NATIONAL ENDOWMENT FOR THE HUMANITIES

BUDGET REQUEST FOR FISCAL YEAR 2011

SUBMITTED TO CONGRESS FEBRUARY 2010

NATIONAL ENDOWMENT FOR THE HUMANITIES

Fiscal Year 2011 Appropriation Request

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NATIONAL ENDOWMENT FOR THE HUMANITIES

Fiscal Year 2011 Appropriation Request

SUMMARY AND HIGHLIGHTS

The Administration and the National Endowment for the Humanities (NEH) request an appropriation totaling $161,315,000 for the agency for fiscal year 2011:

- $2.500 million for a special initiative—*Bridging Cultures*—that will enhance Americans' understanding of their own rich cultural heritage, as well as the cultural complexity of the world in which they live;

- $77.750 million in outright funds for the Endowment's grant programs in support of high quality education, research and scholarship, preservation and access, and public programming in the humanities;

- $38.515 million for the operations, projects, and programs of the 56 nonprofit state and territorial humanities councils;

- $9.500 million in matching funds for the NEH Challenge Grants program to stimulate and match private, nonfederal donations in support of humanities institutions and organizations;

- $4.550 million in federal matching funds to stimulate and match nonfederal contributions to humanities projects supported by NEH; and

- $28.500 million for administration expenses necessary to operate the agency in FY 2011.

Introduction

The National Endowment for the Humanities was founded on the principle that knowledge of the humanities—the ideas, people, and events that make up the record of human thought and experience—is both personally rewarding to Americans as individuals and critical to our common civic life as a nation. As the preamble to NEH's authorizing legislation states: "Democracy demands wisdom and vision in its citizens." That legislation also encourages the Endowment to, among other things, promote "understanding of the nation's rich cultural heritage," foster "a mutual respect for the diverse beliefs and values of all persons and groups," and "relate the humanities to the current conditions of national life."

The humanities—the study of history, literature, languages, philosophy, comparative religion, and other, related disciplines—are uniquely equipped to help us as a people. They entail critical thinking and reflection. They are for thoughtful study and

reasoned discourse. And they provide perspective, which can bring people together rather than divide them. Study and understanding of the humanities also helps to tie the past to the present and the future. This is especially important during this era of major social, economic, and political change.

On the assumption that over the next decade the need to restore fiscal order will consume families, cities, states, and the federal government, where does the case for continued public support for the humanities fit in?

There is historical precedent for consideration of the humanities during other difficult periods in American history. In the middle of our country's most traumatic conflict, President Lincoln signed the Morrill Act in 1862 establishing land grant universities in every state in the union. Likewise, in the darkest days of the Great Depression, Franklin Roosevelt foresaw that support for the humanities and the arts—via the WPA and other programs—would be a unifying act.

There is, of course, cost involved in any federal program and in many cases a cost as well to not meeting certain social obligations. While public expenditures for NEH programs can be measured precisely, the indirect costs to society of not paying attention to the disciplines that bring perspective to the most pressing issues of the day are more conjectural. We would suggest, however, that they are not slight.

Would it not be helpful for foreign policy decision-makers to understand the role of cultural considerations from religion to art in countries with which we interact? And if conflict is considered, would these considerations not better be understood before rather than after military engagement occurs?

Can international business be carried out in a vacuum of cultural understanding? Is there a tenable basis for good political relations if there is no mutual cultural respect?

Are we not vulnerable to internal social rifts unless there is greater understanding of the mosaic of sub-cultures that defines American life and unless there is greater understanding of our heritage of tolerance? Cannot ethnic hate speech and inflammatory political rhetoric spark social division and even heinous acts?

Most crucially for our future, we have come to see that culture can be used either to unite peoples of differing backgrounds or magnified as a lightning rod to accentuate their differences. At issue are not only problems of social cohesion at home but direct challenges deliberately leveled at our values and capacities abroad.

NEH Special Initiative: *Bridging Cultures*

It is in this overall context of a challenged America and the need to advance mutual understanding both at home and abroad that the NEH is launching a special initiative we are calling *Bridging Cultures*. The initiative is designed to bridge both space and time to help American citizens gain a deeper understanding of their own varied

cultural heritage, as well as the history and culture of other nations. NEH is well-suited to lead this initiative by virtue of its non-partisan national constituency and acknowledged leadership in scholarship, education, preservation, and public programming in the humanities.

Numerous reports indicate that many Americans lack even cursory knowledge of other nations, not to mention their own history. According to surveys, fewer than 18 percent of Americans are able to locate Iraq and Afghanistan on a map, even as we are engaged in major wars there. When asked to name the president of Russia, only 36 percent could give the right answer, and when asked to name those who were not Shiites but adhere to the other major branch of Islam, just 32 percent volunteered Sunnis. According to a recent National Academy of Sciences report, less than 10 percent of all college students were enrolled in foreign language classes in 2002. The report also found that "students in the United States tend to understand less about the beliefs, cultures, and history of other nations than their foreign counterparts." Similarly, Americans are inadequately aware of the complexity of our own heritage and the many strands that woven together make up the nation.

Such lack of knowledge has serious and ultimately dangerous ramifications: incivility and disharmony at home; misunderstandings detrimental to our national security abroad; an inability to compete effectively in the global economy; and an uninformed and limited view of the world in which we live. As President Obama said in his June 2009 address to students at Cairo University: "There must be a sustained effort to listen to each other; to learn from each other, to respect one another; and to seek common ground."

Concerned that political discourse in the U.S. is increasingly extremist and intolerant, NEH Chairman Jim Leach has recently begun a 50-state American Civility Tour to call attention to the need for civility in our public life. The tour is based on the assumption that if we do not try to understand and respect others, we cannot expect them to respect us, our values, and our way of life. At its core, civility requires respectful engagement: a willingness to consider other views and place them in the context of history and life experiences. The exchange of ideas and the consideration of other viewpoints are central to the humanities. The Chairman is speaking at venues ranging from university and museum lecture halls to hospitals for veterans and has been giving interviews and appearing on local television and radio.

The Endowment's *Bridging Cultures* initiative is designed to reach diverse segments of the public in every state and U.S. territory. Utilizing our experience in working with institutions of higher education, as well as the state humanities councils, NEH plans to convene a series of conferences across the nation that will involve scholars and members of the public to discuss issues that divide us as Americans and that will help us understand the history, heritage, and cultures of peoples in countries around the world. In addition, in FY 2011 the Endowment will encourage scholars, educators, museums, libraries, and other individuals and institutions to develop humanities projects and programs that address the goals and objectives of the initiative. It is also our intent to

make creative use of social and digital media to foster dialogue among people of diverse cultures in the United States and abroad.

Included in a separate narrative section of this budget request (please see page 11) is a fuller discussion of *Bridging Cultures* and the Endowment's plans for the initiative in FY 2011.

Other FY 2011 Program and Budget Highlights

In addition to the *Bridging Cultures* special initiative, NEH's FY 2011 budget and program plan will enable the agency to provide grants and awards to projects across all fields and disciplines of the humanities. The Endowment's highly respected grant programs advance the humanities on a peer reviewed basis, and the innovative programming led by state humanities councils accommodate diverse, decentralized approaches throughout the United States and our territories. A brief overview of the Endowment's strategic programmatic objectives for FY 2011 follows. These plans are discussed in more detail in the individual division, office, and program sections of this budget submission.

- Strengthen humanities teaching and learning in the nation's schools and colleges. In FY 2011, NEH will continue to be a critical resource for projects to improve the quality of humanities instruction at all levels of the nation's educational system. The Endowment supports teacher and faculty development and curricular resources that are based on rigorous humanities scholarship. The ultimate beneficiaries of these projects are the hundreds of thousands of American students who annually are taught by teachers who have attended NEH-sponsored enrichment programs. Funds requested for FY 2011 will enable the agency to support a broad range of summer seminars, institutes, and workshops that will reach hundreds of schoolteachers, faculty at colleges and universities, and advanced humanities graduate students. Support also would be available to continue three grant categories the agency put in place recently—"Enduring Questions" Pilot Course grants, Teaching Development Fellowships, and Picturing America Collaboration Projects—that are providing opportunities for teachers and schools to improve learning in the humanities.

- Maintain and strengthen the programs and activities of the state humanities councils. NEH's work in FY 2011 will be complemented and extended by the programs and projects of the state humanities councils in each of the fifty states, as well as the District of Columbia. Puerto Rico, the U.S. Virgin Islands, Guam, the Commonwealth of the Northern Marianas, and American Samoa. The state humanities councils are nonprofit, 501(c)(3) organizations governed by volunteer boards of directors. With their strong networks of cultural and educational institutions, the councils are well positioned to ensure that these funds, and the programs they support, reach citizens in every state and territory. The councils support reading and discussion programs for

- Preserve and increase access to cultural and intellectual resources essential for the American people. In FY 2011, the agency's preservation and access grant programs will continue to focus on projects that preserve and reformat the intellectual content of historically significant books and periodicals; preserve and provide access to important archival materials and library special collections; create humanities research tools and reference works such as dictionaries, bibliographies, and encyclopedias; record, document, and archive information relating to the estimated 3,000 of the world's 6,000-7,000 current spoken languages that are on the verge of extinction; offer preservation education and training opportunities; and provide grants to smaller institutions in support of their special preservation and training needs. FY 2011 funds also will help to support continuation of the National Digital Newspaper Program, a long-term NEH/Library of Congress partnership that is converting millions of pages of historically significant U.S. newspapers into digital files and mounting them on a national, web-accessible database.

- Provide opportunities for Americans to engage in lifelong learning in the humanities. In FY 2011, the Endowment will provide significant support for public humanities projects that enable millions of Americans to explore significant works, ideas, and events in the humanities. The Endowment will support a wide range of public humanities programs that reach large and diverse audiences. These programs make use of a variety of formats—television and radio documentaries, museum exhibitions, interpretation at historic sites, and websites and other digital media.

  In FY 2011, programs for general audiences will play an important role in the agency's efforts to plan for and observe key moments in the nation's history. For example, over a number of years the Endowment encouraged and provided support for projects related to the bicentennial of the birth of Abraham Lincoln. Many of these NEH-supported exhibitions, reading and discussion programs, and other projects were available across the nation during the 2009 bicentennial year. Building on this effort, the agency has been encouraging the development of projects for public audiences in anticipation of the sesquicentennial of the Civil War, which will be observed between 2011 and 2015.

