



NATIONAL ENDOWMENT FOR THE
Humanities

DIVISION OF RESEARCH PROGRAMS

SCHOLARLY EDITIONS AND TRANSLATIONS GRANTS

Deadline: December 7, 2016 (for projects beginning as early as October 1, 2017, and as late as September 1, 2018)). Applicants will be notified of the decision by e-mail by August 31, 2017.

Catalog of Federal Domestic Assistance (CFDA) Number: 45.161

If after reading this document you have questions about this grant program, contact the staff of NEH's Division of Research Programs at editions@neh.gov and 202-606-8200.

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I. Program Description

Scholarly Editions and Translations grants support the preparation of editions and translations of pre-existing texts of value to the humanities that are currently inaccessible or available in inadequate editions. Typically, the texts and documents are significant literary, philosophical, and historical materials; but other types of work, such as musical notation, are also eligible.

Projects must be undertaken by at least one editor or translator and one other collaborating scholar. These grants support full-time or part-time activities for periods of one to three years.

Applicants should demonstrate familiarity with the best practices recommended by the [Association for Documentary Editing](#) or the [Modern Language Association Committee on Scholarly Editions](#). Translation projects should also explain the theory and method adopted for the particular work to be translated. Editions and translations produced with NEH support contain scholarly and critical apparatus appropriate to the subject matter and format of the edition. This usually means introductions and annotations that provide essential information about a text's form, transmission, and historical and intellectual context.

Proposals for editions of foreign language materials in the original language are eligible for funding, as well as proposals for editions of translated materials.

Sample narratives from successful grant applications are available on the [program resource page](#).

Providing access to grant products

As a taxpayer-supported federal agency, NEH endeavors to make the products of its grants available to the broadest possible audience. Our goal is for scholars, educators, students, and the American public to have ready access to the wide range of NEH grant products.

For the Scholarly Editions and Translations program, such products may include edited documents, literary texts, and musical scores. For projects that lead to the development of digital materials, all other considerations being equal, NEH gives preference to those that provide free access to the public.

Detailed guidance on access and dissemination matters can be found in the Final product and dissemination section below (at the end of the instructions for the narrative).

NEH grantees must follow the requirements of Section 504 of the Rehabilitation Act of 1973, which is designed to eliminate discrimination on the basis of handicap in any program or activity receiving federal financial assistance. For more information consult Design for Accessibility: A Cultural Administrator's Handbook [here](#).

Previously funded projects

Applicants whose projects have received NEH support may apply for a grant for a new or subsequent stage of that project. Proposals for these projects do not receive special consideration and are judged by the same criteria as others in the grant competition. They must, however, demonstrate that the projects used previous NEH support productively.

Scholarly Editions and Translations Grants may not be used for

- projects undertaken by individuals without collaboration;
- development of tools, including digital tools, or any materials analysis whose primary goal is refinement of a method, unless the development contributes to the larger editorial goals of the project and coincides with research activities;
- work on recurrent publications such as magazines or scholarly journals;
- digitization of pre-existing texts or previously published materials without the addition of a new scholarly and critical apparatus;
- translations of existing editions without the addition of a new scholarly and critical apparatus;
- preparation or publication of textbooks;
- professional development or training;
- the creation of original works of fiction, poetry, drama, or music;
- translation of a text into a language other than English; or
- publication subvention or other publication costs.

Applications for such projects will not be reviewed.

Other funding opportunities

Note: Applicants for individual projects should apply to the [Fellowships](#) or [Summer Stipends](#) programs. Proposals for digitizing collections and for preparing bibliographies, descriptive catalogs, dictionaries, encyclopedias, databases, or other research tools or reference works should be submitted to the [Humanities Collections and Reference Resources](#) program in the NEH Division of Preservation and Access. Applicants who propose to develop digital tools should consult with the NEH Office of Digital Humanities at odh@neh.gov.

The Common Good: The Humanities in the Public Square

NEH invites projects related to its initiative, [The Common Good: The Humanities in the Public Square](#). This initiative seeks to connect the study of the humanities to the current conditions of national life. Many of today's challenges require more than ever the forms of understanding and knowledge represented by the humanities. They require the broadest possible engagement of scholars and the public with the resources of the humanities, including but not limited to the study of language, literature, history, philosophy, comparative religion, and ethics. The study of the humanities can help illuminate the complexity of many contemporary challenges while enriching our understanding of the common good.

