
Anticipation on the Public Credit to 25 April 1783	621	950 21
	<u>1501</u>	<u>099 52</u>

By Anticipation on the Public Credit to the 1st Jan^y 1783

By Amount of Expenditure as auct. herewith

	Dollars	Cts
	404	713 9
	1096	386 43
	<u>1501</u>	<u>099 52</u>

Registers Office Audited: April 25th 1783

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An Account of Monies received into the Public Treasury from the
1st January to the 25th April 1783

By John Swanwick		Dollars	¢	Dollars	¢
From the Sale of 2,311,263.17 3 in Bills of Exchange drawn by the Superintendent of Finance on Messrs Grand Bankers at Paris. Sent. John whereof filed in this Office					
		387	616	26	
Sells on account of 93,000 Guilden in the House of Messrs Willhelm & Willrich, Nicholas and Jacob Van Nephtus, Zede, Lande & Tenne of Amsterdam					
		26	705	40	
For a Draft on William Carmichael Charges for Expenses at the Court of Stratford					
		2	700		
For 100,000 Livres recd. of John Chalons & French Agent					
		16	333	30	
For William Bingham & Co. Loan to the U. States					
		60	000		
John Ryd. Esq. ... for ...					
		10	000		
For one thousand dollars returned by Messrs. Export Bank of New York					
		1	000		
For five dollars & 25¢ recd. of Major David S. Franklin					
		5	30		
For three hundred and twenty dollars recd. in discharge of a German Pension					
		320			
For 100,000 Livres recd. for a Profit arising from the Sale of 100,000 Livres drawn in Bills of Exchange recd. of John Chalons, the net of 16 Bills being 16,333.30 dollars they sold for 16,666.60 dollars consequently a Profit arose being the net of ...					
		333	30		
For forty five dollars & 25¢ for discount on Bills of Exchange					
		378	39		
From the Sale of the cargo of the Ship Hercules Adams					
		3	880	8	
Turn Brig. general William Irvine on account					
		538	12		
				500	475.5
By Michael Millegas					
Received of John Swanwick Tre. for the State of Pennsylvania					
		82	436	73	
of Nehemiah Merrill Tre. for Connecticut					
		40	694	30	
of James Lovell Tre. for Massachusetts					
		63	733	30	
of George Clary Tre. for Rhode Islands					
		11	105		
of William C. Haughton Tre. for New Jersey					
		22	410		
of Theophilus Tre. for New York					
		8	593		
of Benjamin Harwood Tre. for Maryland					
		18	120		
				217	1401.83
of The President, Directors & Co. of the Bank (Paris)					
of Brig. genl. William Smith					
				12	76
of Joshua Barney for accounts kept by Monaghan					
				111	923.61
of John Hancock Esq. of Boston					
				695	76
of General Hazen					
				2	640
of Major David S. Franklin (Error)					
				872	149.31

Expenditure on Warrants drawn in 1783

Dollars - ^q

In payment for Rations	385.275.60
Of Major Gen. Green's Drafts	24.741.45
Pay, Subsistence Money & Contingencies of Army	341.105.68
Cloathing for the Army	49.320.14
Quartermaster General's Department	88.176.23
Commissary Military Ordnance Stores	30.641.19
Hospital Department	7.900.-
Civil & Military Staff	2.930.61
Commissary Prisoners Depart.	1.704.87
Commissary Purchases Depart.	1.496.5
Marine Department	26.982.47
Contingencies	34.182.8
Writ List	37.934.59
Pensions & Gen. Wainwright's Children & Alms &c.	5.081.77
Salaries to Foreign Ministers & Agents	16.736.56

Paid the President Director & Company of
the Bank of North America, being the
balance unpaid by the State of Pennyl.^a
of a draft of the Executive Council on the
Treas: of the State, in favor of the Hon^{ble}
Robert Morris, Agent for the Purchase of
Specific Supplies, and which the said
Bank has discounted for the United States;
this balance is a Debt to the State of Pennyl.^a
on the Settlement of the Aud: of Specific
Supplies

42.176.44
1.096.386.43

Amounting to One Million, nine Hundred and
thousand, the hundred & eighty six Dollars and forty three
Cents

forty three ninetieths of a Dollar, and Comprehends the Paym
made by John Swanwick to the 31st March 1783, the day
which his Account is filled in the Treasury Books
of the United States - The payments made by Mr. Kelly
to this date are included herein -

Errors Excepted.

Regular Office 26 April 1783

Joseph Noyes Esq.

455

An Acc^t of Monies Received by the Several Receivers of Continental Taxes from the 1st January 1783 to the 30th April following viz.

Massachusetts Bay.....	40.713.8.
Rhode Island.....	10.318.
Connecticut.....	36.272.
New York.....	8.200.
New Jersey.....	22.915.19
Pennsylvania.....	88.651.43.
Maryland.....	5.152.8.
Virginia.....	47.461.
	<u>259.682.78.</u>

Register's Office, Extract from the Treasury Books.
 Dated May 16th 1783.

Jos: Norwyl Reg.

Note This State is formed from the
 Returns transmitted by the Receivers
 of Continental Taxes.

RM to the President of Congress, November 10, 1783

Office of Finance 10th Nov^r 1783

My dear Sir
I have the honor to acknowledge the receipt of your letter of the 7th inst. in relation to the Resolution of Congress of the 10th of Septem^r last; I have immediately directed the account of all the Notes which have been issued by us on the credit of the United States together with an account of what Part of them is now in circulation at the time when the account was completed, namely the present instant. By some mistake the Books of Finance were unfortunately left to give an intended list of the sum of each of these Notes but the consequence is of little consequence as the total of each Book is written down. Many of these Notes are paid every day and considerable sums are frequently issued to supply the various demands which this Office is exposed to that the account is exact only for the day on which it was made and there is probably a variance of thousands at the present hour. Congress will easily perceive that the making out of the enclosed lists must have been entrusted to blunders but as they have access to the proper materials and there is no reason to doubt their Fidelity, I think it might be considered as accurate and I hope find satisfaction. I shall afford to Congress any information

compensate the labor which has been bestowed on it.

I have also the honor to enclose an account transmitted by the Treasurer of the State of his Issues which I find he has closed on the twenty ninth of September. This short account will probably convey a more comprehensive Idea of the Subject than the List sent from this Office & but it appeared to me to have been the Desire, being so to possess such a particular Detail as might serve for a Basis to any minute Enquiry which after Circumstances should suggest. From this List a general account may be easily formed but as every such account is continually varying, comprehensive Ideas of the State of the Treasury will better be obtained from the Books of that Office than in any other mode.

With perfect Respect and

I have the honor to be

Yours Excellency

most obedient

humble Servant

Your Excellency

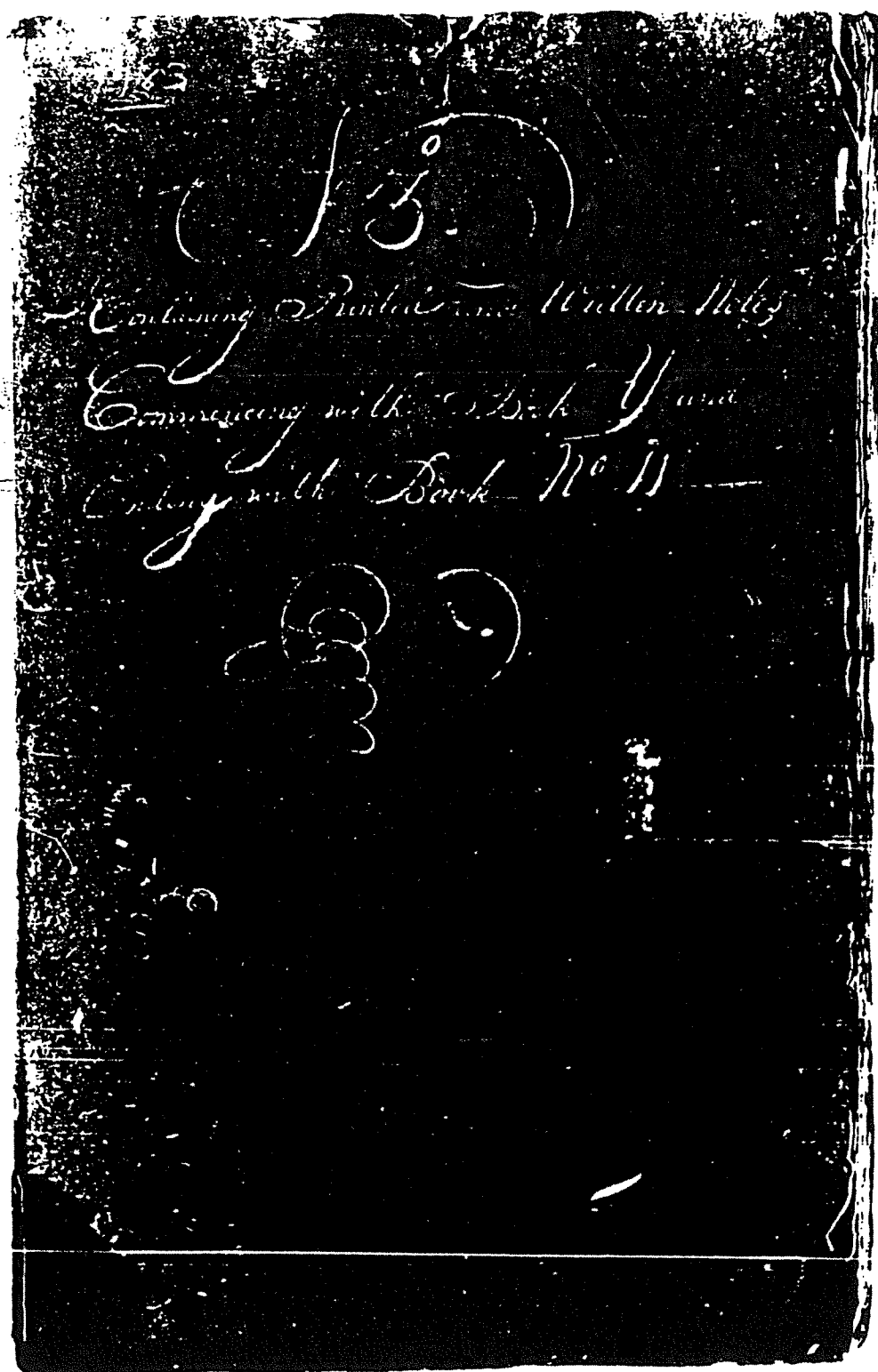
The President of Congress

J. P. Morris

dated 10th November 1783 -

Account of all the Notes issued
on the credit of the U.S. in confor-
mity to Act Sept^r 10th 1783 -

0369



CITY OF NEW YORK		OFFICE OF THE COMMISSIONER OF THE LAND OFFICE	
RECEIVED		JAN 1 1881	
1	51	2	51
2	51	3	51
3	51	4	51
4	51	5	51
5	51	6	51
6	51	7	51
7	51	8	51
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89	51	90	51
90	51	91	51
91	51	92	51
92	51	93	51
93	51	94	51
94	51	95	51
95	51	96	51
96	51	97	51
97	51	98	51
98	51	99	51
99	51	100	51

To The United States in Account of Subsistence Notes Redeem'd by Michael Williges Treasr. Genl.

To the amount of currency Notes Redeem'd as follows

1 st January.....	13. 400.
1 st February.....	13. 552.
1 st March.....	13. 142.
1 st April.....	12. 551.
1 st May.....	12. 854.
1 st June including those not issued.....	17. 387.
1 st July..... ditto.....	8. 214.
1 st August.....	4. 870.
1 st September.....	36.
Remains to be Redeem'd.....	93. 132.
Dollars.....	130. 160.

By Subsistence Notes found as stated as follows.....