- Facilitate basic research and original scholarship in the humanities. The Endowment's FY 2011 budget request will allow the agency to continue to be a critical source of support for advanced research and scholarship in the humanities in the United States. Funding will allow NEH to make hundreds of awards to individual scholars, as well as grants to institutions and teams of

- Encourage innovative use of digital information technology. In the humanities as in the sciences, digital technology has changed the way scholars perform their work. In 2006, the Endowment launched a special effort to encourage and support projects that utilize or study the impact of digital technology on research, education, preservation and access, and public programming in the humanities. Through its Office of Digital Humanities, NEH fosters the development of a wide variety of digital humanities projects, such as those that deploy technologies and methods to enhance our understanding of a topic or issue in the humanities; those that study the impact of digital technology on the humanities; and those that digitize important materials, thereby increasing the public's ability to search and access information. In FY 2011, we will continue to build on and extend the successes of this effort.

- Reinvigorate the teaching, study, and understanding of American history and culture. The Endowment requests funding in FY 2011 to continue its *We the People* program, which encourages the teaching, study, and understanding of American history and culture. These funds will help to support projects and programs throughout the agency, including, for example, special activities of the state humanities councils; Landmarks of American History and Culture workshops for schoolteachers and community college faculty; the National Digital Newspaper program; scholarly editions of the papers of U.S. Presidents and other figures important to our history; and the *We the People Bookshelf* program, which annually provides thousands of sets of humanities books to libraries and encourages young people to read great literature based on themes central to American history and culture.

- Strengthen the institutional base of the humanities. The NEH Challenge Grants program in FY 2011 will continue to assist nonprofit institutions in developing new sources of long-term support for their humanities programs. Challenge grants, which require $3 in gifts for every NEH dollar awarded to a humanities institution, are recognized for their success in encouraging private giving to the humanities. In FY 2011, the Challenge program will begin a special initiative to encourage two-year colleges to plan for ways to strengthen their activities, programs, and resources that support the humanities.

- Leverage third-party contributions to humanities projects. For FY 2011, NEH requests funding for its Treasury funds account, which will be used to match nonfederal contributions to individual projects in the humanities. Encouraging private-sector support for cultural activities is an important goal of the agency. NEH Treasury matching grants, which match nonfederal donations on a one-to-one basis, have proven to be an effective mechanism for leveraging the contributions of businesses, foundations, and individuals on behalf of the humanities.

- Collect, analyze, and disseminate statistical information about the condition of the humanities. In FY 2011, the Endowment plans to enter into a partnership with the American Academy of Arts and Sciences (AAAS)—an honorary society in Cambridge, Massachusetts, founded in 1780, that recognizes achievement in the natural sciences, social sciences, the humanities, and the arts—to sustain and extend AAAS's developmental work on the *Humanities Indicators* project. This project, which is responsive to NEH's legislative mandate to develop a "system of national information and data collection . . . on the humanities," is making a wide range of humanities data available to researchers, educators, and the general public. These data will equip policymakers and institutional administrators with statistical tools to help inform decision-making about primary and secondary education, higher education, the humanities workforce, levels and sources of humanities funding, public understanding of the humanities, and other areas of concern to the humanities community.

- Provide administrative funds to operate the agency effectively and efficiently. The NEH budget request includes funds needed to support the cost of operating the agency at the FY 2011 request level while maintaining a high level of customer service. Funds requested would support personnel compensation and benefits; building rent and security; the agency's grant application review system; NEH's participation in the government-wide Grants.gov program; and other expenses.

NATIONAL ENDOWMENT FOR THE HUMANITIES

FY 2011 Appropriation Request

(in thousands of dollars)

	FY 2009 Appropriation	FY 2010 Request	FY 2010 Appropriation	FY 2011 Request
Special Initiative:				
Bridging Cultures	--	--	--	$2,500
Education Programs	$14,500	$14,750	$15,616	14,750
Federal/State Partnership	35,000	38,515	40,370	38,515
Preservation and Access	16,000	16,250	17,116	16,250
Public Programs	14,500	14,750	15,616	14,750
Research Programs	14,500	16,000	16,866	16,000
Digital Humanities	4,000	4,000	4,866	4,000
We the People	15,800	14,500	14,500	11,500
Program Development	400	750	750	500
Subtotal	114,700	119,515	125,700	118,765
Challenge Grants	9,300	9,500	9,500	9,500
Treasury Funds	5,000	4,800	4,800	4,550
Subtotal	14,300	14,300	14,300	14,050
Administration	26,000	27,500	27,500	28,500
Total - NEH	155,000	161,315	167,500	161,315
National Capital Arts and Cultural Affairs Program	--	10,000 *	--	--
Grand Total	155,000	171,315	167,500	161,315

* For 2010, the Administration proposed to transfer oversight responsibility for this program from the U.S. Commission of Fine Arts to the National Endowment for the Humanities.

BRIDGING CULTURES
Special Initiative

FY 2011 Request: $2,500,000

> "All of us share this world for but a brief moment in time. The question is whether we spend that time focused on what pushes us apart, or whether we commit ourselves to an effort—a sustained effort—to find common ground, to focus on the future we seek for our children, and to respect the dignity of all human beings."
>
> --President Barack Obama, Cairo University, June 4, 2009

The importance of bridging cultures, both within America and across borders, has never been greater than it is today. As a consequence of globalism and the information technology revolution, the world's peoples are in more direct contact than ever before. However, mere proximity—actual or virtual—does not guarantee mutual understanding. Concerns about the consequences of cultural divides are pervasive among members of the American public. Inadequate cultural understanding has serious and ultimately dangerous ramifications: incivility and disharmony at home, misunderstandings detrimental to our national security abroad, an inability to compete effectively in the global economy, and an uninformed and limited view of the world in which we live.

The National Endowment for the Humanities, in response to these pressing concerns, has begun a special initiative entitled *Bridging Cultures*. The initiative seeks to bridge divides by making use of the unique role of the humanities in inspiring reflection, analysis, and conversation on common human themes. Through the humanities, minds are opened to other places, perspectives, and times, and doors are opened to constructive dialogues founded upon respect for and deeper understanding of one another's intellectual and cultural traditions. By crafting a new and visible effort to advance understanding, the Endowment responds to a need that is fundamental to our domestic well-being and to our national interest abroad.

To call attention to the need for civility in political discourse in the United States, NEH Chairman Jim Leach recently began a nationwide Civility Tour that is already garnering an overwhelmingly positive response. With stops on the tour planned in all 50 states, NEH is tapping into the public's interest in discussing the fundamental concept of civility, a cornerstone of respectful approaches to others. As Chairman Leach said at a recent public event, "Little is more important for the world's leading democracy than re-committing to an ethos of thoughtfulness in the public square. . . . At its core, civility requires respectful engagement: a willingness to consider other views and place them in the context of history and life experiences." In conjunction with the Civility Tour, NEH will encourage the development of innovative models for using the humanities to foster civic engagement and understanding of diverse perspectives in communities across the United States. Support will be provided for projects that encourage Americans to develop a richer and better informed understanding of the many and varied cultures in our

midst, through the work of scholars, teachers, museums, libraries, state humanities councils, and others who share a commitment to the humanities.

NEH will also use the *Bridging Cultures* initiative to make a concerted effort to address the pressing need to deepen our insight into the varied cultures around our increasingly interdependent globe. The emphasis will be upon educating Americans about places and peoples that have not necessarily been widely studied or commonly understood. As the Congress stated in the Endowment's founding legislation: "The world leadership which has come to the United States cannot rest solely on superior power, wealth and technology, but must be solidly founded upon worldwide respect for the Nation's high qualities as a leader in the realm of the ideas and of the spirit." The *Bridging Cultures* initiative responds to this call for leadership by articulating a new spirit of respectful engagement with others, using the humanities as building blocks for deepened cross-cultural understanding.

The Endowment has a long and respected history of supporting outstanding peer-reviewed projects and programs in the humanities, and we are confident that we can take advantage of the expertise of the state humanities councils and the networks of collaborators that we have built up over the years to further the goals of this new initiative. We hope to reach out and bring many new voices into the conversation as well.

There is an increasing interest among scholars in studying other cultures and new cross-disciplinary techniques designed to uncover differences as well as connections between different cultures. Educators and educational institutions also are interested in expanding curricula and continuing education opportunities that would help them to better educate American students about other cultures and to develop new digital bridges between classrooms in different parts of the United States and of the world.

In the face of these emerging trends, the Endowment has recently supported popular museum exhibitions that explore lost art treasures of Afghanistan; the influence of Asian art, literature, and philosophy on American art; and the immigrant experience in America. NEH has funded conferences on such topics as religious tolerance, the international phenomenon of secession, and global perspectives (inclusive of Islam) on the Crusades, as well as important books that expand our understanding of, for example, Russian and Chinese history, Latino-American art, and the experience of African Americans and other minorities in this country. Over the decades, the Endowment has helped to support the preservation of major humanities collections worldwide, as well reference resources, such as the *Encyclopedia Iranica* and the *China Biographical Database*, which create the seedbeds for greater cultural understanding. The Endowment's popular Summer Seminars and Institutes—professional development programs for college and high school teachers—have explored such topics as the modern Arabic novel and religious pluralism in the United States. We have also supported American overseas research centers around the globe, including in India, Turkey, Israel, and Jordan.

We believe that now is the time to foster these kinds of projects and to focus on the work of overcoming cultural barriers. To this end, the Endowment will support a series of "NEH Signature Conferences" that will highlight important topics relevant to the initiative. The first conference will address civility, currently a subject of broad public concern and a prerequisite for respectful engagement with others at home and abroad. Subsequent conferences will respond to the need for Americans to develop a deeper understanding of the world's cultures and religions. The conferences will take place in different locations around the country and will take advantage of web-based technologies to broaden participation to online audiences and to sustain ongoing public education through the involvement of state humanities councils, educators, and other civic groups.

The FY 2011 budget request for *Bridging Cultures* also will enable the Endowment to encourage scholars, teachers, filmmakers, and other individuals and institutions to develop humanities projects that address the initiative's themes and objectives, including, for example:

- Reading and discussion programs for public audiences that relate to the initiative's goals and to the themes of the Signature Conferences;
- Projects that help schools and teachers make creative use of digital technologies and social media as tools for humanities education;
- Film documentaries that focus on international topics in the humanities. Support would be provided, for example, for projects that examine critical issues in ethics, religion, or politics through an international lens; the life of a world leader, writer, or historical figure; or that explore the history and culture of a specific region of the world; and
- Partnerships in which international funders join with NEH to support collaborations of scholars from the United States and other countries.