Note that the Common Good initiative incorporates the [Standing Together](#) initiative, which encourages projects related to war and military service.

All applications will be given equal consideration in accordance with the program's evaluation criteria, whether or not they respond to the Common Good initiative or the Standing Together initiative.

Complementary program

The [National Historical Publications and Records Commission](#) (NHPRC), National Archives, Washington, D.C., 20408, provides support for editions of American historical documents and records. Applicants may request support from both NEH and NHPRC.

Award information

Awards are made for one to three years and rarely exceed \$100,000 per year. Indirect costs (if any) are included in the awarded amount. Successful applicants will be awarded a grant in outright funds, matching funds, or a combination of the two, depending on the applicant's preference and the availability of funds. Federal matching funds are released on a one-to-one basis when a grantee secures gift funds from eligible third parties. (Note that agencies of the federal government are not eligible third parties.)

(Learn more about different [types of grant funding](#).)

Cost sharing

Cost sharing consists of the cash contributions made to a project by the applicant, third parties, and other federal agencies, as well as third party in-kind contributions, such as donated services and goods. Cost sharing also includes nonfederal gift money raised from third parties to release federal matching funds. Although cost sharing is not required, the Scholarly Editions and Translations program is rarely able to support the full costs of projects approved for funding. The balance of the costs is to be borne by the applicant's institution or other sources. Previously funded editions seeking further support should expect a progressively larger share of the costs to be assumed by the host institution or third parties.

Eligibility

The Scholarly Editions and Translations program accepts applications from both institutions and individuals without an institutional affiliation (who may apply as unaffiliated project directors). Note that all projects must include at least one other collaborator in addition to the project director.

The following types of applicants are eligible:

- U.S. nonprofit organizations with IRS tax-exempt status;
- state and local governments;
- federally recognized Indian tribal governments;
- unaffiliated project directors who are U.S. citizens; and
- unaffiliated project directors who are not U.S. citizens but have been living in the United States or its jurisdictions for at least the three years immediately preceding the application deadline.

The following types of applicants are ineligible:

- other federal agencies;
- for-profit institutions; and
- foreign nationals (who would be applying as unaffiliated project directors) who have not been living in the United States or its jurisdictions for at least the three years immediately preceding the application deadline.

Project directors affiliated with an eligible institution must apply through an institution, ordinarily their own institution. Only adjunct faculty, faculty at for-profit institutions, and scholars without an institutional affiliation may apply as unaffiliated project directors.

Degree candidates may not be project directors.

Project directors and co-directors may submit only one application to either the Scholarly Editions and Translations program or the [Collaborative Research](#) program, but not both. They may, however, apply for other NEH awards, including Fellowships and Summer Stipends.

Project directors and co-directors of funded applications may not hold concurrent awards from the NEH Division of Research Programs.

NEH generally does not award grants to other federal entities or to applicants whose projects are so closely intertwined with a federal entity that the project takes on characteristics of the federal entity's own authorized activities. This does not preclude applicants from using grant funds from, or sites and materials controlled by, other federal entities in their projects.

Late, incomplete, or ineligible applications will not be reviewed. Find answers to your eligibility questions in the Frequently Asked Questions available on the [program resource page](#).

Note: Project **participants** (including the project director, when the application is submitted by an institution) need not be U.S. citizens or U.S. residents, so long as the applicant institution is eligible.

NEH encourages submission of Scholarly Editions and Translations applications from independent scholars and faculty at Hispanic-Serving Institutions, Historically Black Colleges and Universities, and Tribal Colleges and Universities.

II. Preparing and Organizing your Application

Resources for preparing a strong application

To prepare a strong application, applicants are encouraged to take the following steps:

- read the **guidelines** carefully, noting what information needs to be provided in the application;
- review the **sample narratives**, which are available on the [program resource page](#);
- consult the program's **evaluation criteria**, which are listed immediately below;
- read the **Frequently Asked Questions**, which are available on the [program resource page](#);
- contact the **program staff** (at 202-606-8200 or editions@neh.gov) to discuss your project and raise any questions you may have about the application; and
- submit an optional **draft proposal** (by October 15, 2016), to which program staff will respond with suggestions and advice.