550. <i>Notes dated 1st January 1783.....</i>	14. 800.
500 <i>ditto..... 1st February.....</i>	14. 520.
500 <i>ditto..... 1st March.....</i>	14. 520.
500 <i>ditto..... 1st April.....</i>	14. 520.
401. <i>ditto..... 1st May.....</i>	16. 040.
475 <i>ditto..... 1st June.....</i>	10. 000.
75. <i>ditto..... Ditto made out of Order but not issued.....</i>	3. 000.
275. <i>ditto..... 1st July.....</i>	11. 000.
25. <i>ditto..... Ditto made out in part of 275 but not issued.....</i>	1. 000.
326 <i>ditto..... August 1st.....</i>	13. 040.
162. <i>ditto..... 1st September.....</i>	6. 520.
75 <i>ditto..... 1st October.....</i>	3. 000.
Dollars.....	130. 160.

Errors Excepted Philadelphia Sept 29 1783
M. Williges Treasr. Genl.

1712
 written Nov. 19th 1788

There are two reasons for my troubling you with
 this. The one is because I find my mind exceedingly distressed
 the other, because I do not like to disclose it where, perhaps, it
 neither be understood nor attended to, besides which I have never
 entered into correspondence on the subject with any gentleman (or
 General Washington) so much as with yourself on a like occasion
 some short time ago.

While he was living and the issue was in doubt, all my
 were called away from myself, and this thing would rise and then
 a private prey of me for a while, yet an any appearance of public
 early or danger, they would where it could find a way to be
 they had their food and I felt no more of them, and so far from
 discontented I have often been secretly pleased at the opportunity
 sharing in the difficulties of the Country.

But as the war is now over and happily closed the case is
 the cessation of public objects has left leisure for private thinking
~~cannot help being sometimes seriously affected at the thought and~~
~~fact of my own situation.~~ I look around and see a large and
~~more than to be~~

own situation. I look around and see a large and thriving
world towards which freedom and prosperity I have freely and generously
contributed, and yet I see no prospect for myself for to live in it. The
country that ought to have been to me a home, has scarcely been an
affirmation. I sometimes ask myself, what am I better off than in
refuge, and that of the most extraordinary kind, a refuge from
the country I have defended, to that which can owe me no goodwill.
If this be not a precedent that must ^{ruin} serve to kill all kind of public
spirit in individuals, and to blamish the character of a country, then
am I totally at a loss to understand mankind. — I question whether
there is a parallel instance to be produced in the world, of an individual,
circumstances ~~as I have been~~ as I have been, acting for years to-
wards a country as I have done, and that country acting in
return, as America has done by me. Neither am I so much affected
at the ^{personal} inconveniences it lays one under, as I am at the reproach
she is exposing herself to; for the fact unfortunately rests on a point
whose word will reach a great way and be believed.

I am fully persuaded that the ~~best~~ Congress in 1775 & 76 acting

would not have ventured on, but were opposed to the Idea of in-
dependence, until they were called upon, and forced into it, by the
voice of the Country, and the Country too were ^{at that time,} much in the same
state. And the only act of public justice that I have met with, is a
general and candid acknowledgment that they formed their judg-
ment of Independence on the publication of Common Sense. — I did
not reflect upon it at the time, but I can now see that I put myself
in a very dangerous situation by that work, for had the measure failed
I know not where my home could have been, and ~~as~~ ^{but} it has succeeded
I am not much better off.

The part I have acted was as necessary towards the Revolution
as any military operations in the field, because there was a necessity
of changing the whole mind of the Country before any active opera-
tion could be effectual; and this, tho' one of the principal links in
the chain, and apparently as difficult as any, is the only one that
I ^{not only} ~~did not only~~ cast nothing, but to give it every possible chance, I relinquished
all the profits.

That I have a popular interest throughout the States I can-
not be insensible of, yet I conceal my situation from the public for

many reasons - I cannot ~~tell~~ tell it to them without telling it
to all the world and the thing is too disgraceful to be told - Were
the Country in a state of want, and every Civil Department & person
grotesque, I could contentedly share that want, and act that part with
them, and even rejoice in it; tho' in this case they would be better
off than I should be, because they would leave by rotation and I
should not so easily find others to take up my part. But the
reverse of all this is the Case; the Country is rich and her prosperity in-
creasing, and under such circumstances patience is no longer a
virtue.

I might probably do very well in Holland, were it only out of the
profits by taking to myself the profits which the London Booksellers
are making out of the publications which in this Country I am giving
away; for I have no doubt but they have made more out of ~~the~~
my letter to the abbe. Raynal, than my experience of seven years
I have amounted to.

I might likewise do very well in the Emperor's Dominions
as he is a Patron of a popular taste, and seems disposed to make peo-

to give of myself or of America, for continuing with her through all her distress and leaving her in her prosperity.

There is but one final resolution I have formed, which is that of not writing a little part, and I am certain that, in what and how I may be cast, you will not hear any thing mean of me. But I have a much better ground for this than a resolution, because it is not my nature to do otherwise and I should have done it long ago. I have now obtained the rank of being reckoned among the founders of a free Empire, yet if America has any idea of conceiving me as one of her citizens, she cannot think of retaining me by the conduct she pursues, because it amounts to banishment, and except the reputation I have gained, I am much worse off than when I first came, because then I had a home and now I have none. — I am neither black nor noble and I cannot enter on those reflections without suffering deeply from them. What is cast of mind that made me feel for others now makes me feel for myself.

After the business for which I accompanied Mr. Lawrence to France when we were settled, I told him, that as my situation in America had been so much

to France when was settled. I told him, that as my situation in
America had been so exceedingly distressing to me, I could have no
thought of returning to it, and most earnestly requested him
not to think of my going back with him. I repeated it over and
over to him, with a positive assurance that I would never again
it, and that if any opportunity offered in Europe in which I might
promote the ^{cause of} America I would freely do it. His entreaties to me
to return with him were pressing and persistent. He spoke to
Doct. Franklin to advise to me to go back, and he sent a nephew
of Mr. ~~Wells~~ the Doctors Mr. Williams, to me, on the same account
but in fuller terms, tho' the reason for my unwillingness was
conceded from both of them. He felt, as he expressed it, ashamed
of his Country that I should have such reasons, and offered me
that if they were not removed he would sub of his own fortune
remove them himself, which is what he meant in his last
letter to me ~~and~~ subsequent to that which you have, when in
he offered to participate equally with me in every thing which
I proposed. But as I could not think of being a burden upon

... was much surprised to find that my decision
was not changed by the proposal, and I wrote a letter
signed which Col. Laurens was to have brought along
reasons for not returning. When Col. Laurens saw this letter
it was more pressing than before. (The letter is now in Mr
Izards hands) I agreed however to accompany him to Bristol
and see him on board, but when we came there, as he had
no body else with him, and as it was not proper in case of
accidents that he should come alone, I gave up to his request
and getting a grin into the face thought no more of it. I
am now in the same state of uncertainty as then, and see no
end to it. I am sure that over and above a common share
of difficulties I have had my mind most severely tried - for
what can try a man more than the ingratitude of those who
from every one else of course ought to be his friends, except
(which is my case) the majority of his friends, except
disposition must in time wear out.

Yet amongst all these reflections I am still at a loss

Yet amongst all these reflections I am still at a loss
to understand the cause of what I complain. Every body I meet
treats me with respect and assures me of their friendship. I am
loaded with a profusion of thanks, and as modestly as I can
I thank them again and here the matter ends. Almost every
one joins in congratulating ~~one~~ ^{each} other, and frequently the whole
reflection is thrown upon Congress. All this I every day hear,
and as soon as I can put a stop to the conversation.

I cannot blame the public, because they are not acquainted
with the circumstances, neither have they, as a body, any means of knowing
it, ~~for~~ but from me. I cannot on the other hand blame Congress because
there is a nicety in the matter, and because they have not much in their
power, but I cannot avoid blaming them for that thoughtlessness which
they have shown towards me. There has been an apparent want of dispo-
sition as well as means. A sort of desire to let the matter slip over. It
is a deal of I have put up with in point of temper, as well as in point of
Circumstances

But I now wish to bring the matter to a close if one. I am truly
weary of my situation. It is to me like a dungeon without prospects.

country as it is destructive to me.

In considering my own situation I take into the account every thing which is combined or connected with it. As the Country is ^{yet} young and the governments still young, there are many things ^{yet} to be done, and as the Signature Book is that under which the sentiment of the Country has been formed, and is the only one universally known I am careful to preserve its ^{own} ~~appetite~~ ^{corrupt} and therefore I am no way desirous of any dependance ^{on Congress} for the something is due to me as a debt by all the laws of honor, gratitude, and service, yet I would not sacrifice my property, or deprive myself of the pleasure of being useful to ~~any~~ ^{others} for the sake of enjoying it. Besides, every further ~~that Congress can do~~ will be wanted for the Army, and there would appear something of an awkwardness in my promising the payment of Taxes were I to receive any part of them for my own services, as I before mentioned it would be due to me by all the laws.

I think the more eligible way would be to do it by will, in the line in which I have found ~~I stand a considerable man~~, and fit as certainly men of fortune and property can do in America, and to make my situation what it ought to be, without throwing me on Congress or on the public. Be it as it may I ought not to be

to make my situation what it ought to be, with-
out throwing me on the mercy of the public. I ought not to subject
others less honorable or less generous than myself and I am sure I
have given away freely. Besides as mine has been a literary person
there is a propriety in putting it in this line. — Several persons have
made me offers in this way. General Greene in a letter which is in Mr
Morris's hand made me a handsome offer. Col Laurens was, beyond
what I should have accepted had he lived — a person in Norfolk
street who is only a substantial tradesman told me he would gladly
give fifty pounds, and old Ludwig the baker offered me £20
but I should not like to have a subscription looked about the
Country as if it were for charity, because there are gentlemen who
to do it, and to make my situation happy, and these are the
unimpaired & disinterested that has hitherto done good. I can
not say I am not happy, but hitherto I have none. Since I
have this letter I have occupied myself with collecting names
of persons of fine or of good names and families, and on the
other hand I would not trust the subscription, even if it were

enough to do this, than in America and we are doing it
cheerfully.

But whatever ^{method} steps may be justified respecting me,
the first & proper step that can be taken (as it appears to me) will
be for Congress to appoint a Committee to enquire into the services
and situation of Mr. Paine from the commencement of the
war. I shall, in consequence attend the Committee and deliver
them in writing a concise account for each year and this I
think is a necessary foundation, both on account of Congress
and myself - for it will show, in the first place, that I have
not subverted the measures of Congress by any influence
of theirs or pecuniary reward from them, but that I have
done it as the necessary measure of the country and on my
own part it will show that there is a debt of honor
and gratitude due to me. Besides Congress had much
to do with Paine's case and I have much to do with it, because
the Paine case is a case of honor and I have something
of a disinterested interest in it.

of a very sensible & discerning person -
Mr. Allenhouse, show me some time ago, a London
Publication (and I have seen the same idea thrown out some
times) in which it mentions that when they up some
any measures to carry with the Country which they are doubt-
ful of, that, "this Author" say they is set to work to sound the
disposition of the people, and prepare the way. Now as there
has been no such thing, it would be but justice to them-
selves and me to remove the error, both abroad and at
home, for the whole Silander talked much in the same
manner.

I have now, Sir, written you a long and I fear, a trou-
blesome letter - but as you know my situation, and how unwill-
ing I am to make disagreeable things public, & the necessity I
am under of mentioning them to somebody, I can trust them to, I
think you will excuse it. - If you can send it on consulting
with such friends as you may think proper to discuss the subject to.

you should ~~not~~ fall in with the ideas in this letter; I shall
be much obliged to you to promote the appointment of a
Committee for the purpose I have mentioned, because until
this is done, there will be no ground to go on in any thing.

There is one idea I wish to guard against, which is, that
of keeping all expectations of a book out of sight - I mean it as
respect and not a prospect. Besides subscription for a book
will answer me no good purpose, and if I must depend upon that
I should make three times as much of it in England. Besides any
thing for a service yet to be performed here on me and my wife
effect.

I shall be glad to be favored with an opinion from you
on this matter, ~~the~~ ~~now~~ a letter sent to my Lodgings, or
sent to the Warden Town Hall Boat, at the Crooked Well
Wharf. Directed to my old friend, at Warden Town
will come safe to hand - The Hall Boat goes to sea on
Wednesday; and I am going on my prospecting and

will come forth to hand - The Hope Post - twice each
Wednesday; one Sunday - wishing you prosperity and
a reformation of your citizens.