Each of NEH's program divisions and offices also will encourage the development of projects that relate to *Bridging Cultures*. In addition, NEH will work to develop private-sector partnerships to broaden the base of support for the goals of the initiative.

We expect to refine and improve our programs over time, based on our ongoing evaluation of the success of each of the efforts NEH supports. We are committed to incorporating tools for evaluation into these projects and to reviewing the work of our grantees on an ongoing basis to ensure efficient and appropriate use of our resources.

EDUCATION PROGRAMS

FY 2011 Request: $14,750,000

Programs/Grant Categories:

- Summer Seminars and Institutes for School Teachers
- Summer Seminars and Institutes for College and University Teachers
- Landmarks of American History and Culture
- Picturing America School Collaboration Projects
- Humanities Initiatives for Faculty at Historically Black, High Hispanic Enrollment, and Tribal Colleges and Universities
- Enduring Questions Course Grants
- Teaching Development Fellowships

Through its Division of Education Programs, NEH supports teacher and faculty professional development, model curricula, and classroom resources that are based on rigorous humanities scholarship. These programs address one of the Endowment's key strategic objectives: to strengthen teaching and learning of the humanities at all grade levels, from elementary through graduate training, in the nation's schools, colleges, and universities. Endowment-funded projects help educators become more knowledgeable in their subject areas. NEH's humanities education programs focus on deep subject matter training for teachers, mainly through projects involving their collaboration with scholars. The ultimate beneficiaries of such projects are the hundreds of thousands of American students—in rural, suburban, and inner-city classrooms, as well as in colleges and universities—who annually are taught by reinvigorated and intellectually engaged humanities teachers.

While the federal government provides support to education in many forms, NEH's programs uniquely address the importance of deep knowledge of humanities subject matter and the necessity that humanities faculty be well versed in the subjects they teach. Over the years, the Endowment has helped foster far-reaching improvements in American education, such as the creation of web-based humanities teaching and learning tools and the revival of interest in core curricula and foreign-languages-across-the-curriculum programs. NEH supports content-based improvements in the teaching of English and other languages, history, literature, philosophy, and other humanities subjects. NEH projects ultimately help students learn more about the core disciplines that are crucial for an educated citizenry in the twenty-first century.

The Endowment has forged collaborative relationships with the private sector to leverage new resources for humanities education. The EDSITEment website, for example, is a nationally recognized gateway for teachers seeking rich humanities resources on the Internet. Through a partnership with the Verizon Foundation's *Thinkfinity* website, EDSITEment (http://edsitement.neh.gov) now contains over 275 sites selected by peer review panels for their excellent humanities content, interactive design, and usefulness in the classroom. EDSITEment also includes 485 extensive

learning units comprising rich material spanning the humanities curriculum for grades K-12. The EDSITEment website receives approximately 400,000 site visits per month.

In conjunction with the Endowment's Picturing America project, EDSITEment offers a variety of materials that feature individual great works of art from the project's collection in lessons that are meant to be integrated into a teacher's standing curriculum. Most are also accompanied by interactive materials in which students can learn to "read" a work of art for its style as well as its content. For example, the *Picture Lincoln* lesson plan, which is based on Alexander Gardner's iconic photograph of the president, is a rich source of visual and historical knowledge; *Midnight Ride of Paul Revere* — Fact, Fiction, and Artistic License, takes the student through Grant Wood's magical painting and asks pertinent questions about myth and history; and Norman Rockwell, *Freedom of Speech*— Know It When You See It, takes the student into the Constitution to ask pertinent questions about freedom of speech.

In FY 2009, 467 grant applications were submitted to the Endowment's Education Programs division, of which 125 were funded. In FY 2010, we expect to receive approximately 660 applications and make 160 awards. In FY 2011, 685 applications and 150 awards are anticipated.

Seminars and Institutes

NEH Summer Seminars and Institutes have long been the nation's premier form of professional development in the humanities for college teachers and elementary and secondary school teachers. NEH offers college and school teachers opportunities to pursue serious, substantive intellectual inquiry in fields such as history, foreign languages, literature, philosophy, and political science. Working with distinguished scholars, participants deepen their knowledge of the subjects they teach and explore effective ways of bringing this understanding to their students. Increasingly, both seminars and institutes have been integrating the use of digitized materials with printed books and articles.

Summer Seminars enable fifteen school or college teachers to study for two to six weeks under the guidance of a senior scholar. The principal goal is to engage teachers in the scholarly enterprise, thus equipping them for deeper understanding of their subject areas and more effective teaching. In Summer Institutes, school or college teachers participate in an intensive program of study with teams of humanities scholars who present a broad range of perspectives on a given topic. Well suited to larger groups (as many as thirty-five school teachers or twenty-five college teachers), institutes also last from two to six weeks and are a particularly appropriate mechanism for creating foreign language immersion opportunities.

NEH has recently revised the eligibility criteria for summer seminars and institutes to create opportunities for humanities graduate students. Beginning in the summer of 2010, graduate students will be eligible to participate in these summer enrichment programs, which will extend and deepen their knowledge and understanding

of the humanities. In higher education programs, two spaces in Summer Seminars and three spaces in Summer Institutes will be reserved, and in school teacher programs, the same numbers will be available for graduate students who intend to pursue K-12 teaching careers. This policy change, a response to suggestions from the humanities community, has been well received by project directors.

NEH annually supports summer seminars and institutes on a wide range of topics in the humanities. During the summer of 2009, for example, the College of the Holy Cross hosted twenty-five college and university faculty members in a three-week institute on Buddhist traditions of Tibet and the Himalayas and the Boisi Center for Religion and American Public Life at Boston College held a seminar for fifteen college and university teachers on how to maintain political liberty in a society where divergent religious views challenge the notion of common moral ground. In the summer of 2010, pre-collegiate literature teachers may apply to seminars or institutes on the Arabic novel in translation, Chaucer's *Canterbury Tales*, Shakespeare's plays, British and American poetry, and the works of Richard Wright. High school history teachers will be able to choose from seminars or institutes on such topics as World War I, the Industrial Revolution, the Abolitionist movement in America, and religion and pluralism. Collegiate-level faculty will be able to study Brazilian literature in Sao Paolo; medieval Jewish philosophy; the fall of the Roman empire; political and cultural interactions between Native Americans and Europeans; and three projects on the impact of trade on cultural interactions along the Silk Road, in Mediterranean countries in the medieval period, and between the Mamluk and Ottoman empires and the West in the early modern period.

For the summer of 2011, projects will be encouraged in four areas: advanced foreign language education; the *Bridging Cultures* initiative; community college faculty development; and the NEH Picturing America initiative.

Landmarks of American History and Culture

The Landmarks of American History and Culture program supports summer workshops for K-12 educators that train teachers to employ historical and cultural sites as the basis for communicating central themes and issues of American history, increase the knowledge and appreciation of these sites, and encourage staff at the sites to develop greater capacity and scale for professional development programs. Landmarks workshops are held at or near presidential residences, colonial-era settlements, major battlefields, and presidential libraries, as well as at sites associated with notable writers, architects, and artists who have made major contributions to the nation's culture. Projects accommodate a minimum of forty teachers at one-week sessions, which are offered twice during the summer. They are academically rigorous, involve leading scholars, and help participants develop new teaching resources.

NEH also supports workshops that provide opportunities for community college teachers to deepen their knowledge of the nation's history and culture. Projects accommodate a minimum of twenty-five faculty at one-week sessions, which are repeated between two and four times during the summer. Enrolling more than half of

America's undergraduate students, community colleges are a cornerstone of undergraduate teaching and learning. These institutions also are where a significant proportion of the nation's college students receive their first, and often only, contact with college courses in the humanities. In an effort to serve even more teachers from these important educational institutions, the Endowment has increased the number of community college workshops offered during the summer of 2010.

In the summer of 2010, school teachers will be able to choose from such workshops as "The American Skyscraper: Transforming Chicago and the Nation"; "Crossroads of Conflict: Contested Visions of Freedom and the Missouri-Kansas Border Wars"; "Jump at the Sun: Zora Neale Hurston and Her Eatonville Roots"; "James Madison and Constitutional Citizenship"; and "Abraham Lincoln and the Forging of Modern America." Community College faculty will be able to chose from several topics, including "Concord, Massachusetts: A Center of Transcendentalism and Social Reform in the Nineteenth Century"; "African-American History in the Georgia Lowcountry: Savannah and the Coastal Islands, 1750-1950"; "Progress and Poverty: The Gilded Age in American Politics and Literature, 1877-1901"; and "Along the Shore: Changing and Preserving the Landmarks of Brooklyn's Industrial Waterfront."

The Endowment has forged a partnership with the United States Department of State to include a number of teachers and other humanities practitioners from other nations in selected NEH-supported Landmarks of American History and Culture workshops. Seventeen foreign visitors participated in three workshops in the summer of 2008 and explored significant events and themes in American history. In 2009, fourteen foreign visitors participated in eight workshops. This innovative partnership helped these educators improve their understanding of the history and culture of the United States and our democratic principles. We anticipate that this partnership with the Department of State will continue in the summers of 2010 and 2011.

Picturing America School Collaboration Projects

The Endowment established a special grant category in 2008 keyed to the agency's Picturing America initiative. Through this program, scholars in American art history, American history, and American studies are encouraged to develop summer seminars, institutes, and workshops for school teachers that relate to the initiative. Projects may include professional development opportunities that focus on the art works and artists featured in Picturing America and studies of the periods of American art depicted and the humanities themes represented. Other projects may focus on one or more of the artists and their works and their physical context, including the homes and studios of the artists and the settings depicted in their works.

Picturing America School Collaboration Projects provide opportunities to strengthen understanding of the connections between Picturing America and significant events, themes, and topics in the American experience. Projects also encourage collaboration between K-12 educators and humanities experts who can bring appropriate knowledge to the integration of American artworks in core subjects and provide access to

rich scholarly resources and primary materials to support teaching. In its inaugural competition, three projects were funded to support seven conferences that will reach a national audience. The conferences are providing opportunities for educators who have already received the Picturing America images to observe models for teaching American art, history, and culture and to explore the value of visual literacy for subjects in the core curriculum. In the second competition in FY 2010, an anticipated 11 local and regional projects will support conferences for at least twenty-four teachers each, with awards of up to $75,000. For 2011, the Endowment will continue to make awards to local and regional museums, libraries, and universities capable of organizing these conferences.