Applicants whose projects have received NEH support may apply for a grant for a new or subsequent stage of that project. These proposals receive no special consideration and will be judged by the same criteria as others in the grant competition. In addition, such applicants must substantially update their proposals and must include a description of the new activities and a justification of the new budget. Such applicants must also describe how the previously funded project met its goals.

Evaluation criteria

Applicants should read the program's evaluation criteria (listed immediately below) and ensure that their applications respond to them.

1. The intellectual significance of the proposed work, including its value to humanities scholars, general audiences, or both; its potential to stimulate new research; its relationship to larger themes or issues in the humanities; and the significance of the material on which the project is based.
2. The appropriateness of the selection criteria, research methods, editorial policies, and critical apparatus; the content, accuracy, and quality of the samples; the clarity and relevance of annotations; and the thoroughness and feasibility of the work plan. For translation projects, in addition, the theory and method of translation.
3. The qualifications, expertise, and levels of commitment of the project director and collaborators.
4. The soundness of the dissemination and access plans, including benefit to the audience identified in the proposal; the strength of the case for producing print volumes, a digital format, or a combination of media; the appropriateness of the technology to be used; and the sustainability of the print and/or digital dissemination. For projects producing digital materials, all other considerations being equal, NEH will give preference to projects that provide free access to materials produced with grant funds.
5. The likelihood that the proposed project will be successfully completed within the stated time frame; and the reasonableness of the budget in relation to the proposed activities and plan of work. For previously funded applicants, the project's productivity, and the clarity of the application's account of work completed in relation to the project's previous goals and of the work to be accomplished during the grant period.

As noted earlier, before they submit a proposal applicants are encouraged to contact program officers, who can offer advice about preparing the proposal and review preliminary proposal drafts. Applicants may submit by e-mail (editions@neh.gov) a draft of their proposal no later than October 15. **Do NOT submit your draft through Grants.gov.** A response cannot be guaranteed if the draft arrives later than October 15. Draft proposals are optional; submitting a draft enables an applicant to receive staff comments about the substance and format of the application. The more complete the draft, the more helpful the response can be. A draft proposal should include the statement of significance and impact, the narrative, and the budget.

Staff comments in response to draft proposals are not part of the formal review process and have no bearing on the final outcome of the application, but previous applicants have found them helpful.

Once NEH has received a formal application, the staff will not comment on its status except with respect to questions of completeness or eligibility.

Application elements

Your application should consist of the following parts.

1. **Table of contents** (one page)

Provide a list of all parts of the application and corresponding page numbers.

2. **Statement of significance and impact** (one page)

Provide a project statement written for a nonspecialist audience, stating clearly the significance of the proposed work, its relation to larger issues in the humanities, and the impact of the proposed publication on its intended audience and on future scholarship.

3. **List of participants** (one page)

Provide a list, in alphabetical order, surnames first, of all participants and collaborators on the project, designating the project director and if applicable the co-director. NEH expects the lead scholar on the project (not an institutional administrator) to serve as the project director. The project director must also devote a large percentage of time to work on the project. Include any institutional affiliations for all listed participants. For those who are not affiliated with a nonprofit educational institution, provide occupation and employer; if none, list city and state of residence.

The names on this list should match the names mentioned in the Collaborators section of the project's narrative description, as well as those listed in the budget. After you list the participants, provide a separate list of advisory board members, if any. Foreign nationals may participate in the project, and payments to them may be included in the budget.

4. **Narrative** (maximum of 25 pages)

In the narrative applicants must provide an intellectual justification for the project and a work plan. Applicants should write their proposals with the evaluation criteria in mind, describing the project's intellectual significance, research method, qualifications of collaborators, dissemination plan, and the likelihood of completion. The narrative should not assume any specialized knowledge on the part of readers, and it must be free of jargon.

The narrative must be introduced with a project title that describes the proposal. The project title must be no more than 125 characters, and it should be informative to a nonspecialist audience.

Narratives are limited to **twenty-five** double-spaced pages. *Applications with narratives that exceed the page limit will be rejected.* All pages should have one-inch margins, and the font size should be no smaller than Times New Roman eleven point. *Applications with narratives that do not follow this formatting will also be rejected.* Use appendices to provide supplementary material.