I am your Obedt. Servt.

Thomas. Paine

Dordentown 19 May 1783

Thos Paine

Chevalier de la Luzerne to R.M. June 4, 1783 (French)

H. Roberts Morris.

Exhibit. de L. Juin 1783. 236

14.

Mr.



ai l'honneur de vous communiquer
une lettre de M^{re} la Baronne de Kallb
et je vous prie de vouloir bien prouver
le compte de ce qui est dû à feu le
G^{al} Baron de Kallb. Les excommuniés de la
guerre et la mort de nos Officiers ont
pu se faire famille des lettres sur lesquels
elle feroit fonder elle-même sa réclamation,
mais les registres des Etats unis font
foi de ce qui lui a été donné et de ce
qui peut lui présenter. Je suis persuadé
que l'intention du Congrès est d'en tenir
compte à sa mort et à ses enfants
et de récompenser en argent les services d'un
Officier de ce mérite mort en défendant
la cause des Etats unis. Je vous
prie éb. de vouloir bien par un compte
assez détaillé que les circonstances feroient
le permettre me mettre en état de satisfaire
M^{re} la Baronne de Kallb.

Governor of Cuba to RM, August 26, 1783 (Spanish)

Madrid 26 de Agosto de 1783

A D^o Rob^{to} Monreal

Or,

Mi S^{mo}: P^{re}sentado
por Dⁿ Olwen Pollock, a
quien V. S. me recomien
sa en carta de 31 de ma
yo ult^o, el nombram^{to}
que v^otrabo de los Estados
unidos en forma de
Comodoro p^a venir a esta
Plaza en calidad de ^{re} Ag^{te}
de Comercio de los mismos
Estados, no ha sido dable

Inspiración

En estos temas lo
he expuesto a Sr. Olvera
Pollock para su gobierno, o-
freciéndole quanto en que-
quiera tpo penda de mis
facultades en sus asuntos
y satisfacciones

Tranquilo a v.

la buena les conque seres
exclamando - en su obra
quis pidiendo a Dios
que su vida m. a.

Insipididad

En estos términos a lo
he expuesto a Sr. Olvera
Pollock para su Gobierno, o-
freciéndole quanto en que-
quiera sea penda de mis
facultades en mis deseos
y satisfacciones

Tranquilo a v. d.

la buena ley con que deseo
examinarme, en su obte-
guis pidiendo a Dios
que en vida m. a.

Sir,

Office of Finance Decr. 1783.

I have received your Letter of the 15th Ult^o the Contents very agreeable, how happy should we be if every State in the Union could say as much as you say of the County of Bergen - My Public Engagements coming due the 10th of this Month require every Aid that can be given to preserve any tolerable degree of Credit -

I am Sir,

Your most Obedient

Humble Servant

Thos Morris

To Wm C. Houston Esq^r

Receiver for New Jersey & Trenton

Honble Robert Morris
1 Decr 1783 —

RM to Robert R. Livingston, December 5, 1783

Dear Sir

Philad^a. Decem^r 5th 1783

Mr Vanbrunkell desired me to forward
the letter sent herewith & I cannot pass by the
opportunity of paying my Compliments of Congratulation
to you and your Family on the recovery of
your City, I do assure that my feelings
were so much alive about the time of
the evacuation, that I could hardly contain
my inclination to be a witness of the
afflicting Scenes that must have happened
much public business and want
of Money however compelled me to remain
here. I offer the most sincere & ardent
wishes that the City of New York may soon
be

December 5, 1783

Robert Morris to Robert R. Livingston

be restored to its former flourishing state
 & that it may never again be subjected to
 the Domination of Tyranny. Mr
 Morris joins me in compliments & good wishes
 to Mrs Livingston, Mr. Montgomery & the
 young ladies of yourself

I am Dear Sir

Your most Obedient

very humble servant

Robt Morris

The Honorable

Robt R. Livingston Esq^r
 New York

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To James, Nicholson, Roydet, Hacker, John Green and
 Silas Tallot Esq^r Captains, Luke Matthewman and Joshua Barney
 Esq^r Lieutenants and Joseph Hardy Esq^r Captain of Marines in
 the Navy of the United States of America Greeting—

According to the Term and Effect of a certain Act of the
 United States in Congress Assembled of the twelfth Day of
 June 1782 in that Behalf made and Provided. You
 James, Nicholson, Roydet, Hacker, John Green, Silas
 Tallot, Luke Matthewman, Joshua Barney and
 Joseph Hardy or any Five or Three of you are Hereby
 appointed a Court Martial of which the senior Officer
 shall be President for the Trial of Matthew Parker
 late Captain of Marines in the frigate Alliance on
 a Charge made against him by John Barry Esq^r Captain
 of the said Frigate, a true Copy being as exhibited to me
 is herewith annexed. And of your Proceedings hereon
 make due Return to this Office.

By Order
 James Read Secy

Given under my Hand & Seal at Arms
 in the Marine Office of the United States
 this thirteenth Day of May in the Year of
 our Lord One thousand seven hundred and
 eighty Three.

M^r Morris

The Charge. Disobedience, Orders the consequence was the Detaining of the Ship in Port for
 sundry days and causing an additional Expence.

D^r Sir

I have rec^d your Letters of the twenty third of
March by M^r Penn and eighteenth of July by M^r ^{West} ~~Sidley~~

Accept my Thanks for the trouble you have had in
executing my ^{little} commissions — Remember me to all
our friends whom you may meet with and present me
respectfully to M^{rs} Sidley — I hope we shall see you in
good Health and Spirit in the Spring — Carmichael
was to beat Paris and therefore I think I will enclose
in this a Letter for him. —

It is very problematical whether the
Letter you mention did any good. This is commonly the
case for unless some visible good Effect follows any marked
Piece of conduct whatever it arises is always carried to

had nothing been done. After saying this you will
 clearly see that in order to form precise Judgment on
 Information would be necessary that I have time
 convey or than can indeed be conveyed by Letter.

I am not surprised that the Peace should be
 made Sufferers but I am surprised that you was among
 them because you ought to have had good Information
 if you could not have parried one Stroke you might now
 have recovered lost ground by some other. But perhaps
 you did not like to game and so like many other
 men of similar Tempers have lost the Stake which you
 did not play for —

The British Ministry are wrong in their Policy or
 rather in their conduct with respect to our Trade. I presume
 that they act more from their Circumstances than from
 their Judgment. Not strong enough to make Head against
 the monied Interest they must flatter the Absurdities after
 they cannot remove and wait an excuse for their
 situation

alteration of bond. Thus for instance if France or
 should give us a very free trade or if we should take
 very decisive measures on the occasion I am confident
 would recede and assign their abandonment of the
 hobby horrid doctrines grounded on their navigation
 to the ostensible reasons just mentioned - In reality
 they must know that our friendship is worth a great
 price & that (our friendship out of the question) the
 interest of their own Islands requires ^{the granting} a Liberty of com-
 merce to us. They cannot carry our produce so cheaply as we
 ourselves can do it nor can they prevent smuggling if
 they give a sufficient premium to encourage it - They
 cannot be so absurd as to suppose that Britain will be
 benefited by rendering the Subsistence of her West India
 Planters twenty per cent dearer than it ought to be -
 They cannot suppose that what is so lost can possibly
 be gained in any channel to which Prohibitions may
 turn

with you,
many, supposed to be well informed, are of the same opinion¹⁰⁰

What is the reason that I stand almost alone in the co

untry? It is no matter but I do stand there -

Adieu

believe me
yours

G. M -

Matthew Ridley Esq? -

Dr. J. M. & Mr. Ridley
25 Sept. 1785

His Excell^{ty} Benj^l. Franklin

Sir,

Office of Finance 30 Septem: 1783.

I am to acknowledge the Receipt of your Favours of the seventh of March and twenty seventh of July. For both of them accept my Thanks. You express an Apprehension lest the Union between France and America should be diminished by Accounts from your Side of the Water. This Apprehension does you equal Honor as a Statesman and as a Man. Every Principle which ought to actuate the Councils of a Nation requires from us an affectionate Conduct towards France and I very sincerely lament those Misapprehensions which have indisposed some worthy Men towards that Nation whose Treasure and Blood have been so freely expended for us. I believe

— the

141. the Truth with respect to some to be this. A warm Attachment to America has prevented them from making due Allowances in those Cases where their Country was concerned. Under certain Impressions it was natural for them to think that the french Ministry might do more for us, and it was quite as natural for the ministers to think that we ought to have done more for ourselves. The Moment of Treaty with England was of Course the Moment of Prejudice with english Ministers. I fear that the Impressions made by these were for a little while rather more deep than was quite necessary. But the same Love of America which had raised such strong Antipathy where her Interests were concerned, will of Course stimulate it to an equal Degree when those Interests are assailed from another Quarter. I think I may venture to assure you that the Esteem of this Country for France is not diminished and that the late Representations have not been so unfavorable as you fear.

Our Commerce is flowing very fast towards Great Britain and that from Causes which must for ever influence the commercial Part of Society. Some Articles are furnished by Britain cheap, as many as cheap and all on a long Credit. Her Merchants are attentive and punctual. In her Ports our Vessels always meet with Dispatch.

142. I say Nothing of Language and Manners because I do not think they
 influence so strongly on Commerce as many People suppose but what is of
 no little Importance is that the English having formed our Trade and more
 Manufactures. There is another Circumstance also which must not be for-
 gotten. The great Demand for french Manufactures during the War in-
 creased the Price of many and some Time will be required before it
 can by a fair Competition be discovered which of the two Countries France
 or England can supply us cheapest. The Delays in the public Bills is a
 further Circumstance which militates (a momentary Obstacle) against
 the Trade with France. I must therefore mention to you also a Matter
 which is of great Effect. Until we can navigate the Mediterranean in
 Safety we cannot trade in our own Bottoms with the Ports of France
 or Spain which are on that Sea. And we certainly will not Trade
 there in foreign Bottoms because we do not find the same Convenience
 and Advantage in so doing as in our own Vessels. Unless indeed it
 be on Board of English Ships. This may be a disagreeable Fact but it
 is not the less a Fact. - I believe that Informations are transmitted
 from

143. from hence to the Court which they ought not to rely on. These Servants & doubtless do their Duty in transmitting such Informations but I am persuaded that they are themselves not well informed. Indeed it is quite natural that Men should mistake when they examine and treat of a Subject with which they are unacquainted. And it cannot well be supposed that political Characters are competent to decide on the Advantages and Disadvantages of allowing to or withholding from us a share in the carrying Trade -

On this Subject I will make a further Observation and you may rely on it that I speak to you with Candor and Sincerity not with a View to making any Impressions on the Court. You may communicate or withhold what I say and they may or may not apply it to their own Purposes. If any thing will totally ruin the Commerce of England with this Country it is her blind Attachment to her Navigation Act. This Act which never was the real Foundation of her naval Superiority may and perhaps will be the Cause of its Destruction. If France possess commercial Wisdom she will take Care not to imitate the Conduct of her Rival. The West India Islands can be supplied twenty per cent cheaper in American than in French or British Bottoms I will not trouble you with the Reasons but you may rely

144. rely on the Fact. The Price of the Produce of any Country must materially depend on the Cheapness of Subsistence. The Price at which that Produce can be vendd abroad must depend on the Facility of Conveyance. Now assuming for a Moment (which by the bye is not true) that France might by something like a British Navigation Act, increase her Ships and her Steamers, these Things would necessarily follow. 1.st Her Islands would be left wealthy and therefore left able to consume and pay for her Manufactures 2.nd The Produce of those Islands would be left cheap and therefore left able to sustain the weight of Duties and support a Competition in foreign Markets. 3.rd The Commerce with this Country would be greatly lessened because that any American Ship which finds herself in a french or english or other Port will naturally seek a freight there rather than go elsewhere to look for it because in many Commodities the Difference of Price in different Ports will not compensate the Time and Cost of going from Place to Place to look after them. To these Principal Reasons might be added many others of less Weight the most of little Influence such as the probable Increase of commercial Intercourse by increasing the Connections and Acquaintances of Individuals. To this and to every Thing else which can be said on the

45. Subject by an American I know there is one short Answer always ready.

viz: That we seek to increase our own Wealth. So far from denying that this is among my motives I place it as the foremost and setting aside that Gratitude which I feel for France) I do not scruple to declare that a regard to the Interests of America is with respect to all Nations of the World my political compass. But the different Nations of Europe should consider that in Proportion to the Wealth of this Country will be her Ability to pay for those Commodities which all of them are pressing us to buy. —

The People of this Country still continue as remiss as ever in the Payment of Taxes. Much of this (as you justly observe) arises from the Difficulties of Collection. But these Difficulties are much owing to an ignorance of proper Modes and an Unwillingness to adopt them. In short — the all are content to acknowledge that there is a certain Burthen of Taxation which ought to be borne But each is desirous of Throwing it off of his own Shoulders on those of his Neighbors. Time will I hope produce a Remedy to the Evils under which we labor but it may also increase them. —

Your Applications to the Court for Aid are certainly well calculated.