Humanities Initiatives at Historically Black, High Hispanic Enrollment, and Tribal Colleges and Universities

In 2003, in response to special Presidential Executive Orders to all federal agencies, NEH established a program, Humanities Initiatives, to extend the reach of its grant opportunities to three types of institutions: historically black colleges and universities, institutions with high Hispanic enrollments, and tribal colleges and universities. Humanities Initiatives awards may be used to enhance the humanities content of existing programs, develop new programs, or lay the foundation for more extensive endeavors in the future. Each project is organized around a core topic or set of themes.

Humanities Initiatives grants also may create opportunities for faculty members to study together while improving their capacity to teach the humanities, support collaborative work among faculty members to devise ways for strengthening humanities programs, and fund visiting scholars to help improve or redefine an institution's humanities programs. Also supported are projects to help faculty develop new humanities programs or take advantage of underused humanities resources, train staff and faculty members in the use of humanities materials and technologies, or build ties among faculty at several institutions.

For example, a two-year grant for faculty at Jackson State University in Jackson, Mississippi, a historically black university, is being used to digitize, annotate, and create a website for the papers of African-American writer Margaret Walker Alexander (1915-1998). Alexander, best known for her 1966 historical novel, *Jubilee*, belonged to an eminent group of civil rights leaders and cultural critics in the mid-twentieth century. During her later career, she joined the faculty of Jackson State University. Alexander's extensive collection of published works, private journals and notebooks, manuscripts, speeches, and photographs are currently housed in the university's Sampson Library.

Faculty members at the University of the Incarnate Word (UIW) in San Antonio, Texas, an institution with high Hispanic enrollment, are using a grant from the Endowment to explore the theme "Water and Culture." This theme was chosen in part because the headwaters of the San Antonio River, which flows into the Gulf of Mexico, are located on the UIW campus. Over three years, participants will read and discuss common texts, attend a coordinated lecture/workshop series, and develop a program that

would weave the theme through humanities courses in the college's core curriculum. While much of the focus is on water issues in the South Texas/Mexico border region, comparative examples from Asia and Africa will also be discussed in the third year.

The College of Menominee Nation, a tribal college with campuses in Keshina and Green Bay, Wisconsin, is using its NEH grant to sponsor a two-year literary discussion series linked to the college's core general education courses. The four works of contemporary fiction and poetry to be discussed, one per semester, all address in some fashion the subject of American Indian identity and culture in modern society. They include Gordon Henry's *The Light People*, Kimberly Blaeser's *Absentee Indians and Other Poems*, Mark Turcotte's *Exploding Chippewas*, and Eric Gansworth's *Indian Summers*. The readings are accompanied by campus-wide discussion sessions and public forums with the four Native American authors.

Enduring Questions Course Grants

The Endowment established the Enduring Questions Course Grants program in FY 2009 to provide opportunities for higher educational institutions to design a new course for undergraduate teaching and learning that promotes engagement with fundamental issues in the humanities. The purpose of this program is to encourage faculty and students at the undergraduate level to grapple with important humanities issues and to join together in deep, sustained programs of reading in order to encounter influential thinkers over the centuries and into the present day.

Enduring Questions are questions that have more than one plausible answer. They have long held interest for young people, and they allow for a special, intense dialogue across generations. Some of these questions are: What is the good life? What is justice? What is freedom? What is happiness? What is friendship? What is dignity? Is there a human nature, and, if so, what is it? Is there such a thing as right and wrong, good and evil? What is good government?

At the inaugural competition NEH received 180 applications and funded 19 projects. Two examples demonstrate that the promise of the new program was fulfilled. At Wilbur Wright College in Chicago, Professor Bruce Gans will create a new community college course around the question, What is Freedom? Students will read texts in pairs drawn from a variety of disciplines and time periods to consider Western and non-Western perspectives on freedom. Professor Austin Busch at the State University of New York, Brockport, will develop a junior-level undergraduate course that grapples with death, including such issues as the afterlife, mourning, suicide, and the impact of biomedical advances on understanding life's terminus.

Teaching Development Fellowships

In 2008, NEH established a new grant program, Teaching Development Fellowships, to provide grants to college and university teachers to conduct research aimed specifically at deepening their core knowledge of the humanities in order to enrich

their undergraduate teaching. Offered by the Education Programs division in conjunction with the NEH Research Programs division, this program has three broad goals: 1) to improve the depth and quality of humanities education in the United States; 2) to strengthen the link between research and teaching in the humanities; and 3) to foster excellence in undergraduate instruction. Directed primarily towards course improvement rather than scholarly publication, the research undertaken as a part of a project may involve engaging with fundamental texts or sources, exploring related subjects or academic disciplines, or cultivating neglected areas of learning.

This new program received 98 applications at its initial deadline, and the Endowment made ten awards. Professor Rhonda Gail Knight at Coker College in Hartsville, South Carolina, for example, is using her award to redevelop the upper-level Shakespeare course she teaches. She will travel to the American Shakespeare Center in Staunton, Virginia, to study the Center's staging and rehearsal techniques and visit the Folger Shakespeare Library in Washington, D.C., and the Alderman Library at the University of Virginia in Charlottesville to research early modern staging. Her plan for major course revision includes access to some part of the course for members of the Hartsville, South Carolina community.

FEDERAL/STATE PARTNERSHIP

FY 2011 Request: $38,515,000

The NEH Federal/State Partnership is a model of American federalism in action. This collaborative effort dedicated to the study and enjoyment of the humanities links a national federal agency with fifty-six state and jurisdictional humanities councils. The councils were established to fulfill the requirement in NEH's enabling legislation—the National Foundation on the Arts and the Humanities Act of 1965, as amended—that the Endowment support humanities programs "in each of the several states."

The Federal/State Partnership helps the Endowment realize its two primary strategic goals: (1) to advance knowledge and understanding of the humanities, and (2) to increase public awareness of, access to, and support for the humanities in the United States. The Partnership makes humanities education and lifelong learning readily available at the local level, tailored to local interests and needs and drawing upon local resources, traditions, and heritage.

State humanities councils are nonprofit 501(c) (3) organizations governed by volunteer boards of directors. They operate in each of the fifty states as well as in the District of Columbia, Puerto Rico, the U.S. Virgin Islands, Guam, the Commonwealth of the Northern Mariana Islands, and Amerika Samoa. Each council receives funds annually from NEH according to a statutory formula. In accordance with the federal mandate, every NEH dollar a council receives is matched by local contributions of cash, goods, or services. The Endowment protects the federal investment by ensuring that state councils are strong organizations capable of delivering high quality humanities programming. It does so by collaborating with them on a regularly updated, state-of-the-art self-assessment and evaluation process, and by maintaining high levels of accountability.

State humanities councils have two major ways to support the humanities in their jurisdictions: They grant a portion of their funds on a competitive basis to locally initiated programs, and they develop and carry out their own programs. In their grant-making role, they act as foundations from which eligible organizations and individuals seek funding; in their program-generating role, they are nonprofit service providers drawing on their own resources and looking to the public to support the benefits they offer. Schools, libraries, historical societies, museums, literacy programs, filmmakers, teachers, researchers, writers, and storytellers are among the organizations and people with which the state humanities councils work. State humanities councils strengthen the bonds of community by bringing citizens together to discuss issues of importance in the humanities.

The councils support thousands of humanities projects and programs every year that reach millions of Americans in rural areas, urban neighborhoods, and suburban communities. With funds provided through the NEH Federal/Partnership, the councils

provide support for: reading and discussion programs for children, families, and the newly literate that take place in libraries and other civic places; state and local book festivals, as well as the participation of a number of councils in the annual National Book Festival sponsored by the Library of Congress's Center for the Book; educational institutes and seminars for elementary and secondary schoolteachers; scholarship on state and local history and culture, such as comprehensive online state encyclopedias; exhibitions at museums, libraries, and historical sites; and radio, television, and film projects on humanities themes. They carry out an increasing amount of programming and communications electronically, using websites, electronic newsletters, social networking, podcasting, and RSS feeds. A number of councils also post videos on the popular YouTube website.

With their strong networks of cultural and educational institutions, state humanities councils are especially well-positioned to ensure that NEH's *Bridging Cultures* initiative has a broad reach. For example, many councils design programming around the ethnic diversity of their states' populations. The councils in both Maine and Minnesota have published bilingual Somali-English books. The California Council for the Humanities funded two recent documentaries, "Stories from Baghdad, U.S.A." and "The Ethiopians of Los Angeles." Since 2004, the Kansas Humanities Council has provided funding to state organizations to give various ethnic groups the opportunity to tell and record the stories of their communities in Kansas. Speakers bureaus and reading and discussion programs of many councils also feature international topics. The Nebraska Humanities Council, in partnership with Nebraska Public Television and MacNeil/Lehrer Productions' "By the People" initiative, supports community discussions on America's role in the world.

The partnership between the Endowment and the state humanities councils has also helped to ensure that NEH's recent Picturing America initiative has a broad reach. The Michigan Humanities Council created a museum partnership grant program that allowed students to visit leading museums across the state to see American art firsthand in tours tailored to complement Picturing America. It also implemented Picturing America teacher seminars. The South Carolina council used funds provided through the Endowment's *We the People* program to host two free public programs on "Picturing America: How Art Helps Us Understand America."

State humanities councils across the country also make it possible for citizens to come together to address issues related to the economy, health care, demographics, energy, and our schools, through discussions informed by history and literature. Such in-depth explorations of critical and potentially divisive issues serve purposes beyond creating more thoughtful and better-informed citizens. They also increase citizen engagement in public life and bring citizens together to work toward common goals in shaping the future of their community and nation. The New Hampshire Humanities Council, for example, sponsored extended programming with public forums and thoughtful community discussions about "Shifting Ground: Religion & Civic Life in America." This initiative is being continued with "God and the Commons," which adds the lenses of history and philosophy and explores how participants' ideas and insights

might be turned into specific actions. The Arizona Humanities Council's "Project Civil Discourse" is an example of a statewide effort to create respectful dialogue and discourse on public issues. Working with a broad array of partners, including the Arizona School Boards Association, Arizona Town Hall, and the Arizona State University Center for Civic Education and Leadership, among many others, the council offers training, forums on specific topics, speaker presentations, book discussions, and special events that provide insight into collaborative problem-solving.

To ensure depth and seriousness of reflection, some councils have selected topics of specific concern to citizens of their states and shaped year-long events to explore each topic. The Illinois Humanities Council, for example, is conducting such an initiative, in conjunction with more than twenty partner organizations, entitled "All Consuming: Conversations on Oil and Water," which invites Illinoisans to join the council in using the humanities "to ask how access to and control and consumption of oil and water play out in the past, current, and future geopolitical environment." The Virginia Foundation for the Humanities uses electronic media to initiate discussions through their "Backstory with the American History Guys" radio call-in show, which features three prominent historians providing perspective on "events happening around us every day."