Provide a detailed project description consisting of the following sections.

- *Substance and context* (about ten pages)

Provide a clear, concise statement about the nature of the edition or translation and its value to scholars, students, and general audiences in the humanities. Include a full description of the materials that the edition will contain. If there is a previous edition or translation, discuss the reasons for undertaking a new one. Include a bibliographical essay that situates the project with regard to the existing relevant literature (and a bibliography of relevant primary and secondary sources in an appendix). Applicants should also describe, if applicable, how their projects will make available materials dispersed among a number of repositories.

- *History of the project and its productivity* (about three pages)

Provide a history of the project to date. Explain how the project began, its progress, and its estimated date of completion. Provide specific information on how collaborators have selected materials for the edition or translation and how they gained access to them. If gaining access to the materials requires permissions or poses other challenges, explain how the collaborators are addressing these issues.

Provide a report on the progress of work that has received NEH support, including work supported by other NEH grant programs. Discuss the project's productivity (for example, with respect to collecting the materials to be edited or translated), specifying progress in editing or translating and in preparing for publication.

In table format, list any print or digital products, with dates of publication; when applicable, the list must include the publisher, print or production runs, and usage statistics.

If the project has a website, provide its URL.

Provide reviews of the most recent volume or other product in an appendix.

If the application requests support for only part of a larger editorial project, describe the overall design of the entire effort and clearly delineate the specific part intended for NEH funding through this application.

If work on the larger project will continue after the proposed grant period, applicants should describe the work that will remain (including a realistic date of completion of the entire project) and the probable sources of support for that work. If the estimated date of completion for the larger project is more than seven years from the date of this application, applicants should demonstrate that the work being proposed is organized and planned to yield freestanding results, even if the larger project is not completed.

Applications submitted for projects that have previously received program funding must

- be substantially updated, including a description of the new activities and a justification of the new budget request;
- discuss how the previously funded project met, or did not meet, its goals according to the original or (if appropriate) revised work plan; and
- include a table with appropriate dates, comparing the stated goals with actual accomplishments during the period of the most recent NEH grant, and listing any changes that occurred.

○ *Collaborators* (about three pages)

Name the project director and all collaborators who would work on the project during the proposed grant period, regardless of whether NEH funds are requested to support their participation in the project. Describe their responsibilities and qualifications. State anticipated commitments of time for the project director and all collaborators, and explain the reasons for and nature of their participation. Project directors must devote a significant portion of their time to their projects. Provide résumés of the principal collaborators (maximum of two pages each) in an appendix.

○ *Methods* (about four pages)

For all applicants:

Explain how the edition's or translation's goals correspond to the proposed methodology.

- Demonstrate the significance of the texts.
- Describe in detail the tasks to be undertaken and the technology to be employed, indicating what resources will be required, as well as the experience of the collaborators with the technology and its application to humanities scholarship.

- Describe in detail the organization of the texts.
- Describe the corpus—the total number of existing texts—represented by the edition or translation and the criteria for selecting the proportion of documents and texts from that corpus to be edited and published.
- Explain the methods of analyzing, transcribing, verifying, and presenting the texts.
- Explain how errors and variant readings in existing editions will be dealt with.
- Describe the guidelines for annotation, introductions, indexes, and other editorial apparatus.
- Describe how critical introductions and explanatory annotations will establish the historical and intellectual contexts of the work or works and contribute to a better understanding of the text.

For applicants proposing a translation:

- explain the criteria for selecting the text or texts that will serve as the basis for the translation; and
- explain how particular problems posed by the translation (including the degree of difficulty of the text) will be resolved.

For applicants employing digital technology:

Indicate not only *what* technical specifications are to be used, but also *how* they will facilitate the project. In particular, provide the following information:

- provide information on the technology to be used, and how the technology will facilitate the project, including the hardware and software to be used;
- provide information on the digital partners who will participate in the project (whether they work at your own institution or are outside contractors) and their activities;
- discuss the preparations for and implementation of data processing (for example, digital capture, data entry, optical character recognition, or conversion from another digital format);

- discuss the production, preservation, and distribution formats, levels of resolution, compression, image enhancement, and the accuracy of textual conversion or transcription;
- discuss the provisions for ensuring quality control;
- discuss the schema for descriptive, administrative, and preservation metadata and controlled vocabularies;
- discuss the system(s) used to manage and present digital content, including pertinent features for facilitating end use; and
- discuss the methods for enhancing discoverability, via search engine optimization and open data harvesting and/or inclusion in an aggregated resource such as a digital library, cooperative portal, or clearinghouse.