146. calculated to obtain it, but I am not much surprized at your ill Success in
 deed I should have been much surprized if you had been more fortunate -
 Of all Men I was placed in the Situation to take a deep Concern in the
 Court but I cannot disapprove of the Refusal for we certainly ought to do
 more for ourselves before we ask the Aid of others - Copies of your Letters
 to the Court were laid before Congress and also the copy of the new Contract
 I will enclose with this a further copy of the Ratification of the old if I
 can obtain it in Season from Chinceton where the Congress now are.

I have written also on the Subject of the Debt due
 to the farmer's general and should Congress give me any Orders about
 it I shall attend carefully to the Execution. The Conduct they have main-
 tained with regard to us has been generous and will demand a Return
 of Gratitude as well as of Justice. This I hope my Countrymen will al-
 ways be disposed to Pay. I shall take some proper Opportunity of
 writing to the farmer's General but will wait a while to know what
 may be the Determination of Congress on their Affairs. ~

It gives me much Pleasure to find that the pro-
 posed Establishment of Postoffices we shall shortly be in Condition to main-
 tain

147. maintain more regular and connected correspondence for altho I shall not myself be much longer in public Office I feel for those who are or will be charged with the Affairs of our Country both at Home and abroad. - It will naturally occur however that a good Cypher must be made use of not unfrequently when Dispatches are trusted to foreigners. They have no Regard either to Propriety or even Decency where Letters are concerned.

With very sincere Esteem and Respect -

I am Sir

your Excellency's

most obedient

and

humble Servant

PM.

Thos Rob Morris Esq
Philadelphia

Dear Sir

London June 2 1783

The fears expressed in my last are unfortunately justified. All the exertions of my father, who though struck with the advantage of the plan, was doubtful of its practicability, and of my brother who was thoroughly possessed of our ideas upon the subject, could not preserve it from ill success. As it is an affair of magnitude, you will I hope excuse me if I give you the reasons of failure.

War having all over Europe occasioned large loans, had drained many of their circulating cash; and the funds & publick securities of various kinds by close application ^{were} ~~are~~ giving immense interests, those bought in a common way at second hand giving very near 50%; new loans also were on hand; and trade was reviving, & called for every exertion in order to repair the losses of war. Cassini in opening new connections. My father found himself partly in this situa-

tion. Some of the persons to whom we applied were well persuaded of the greatness & advantage of the scheme, but could not make the immediate necessary advance; others had objections to the distance of the country, the length of time before the advantage was to ~~be~~ accrue; to the failure of part (as they said) similar projects in which they had been deceived, & to their being only one drawer of the money from the Bank of Am^{er}. Others again said that your character & knowledge they were acquainted with, but could not be equally sure it was well managed in case

of your demise. Cash they feared must be advanced for interest upon the loan also; & they must become security for the whole sum borrowed, while they had only partial profits; and difficulties, lastly they said might arise in the division of the lands at the winding up of the whole. Of these objections some were plausible, and others easily got over; but the real truth of the matter seemed to be, that the rich were rich enough, & also old & distrustful; the young would have been sanguine but too poor, to which add that all the rich were not Americans in principle, & many of those who were so, understood the publick, but not the private question relative to our country. Some who had money & approved the scheme wished rather to be upon their own single bottom as far as they embarked. Having failed in procuring parties in the scheme, who were themselves to make the advance, it was next to be tried to raise the money on loan. For this purpose to our names were joined (those of my father & of one of the first houses in London (others of necessity might have been procured) having property to four times the extent of the amount wanted; but as nothing could be done in England, as appears from the first reason

I was then going to Holland, but on stating the general question of raising a sum of money upon the above security to Mr Stokes brother in law and to one or two very deeply interested in Amsterdam & Rotterdam (Mr Crawford among the latter) from their representation, from the knowledge of certain facts I quickly relinquished the attempt. They reasoned as follows. The Dutch do not like either West Indian or American, or mixed security / the only ones we would offer nor even landed security in Europe where they have the highest personal confidence in the parties.

because the transactions might be made litigious and intricate, and were liable to tricks of which they produced many recent instances among people of this country. As to West India security, the Dutch had, during the war, been called on to lend, in cases where the English had given it up, enticed by high interest offered; but they were deceived by merchants and planters and suffered prodigiously by Bankruptcies. They had suffered also by the conquered islands, and they had lost immensely by loans to their own Colony of Surinam, & the word America in the French language was applied to all. Their national losses & expenditures had made cash scarce & raised interest. Besides all this, the Dutch have now the choice of loans at home, & in almost every country in Europe where the lowest interest is $4\frac{1}{2}$ per cent with added Commission besides innumerable private loans upon Securities which they look upon as safer & which are nearer & better understood, as well as shorter & more transferable. These arguments however would not have deterred one had I not found that others had lately made the same attempt without success. When the Dutch were once at ease then at present Messrs. Hope refused to negotiate a loan for 80,000 upon the security of Messrs. Oswald, Rop. Pringle & Sir Laurence Dundas all men of most immense fortunes and who offered English landed security. It being then morally certain that the principal merchants would not be concerned, it was not thought safe or prudent to trust to others, as a money must be lodged for a time in their hands. Had the security moreover been acceptable I must have given up the project. The Dutch merchant (as you well know) does not lend the money himself, but divides the loan into small shares of say of £100 & distributes printed proposals of the terms & security. This business therefore would have required more time than was consistent with our plan, not to mention the impropriety

of exposing our names where there was no certainty of succeeding; but the difficulties set aside the money would also have been too dear. There was no probability of getting money under 5 per cent to which if you add the heavy commission upon negotiating the loan to be deducted from the principal, the heavy commission upon repayment, & the commission upon the paying the interest, you will find that near 10 per cent would have been taken from the capital, the first 6 1/4 upon the average of 10 years.

To conclude having tried the persons most likely in point of reputation, judgment & fortune, we did not think it proper to make further communication, least we should make the credit of the parties state, as well as blow the scheme. What we did was made in various ways but all under injunctions of secrecy; so that if we have not succeeded, I have the pleasure of reflecting that it is not known, that we have failed. I am with the sincerest respect & affection

Dear Sir

Your obedient & much obliged
 humble servant

Letter to Mr. Morgan
 June 2, 1783

Ralph Forster Esq.^r Philad^a, August 8th 1883
 Dear Sir

I have received a copy of your letter of the 29th April last. (The original not come to hand) The moment I cast my eye over the superscription of your letter I knew the hand writing and received a most sensible pleasure in the recollection that my old friend Forster must be living & well. The contents confirmed that to be the case & left me only to regret that you had any hopes to complain of. We must still look forward my good friend & hope that future success will compensate for all the things we have gone wrong in the past.

glad to learn that you have so numerous
 of a Family. They will be a comfort to you
 if they come here. I shall be sure to direct them to call on me
 if they should want employment or
 incline to settle in this Country. I shall
 be happy to serve them if in my power
 but I am not now as formerly bustling
 amongst Ships of Flour barrels and

I have had a series of hard
 Duty during the War in the Public
 service of have been of some use
 in establishing the Independence of
 this Country. I have a Family that
 are now perfectly happy so far as
 it depends

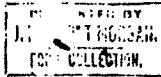
the
 six
 and
 probably
 where
 one day
 covered
 by one
 Narciso
 made
 a letter
 I am

... Worley Woman to my wife and
 and Children four Boys & two Daughters,
 The Eldest Son now in France
 lately gone to Geneva, they will
 probably finish their Education in England
 where you may perhaps meet with them
 one day or other. I have carried on
 considerable business during the War
 by one means or other & with very
 various success, sometimes I have
 made immense sums & at others
 lost again for the whole was
 a lottery as we could not get Insurance
 I can however upon the whole set down
 perfectly contented on the score of Property
 but you can have as I formerly knew

If you could have been in the inter-
 mediate position, your services would have
 been worth a few eye to yourself
 and your Friends. I have lost during
 the War not less than 150 Ball of 4 1/2
 feet of loss in which I was interested
 but as one or two successful Voyages
 paid for ship of Cargo I am upon the
 whole rather a gainer. At present
 I fill the most important Office in
 the American Government but as
 trying to get rid of it because I
 want ease of indulgence to begin
 for a life of Labor of Kustler. Mr.
 Willing is well he has a very large farm
 but unfortunately has lost Mr. Willing

who was a worthy sensible woman
 Your Friends Nixon, Parker & Co.
 Kidd, Ben' Levy, are all living & very
 well. The latter in Baltimore still
 as faithful as ever you 're him.
 Should you want to do any business
 this way address yourself to them?
 I send you 25¢. which is I think
 just for myself and if you can
 point out any mode of rendering
 you service they will be ready
 to do it. Adieu my dear friend
 present Mr Morris my compliments
 to Mr Foster & be assured that I am
 ever yours most truly J. H.

(Pse)



Am. 22. 66. 2. H



Jenck Lehman Esq-

Baltimore

My dear Mr. Lehman
I have the honor to acknowledge
the receipt of your letter of the 15th
inst.



Sept 15. 1863

PHILADELPHIA, September 15, 1863

SIR,

IT is with very great concern that we inform you of the sudden and unexpected Death of our late Friend and Partner, Mr. Samuel Ingels, who departed this Life, after a short illness, in the Night of the 13th Instant. This worthy Man has conducted the Affairs of our late Society, under the Firm of Samuel Ingels & Co. entirely to our Content, and, so far as we know, to the Satisfaction of all those that were interested in his Transactions. We lament his Loss most sincerely.

After paying the Tribute due to his Memory, it became necessary to supply his Place, which we have done, by taking into our Partnership, Mr. John Swannick, a young Gentleman that was bred up under Mr. Morris, who has since employed him in a very extensive course of public and private Business. In the execution of which he has answered the most sanguine Expectations that were formed of him, and proved that his Integrity, Application and Talents entitle him to our utmost Confidence. We have concluded to introduce our Names into the new Firm, and pray your Attention upon all Occasions, to that of Willing, Morris and Swannick.

We are, Respectfully,

Sirs,

Your most obedient

and most humble Servants,

W. Willing
J. Morris

PHILADELPHIA,

Sept 22. 1833

S I R,

REFERRING you to the annexed circular Letter from the surviving Partners of the late House of *Willing, Morris & Co.* and *Samuel Inglis & Co.* we only add the present Lines, for the purpose of rendering the future service of this new Establishment under the respective Signatures of the Partners.

We have the Honour to be,

Sir,

Your most obedient

and most humble Servants,

The Signature of your most humble Servant, T. Willing,

The Signature of your most humble Servant, R. Morris,

The Signature of your most humble Servant, J. Swanwick,

William Morris & Swanwick
William Morris & Swanwick
William Morris & Swanwick

Wm. H. H. H. H. H.
Wm. H. H. H. H. H.
Wm. H. H. H. H. H.