Because the written word is the currency of ideas, most state humanities councils promote reading and literacy. Many councils support such family literacy programs as Motheread, a nationally acclaimed non-profit organization that combines the teaching of literacy skills with child development and family empowerment. Prime Time Family Reading Time, developed by the Louisiana Endowment for the Humanities, provides reading, discussion, and storytelling programs for young children and their parents. Thirty-nine councils support literacy programming and all sponsor discussion programs, most of which are based on the common reading of texts.

Councils take an active role in providing K-12 teachers professional development opportunities and humanities curriculum support. The Minnesota Humanities Center offers teacher institutes that range from short half-day programs to week-long institutes led by regional and national humanities scholars. The Alabama Humanities Foundation offers both week-long and one-day teacher seminars. It is also linked with the statewide interactive resource database and the distance learning network, making professional development opportunities widely available throughout the state. The Connecticut Humanities Council offers a web-based resource center that allows users to search by grade level or topic to find lesson plans, field trips and professional development opportunities for teachers and students offered by Connecticut's museums, historical societies, and cultural organizations.

Working in concert with the National Endowment for the Humanities, in FY 2011 the state humanities councils will continue to strengthen the national effort to foster community through understanding of human history, thought, and culture.

PRESERVATION AND ACCESS

FY 2011 Request: $16,250,000

Programs/Grant Categories:

- Humanities Collections and Reference Resources
- Documenting Endangered Languages
- National Digital Newspaper Program
- Preservation and Access Education and Training
- Preservation Assistance Grants for Smaller Institutions
- Sustaining Cultural Heritage Collections
- Preservation and Access Research and Development

The Endowment's Division of Preservation and Access provides leadership and support in the national effort to preserve and create access to cultural heritage resources that constitute the foundation for research, education, and public programming in the humanities. Preservation and Access grants help the Endowment achieve one of its major strategic goals: to advance knowledge and understanding of the humanities, both in the United States and abroad. A substantial portion of the nation's cultural heritage and intellectual legacy is held in libraries, archives, and museums. These repositories, large and small, are responsible for preserving and making available collections of books, serials, manuscripts, sound recordings, still and moving images, works of art, objects of material culture, and rapidly expanding digital collections. The challenge is great: to preserve diverse formats of materials that are threatened by factors inherent to their physical structures or by the environments in which they are housed.

Ensuring the longevity of humanities collections requires actions on several fronts, including implementing preventive conservation measures and providing training for the professionals and volunteers who care for and manage such collections. It is also important to ensure that these materials can be easily accessed. Increasingly, humanities collections serve as the foundation for Web-based resources such as encyclopedias, dictionaries, descriptive catalogs, and digital archives. Both the creators and users of these resources also need support to develop digital tools to enhance access and promote integration of these materials. One particularly fragile cultural resource is the hundreds of human languages currently on the brink of extinction. Documenting this precious cultural record remains a top priority.

Good stewardship of cultural resources requires equal attention to preservation and access. All of the division's programs focus on ensuring the long-term and wide availability of primary resources in the humanities. In this sense, research, education, and appreciation of the humanities depend on the foundational work of preserving cultural heritage materials and making them available to scholars, teachers, and the general

public. To save our cultural and information heritage for the users of the future, we need to make preservation a very widely shared priority.

Last November, staff from the Endowment's Division of Preservation and Access joined a small planning group of representatives from the Library of Congress, the American Library Association, the Institute for Museum and Library Services, and other organizations to lay the groundwork for Preservation Week. Scheduled for May 9-15, 2010, Preservation Week seeks to raise public awareness about preservation of personal, family, community, and public collections by connecting collectors to preservation information and expertise via local libraries and cultural heritage institutions.

The Endowment's Preservation and Access division received 509 applications and made 171 grants in FY 2009. In FY 2010, an estimated 750 applications and 240 grants are anticipated. At the FY 2011 request level, we expect approximately 700 applications and 200 awards.

Humanities Collections and Reference Resources

The Endowment provides grants to projects that preserve and create intellectual access to collections that, because of their content and value as cultural artifacts, are considered highly important to the humanities. Grants support the digitization of collections to enhance their accessibility, as well as the integration of humanities materials that are geographically dispersed. Humanities Collections and Reference Resources awards also support preservation reformatting and deacidification of humanities collections; arranging and describing archival and manuscript collections; and cataloging collections of printed works, photographs, recorded sound, moving images, and other materials important for humanities research and education. For example, an award was made recently to the American Jewish Historical Society, located in New York, to organize, digitize, and make available the records of the American Jewish Congress, which has been active in international affairs and has promoted civil rights and social justice for the Jewish community and others, including collaborations with the NAACP and other civil rights organizations. Another recent grant, to the Bessemer Historical Society, in Pueblo, Colorado, is arranging and describing the records of the Colorado Fuel and Iron Company (CFIC), one of the nation's largest steel manufacturers during the late 19th to mid-20th centuries. Founded in 1872, the CFIC was the first vertically integrated steel company west of the Mississippi and operated mines throughout the intermountain region. These 800 linear feet of records and 5,000 maps will allow historians and interested members of the public to find new information on labor and industrialization, immigration, environmental history, and the history of the American West.

Moving images constitute an important part of the history of the 20th century, and preserving and making this cinematic record accessible remains a priority. With support from NEH, the National Film Preservation Foundation has helped bring to light rare and often forgotten American silent films. The most recent grant to the Foundation resulted in the production of "Treasures III: Social Issues in American Film, 1900-1934." A

recipient of the *New York Times* Choice Critic's Awards for new DVDs and named by the *New Yorker* as one of the top DVD boxed sets of 2007, these early films deal with a variety of controversial subjects including immigration, child labor, white-collar crime, unions, women's suffrage, alcoholism, and drug addition.

The Endowment has long supported the creation of a wide array of humanities research tools and reference works. Some of these resources, such as ancient language historical dictionaries and descriptive catalogs of manuscripts and rare books, serve primarily the needs of scholars. Others have been widely acclaimed for their contributions to education and lifelong learning in the humanities. Among the reference works that serve both specialist and generalist audiences are the *Dictionary of American Regional English,* which describes the rich array of regional and folk varieties of American speech, and the *History of Cartography,* a comprehensive account of the evolution of maps and map-making through history and around the world.

Humanities research tools and reference works increasingly appear in electronic form. Indeed, for many years NEH has been on the forefront in encouraging digital projects. Endowment funds have supported the development of online encyclopedias and dictionaries, as well as databases of bibliographical information, digital archives of textual and visual materials, and historical atlases. The Virginia Foundation for the Humanities, for example, received a grant to launch the *Encyclopedia Virginia*, an online reference work that will use the latest digital technology to shed light on four hundred years of Virginia history, beginning with the pre-colonial and colonial periods. Another example of an award to create a digital resource is a recent grant to Harvard University to enhance a biographical database of prominent political and cultural figures in Chinese history. The China Biographical Database began in the United States over twenty-five years ago and is now an international collaboration of Harvard, the Academia Sinica in Taiwan, and the Center for Pre-Modern Chinese History at Peking University. The database currently includes biographical information on about 40,000 figures in Chinese history and supports queries for many social and economic variables. Some of the Endowment's awards support scholarly work with the exciting promise of substantial impact on the lives of the American people. One such project is "Voyages: The Trans-Atlantic Slave Trade Database," an electronic resource located at Emory University in Atlanta and supported by NEH that offers information on nearly 35,000 voyages that carried captive Africans across the Atlantic. This past November, John Lewis, U.S. Representative, 5th District of Georgia, and Jim Wagner, President of Emory, hosted a program at the U.S. Capitol presenting the striking findings made so far by this project to trace the origins and journeys of Africans brought to our continent.

Documenting Endangered Languages

The Endowment supports the creation of tools—such as bilingual dictionaries, grammars, and text collections—that document languages threatened with extinction. Of the 6,000 to 7,000 currently spoken languages, at least 3,000 are endangered, including hundreds of American Indian languages. These lesser known languages constitute an irreplaceable treasure for scholars who need to consider evidence from past and presently

spoken languages in order to understand the nature of language. Moreover, a language embodies unique local knowledge of the cultures and natural systems of the region in which it is spoken. In an effort to address this issue, in 2005 NEH and the National Science Foundation established a joint special initiative, "Documenting Endangered Languages." Grants support fieldwork and other activities relevant to recording, documenting, and archiving endangered languages, including the preparation of lexicons, grammars, text samples, and databases. In each documentation project, academic linguists work closely with native speakers in the language community, and all products of these awards are made available to that community to increase their knowledge of their own heritage and to help them with any efforts they might undertake to pass on information about their language to younger generations. Recent awards support the preparation of a grammar, recordings, a lexicon, and other resources on Chimiini, an endangered Bantu language formerly spoken in Somalia; the creation of a multilingual dictionary of the Nahuatl language of Mexico; and the digital archiving of materials on other endangered languages in Bolivia, Brazil, Ecuador, Mexico, and Peru. The Endowment is also supporting the development of an online dictionary of Omaha and Ponca, two Siouan languages spoken in Nebraska and Oklahoma, and fieldwork on the grammar, lexicon, and storytelling traditions of the Plains Apache.

National Digital Newspaper Program

Newspapers chronicle the daily life of our citizens in towns and cities, and it is the stories of those towns and cities that together make up the history of our nation. They also document the civic, legal, historical, and cultural events in every region during the past 300 years. For nearly thirty years, the Endowment has helped to preserve the content of historically important American newspapers. NEH support has enabled organizations and institutions in 50 states, the Virgin Islands, and Puerto Rico to locate, catalog, and microfilm their newspaper holdings and to create a centralized bibliographic record of all newspaper titles published in America since 1690.

With the advent of digital technology, there is now a means of providing full text searching of newspaper content. In pursuit of this objective, NEH and the Library of Congress signed a memorandum of understanding in 2004, renewed in 2009, establishing a partnership to create the National Digital Newspaper Program (NDNP). Under the terms of this partnership, over a period of approximately 20 years, the Endowment will provide grants to an institution or organization in each state and territory to digitize titles published between 1836 and 1922 and to prepare fully searchable files that the Library of Congress would permanently maintain on the World Wide Web.