Discuss the sustainability of digital content

- NEH expects that any materials produced in digital form as a result of its awards will be maintained so as to ensure their long-term availability. To that end, describe how the project's digital results will be maintained and supported beyond the period of the grant. Provide information on the ability and commitment of the hosting institution to ensure sustained access to collections or digital materials, as well as the project's financial sustainability.
- In addition to pertinent technical specifications on open standards, markup conforming to the Text Encoding Initiative (TEI), and employing best practices in the creation of digital materials, provide details on data management and digital preservation infrastructure and policies, such as repository system capabilities, storage requirements and capacity, migration or emulation strategies, and collaborative or third-party arrangements, if any.
- Provide usage statistics (if available) for existing open access websites.
- For sites that do not grant open access, provide the number of subscribers.

○ *Work plan* (about two pages)

The work plan must be consistent with the work described in both the "Collaborators" section of the narrative and the budget.

- Describe what will be accomplished during each six-month period.
- Name all collaborators involved in the project during each six-month period and describe their specific tasks.

- *Final product and dissemination* (about three pages)

NEH expects grantees to provide broad access to all grant products, insofar as the condition of the materials and intellectual property rights allow. NEH strongly encourages projects that offer free public access to digital materials. For projects producing digital materials, all other considerations being equal, NEH will give preference to those that provide free access to materials produced with grant funds.

Projects that focus on developing tools (including digital tools, databases, visualizations, or maps) without producing their own substantive editorial apparatus are not eligible in this program. (See above for the bulleted list of types of projects that may not receive Scholarly Editions and Translations grants, in the Program Description section of the guidelines.)

Discuss publication arrangements, publicity plans, estimated prices, and user costs for both print and digital publication. Any pertinent correspondence with a print or digital publisher must be included in the appendices. If the project involves materials under copyright, indicate your plans for securing the necessary permission to publish. Any supporting documents must also be included in the appendices.

Discuss both the media chosen for the final product (printed books, digital materials, or some combination) and the reasons for this choice.

- Projects proposing print volumes must describe the organization and contents of the volumes.
- Projects proposing digital materials or publications must describe the organization and contents of the site on which they would appear, providing screenshots and URLs whenever possible.

5. **Project budget**

Using the instructions and the sample budget, complete the budget form (Excel format) or a format of your own that includes all the required information. (You can find links to the budget instructions, sample budget, and budget form on the [program resource page](#).) You can customize the form to suit your project. Enter explanations for any unusual circumstances directly on the form. Include any subcontracts.

Note that budgets cannot include travel to professional conferences, meals at conferences, professional development, and publication subventions.

For institutional applicants only: If the applicant institution is claiming indirect costs and has a federally negotiated indirect-cost rate agreement, submit a copy of the agreement. Do not attach it to your budget form. Instead you must attach it to the

Budget Narrative Attachment Form (also known as the Budget Narrative File). (See the instructions for this form in the Application Checklist near the end of this document.) Alternatively, you must attach a statement to the form, explaining a) that the applicant institution is not claiming indirect costs; b) that the applicant institution does not currently have a federally negotiated indirect-cost rate agreement; or c) that the applicant institution is using the government-wide rate of up to 10 percent of the total direct costs, less distorting items (including but not limited to capital expenditures, participant stipends, fellowships, and the portion of each subgrant or subcontract in excess of \$25,000).

Indirect costs are computed by applying a negotiated indirect-cost rate to a distribution base (typically a portion of the direct costs of the project). If the applicant institution is claiming indirect costs and has a current federally negotiated indirect-cost rate agreement, include on the budget form the following information: a) the indirect-cost rate; b) the federal agency with which the agreement was negotiated; and c) the date of the agreement.