BUDGET FORM

187

Project Director Elizabeth M. Nuxoll	If this is a revised budget, indicate the NEH application/grant number:
Applicant Organization Queens College and the Research Foundation of the City University of New York	Requested Grant Period From <u>7/94</u> to <u>6/96</u> mo/yr mo/yr

The three-column budget has been developed for the convenience of those applicants who wish to identify the project costs that will be charged to NEH funds and those that will be cost shared. FOR NEH PURPOSES, THE ONLY COLUMN THAT NEEDS TO BE COMPLETED IS COLUMN C. The method of cost computation should clearly indicate how the total charge for each budget item was determined. If more space is needed for any budget category, please follow the budget format on a separate sheet of paper.

When the requested grant period is eighteen months or longer, separate budgets for each twelve-month period of the project must be developed on duplicated copies of the budget form.

SECTION A — budget detail for the period from 7/94 to 6/95 mo/yr mo/yr

1. Salaries and Wages

Provide the names and titles of principal project personnel. For support staff, include the title of each position and indicate in brackets the number of persons who will be employed in that capacity. For persons employed on an academic year basis, list separately any salary charge for work done outside the academic year.

name/title of position	no.	method of cost computation (see sample)	NEH Funds (a)	Cost Sharing (b)	Total (c)
E. Nuxoll/Project Dir. Coeditor	[1]	12 mos. FT @ (b) (6)	\$ (b) (6)		\$ (b) (6)
M. Gallagher/Coeditor	[1]	11 mos. FT @ (b) (6)	(b) (6)	(b) (6)	(b) (6)
K. Mullen/Asst. Editor	[1]	52 wks. x 20 hrs. x (b) (6)	(b) (6)		(b) (6)
Student Aide	[1]	52 wks. x 10 hrs. x (b) (6)	(b) (6)		(b) (6)
Research Assistant	[1]	10 mos. x 20 hrs. @ stipend (b) (6)		(b) (6)	(b) (6)
	[]				
	[]				
	[]				
SUBTOTAL			\$ 82,506 ✓	\$ 35,161	\$ 117,667 ✓

2. Fringe Benefits

If more than one rate is used, list each rate and salary base.

rate	salary base	(a)	(b)	(c)
30 % of	(b) (6)	\$ (b) (6)	(b) (6)	\$ (b) (6)
12 % of	(b) (6)	(b) (6)		(b) (6)
No fringe benefits on \$6,700 stipend.		\$ 24,190 ✓	\$ 8,539	\$ 32,729 ✓
SUBTOTAL				

3. Consultant Fees

Include payments for professional and technical consultants and honoraria.

name or type of consultant	no. of days on project	daily rate of compensation	(a)	(b)	(c)
Clarence L. Ver Steeg	honorarium	\$ _____	\$ 500		\$ 500
E. James Ferguson	honorarium	\$ _____	500		500
		\$ _____			
		\$ _____			
		\$ _____			
SUBTOTAL			\$ 1,000		\$ 1,000

4. Travel

For each trip, indicate the number of persons traveling, the total days they will be in travel status, and the total subsistence and transportation costs for that trip. When a project will involve the travel of a number of people to a conference, institute, etc., these costs may be summarized on one line by indicating the point of origin as "various." All foreign travel must be listed separately.

[illegible]

5. Supplies and Materials

Include consumable supplies, materials to be used in the project, and items of expendable equipment; i.e., equipment items costing less than \$500 or with an estimated useful life of less than two years.

item	basis/method of cost computation	(a)	(b)	(c)
<u>Computer Supplies</u>	@ \$350 per year	\$ 175	\$ 175	\$ 350
<u>Expendable Supplies</u>	@ \$250 per year	125	125	250
<u>Research Materials</u>	@ \$250 per year	125	125	250
<u> </u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>
<u> </u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>
<u> </u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>
<u> </u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>
<u> </u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>
<u> </u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>
	SUBTOTAL	\$ 425	\$ 425	\$ 850 ✓

6. Services

Include the cost of duplication and printing, long distance telephone, equipment rental, postage, and other services related to project objectives that are not included under other budget categories or in the indirect cost pool. For subcontracts over \$10,000, provide an itemization of subcontract costs on this form or on an attachment.

item	basis/method of cost computation	(a)	(b)	(c)
Computer Repair	@ \$750 per year	\$ 375	\$ 375	\$ 750 ✓
Duplication	@ \$400 per year	200	200	400 ✓
Mainframe Computer Timesharing	@ \$3,000 per year		3,000	3,000
	SUBTOTAL	\$ 575	\$ 3,575	\$ 4,150 ✓

Include participant stipends and room and board, equipment purchases, and other items not previously listed. Please note that "miscellaneous" and "contingency" are not acceptable budget categories. Refer to the budget instructions for the restriction on the purchase of permanent equipment.

9. Indirect Costs [This budget item applies only to institutional applicants.]

☒ Current indirect cost rate(s) has/have been negotiated with a federal agency. (Complete items A and B.)

- date of agreement

TOTAL INDIRECT COSTS

\$ 159,061 \$ 85,307 \$ 244,368

*Requested at 46%; the balance is cost-shared.

BUDGET FORM

19C

Project Director Elizabeth M. Nuxoll	If this is a revised budget, indicate the NEH application/grant number:
Applicant Organization Queens College and the Research Foundation of the City University of New York	Requested Grant Period From <u>7/94</u> to <u>6/96</u> mo/yr mo/yr

The three-column budget has been developed for the convenience of those applicants who wish to identify the project costs that will be charged to NEH funds and those that will be cost shared. FOR NEH PURPOSES, THE ONLY COLUMN THAT NEEDS TO BE COMPLETED IS COLUMN C. The method of cost computation should clearly indicate how the total charge for each budget item was determined. If more space is needed for any budget category, please follow the budget format on a separate sheet of paper.

When the requested grant period is eighteen months or longer, separate budgets for each twelve-month period of the project must be developed on duplicated copies of the budget form.

SECTION A — budget detail for the period from 7/95 to 6/96 mo/yr mo/yr

1. Salaries and Wages

Provide the names and titles of principal project personnel. For support staff, include the title of each position and indicate in brackets the number of persons who will be employed in that capacity. For persons employed on an academic year basis, list separately any salary charge for work done outside the academic year.

name/title of position	no.	method of cost computation (see sample)	NEH Funds (a)	Cost Sharing (b)	Total (c)
E. Nuxoll/Project Dir. Coeditor	[1]	12 mos. FT @ (b) (6)	\$ (b) (6)	\$ (b) (6)	\$ (b) (6)
M. Gallagher/Coeditor	[1]	11 mos. FT @ (b) (6)		(b) (6)	(b) (6)
K. Mullen/Asst. Editor	[1]	52 wks. x 20 hrs. x (b) (6)	(b) (6)	(b) (6)	(b) (6)
Student Aide	[1]	52 wks. x 10 hrs. x (b) (6)	(b) (6)	(b) (6)	(b) (6)
Research Assistant	[1]	10 mos. x 20 hrs. stipend (b) (6)		(b) (6)	(b) (6)
	[]				
	[]				
	[]				
SUBTOTAL			\$ 48,700	\$ 73,655	\$ 122,355

2. Fringe Benefits

If more than one rate is used, list each rate and salary base.

rate	salary base	(a)	(b)	(c)
30 % of	\$ (b) (6)	\$ (b) (6)	\$ (b) (6)	\$ (b) (6)
12 % of	\$ (b) (6)	(b) (6)	(b) (6)	(b) (6)
SUBTOTAL		\$ 14,294	\$ 19,795	\$ 34,089

No fringe benefits on \$6,700 stipend.

3. Consultant Fees

Include payments for professional and technical consultants and honoraria.

name or type of consultant	no. of days on project	daily rate of compensation	(a)	(b)	(c)
Clarence L. Ver Steeg	honorarium	\$	\$	\$ 500	\$ 500
E. James Ferguson	honorarium	\$		500	500
		\$			
		\$			
		\$			
SUBTOTAL			\$	\$ 1,000	\$ 1,000

For each trip, indicate the number of persons traveling, the total days they will be in travel status, and the total subsistence and transportation costs for that trip. When a project will involve the travel of a number of people to a conference, institute, etc., these costs may be summarized on one line by indicating the point of origin as "various." All foreign travel must be listed separately.

from/to	no. of persons	total travel days	subsistence costs	+ transportation costs	= NEH Funds (a)	Cost Sharing (b)	Total (c)
<u>NYC/Washington, DC</u>	[1]	[3]	\$ 125	\$ 125	\$ _____	\$ 250	\$ 250
_____	[]	[]	_____	_____	_____	_____	_____
_____	[]	[]	_____	_____	_____	_____	_____
_____	[]	[]	_____	_____	_____	_____	_____
_____	[]	[]	_____	_____	_____	_____	_____
_____	[]	[]	_____	_____	_____	_____	_____
_____	[]	[]	_____	_____	_____	_____	_____
SUBTOTAL						\$ 250	\$ 250

Include consumable supplies, materials to be used in the project, and items of expendable equipment; i.e., equipment items costing less than \$500 or with an estimated useful life of less than two years.

item	basis/method of cost computation	(a)	(b)	(c)
Computer Supplies	@ \$350 per year	\$ 175	\$ 175	\$ 350
Expendable Supplies	@ \$250 per year	125	125	250
Research Materials	@ \$250 per year	125	125	250
	SUBTOTAL	\$ 425	\$ 425	\$ 850

Include the cost of duplication and printing, long distance telephone, equipment rental, postage, and other services related to project objectives that are not included under other budget categories or in the indirect cost pool. For subcontracts over \$10,000, provide an itemization of subcontract costs on this form or on an attachment.

item	basis/method of cost computation	(a)	(b)	(c)
<u>Computer Repair</u>	<u>@ \$750 per year</u>	\$ 375	\$ 375	\$ 750
<u>Duplication</u>	<u>@ \$400 per year</u>	200	200	400
<u>Mainframe Computer Timesharing</u>	<u>@ \$3,000 per year</u>		3,000	3,000
<u> </u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>
<u> </u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>
<u> </u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>
<u> </u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>
<u> </u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>
<u> </u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>
	SUBTOTAL	\$ 575	\$ 3,575	\$ 4,150

Include participant stipends and room and board, equipment purchases, and other items not previously listed. Please note that "miscellaneous" and "contingency" are not acceptable budget categories. Refer to the budget instructions for the restriction on the purchase of permanent equipment.

9. Indirect Costs [This budget item applies only to institutional applicants.]

☒ Current indirect cost rate(s) has/have been negotiated with a federal agency. (Complete items A and B.)

- A. Dept. of Health and Human Services March 31, 1993
name of federal agency date of agreement

10. Total Project Costs (direct and indirect) for Budget Period

*Requested at 46%; the balance is cost-shared.

SECTION B — Summary Budget and Project Funding**SUMMARY BUDGET**

Transfer from section A the total costs (column c) for each category of project expense. When the proposed grant period is eighteen months or longer, project expenses for each twelve-month period are to be listed separately and totaled in the last column of the summary budget. For projects that will run less than eighteen months, only the last column of the summary budget should be completed.

Budget Categories	First Year/ from: 7/94 to: 6/95	Second Year/ from: 7/95 to: 6/96	Third Year/ from: to:	TOTAL COSTS FOR ENTIRE GRANT PERIOD
1. Salaries and Wages	\$ 117,667	\$ 122,355	\$ _____	= \$ 240,022
2. Fringe Benefits	32,729	34,089	_____	= 66,818
3. Consultant Fees	1,000	1,000	_____	= 2,000
4. Travel	250	250	_____	= 500
5. Supplies and Materials	850	850	_____	= 1,700
6. Services	4,150	4,150	_____	= 8,300
7. Other Costs	_____	_____	_____	= _____
8. Total Direct Costs (items 1-7)	\$ 156,646	\$ 162,694	\$ _____	= \$ 319,340 ✓
9. Indirect Costs	\$ 87,722	\$ 91,109	\$ _____	= \$ 178,831
10. Total Project Costs (Direct & Indirect)	\$ 244,368	\$ 253,803	\$ _____	= \$ 498,171 ✓

PROJECT FUNDING FOR ENTIRE GRANT PERIOD**I. Requested from NEH:**

Outright \$ 199,492
 Federal Matching \$ 53,000
 TOTAL FROM NEH: \$ 252,492

II. Cost Sharing:¹

A. Third-Party Contributions \$ 53,000
 B. Applicant Contributions \$ 118,679
 TOTAL COST SHARING: \$ 171,679 ✓ 40% of 424,171

III. Funding from Other Federal Agencies: \$ 74,000 NHPRC

TOTAL COST SHARING AND FUNDING
 FROM OTHER FEDERAL AGENCIES (II + III): \$ 245,679 ✓

TOTAL PROJECT FUNDING (Total of I + II + III)² = \$ 498,171 ✓

¹ Under Cost Sharing, line II.A. should indicate the amount of contributions to be made by third parties (including any third-party cash gifts that will be raised to release federal matching funds). On line II.B., indicate the amount that will be contributed to the project by the applicant institution. NOTE that the Endowment's cost-sharing expectations may be met either through contributions from third parties or from the institution's own resources.