The NDNP is a complex undertaking that will be implemented in successive phases. To date, the NEH has provided support under this grant category for twenty-two state projects, which have created so far a collection of digitized newspapers published between 1880 and 1910. The selected pages, along with title essays and a directory of papers published in the United States from 1690 to the present, are now publicly accessible on-line through the Library's "Chronicling America" resource, which the American Library Association recently identified as one of the "Best Free Reference Web

Sites of 2009." The Internet Scout Report's Special Edition (a publication that evaluates valuable resources on the Internet) also listed Chronicling America among the "Best Sites of 2008-2009." This past June, at a press event at the Newseum in Washington, DC, it was announced that "Chronicling America" had reached an important milestone by making available the first million pages of historic American newspapers.

Preservation and Access Education and Training

Complementing the Endowment's support for preserving and establishing access to a variety of cultural resources are its grants for projects to increase the ability of the nation's libraries, archival repositories, and museums to care for their collections. NEH has always considered support for education and training to be an important component of its national preservation effort. Grants are made for regional preservation field services to help ensure that smaller cultural institutions across the country receive the kind of advice and knowledge they need to preserve their collections. For example, the Northeast Document Conservation Center in Andover, Massachusetts, provides surveys, workshops, seminars, technical consultations, and disaster assistance to libraries, archives, and historical organizations in the Northeast. The Balboa Art Conservation Center in San Diego offers similar services for the staffs of museums and historical organizations in California, Arizona, Oregon, and Washington.

NEH also supports academic programs that train the next generation of conservators responsible for the upkeep of the nation's humanities collections. Among these are the graduate program in art conservation sponsored by the University of Delaware and the Winterthur Museum and the graduate program at Buffalo State College in New York for conservators working with books, photographs, and ethnographic and archaeological materials. Finally, grants support specialized education and training programs such as the highly regarded workshops on digital preservation administered by the University of Michigan, Ann Arbor, for librarians, archivists, and museum specialists from across the country.

In addition, NEH has helped museums, libraries, archives, and historical organizations improve their ability to plan and respond to disasters. Inadequate planning for emergencies remains a serious problem for the nation's cultural institutions. At present, four out of five cultural repositories in the United States lack disaster or emergency plans and the trained staff to carry them out. The Endowment helps to integrate emergency management into all aspects of a collecting institution's operations by supporting preservation education programs and the work of the regional preservation field services that provide the training needed to plan effectively for disaster preparedness and response. For example, the Endowment provided much-needed emergency grants to educational and cultural institutions that were affected by Hurricanes Katrina and Rita in 2005 and by historic floods in the Midwest in 2008. NEH currently supports the Western States and Territories Preservation Assistance Service (WESTPAS), which provides emergency response training in 14 Western states and Pacific territories. The importance of WESTPAS's NEH-supported services was underscored in press articles covering the tsunami that devastated American Samoa in September. Professional staff and volunteers

of cultural and governmental institutions in American Samoa were able to apply the correct techniques in salvaging their priceless artifacts and archives, because WESTPAS had provided them with training in disaster response a few months before the tsunami hit. Barclay Ogden, head of the preservation department at the University of California, Berkeley, Library and a founder of WESTPAS, has recently received the 2009 Paul Banks and Carolyn Harris Preservation Award from the American Library Association. This is the nation's most prestigious annual award for professionals in the field of library preservation.

Preservation Assistance Grants for Smaller Institutions

Smaller cultural repositories constitute the large majority of collecting institutions in the United States. These organizations often lack the resources to address the preservation needs of their collections. The Endowment's program of Preservation Assistance Grants provides small and mid-sized libraries, archives, museums, and historical organizations with grants of up to $6,000. Funds support on-site consultation by a preservation professional, enable staff to attend preservation training workshops, and help purchase preservation supplies and equipment. Since FY 2000, 1,313 grants have been made to institutions in 50 states, the District of Columbia, and Puerto Rico. Nearly one-half (46%) of these Preservation Assistance Grants represent a first award from the Endowment, good evidence that this grant program effectively reaches institutions not previously served by NEH.

Recent awards include a grant to the Gig Harbor Historical Society in Washington for the purchase of equipment to monitor environmental conditions in the Harbor History Museum, which houses collections documenting the area's Native American and immigrant heritage. The Laramie Plains Museum in Wyoming received a grant to conduct a preservation assessment of its art and archives collections, which include materials related to the history of railroads, ranching, and Western settlement. The Alpena County George N. Fletcher Library in Michigan received a grant to purchase preservation supplies and storage furniture to care for its collection of books, photographs, archival records, and personal papers related to the history of northeastern Michigan. Finally, another preservation assistance grant enabled Louisiana State University at Alexandria, which was affected by Hurricane Katrina in 2005, to train its library staff and campus emergency response personnel in disaster preparedness and to create a disaster response plan.

Sustaining Cultural Heritage Collections

Libraries, archives, museums, and historical organizations across the country hold collections of books and manuscripts, photographs, sound recordings and moving images, archaeological and ethnographic artifacts, historical objects, and art that facilitate research, strengthen teaching, and provide opportunities for lifelong learning in the humanities. The challenge of preserving such large and diverse holdings for current and future generations is enormous. Last May, the NEH joined with the Consiglio Nazionale delle Ricerche of Italy, to sponsor a conference that brought together Italian and

American experts to explore sustainable strategies for the care of tangible cultural heritage. The overwhelming attendance and interest in the conference (nationally and internationally) was evidence that our program planning is meeting new needs in the field. The Endowment's Sustaining Cultural Heritage Collections program was launched soon afterward to support planning and implementation of preventive conservation measures that prolong the useful life of collections.

Ongoing conservation research in the United States and abroad demonstrates the value of preventive conservation measures, which typically include managing relative humidity and temperature levels in collection spaces, anticipating changes in conditions that may result from natural disasters, providing protective storage enclosures and systems for collections, and safeguarding collections from theft and fire. NEH support for preventive conservation would ensure the long-term preservation of significant collections.

Cultural repositories are increasingly interested in being "green" and preserving both the environment and their humanities collections. The Sustaining Cultural Heritage Collections program also promotes preservation projects that reduce energy consumption and greenhouse gas emissions. To encourage such projects, NEH offers planning grants to develop sustainable preservation strategies, which are based on an understanding of the materials in collections, the performance of the building systems, the nature of the climate, the economic costs, and the impact on the environment.

The Shelburne Museum of Shelburne, Vermont, known for its unique collections of American folk art, has received several NEH grants to support its preventive conservation efforts, including improvements in the museum's climate control, fire, and security systems. In recognition of the Shelburne's record of excellence, the museum received the 2009 Award for Outstanding Commitment to the Preservation and Care of Collections, presented jointly by Heritage Preservation and the American Institution for Conservation of Historic and Artistic Works.

Preservation and Access Research and Development

Research and Development awards address major challenges in preserving or providing access to humanities collections and resources. Projects assist in finding better ways to preserve materials of critical importance to the nation's cultural heritage—from fragile artifacts and manuscripts to analog recordings and digital assets subject to technological obsolescence—as well as developing advanced modes of discovering and using such materials. Research and Development grants may help, for example, devise more effective ways to protect and slow the deterioration of humanities collections through the use of sustainable preservation strategies; develop technical standards, best practices, and tools for preserving humanities materials that are "born digital"; and ensure that collections of recorded sound and moving images that represent a major part of the record of the 20th century will remain accessible to future generations.

An award was made to Brown University, in Providence, Rhode Island, to carry out research and development in order to produce software that will allow the searching of Sanskrit manuscripts and their integration into a digital library. More than 6,500 Sanskrit manuscripts are in libraries and museums in the United States but are poorly cataloged and not easily accessible. This project is aligning manuscript images with corresponding machine-readable text to achieve automatic retrieval and highlighting of words and passages. This is an important effort to use digital technology to make non-European languages broadly available, and the results of the project will provide a model for applying similar technologies to other major languages. Other recent Research and Development grants include one for Indiana University to develop a set of standards for reformatting historic sound recordings. Another grant to the University of California, Berkeley, will incorporate alphabets and ideographs from a number of ancient and minority languages into a single, international character set known as Unicode, thus making it possible to represent these rare scripts digitally and to make a wide range of otherwise hidden textual resources available online.

PUBLIC PROGRAMS

FY 2011 Request: $14,750,000

Programs/Grant Categories:

- America's Historical and Cultural Organizations (museums, historical organizations, libraries, community and cultural organizations, and historic sites)

- America's Media Makers (television and radio documentaries and those delivered using new technologies)

- Chairman's Special Awards (large-scale, collaborative, multiformat projects or large-scale traveling exhibitions)

- Small Grants to Libraries and NEH on the Road (extend the reach of NEH grants)

NEH's Division of Public Programs supports activities that engage millions of Americans in the study and interpretation of significant humanities works, ideas, and events. By providing opportunities for people to engage in lifelong learning in history, literature, comparative religion, philosophy, and other fields of the humanities, these programs address the Endowment's two strategic goals: to advance knowledge and understanding of the humanities in the United States and to broaden public awareness of, access to, and support for the humanities.

The Endowment supports a variety of public humanities programs, including interpretive exhibitions, reading and discussion programs, the interpretation of historic sites, radio and television documentaries, and history and literature programs for families and young people. Such projects encourage dialogue, discussion, and civic engagement among citizens, and they foster learning among people of all ages. The agency also supports collaborative, multiformat projects, large-scale traveling exhibitions of national visibility, and the use of new technologies for the delivery of content to the American public.

The America's Historical and Cultural Organizations program supports interpretive exhibitions about important subjects in our nation's history, as well as the history and cultures of other nations; and the America's Media Makers program supports engaging films and radio, and programs that employ new technologies on subjects in the humanities. The Endowment also supports Chairman's Special Awards that provide grants of up to $1 million for projects of national visibility that are of compelling interest to the general public, show exceptional promise of dealing with important humanities ideas in new ways, and reach large numbers of Americans.

Two small programs are extending the reach of excellent projects supported by NEH. The Small Grants to Libraries category, for example, enables individual libraries to take part in exemplary programs, such as exhibitions on important topics in the

humanities, circulated by national organizations and major institutions. Similarly, the NEH on the Road program is designed to extend the life and geographic reach of selected NEH-funded exhibitions by reconfiguring them to fit into spaces of approximately 2,000-square feet so that small and mid-sized museums can host NEH-funded exhibitions. Over 800 institutions including libraries, museums, and historical organizations found in all states, territories, and the District of Columbia have received awards for these programs in urban, suburban, and rural communities.