If the applicant institution does not have a current federally negotiated indirect-cost rate or has not submitted a pending indirect-cost proposal to a federal agency, you may choose one of the following options, if you wish to include overhead charges in the budget:

- NEH will not require the formal negotiation of an indirect-cost rate, provided that the charge for indirect costs does not exceed 10 percent of direct costs, less distorting items (including but not limited to capital expenditures, participant stipends, fellowships, and the portion of each individual subgrant or subcontract in excess of \$25,000). This option is not available to sponsorship (umbrella) organizations. If you choose this option, you must maintain documentation to support overhead charges claimed as part of project costs.
- If the applicant organization wishes to use a rate higher than 10 percent, you must provide an estimate of the indirect-cost rate and the charges on the budget form. If the application is approved for funding, NEH will provide instructions in the award document on how to negotiate an indirect-cost rate with NEH.

If you choose one of these two options, please indicate on your budget form that you are doing so.

6. **Appendices** (maximum of 35 pages)

Use appendices to provide essential supplementary materials. Appendices must not exceed *thirty-five* pages. *Applications with appendices exceeding the page limit will be rejected.*

Appendices must include the following:

- a brief **résumé** (two-page maximum) for each principal project participant, with mailing addresses and e-mail addresses, and listings of the highest degree earned, the name of the institution awarding the degree, professional positions held, institutional affiliations, and major publications.

- A **bibliography** of existing editions or translations of the texts to be newly edited or translated, and of secondary sources.
- **samples** of the material to be edited or translated during the proposed grant period. Note: Samples **MUST** be taken from the work for which funding is requested, **NOT** from work already submitted to a publisher or from a previous application whose plan of work has already been completed.
 - To show editorial principles and procedures described in the narrative, **all samples** must include
 - photocopies of the original documents;
 - transcriptions; and
 - annotations.
 - **Translation samples** must also include both the original text and the translation.

All samples must illustrate the significance of the materials to be edited, show the degree of difficulty of the text, and be carefully checked for accuracy.

Appendices should include the following, as appropriate:

- permissions or letters of support from archives or other research venues;
- contracts or letters of interest from print or digital publishers; and
- published reviews.

Do **NOT** include letters of reference, assessments of previous applications, or testimonials.

7. **Statement of history of grants** (one page)

If the edition or translation has received previous support from any federal or nonfederal sources, including NEH, provide a one-page list of the sources, dates, and amounts of these funds. List the NEH grants already received, year by year. Include fellowships and individual awards received by project participants. If there is a long history of support, the sources and contributions may be grouped and summarized.

III. Submitting your Application

To be able to submit an application, applicants must have registered with Grants.gov and must have an updated Entity record in the System for Award Management (SAM). More detailed information is immediately below.

All applications to this program must be submitted via Grants.gov. NEH strongly recommends that you complete or verify your registration at least two weeks before the application deadline, since it takes time to process your registration. (Institutional applicants must apply through an institution that has registered with Grants.gov; unaffiliated project directors must themselves have registered with Grants.gov to be able to apply.)

The Federal Funding Accountability and Transparency Act (FFATA) requires federal agencies to make information about the expenditure of tax funds available to the public. To facilitate this, the applicant organization must maintain current information in its Entity record in the System for Award Management (SAM). You must therefore review and update the information in your organization's Entity record at least annually after the initial registration, and more frequently if required by changes in your organization's information or another award term. In order for your organization to apply for an award via Grants.gov, receive an award, or receive payment on an award, the information in its Entity record must be current. You can update your organization's Entity record [here](#). You may need a new SAM User Account to register or update your organization's Entity record. NEH strongly recommends that applicant organizations update (or, if necessary, create) their SAM Entity records at least four weeks before the application deadline. (Note that this requirement pertains only to institutional applicants, not to unaffiliated project directors.)

The application must be submitted to Grants.gov. Links to the Grants.gov application package and instructions (for both institutional applicants and unaffiliated project directors) for preparing and submitting the package can be found on the [program resource page](#).

Be sure to read the [document](#) (PDF) that explains how to confirm that you successfully submitted your application. It is your responsibility as an applicant to confirm that Grants.gov and subsequently NEH have accepted your application.

Deadlines for submitting optional draft proposals and applications

Submission of draft proposals is optional. Program staff recommends that draft proposals be submitted no later than October 15. Time constraints may prevent staff from reviewing draft proposals submitted after that date.