² Total Project Funding should equal Total Project Costs.

Institutional Grant Administrator

Complete the information requested below when a revised budget is submitted. Block 11 of the application cover sheet instructions contains a description of the functions of the institutional grant administrator. The signature of this person indicates approval of the budget submission and the agreement of the organization to cost share project expenses at the level indicated under "Project Funding."

Guatama M. Prasad, Director, Office of Research
 and Sponsored Programs, Queens College

Name and Title (please type or print)

Telephone (718) 997-5400

area code

Signature

Date

NEH Application/Grant Number: _____

BUDGET JUSTIFICATION

Background

The Summary Budget for this proposal lists the expected funding sources for the project.

The National Endowment has provided the bulk of the funding for the Morris Papers since its inception in 1968. Annual supplemental funding has been provided by the National Historical Publications and Records Commission since 1976. Although the level of its future appropriations from Congress remains uncertain, we have projected an annual grant from NHPRC in the Summary Budget.

Justification for specific items in the budget follows:

Salaries and Wages

Editorial staff. Salary increases have been calculated at 4 percent. Compensation for the editors falls at the low end of the current average salary range for each rank according to figures compiled by the National Historical Publications and Records Commission.

Research Assistants. A research assistant valued at between (b) (6) and (b) (6) annually (depending on the stipend allotted the student) is assigned to the project by the Graduate Center of the City University of New York. For budget purposes the allocation is listed at (b) (6) the approximate stipend of our current assistant.

Consultants

Clarence L. Ver Steeg, Professor of History and former Dean of the Graduate School of Northwestern University, had been serving as Editorial Advisor to the Morris project since its inception. A distinguished scholar and author of a prize-winning study of Robert Morris, Dr. Ver Steeg is thoroughly familiar with his papers. Professor Ver Steeg will continue to read and comments on important notes while they are in progress, though to a lesser extent than heretofore, and will review and comment in detail on the entire manuscript before it is sent to the press. During the two years for

which funding is requested in this proposal Professor Ver Steeg will read volume 9 in its entirety. Rather than being paid as heretofore on a quarterly basis, he is awarded an honorarium of \$1,000.

Retired and living in California, E. James Ferguson is now Editor Emeritus and Emeritus Professor of History at Queens College. He assists us by commenting both on annotation in progress that is particularly related to his special expertise in early American financial history and on volumes as a whole when sent to him after our final editing. The consultant's payment in the second year of this proposal represents compensation to him for reading and commenting on the manuscript of volume 9.

Travel

Most of the unpublished documents or artifacts needed to annotate or illustrate the Morris Papers volumes are on deposit in institutions either in Washington, D.C., or in Philadelphia. Research trips to these places are necessary occasionally to complete the final verification of texts that prove unreliable or are unreadable from the photocopies or microfilms in our office, to do research for annotation, and to locate and arrange for the duplication of illustrations. We have, therefore, included in the budget funds for a trip to Philadelphia for research at the Historical Society of Pennsylvania, American Philosophical Society, and other Philadelphia repositories, and one to Washington, D.C., for research in the Library of Congress and the National Archives.

Supplies and Research Materials

An editorial project like the Morris Papers consumes an appreciable quantity of office supplies. Many of these are now supplied by the college but some items are not among those stocked by their supply department. Chief among our expenditures in this category are binders and stationary supplies of varying kinds.

The annotation of Morris's diary and correspondence requires us to resort to a variety of specialized research materials not readily available in our office, the Queens College Library, or New York City. During the

preparation of a volume requests go out to research libraries, historical societies, and state archives throughout the country and abroad requesting copies of relevant eighteenth-century documents either in photocopy form or microfilm. We must also have on hand for ready reference pertinent articles from scholarly journals. Some books and Ph.D. dissertations prove so useful that copies must be purchased for continuous reference.

Services

We have dropped maintenance agreements and budgeted \$1,450 to cover any necessary parts and repairs for our computers. Time-sharing costs on the CUNY mainframe, contributed by Queens College, are also listed. The mainframe is presently used primarily for library catalogue searches, and for Bitnet communications with other projects and with computer networks of editors and of scholars in related fields. It also remains in reserve for the cumulative index of the series should that prove too large for the PC version of CINDEK.

Indirect Costs

The approved federal indirect cost rate for Queens College is 56 percent. As part of the increased institutional support of the project, indirect costs are budgeted at 46 percent of Modified Total Direct Costs and the balance will be cost shared.

APPENDICES

CURRICULA VITAE

Elizabeth Miles Nuxoll

EDUCATION:

- Ph.D., 1979. CUNY Graduate Center, New York, New York
Major: American History; Minor: Eastern European History
- M.A., 1965. University of Wyoming, Laramie, Wyoming
Major: American Studies; Minor: International Relations
- B.A., 1964. Marymount Manhattan College, New York, New York
Major: History; Minors: Education, Economics, and Political Science

EDITORIAL EXPERIENCE:

- Papers of Robert Morris, CUNY Research Foundation and Queens College of CUNY, Flushing, New York
1987 to date: Project Director and Coeditor
1981 to 1986: Associate Editor
1977-1980: Assistant Editor
1968-1971: Research Assistant and Assistant Editor

TEACHING EXPERIENCE:

- Hofstra University, Hempstead, New York, Fall 1984
Adjunct Associate Professor
(American History survey to 1865)
- Lehman College of CUNY, Bronx, New York, 1972-1974
Adjunct Lecturer and Graduate Reader in American History
- Hunter College of CUNY, New York, New York, 1969-1970
Lecturer in American History
- Queens College of CUNY, Flushing, New York, 1967-1969
Adjunct Lecturer in Contemporary Civilization I
(European History and Culture until 1789)
- Mephram High School, Bellmore, New York, 1965-1967
Social Studies Teacher, 10th and 11th Grade (American History, American Studies, and European History)

RESEARCH AND PUBLICATIONS:

The Papers of Robert Morris, 1781-1784, vol. I (1973), V (1980), (Assistant Editor); VI (1984), VII (1988), (Associate Editor)

Doctoral Dissertation: "Congress and the Munitions Merchants: The Secret Committee of Congress during the American Revolution." Published by Garland Publishing, Inc., 1985, in its series Dissertations in American Economic History

Master's Thesis: "U.S. Cultural Relations: Exchanges in the Arts, 1954-1964"

ARTICLES:

"Robert Morris," "The Bank of North America," "The Nationalist Movement," and "The Secret Committees of Congress," in Encyclopedia of the American Revolution (New York: Garland Publishing, 1993)

"The American Navy, the War of Finance, and the Quest for Specie," New Interpretations in Naval History (Annapolis, Md.: Naval Institute Press, 1989)

"Revolutionary Finances: New Findings on the Notes and Coins of the Revolutionary Era," The Asylum (Quarterly Journal of the Numismatic Bibliomania Society), VII, No. 2 (1989), 7-12

Biographical and bibliographical sketch of Robert Morris in Research Guide to American Historical Biography (Washington, D.C.: Beacham Publishing, 1988)

Biographical sketches of Josiah Quincy (1772-1864), David Low Dodge (1774-1852), Isaac Tatem Hopper (1771-1852), and Elizabeth Sanders (1762-1851) in Great American Reformers (New York: H. W. Wilson Company, 1985)

"The Bank of North America and Robert Morris's Management of the Nation's First Fiscal Crisis," Business and Economic History, XIII (1984), 159-170

"Congressional Investigation of Government Corruption during the American Revolution" (with E. James Ferguson), Congressional Studies, VIII, No. 2 (1981), 13-36

"Colonial America: The Eighteenth Century," (Review Article), Trends in History, I (Spring/Summer, 1979), 171-183

ORAL PRESENTATIONS:

"'Altogether in his Pay?': Robert Morris, the 'Nationalist' Movement, and the Philadelphia Press in the Confederation Era," paper presented at a conference on "Centers and Peripheries of Enlightenment," sponsored by the East-Central American Society for Eighteenth-Century Studies and the Eighteenth-Century Scottish Studies Society, Philadelphia, October 30, 1992

"Robert Morris and United States Monetary Policy After the Collapse of the Continental Dollar," paper presented at conference on "Money: Lure, Lore and Liquidity," Hofstra University, November 23, 1991

"The American Navy, the 'War of Finance' and the Quest for Specie," paper presented at Eighth Naval History Symposium, U.S. Naval Academy, Annapolis, Maryland, September 25, 1987

Commentator for panel on "The Economics of the American Revolution and Constitution" at the meeting of the Social Science History Association, St. Louis, Missouri, October 17, 1986

"Robert Morris and the Dilemmas of Nationalist Party Leadership: Newburgh as a Test Case," paper presented at the Columbia University Seminar on Early American History and Culture, September 9, 1986

"Freneau, France, and the Office of Finance," paper presented at the conference of the Northeast American Society for 18th Century Studies, Providence, Rhode Island, November 2, 1984

"The Bank of North America and Robert Morris's Handling of the Nation's First Fiscal Crisis," paper presented at the Business History Conference, Hartford, Connecticut, March 10, 1984. Published in Business and Economic History, 1984

"Robert Morris and the Handling of the Nation's First Fiscal Crisis," paper presented at Duquesne University History Forum, Pittsburgh, Pennsylvania, October 22, 1982

Commentator, substituting for Rebecca Gruver, Panel on Reviewing American History Survey Textbooks, Organization of American Historians Conference, Philadelphia, April 3, 1982

"Illegitimacy, Family Status, and Property in Revolutionary America: The Morris-Croxall Family as a Case Study," paper presented at The Fifth Berkshire Conference on the History of Women, Vassar College, Poughkeepsie, New York, June 18, 1981

"The Munitions Merchants and Franco-American Relations during the American Revolution," paper presented at the conference of the Northeast American Society for 18th Century Studies, C.W. Post Center, Long Island University, October 18, 1980

"Recent Trends in Early American Political History" (with Catherine Silverman), paper prepared for panel on New Directions in Political History, at conference on New Frontiers in History, Seton Hall University, South Orange, New Jersey, April 17, 1979

AWARDS AND HONORS:

N.Y. State Regents Scholarship; Marymount College Scholarship; Graduated cum laude; U. of Wyoming Fellowship; N.Y. State Regents Fellowship; Alternate for NHPRC Fellowship in Historical Editing (1977-1978)

PROFESSIONAL ORGANIZATIONS:

Association for Documentary Editing; Columbia Seminar in Early American History; Institute of Early American History and Culture; East-Central American Society for 18th Century Studies

ADDRESS and TELEPHONE:

Elizabeth M. Nuxoll

(b) (6)

(b) (6)

Papers of Robert Morris
Queens College of CUNY
65-30 Kissena Blvd.
Flushing, New York 11367-1597
718/997-5180/5182

MARY A. Y. GALLAGHER

EDUCATION

Ph.D., 1978: Graduate School and University Center of the City
University of New York
Major: Latin American History; Minor: American History, Colonial
Period through Revolutionary War

M.A., 1967: University of Notre Dame, South Bend, Indiana
Major: Latin American History; Minor: American History

B.A., 1966: Notre Dame College of Staten Island, New York
Majors: History, Music; Minor: French

AREAS OF SPECIALIZATION

Latin America: Colonial Period
United States: Colonial Period
Iberian Peninsula: 18th Century

AWARDS AND HONORS

University Fellowship, University of Notre Dame, 1966-1967
Research Assistantship, CUNY Graduate Center, 1968-1971
Fulbright-Hays, Spain, 1970 (declined)
CUNY Research Subsidy, Peru, 1972
AAUW Shirley Farr Dissertation Fellowship, 1977
Mellon Post-Doctoral Award, First Alternate, CUNY, 1978

EDITORIAL EXPERIENCE

Papers of Robert Morris, Queens College of the City University of New
York

1987 to date: Coeditor
1985 to 1986: Associate Editor
1981-1985: Assistant Editor
1979-1980: Editorial Assistant
1973-1979: Translator
1971-1973: Assistant Editor

TEACHING EXPERIENCE

Brooklyn College of CUNY
1992 to date: Adjunct Professor

Hunter College of CUNY
1986 to 1992: Adjunct Assistant Professor

Notre Dame College of Staten Island, Staten Island, New York
1967-1968: Instructor in History

Stamford Catholic High School, Stamford, Connecticut
1963-1966: Social Studies Teacher

RESEARCH AND PUBLICATIONS

The Papers of Robert Morris, 1781-1784, vols. I (1973), II (1975), and VI (1984), [assistant editor]; VII (1988) [associate editor]; VIII (forthcoming) [coeditor]

Doctoral Dissertation: "Imperial Reform and the Struggle for Regional Self-Determination: Bishops, Intendants and Creole Elites in Arequipa, Peru (1784-1816)"

"Padre Antonio Vieira and the Role of the New Christians in Portugal, 1640-1668," The Journal of the American-Portuguese Cultural Society, Vol. IV, Nos. 3-4, 1970, pp. 14-30, 58-62.