The Public Programs division also supports the *We the People Bookshelf* program, through which public and school libraries throughout the country receive a set of featured books and a film that are used in programs designed for their communities. This project, undertaken with the collaboration of the American Library Association, encourages young people to read and understand books that explore themes in American history and culture. The 2010 theme, *A More Perfect Union,* selected to correspond to the upcoming Civil War Sesquicentennial, is proving to be extremely popular. In anticipation of a similar success, NEH plans to award 4,000 sets of books and films for a theme chosen for this initiative in 2011.

The Endowment has already supported a number of projects related to the Civil War Sesquicentennial and intends to support many more as the anniversary is commemorated between 2011 and 2015. For example, an award to the Virginia Sesquicentennial of the American Civil War Commission is supporting planning for a traveling exhibition and other smaller exhibitions on Civil War events in Virginia as representative of the larger national experience. Similarly, a two-hour documentary, *Robert E. Lee,* produced by *American Experience*, will be broadcast nationally on PBS in 2011. The film examines the life and reputation of the Confederacy's pre-eminent general, whose military successes made him the scourge of the Union and the hero of the Confederacy. This documentary explores a number of dimensions of an extraordinary life and an enigmatic personality, looking at Lee as a son; as a Virginian and Southerner; as a Christian; as a soldier; as a symbol; and most fundamentally, as a man. To be broadcast on the *American Experience* series, PBS estimates that more than 15 million people will view the documentary and that thousands more will view it streamed online or download related curricular programs in our nation's schools.

Americans are more and more interested in global topics and in learning about other cultures. NEH's new initiative, *Bridging Cultures*, intends to nourish this growing public curiosity about other cultures by encouraging media makers to submit projects that focus on international topics in the humanities. Support will be provided for new media projects that examine a critical issue in ethics, religion, or politics through an international lens; the life of a world leader, writer, or historical figure; or the history and culture of a specific region of the world. Documentaries receiving support would spark Americans' engagement with the broader world through the exploration of cultures outside our borders.

In FY 2009, 62 major awards were made, including 18 radio and television projects; 36 exhibitions and reading, viewing, discussion, and web-based programs; and 8

for interpretive programs to America's historic places. Within these categories, two Chairman's Special Awards were made. In addition, 33 NEH on the Road grants were made to museums, and 80 Small Grants to Libraries were awarded.

In FY 2010, 68 major awards are anticipated, including 29 radio and television projects; 34 exhibitions and reading, viewing, discussion, and web-based programs; and 5 grants to America's historic places. Within these categories, three Chairman's Special Awards are anticipated. In addition, 60 NEH on the Road grants would be made to museums, and approximately 80 Small Grants to Libraries would be awarded.

In FY 2011, the Endowment expects to make 55 major awards, including 24 radio and television projects and 31 exhibitions or reading, viewing, discussion, and web-based programs. Within these categories, three Chairman's Special Awards are anticipated. In addition, 60 NEH on the Road grants would be made to museums, and approximately 80 Small Grants to Libraries would be awarded.

America's Historical and Cultural Organizations

The Endowment is a major source of support for substantive humanities projects and programs in the nation's historical and cultural institutions and organizations including museums, libraries and archives, historic sites, and community centers. These projects, all grounded in sound humanities scholarship, include exhibitions of artistic, cultural, and historical artifacts; the interpretation of American historic sites; reading and film discussion programs in the nation's libraries; traveling exhibitions; lecture series; and other lifelong learning activities. Also supported are programs that encourage creative public dialogue and engagement using the intellectual perspectives of the humanities and programs that bring new voices into the conversation by enlisting the participation of underserved communities. The Endowment also encourages collaborations among community libraries and museums, school systems and home schooling groups, parent-teacher organizations, television and radio stations, and literacy coalitions.

At any time, hundreds of NEH-sponsored exhibitions are on view at large and small museums and historical sites throughout the country, enabling Americans to learn more about their nation and the world through the humanities. For example, *For All the World to See: Visual Culture and the Struggle for Civil Rights* is a richly designed exhibition about the ways in which images were used to influence attitudes toward racial equality and African-American culture during the civil rights era. The exhibition will reach an estimated 50,000 people when it opens at New York City's International Center for Photography and then travels to the National Museum of African American History and Culture and to the Center for Art, Design, and Visual Culture in Baltimore, Maryland. It will reach many more people with its catalog, website, and curricular materials. More than 12,000 people passed through the Jewish Museum in New York to view another noteworthy exhibition, *Action/Abstraction: Abstract Expressionism and Postwar America*, which addresses the emergence of abstraction as a dominant style in

mid-twentieth century American art; the importance of criticism in understanding this revolutionary art; and the political and social context in which it emerged.

NEH provides support for educational interpretation of America's historic sites and for projects in our nation's libraries. For example, *Page and Stage: Theater, Tradition and Culture in America*, a project developed by the Aquila Theatre Company of the Center for Ancient Studies at New York University, in collaboration with the American Philological Association and the Urban Libraries Council, will take combined theater and library programs to sixteen venues in seven states across the nation. Each site will receive a theater performance of Shakespeare's *Comedy of Errors* in conjunction with a series of reading and performance discussion programs. The project also includes an informative website that helps theatergoers and others learn how the themes of classical Greek and Roman drama continue to resonate today across a variety of racial and ethnic subcultures. Thus far, the project has reached over 87,000 people with performance discussions and has had an additional 151,000 individual hits on its website. Another model project from the University of Illinois at Chicago, *Jane Addams and the Hull-House Settlement: Redefining Democracy,* will put in place a new core exhibition that incorporates current scholarship about Hull-House, Jane Addams, and the settlement house movement, and creates public programming centered on civic reflection and dialogue. These diverse projects will appeal to broad audiences in a wide variety of community environments and through new and tested formats, ensuring that all segments of the population will benefit from humanities-rich programming that engages and informs.

America's Media Makers

NEH supports media projects—principally film documentaries and radio series—that explore significant figures and events in the humanities and examine the history and culture of America and other nations. Programs present fresh approaches to interpreting the humanities and provide stimulating and substantive educational opportunity for Americans of all ages. The Endowment also encourages and supports creative approaches—especially those that use new digital technologies—that expand the content and reach of television and radio programs in the humanities. To ensure that humanities themes and questions are well conceived, the agency requires that projects draw their content from humanities scholarship and use a team of scholars who are knowledgeable in the subject matter and represent diverse perspectives and approaches.

Endowment-supported media projects continue to garner national recognition and awards for excellence. The NEH supported a project from the *American Experience*, television series, *Twentieth-Century Presidents: A Multi-Platform Initiative,* for the distribution on a wide range of media platforms of television biographies about seven U.S. presidents: Franklin Roosevelt, Harry Truman, Lyndon Johnson, Richard Nixon, Jimmy Carter, Ronald Reagan, and George Herbert Walker Bush. This project's multiformat approach included a national television broadcast of the seven programs as a series; distribution on new digital television channels, such as *PBS World*, and on DVD; podcasts; and digital media streaming. The impact of this project has been enormous.

Final Nielsen audience data indicates that the series yielded 25 million broadcast viewers on PBS; 104,000 full program downloads on iTunes; 155,000 podcast downloads; and 829,000 streaming video chapters. Another recently broadcast film documentary, *Louisa May Alcott: The Woman Behind 'Little Women'*, was viewed by 2.5 million Americans and will be seen by many more as it is rebroadcast, streamed on the web, and widely distributed. According to *The Boston Globe*, the film "gives us the story of a writer's interior world and genesis with more drama and color than you generally expect from a 90-minute documentary."

The Endowment recently provided funding for three half-hour episodes in a television series for children six to eight years old. The series, *Postcards from Buster*, produced by WGBH Educational Foundation in Boston, uses a combination of animation and live-action documentary photography to introduce viewers to world history and cultures as Buster Baxter, a rabbit, travels through India, Kenya, and Chile. The project brings together scholars, educators, and media specialists who work in collaboration to create films with enhanced educational value. NEH also recently provided a grant to Connecticut Public Broadcasting to produce a pilot film for PBS, *Young American Heroes*, aimed at students between the ages of eleven and fourteen. The film, treatments, and two websites would depict extraordinary Americans in pivotal moments of eighteenth- and nineteenth-century American history. Other notable NEH-supported television programs currently in development include the documentary *The Latino Americans*, which examines the history of this cultural group through the lens of immigration; and, *Into the Deep: America, Whaling and the World*, a two-hour in-depth look at the whaling industry during the span of two centuries.

NEH also supports radio programs that examine the lives of important individuals, significant events, notable developments in the humanities, and the critical analysis of themes or genre. Awards are made to radio organizations and stations, as well as to independent radio producers affiliated with non-profit institutions. Recent projects include the syndicated program, *Afropop Worldwide*, which is producing six one-hour episodes, developing a set of interactive maps of the history of music in Mali, and revising its website to enhance user-friendly access and interactivity. The Virginia Foundation for the Humanities received NEH support for a series of hour-long radio programs called *BackStory with the American Guys*. The programs use interviews, discussions, and special features to focus on a question about a topic taken from today's headlines. These entertaining and enlightening programs are designed to provide perspective on the present by connecting current circumstances with the broad sweep of American history.

Chairman's Special Awards

In FY 2007, the Endowment established a new category of Chairman's Special Awards of up to $1 million for projects that will increase the impact and reach of public programming in the humanities. Through these awards, NEH encourages and supports large-scale, collaborative, complex projects with national visibility and compelling interest to the general public that show exceptional promise of dealing with humanities

topics in new ways. The first Chairman's Special Award was made to the Asian Art Museum of San Francisco for an exhibition that explored the artistic and cultural heritage of ancient Afghanistan. A recent grant to the WGBH Educational Foundation in Boston is supporting the production of a film and related programming for the *American Experience* television series on the Freedom Riders of 1961. This ambitious multiplatform project focuses on the hundreds of civil rights activists who challenged segregation in interstate transport in the American South during the spring and summer of 1961. The centerpiece of the project is a special two-hour national PBS broadcast that is scheduled to coincide with the Freedom Riders' fiftieth anniversary in 2011. Another recent Chairman's Special Award was made to The Solomon R. Guggenheim Museum for *The Third Mind: American Artists Contemplate Asia, 1860–1989*. This large-scale exhibition and accompanying catalog, website, symposium, and related educational and public programs examines the impact of Asian art, literature, and philosophy on American art from 1860 to 1970. Since it opened in February 2009, it has attracted large audiences, received dozens of favorable reviews in the national press, and won countless awards, including the coveted "Best Thematic Museum Show" by the International Association of Art Critics.