Applications must be received by Grants.gov by 11:59 P.M., Eastern Time, on December 7, 2016. Grants.gov will date- and time-stamp your application after it is fully uploaded. Applications submitted after that date will not be accepted.

IV. What Happens After the Submission of an Application

Review and selection process

Knowledgeable persons outside NEH will read each application and advise the agency about its merits. NEH staff comments on matters of fact or on significant issues that otherwise would be missing from these reviews, then makes recommendations to the National Council on the Humanities. The National Council meets at various times during the year to advise the NEH chairman on grants. The chairman takes into account the advice provided by the review process and, by law, makes all funding decisions. More details about NEH's review process are available [here](#).

Prior to making an award, NEH will conduct a risk assessment of successful applicants, consistent with Uniform Guidance [§200.205](#) (see the second paragraph below the next heading for more information about the Uniform Guidance provided by the Office of Management and Budget). This assessment guards against the risk that federal financial assistance might be wasted, used fraudulently, or abused. Based on its risk assessment, NEH will include in the award documents specific conditions designed to mitigate the effects of the risk.

Information for all applicants and for successful applicants

Applicants will be notified of the decision by e-mail in August 2017. Institutional grants administrators and project directors of successful applications will receive award documents by e-mail in September 2017. Award documents will identify the relevant terms, conditions, and administrative requirements that pertain to successful applications. The [Grant Management](#) section of the NEH website outlines all the responsibilities of award recipients, including anti-lobbying restrictions, in great detail. Applicants may obtain the evaluations of their applications by sending an e-mail message to editions@neh.gov.

In September 2015 NEH adopted without exception a new government-wide regulation for federal awards, referred to as the "Uniform Guidance." The Uniform Guidance applies to all NEH awards and is aimed at reducing the administrative burden on award recipients and improving accountability of federal financial assistance for tax payers (See 2 C.F.R. Part 200: [UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS](#).) NEH will identify in each grantee's award documents the relevant terms, conditions, and administrative requirements from the Uniform Guidance with which the grantee must comply.

Help NEH eliminate fraud and improve management by providing information about allegations or suspicions of waste, fraud, abuse, mismanagement, research misconduct (fabrication, falsification, plagiarism), or unnecessary government expenditures, during the period of award performance, to the NEH Office of the Inspector General. You can find details on how to report such allegations and suspicions [here](#).

V. Additional Information

Contact information for the program and Grants.gov

If you have questions about the program, contact NEH's Division of Research Programs at 202-606-8200 and editions@neh.gov.

Grants.gov: www.grants.gov

Grants.gov help desk: support@grants.gov

Grants.gov customer support tutorials and manuals:

<http://www.grants.gov/web/grants/applicants/applicant-tools-and-tips.html>

Grants.gov support line: 1-800-518-GRANTS (4726)

Privacy policy

Information in these guidelines is solicited under the authority of the National Foundation on the Arts and Humanities Act of 1965, as amended, 20 U.S.C. 956. The principal purpose for which the information will be used is to process the grant application. The information may also be used for statistical research, analysis of trends, and Congressional oversight. Failure to provide the information may result in the delay or rejection of the application.

Application completion time

The Office of Management and Budget requires federal agencies to supply information on the time needed to complete forms and also to invite comments on the paperwork burden. NEH estimates that on average it takes fifteen hours to complete this application. This estimate includes time for reviewing instructions, researching, gathering, and maintaining the information needed, and completing and reviewing the application.

Please send any comments regarding the estimated completion time or any other aspect of this application, including suggestions for reducing the completion time, to the Chief Guidelines Officer, at guidelines@neh.gov; the Office of Publications, National Endowment for the Humanities, Washington, D.C. 20506; and the Office of Management and Budget, Paperwork Reduction Project (3136-0134), Washington, D.C. 20503. According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB number.

APPLICATION CHECKLIST

- **Verify and if necessary update your institution's Entity record, or create an Entity record for your institution, at the System for Award Management (SAM).** Complete at least four weeks before the deadline. This requirement applies only to institutional applicants, not to unaffiliated project directors.