"Aristocratic Opposition to the Establishment of a Foundling Home in Arequipa, Peru," Studies in Eighteenth Century Culture, (University of Wisconsin Press, 1979), Vol. 9, pp. 45-58.

"Interactions," Trends in History, Vol. 1 (Fall, 1979), pp. 153-164.

"Army Pay" and "Naval Pay" in the Encyclopedia of the American Revolution (Garland Press, 1993)

PAPERS PRESENTED

"Aristocratic Opposition to the Establishment of a Foundling Home in Arequipa, Peru" at the Convention of the Northeast American Society for Eighteenth-Century Studies, October, 1977.

"Women and the Establishment of the Foundling Home in Arequipa, Peru, 1780-1805" at the Fourth Berkshire Conference of Women Historians, August, 1978.

"The Conflict over the Appointment of Parish Clergy to Ecclesiastical Benefices in Peru: The Case of Arequipa, 1784-1795" at the Convention of the Northeast American Society for Eighteenth-Century Studies, October, 1978; and at the Seton Hall University Conference, April, 1979.

"Imperial Reform and Creole Ambition: The Conflict over Higher Education in Peru, 1750-1825" at the Convention of the East-Central Region of the American Society for Eighteenth-Century Studies, November 1979.

"Indian Emancipation and Arequipeñan Enterprise, 1785-1795" at the Convention of the Northeast American Society for Eighteenth-Century Studies, October, 1980.

"Naval Pay and Captain's Privilege during the American Revolution" at the Eighth Naval History Symposium, September, 1987.

"The Office of Finance and the Development of Free Trade Ideology." at the East-Central/American Society for Eighteenth-Century Studies, October, 1991.

"Soldiers, Citizens, and Nationalists: The Philadelphia Mutiny of 1783" at the East-Central/American Society for Eighteenth-Century Studies, October, 1992

"Pocket Money and Political Power: The Reform of Santa Catalina de Arequipa, 1788-1800" at the American Historical Association Convention, December, 1992

PROFESSIONAL ORGANIZATIONS

Association of Documentary Editors; Columbia Seminar in Early American History; East-Central/American Society for Eighteenth-Century Studies
American Historical Association; Schomburg Center Associate

KATHLEEN H. MULLEN

EDUCATION

- M.A., 1985. New York University, New York, New York
Major: History
- B.A., 1981. Queens College/CUNY, Flushing, New York
Major: History
Minor: Honors Program in the Study of the Western Tradition

EXPERIENCE

The Papers of Robert Morris, Queens College/Research Foundation of the City
University of New York, Flushing, New York

1989 - present	Assistant Editor
1985 - 1986	Assistant Editor
1981 - 1985	Editorial and Administrative Assistant

Energy Transportation Group, Inc., New York, New York

1986 - 1988	Assistant to the Vice President for Finance and Administration for two entrepreneurial ventures: Aesir Group, Inc., which developed artificial intelligence software; and Foodfax, Inc., a computerized point-of-sale recipe and coupon system.
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THE NORTH CAROLINA HISTORICAL REVIEW
VOLUME LXVII, NUMBER 4, OCTOBER, 1990

The Papers of Robert Morris, 1781-1784 Volume 7: November 1, 1782-May 4, 1784.
Edited by John Catanzariti. (Pittsburgh: University of Pittsburgh Press, 1988.
Frontispiece, illustrations, introduction, editorial method, editorial apparatus, editors' acknowledgments, appendixes, index. Pp. lx, 893. \$55.00.)

The publication of this volume is an especially welcome occasion because its contents document, among other important topics, the financial and political repercussions of the transition from war to peace. Specifically, the volume provides abundant evidence of Robert Morris's waning public influence as the arrival of peace sapped nationalist aspirations and momentum. The more than seven hundred items in the volume depict, moreover, the mixture of optimism and pessimism, of excitement and turmoil and uncertainty that accompanied the end of the long and difficult struggle to achieve political independence. More narrowly but of particular interest is material that details the army's dissatisfaction and that bears directly upon—if, as the editors take care to acknowledge, it fails to resolve—the enigmatic and probably forever impenetrable episode that came to be called the Newburgh conspiracy. An appendix provides early records of the bank of North America.

This seventh volume in the series has been editorially restructured in a mold to be continued throughout the projected nine volumes remaining in the series. Because of financial and other considerations, the editors have deleted items judged to be routine or specialized in nature. A list of deletions from the period covered by this volume is provided as an appendix, as will be the case for subsequent volumes, and all deleted items will be made available in microform following the publication of the final volume. A second reason for this restructuring is that, with the coming of peace, the editors believe that it is essential that all of Morris's private papers be included so as to shed light on postwar economic development and Morris's ambitions for and role in that development.

In this recasting of the series as in all other respects, this volume benefits, as have its predecessors, from a truly magnificent editorial effort. Thoroughness and prudence are two of the hallmarks of that effort. This volume is a valuable research reference and should also be useful in providing selected documentation in courses specializing in the period.

University of Louisville

James R. Morrill

PENNSYLVANIA HISTORY

Volume 50 Number 1 • January 1992

Edited by John Catanzariti, E. M. Nuxoll and M. A. Y. Gallagher. *The Papers of Robert Morris*, Volume 7.

(Pittsburgh: University of Pittsburgh Press, 1989. \$55.00.)

As the Confederation government's Superintendent of Finance in the 1780s Robert Morris was an indispensable man. But indispensable men remain so only as long as they are needed, and need comes from the perception of those they serve. During the six months chronicled by Volume 7 of the *Papers of Robert Morris*, Morris's position and power steadily declined because the members of the Continental Congress and many of their constituents wished, with the end of the war in sight, to return the focus of political and economic affairs to the states and effectively made any national solution to the economic crisis facing the new nation impossible.

Robert Morris was a nationalist and much of this volume is concerned with his effort to force the congress to adopt a funding scheme that would enable it to meet the demands of foreign creditors as well as its own citizens. Morris contemplated a revision of the Articles of Confederation in 1783 similar to that later enshrined in the Constitution. Morris' views are presented very clearly in the "Observations on the Present State of Affairs" of ca. 13 January 1783 (pp. 304-307), and in his letter of 8 March 1783 to the President of Congress (pp. 513-538), containing his response to the funding plan then being considered by the congress. The "Newburgh Conspiracy" is dealt with at some length, and if the editors found no new documents directly implicating Morris, it is clear that he was willing to use the army's unrest, as he did his resignation, to bring pressure on the congress to adopt his solutions. Morris's failure to achieve his ends was almost inevitable because the members of congress lacked both the will and power to act.

The documents in Volume 7 show the full spectrum of Morris' activities and fully delineate the routine matters that occupied most of his time. The editors make clear the degree to which Morris's public and private responsibilities were intertwined and the extent to which his personal credit was a major support of the public's finances. The editors are to be commended for including in Appendix I the early records of the Bank of North America, an important aspect of Morris's plan for the nation's finances. Finally, the inclusion of Morris's diary enables the reader to keep track of Morris's correspondence and daily schedule.

The editors have done their job well. Their mastery of the arcane world of 18th century finance is complete, and for this reader, very enlightening. The texts presented are accurate and the annotation concise and appropriate for its purpose, although I question the need for multiple identifications of the same person. James Lovell, for example, was identified twenty times as the collector of taxes for Massachusetts. The occasional head notes provided for important documents are particularly valuable. The volume is further enhanced by a full and accurate index and a list of documents omitted from the volume, with their locations.

Volume 7 of *The Papers of Robert Morris* is indispensable to understanding the finances of the American Revolution. It illuminates Robert Morris's career, but it and the preceding volumes of the series provide information on eighteenth century economics and finance that is available nowhere else. Historians of the Revolution, whether political, economic, social, or diplomatic, must take into consideration the career of Robert Morris and the scholarship so evident in the published volumes of his papers.

Gregg L. Lint, *The Adams Papers*

The Papers of Robert Morris, 1781-1784. Volume 7: November 1, 1782-May 4, 1783. Edited by JOHN CATANZARITI. (Pittsburgh: University of Pittsburgh Press, 1988. lxi, 893p. Illustrations, appendixes, index. \$55.00.)

Though two more volumes remain to be published, the appearance of this latest installment of *The Papers of Robert Morris* marks the climax of the series as a whole. At the point where this volume opens in mid-autumn 1782, the Superintendent of Finance still entertained hopes that Congress would approve the comprehensive financial program he had submitted to it in July. By the closing point of May 1783, however, Congress had effectively rejected the Morris program, notwithstanding the furious efforts of Morris and his coadjutors (notably including his assistant Gouverneur Morris, as well as congressional delegates James Wilson and Alexander Hamilton) to foment an atmosphere of crisis in which Congress would feel compelled to adopt the Superintendent's recommendations. In their place Congress now proposed a fresh set of measures that fell far short of what Morris deemed necessary. Morris responded to these reverses by announcing his plans to resign his office. As the editors note in a prefatory comment on editorial method, his preparations for his return to private life give added significance to the growing portion of his papers devoted to his business correspondence, which in this and the remaining two volumes will be printed comprehensively. It is a revealing comment on the suppleness with which Morris pursued and mingled public and private concerns that he chose April 17, 1783—the day before Congress formally approved its own financial program—to write a letter seeking to reopen his pre-revolutionary connections with the eminent London house of Baring Brothers and Company.

The political story of the defeat of the Morris program depends largely on documents that fall beyond the purview of the Morris archive, but are found instead in the journals of Congress and the papers of its members—including most notably James Madison's notes of debates for the winter and spring of 1783. As in previous volumes, this latest addition to *The Papers of Robert Morris* documents the incredible complexity and variety of his activities. In addition to the routine letters to his various subordinates in the states, Morris's diary provides a fascinating record of his concerns and activities. His remarkable capacity to remain master of the countless prosaic, petty, yet pressing matters that daily claimed his attention is a tribute to his administrative genius which clearly exceeded his political intelligence.

A limited number of documents stand out from this general mass and merit exceptionally detailed editorial treatment—so detailed, in fact, that introductory and explanatory notes often outweigh the spare text of the actual document by several orders of magnitude. For example, a letter of December

3, 1782, from Morris to President Elias Boudinot of Congress, which occupies roughly two-thirds of a printed page, is accompanied by editorial comments totaling roughly six pages; John Holker's letter of January 3, 1783, consumes fifteen lines of print but is escorted by three pages of headnotes and two of endnotes. Like many historians, I find that reading such documents elicits vaguely schizoid reactions: admiration for the thoroughness of the scholarship clashes with a gnawing sense that more is being offered than any but the most zealous antiquarian could ever plausibly wish to know. In my entirely personal view, the headnotes setting individual documents within their proper historical context are models of concision; see, for example, the introduction to Morris's letter to Boudinot of March 8, 1783, detailing his reasons for criticizing the funding plan of Congress. But too many of the explanatory notes tend to be self-indulgent, even when one concedes that the complexity of Morris's affairs demand explication.

RECEIVED FEB 23 1993

TRINITY UNIVERSITY

DEPARTMENT OF HISTORY
715 STADIUM DRIVE
SAN ANTONIO, TEXAS 78212

John J. McCusker
Ewing Halsell Distinguished Professor of American History
Professor of Economics
(210) 736-7625; (210) 736-7305 Fax

16 February 1993

Ms. Catherine Marshall
University of Pittsburgh Press
127 North Bellefield Avenue
Pittsburgh, Pennsylvania 15260

Dear Ms. Marshall:

Since writing you last month I have received the copy of the typescript of volume eight of *The Papers of Robert Morris*. I have gone through the volume carefully and have also consulted my copies of the earlier volumes in the series for comparative purposes.

I must begin my report by telling you that I have long considered *The Papers of Robert Morris* to be one of the most important of the current documentary editing projects. The late revolutionary and early confederation period is perhaps the most significant time in the history of the young republic yet it is neither well known as such nor well understood. It experienced not only the ending of the war but also the transition from central government under the Continental Congress to central government under the Articles of Confederation. And it marked the beginning of the drive to change things yet again and to establish the third central government for the country in a decade. It was, in the words of John Fiske, a critical period in American history—and the crisis was not handled particularly well.

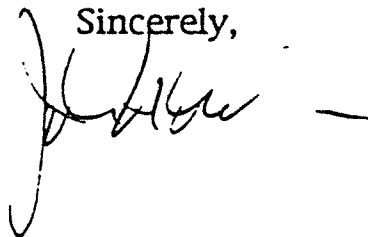
Much of the explanation for the failures of government stems from the inability of the new nation to face and solve its fiscal difficulties. Problems of central government finance continued to plague the country for years to come, in part because of the poor job done during the early 1780s. Similar problems plague us still today. There are those who argue that we of the 1990s would benefit very much

from a return of Alexander Hamilton and his policies. As all the readers of *The Papers of Robert Morris* well know, Hamilton borrowed many of his ideas from Robert Morris. Thus, for me, the obvious value of the project to publish, and make intelligible, the Morris papers.

The key to my valuing so very highly what the several editors of *The Papers of Robert Morris* have done is focused in that one phrase "make intelligible." The issues that Morris faced were many and involved. First and last, he was a businessman. The modes of business in his era were arcane even then and they have become only more of a mystery as the centuries have passed. As the Superintendent of Finance he dealt with the complexities of finance, public and private, matters even more obscure. The editors have made the records of Morris's endeavors not only available but accessible. Collecting, editing, and printing them accomplishes the first objective; the immensely useful editorial apparatus they provide accomplishes the second one. Reviewers of every volume have been abundant in their praise of this project and most have gone out of their way to comment on the usefulness of the explanations offered in the annotations. Anyone who complains that the editors have done too much in this regard misses the point. Either he or she is a student of business and finance and thinks such apparatus belabors the obvious or—and I would guess that this is the more likely explanation—the petulant critic fails to appreciate how little he or she really understands about the abstruse nature of the issues. Without the help of the critical apparatus, the average reader's grasp of the documents would be very much impaired and the usefulness of the project undermined. The Morris papers would be considerably less intelligible.

I, for one, consider *The Papers of Robert Morris* as the very model of a modern major editing project. In every way but one it has struck the perfect balance.* I am delighted to have this opportunity to say so.

Sincerely,



* My only lament is a counsel of absolute perfection (and thus a fault on my part): I want more! That is, I want more of the papers included in the published volumes, a larger microfilm coverage, and the editing and publication of the rest of the Morris papers. (Please forgive this gratuitous annotation.)



THE LIBRARY OF CONGRESS

WASHINGTON, D.C. 20540

MANUSCRIPT DIVISION

February 25, 1993

To: Managing Editor, University of Pittsburgh Press

Subject: The Papers of Robert Morris, volume 8

Among the documentary projects published during the past generation, this volume ranks with the very best, not only because of the intrinsic value of the documents brought together, but because of the superb presentation and annotation of the texts, and quality scholarship. The work of the Superintendent of Finance during the many crises faced by the United States in 1783, the focus of this volume, is of fundamental significance to understanding the birth of the United States, and the scholarship presented in this manuscript supersedes nearly all previous work done on the period. The first seven volumes of the series represent one of the most significant historical undertakings of our time, and the present volume is clearly the equal of its worthy predecessors. Serious students of the American Revolution will hereafter turn to these pages for answers to the most significant questions and problems related to their studies, and teachers will find the work a rich resource for assignments and research papers. In sum, the manuscript is an extraordinary achievement.

The public career of Robert Morris is more central to the history of this period than of any figure save Washington, yet recent scholarship has comparatively neglected the economic dimension of the birth of the United States. As the editor of 21 volumes of Letters of Delegates to Congress, 1774-1789, the past two decades, I have long admired the Robert Morris Papers project, and have found in its pages the best material and most convincing analyses ever done on dozens of subjects related to the work of Congress and the development of the nation during the 1780s. The range of issues covered in the present volume is truly staggering, and the editors' presentation is remarkably free of the ideological overtones that have crippled so much of the traditional literature in the field. It is also relevant to note that the editors have clearly articulated and justified their editorial method and selection policy, and that their headnotes are models of clear and concise exposition. The volume contains many examples of brilliant textual analysis, dating of undated material, and recovery of original meaning from the misguided interventions of 19th-century editors. Here, then, is a veritable encyclopedia of information on nearly all the significant topics related to the conduct of the superintendent of finance's office, a number of which, in the interest of economy, I shall simply list as a sample of the topics for which this volume is now the premier source.

Demobilization of the Continental Army--a challenging problem that threatened the very existence of American society and government.

Demobilization and sale of the Continental Navy.

The Philadelphia mutiny of June 1783 and flight of Congress.

The location of the federal capital.

The Continental fiscal crisis--of which inability to pay the armed services was but a single aspect.

Foreign credit and loans--particularly Dutch and French--and myriad related issues such as remittances abroad, Morris' use of bills of exchange, and contracts with the French farmers general.

Morris' use of his private credit--Morris' Notes, counterfeiting threats, relations with the Bank of North America, etc.

State relations with the Continental government--usually having an underlying fiscal component.

Morris' relations with Congress--including the machinations of his enemies in and out of Congress.

Efforts to vest Congress with revenue authority.

Morris' relations with the French and Dutch ambassadors.

Operation of the Marine Department--settlement of accounts, court martials, etc.

Settlement of the states' Continental accounts--plus the work of various receivers, commissioners, and loan officers.

America's postwar trade prospects--British restrictions and the American response; Spanish restrictions, the curtailment of the Cuba trade, and appointment of an American agent to Havana; French restrictions, and associated efforts to maintain cordial relations through designation of free ports, etc.

The China trade initiative--revealing the complex mixture of Morris' public and private activities and roles.

The use of Thomas Paine as a publicist, and the initiative to obtain his appointment as "Historiographer" of the United States.

Army contracting policies and relations with rations contractors.

The struggle to provide meager disability and widows' benefits.

And the list could go on, but I shall instead draw this appraisal to a close with a few observations on how this wonderful volume could be improved.

First, I suggest relegating the following documents (to and from Gouverneur Morris) to the microfilm supplement to the edition:

Samuel Ogden to GM, May 7

George Plater to GM, July 7, and August 24

GM to Isaac Wilkins, August 3

Robert R. Livingston to GM, August 15

And I would "abstract" Jay's September 24 letter to GM in the manner of the presentation of RM to William Livingston of September 16.

I would attempt to delete a few of the identifying notes on prominent persons such as John Adams who appear repeatedly in the volume, especially where the identifying comments appear close together, as in the two letters of RM to Lovell of July 8, where the second identification of Lovell as "receiver of Continental taxes for Massachusetts" could be omitted.

Finally, I shall note a few errors in what is a remarkably error free manuscript.

At RM to Whipple, July 5, Whipple is identified as "receiver of Continental taxes for Rhode Island" instead of New Hampshire.

At RM to Mass. Governor, July 14, note 2, p. 2, 1.8--state line should read state lines.

At Parker to RM, July 17, note 7, bottom p. 2--use of Massachusetts Bay for Massachusetts (I believe the only occurrence in the volume).

At Grand to RM, July 20, p. 6, par. 2--Barclay is conformity for in conformity.

At RM Diary, Aug. 9, note 2--Warren ID, "Massachusetts militia" instead of "state militia." And perhaps add "commissioner of the Eastern Navy Board," meaningful in the context of RM as agent of marine?

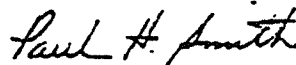
At RM to Caswell, Sept. 30--document description, NcAR for Nc-Ar. I believe this usage also appears several times elsewhere.

President to RM, Oct. 30, p. 644--re "alternating between Annapolis and Trenton." Did not Congress simply move from A. to T., not alternate? And in the context of the substance of this note, perhaps on p. 645 make mention of the fact that RM was later named commissioner for planning the federal site?

RM Diary, December 8, note--instead of He left the city for Mount Vernon, would be more accurate to say that left for Annapolis to resign his commission before Congress.

And in the citations to the Aileen Moore Topping translations (perhaps a dozen in the volume), I noted only one occurrence of "Manuscript Division" in the citation--at RM to President, June 30, p. 54, note 48. I am sure that it would be acceptable to delete that reference rather than to add it to all the others.

Respectfully submitted,



Paul H. Smith, Editor
Letters of Delegates to Congress

THE RAW MATERIAL OF HISTORY: FIRST AND LATER DRAFTS

FIRST SESSION: TRAVELING THROUGH TIME

European Economic Community / American Unification

NOVEMBER 6, 1992

- 8:00 Coffee
- 9:00 Introductory remarks:
Dr. Elaine Maimon, Dean, Experimental Programs
Prof. Joann Lee, Journalism, Queens College
- 9:30 Briefing: European Economic Community
Jeff Sommer, Foreign Editor, Newsday
- 10:15 Break
- 10:30 Discussion
Prof. Joann Lee
- 11:15 Briefing: The Papers of Robert Morris
Dr. Elizabeth Nuxoll, Project Director & Co-editor
Dr. Mary Gallagher, Co-editor
- 12:00 Discussion
Prof. Joann Lee
- 12:30 Lunch
- 1:30 Individual Group Discussions
- 3:00 Conclusion

List of Project Participants

In this section please list all project participants and their institutional affiliations.

Elizabeth M. Nuxoll	Queens College and the Research Foundation of the City University of New York
Mary A. Y. Gallagher	Queens College, Brooklyn College, and the Research Foundation of the City University of New York
Kathleen H. Mullen	Queens College and the Research Foundation of the City University of New York
Research Assistant	The Graduate School and University Center of the City University of New York
Student Aide	Queens College of the City University of New York
E. James Ferguson	Professor Emeritus, Department of History Queens College of the City University of New York
Clarence L. Ver Steeg	Professor Emeritus, Department of History Northwestern University, Evanston, Illinois

5) Name: Dr. E. Wayne Carp
Institution: Pacific Lutheran University
Address: Department of History
Tacoma, Washington 98447

6) Name: Dr. Michael Crawford
Institution: Editor, Naval Documents of the American Revolution
Address: Naval Historical Center
Washington Navy Yard, Building 57
Washington, DC 20374

7) Name: Dr. Dennis Conrad
Institution: The Papers of Nathanael Greene
Address: Rhode Island Historical Society
110 Benevolent Street
Providence, Rhode Island 02906

8) Name: Dr. James A. Lewis
Institution: Western Carolina University
Address: Department of History
Cullowhee, North Carolina 28723