- **Verify your institution’s registration (or, for unaffiliated project directors, your individual registration) or register your institution (or, for unaffiliated project directors, yourself) with Grants.gov.** Complete at least two weeks before deadline.
- **Download the application package from Grants.gov.** The [program resource page](#) on NEH’s website has a direct link to the package. You can also search Grants.gov for this program. (Note that Grants.gov tells you to download the “application instruction” as well as the “application package.” The “application instruction” is this document, so there’s no need to download it.) The program resource page also has a direct link to the instructions for completing the package.
- **Complete the following forms contained in the Grants.gov application package.**

1. Application for Federal Domestic Assistance - Short Organizational (or, for unaffiliated project directors, Application for Federal Domestic Assistance for Individuals)
2. Supplementary Cover Sheet for NEH Grant Programs
3. Project/Performance Site Location(s) Form (required only for institutional applicants; unaffiliated project directors do not fill out this form)
4. Attachments Form—Using this form, attach the parts of your application as described in the guidelines:

ATTACHMENT 1: Table of contents (name the file “contents.pdf”) (1 page)

ATTACHMENT 2: Statement of significance and impact (name the file “statement.pdf”) (1 page)

ATTACHMENT 3: List of project participants (name the file “participantslist.pdf”) (1 page)

ATTACHMENT 4: Narrative (name the file “narrative.pdf”) (limited to 25 pages, including the following elements):

- **Substance and context**
- **History and duration of the project**
- **Collaborators**
- **Methods**
- **Work plan**
- **Final product and dissemination**

ATTACHMENT 5: Budget (name the file “budget.pdf”) (1 page)

ATTACHMENT 6: Appendices (name the file “appendices.pdf”) (limited to 35 pages, including the following elements):

- **Participant résumés and contact information**
- **A bibliography of existing editions or translations of the texts to be newly edited or translated, and of secondary sources**
- **Samples/examples of proposed work**
- **Letters of support or permissions from research venues**
- **For ongoing projects, reviews of published works**

ATTACHMENT 7: History of grants (name the file “granthistory.pdf”) (1 page)

5. Budget Narrative Attachment Form (also known as the Budget Narrative File) (required only for institutional applicants; unaffiliated project directors do not use this form)—Using this form, attach **only** a copy of your institution’s current federally negotiated indirect-cost rate agreement (or an explanation why you are not attaching such an agreement). (See the Grants.gov instructions for institutional applicants, which are available on the program resource page, for additional information.)

Your attachments must be in Portable Document Format (.pdf). We cannot accept attachments in their original word processing or spreadsheet formats. If you don’t already have software to convert your files into PDFs, many low-cost and free software packages will do so. You can learn more about converting documents into PDFs [here](#).

Upload your application to Grants.gov. NEH **strongly** suggests that you submit your application no later than 5:00 p.m. Eastern Time on the day of the deadline. Doing so will leave you time to contact the Grants.gov help desk for support, should you encounter a technical problem of some kind. The Grants.gov help desk is now available seven days a week, twenty-four hours a day (except on [federal holidays](#)), at 1-800-518-4726. You can also send an e-mail message to support@grants.gov. For purposes of verification, keep a record of any communication with Grants.gov, including a case number if it is assigned.

Be sure to read the [document](#) (PDF) that explains how to confirm that you successfully submitted your application. It is your responsibility as an applicant to confirm that Grants.gov and subsequently NEH have accepted your application.

TIMELINE

Until December 7, 2016: Contact Division of Research Programs program officers (at 202-606-8200 or editions@neh.gov) with questions and for advice (optional)

October 15, 2016: Submit draft application (optional) by this date

November 9, 2016: Create or verify your institution’s Entity record at the System for Award Management by this date (applies only to institutional applicants)

November 23, 2016: Register your institution (or verify its registration) with Grants.gov by this date (unaffiliated project directors must register themselves or verify their registration by this date)

December 7, 2016: Submit application through Grants.gov by this date

March-April 2017: peer review panels take place

July 2017: meeting of the National Council on the Humanities, followed by funding decisions

August 2017: applicants are notified of the funding decisions

September 2017: institutional grants administrators and project directors of successful applications receive award documents by e-mail

October 2017: successful applicants may begin work on their projects as early as this date

September 2018: successful applicants must begin work on their projects no later than this date