Small Grants to Libraries and NEH on the Road

The Endowment is committed to extending the reach of high quality educational exhibitions to audiences throughout the country. To achieve this objective, the agency supports two special programs, NEH on the Road and Small Grants to Libraries. Together, these two programs have delivered content-rich exhibitions and accompanying educational programs to 800 communities nationwide.

NEH on the Road sends scaled-down versions of major NEH-funded exhibitions to cultural organizations at sites across America. The first of these exhibitions began circulating in 2005. Currently, NEH has eight exhibitions available. The Endowment provides support to supplement the host site for these exhibitions by awarding a grant of $1,000 for local public programming and scholarly activities. To date, NEH on the Road exhibitions have traveled to over 100 sites. One of the exhibitions currently in circulation is *¡Carnaval!*, which centers on eight communities in Europe and the Americas where carnival is a high point of the yearly civic and religious cycle. The exhibition features individuals who have dedicated much of their lives to planning, creating, and carrying out the festivities. Images, video, costume pieces, and masks from their performances relate the history and cultural traditions, while conveying the importance and function of community building through festival pageantry.

The Small Grants to Libraries program provides support for libraries and other cultural institutions to receive traveling panel exhibitions or pre-packaged reading and film discussion programs. The program helps smaller, less-experienced organizations bring strong humanities programming to their communities. For example, two organizations, the American Library Association and the National Constitution Center, received support to develop panel exhibitions—one on Lincoln, the other on the African American baseball experience—that will each travel to libraries throughout the nation.

These small, 1,000-square-foot exhibitions are designed to engage about the life and works of the subjects, focusing on the breadth of their accomplishments. Beyond the panel exhibition, selected sites also receive an array of educational and promotional materials keyed to the themes of the exhibitions, as well as training including presentations by scholars and curators, exhibit designers, and librarians from around the country with experience in public programming. An online site support notebook for libraries features program ideas, speaker lists, bibliographies, film lists, exhibit set-up instructions, and other aid for the selected sites. Grants of $2,500 are made to the selected sites, which are be used to defray the cost of travel to the training sessions and the cost of local programming.

RESEARCH PROGRAMS

FY 2011 Request: $16,000,000

Programs/Grant Categories:

- Fellowships and Summer Stipends Programs
  - — Fellowships
  - — Summer Stipends
  - — Documenting Endangered Languages
  - — Faculty Research Awards (for Historically Black Colleges and Universities, Institutions with High Hispanic Enrollment, and Tribal Colleges and Universities)
  - — Teaching Development Fellowships

- Collaborative Research Programs
  - — Collaborative Research
  - — Scholarly Editions and Translations
  - — Fellowship Programs at Independent Research Institutions
  - — Fellowships at Digital Humanities Centers

Advancing knowledge and understanding in the humanities is a major strategic goal of NEH and the principal mission of the agency's Division of Research Programs. The Endowment upholds the highest standards of scholarly excellence and intellectual significance. As a result, NEH awards for advanced research in the humanities have become among the most coveted by American scholars. Grants support research by individual scholars (Fellowships and Summer Stipends); long-term, complex projects carried out by teams of scholars (Collaborative Research and Scholarly Editions); and focused, individual projects that draw upon the collections and expertise of leading humanities institutions and overseas research centers (Fellowship Programs at Independent Research Institutions and Fellowships at Digital Humanities Centers).

Supported projects cover all areas of the humanities from history, philosophy, literature, and the arts to classics, Western civilization, and Asian studies. Many of these projects involve the most significant political, philosophical, and literary ideas in the American intellectual tradition. For example, NEH is a major source of funding for authoritative editions of the papers of important historical figures, including Martin Luther King, Jr., George C. Marshall, Eleanor Roosevelt, and Albert Einstein, and such notable statesmen as Benjamin Franklin, George Washington, Thomas Jefferson, James Madison, and Abraham Lincoln, as well as editions of the writings of quintessential American authors such as Emily Dickinson, Walt Whitman, Mark Twain, and Robert Frost. American scholars also receive funding to edit the work of other thinkers, writers, and artists, including, for example, the letters of poets Elizabeth Barrett and Robert Browning, essayist and historian Thomas Carlyle, and the definitive works of Giuseppe Verdi. These collections provide scholars with the raw materials of scholarly inquiry.

The results of humanities research are typically communicated through books and articles, and each year NEH-supported scholars produce hundreds of such publications, many with leading trade and academic publishers. Notable publications that have enjoyed wide readership recently include Howard Pollack's *George Gershwin: His Life and Work*, Marcus Rediker's *The Slave Ship: A Human History*, and Michael Honey's *Going Down Jericho Road: The Memphis Strike, Martin Luther King's Last Campaign*.

Grantees are increasingly making their research available in electronic formats, such as interactive sites on the World Wide Web. The Endowment encourages applicants to harness the vast potential of advanced digital technology in the conduct and dissemination of research. For example, David Robinson of Michigan State University received an NEH grant to produce a digital library of documents and their English-language translations and annotations written by and about West African Muslims. A grant to Richard Salomon at the University of Washington in Seattle is supporting work on the Early Buddhist Manuscripts Project. Using fragments of Buddhist manuscripts on birch-bark scrolls, Salomon's team will digitally reconstruct the earliest surviving Buddhist documents. And James Secord, an American scholar working at the University of Cambridge in England, heads an international collaboration on the Charles Darwin Correspondence Project, jointly managed by the American Council of Learned Societies and Cambridge University. The project publishes both a print edition and a fully searchable online database of the 19th-century naturalist's work at http://www.darwinproject.ac.uk.

Fellowships and Summer Stipends Programs

Fellowships and Summer Stipends

NEH Fellowships and Summer Stipends advance knowledge and understanding in all fields of the humanities. Over the years, these awards have proven to be an extremely effective and efficient means of supporting excellent humanities research, resulting in the publication of approximately 7,000 books. Nearly all grantees report success in publishing books and articles or making presentations based on their research for both specialized and general audiences, and many also report that their research enriched their teaching. Grants are awarded to a wide range of scholars in diverse settings, from colleges and universities to research institutes, and to independent scholars without teaching appointments.

The intellectual impact of NEH Fellowships and Summer Stipends is not confined to the academy. Many NEH-supported projects have enjoyed broad appeal and have been published by major trade publishers, including, most recently, Joseph Horowitz's *Classical Music in America* (W.W. Norton), Joel Kupperman's *Six Myths about the Good Life: Thinking about What Has Value* (Hackett Publishing), Carole Levin's *Dreaming the English Renaissance* (Palgrave Macmillan), and Woody Holton's *Unruly Americans and the Origin of the Constitution* (Hill and Wang).

Every year, former recipients of NEH research fellowships and stipends publish significant books and journal articles on important subjects in the humanities. Many of these publications win academic, scholarly, and literary prizes, such as Pulitzer Prizes for history and biography, National Book Awards, the Bancroft Prize (generally recognized as the most prestigious award in the field of American history), and other awards for intellectual distinction. In FY 2009, for example, a number of prestigious organizations in the humanities—such as the American Historical Association, the Organization of American Historians, the Gilder Lehrman Institute of American history—conferred 13 awards for excellence and intellectual significance on books that were written by scholars who had held NEH fellowships or summer stipends.

The Endowment is widely respected for its rigorous review process, a strength that has led to strategic partnerships that further extend the agency's ability to support advanced research in the humanities. For example, the agency currently administers the evaluation of applications to the Library of Congress's John W. Kluge Fellowships Program. This program provides stipends to junior scholars from the United States and abroad to conduct research in the Library's rich humanities collections. NEH also carries out the evaluation of applications and serves as fiscal agent for fellowships awarded by the Japan-United States Friendship Commission. These awards encourage American scholars to pursue advanced research on Japan and U.S.-Japan relations. The Endowment's collaborations with the Library of Congress and the Japan-U.S. Friendship Commission also provide for several jointly funded fellowship awards.

Documenting Endangered Languages

The Endowment continues its multi-year funding partnership with the National Science Foundation in support of Documenting Endangered Languages, a program to provide awards to scholars engaged in recording and preserving key languages before they become extinct. This collaboration is made urgent by the imminent demise of an estimated half of the 6,000 to 7,000 currently used languages worldwide. Documenting Endangered Languages fellowships support fieldwork and other activities relevant to recording, documenting, and archiving endangered languages, including the preparation of lexicons, grammars, texts, and databases. For example, with a grant from NEH, Gary Holton of the University of Alaska, Fairbanks, is conducting field work to document two Papuan languages on remote islands in eastern Indonesia. Ellavina Perkins, an independent scholar living in Arizona, is completing the first reference book to detail the grammar and sentence structure of the complex Navajo language. Bryant Garrett, an independent scholar working in collaboration with scholars at the University of Maine, Orono, is completing the first language primer for Penobscot, a language spoken by the Algonquian tribe in Maine. And, Connie Dickinson of the University of Oregon in Eugene received funding to complete print and online documentation of the Tsafiki language of the Tsachila people, an indigenous group living in Ecuador's western Andean lowlands.

Faculty Research Awards at Historically Black Colleges and Universities, Institutions with High Hispanic Enrollment, and Tribal Colleges and Universities

As part of the agency's efforts to extend the reach of its grant opportunities, the Endowment established a program to support faculty research at institutions designated by Presidential Executive Orders. This program currently provides research opportunities to humanities scholars at the nation's 430 historically black colleges and universities, institutions with high Hispanic enrollment, and tribal colleges and universities. For example, Dina Le Gall, Associate Professor of History at the City University of New York, Lehman College, received NEH support to write a comparative and interpretive book-length study of Sufism, a major sect within Islam. Daniel Campo, Assistant Professor of Architecture and Urban Planning at Morgan State University near Baltimore, Maryland, received support to write the recent history of the Brooklyn waterfront and, in particular, the Brooklyn Eastern District Terminal, rebuilt over twenty-five years through grassroots, non-governmental resources and efforts.

Teaching Development Fellowships

In 2008, the Endowment established a new grant category—Teaching Development Fellowships—to recognize the important connection of scholarship to teaching. With most humanities education occurring at two-year and four-year colleges, the need for improving the skills and knowledge of America's college teachers is a high priority. Thus, NEH created Teaching Development Fellowships to support college and university teachers pursuing research that will have an immediate and lasting impact on their undergraduate classrooms. The program, which is administered jointly by the Endowment's Research Programs and Education Programs divisions has three broad goals: 1) to improve the depth and quality of humanities education in the United States; 2) to strengthen the link between research and teaching in the humanities; and 3) to foster excellence in undergraduate instruction. Among the first award winners were Geraldine Gutwein of Harrisburg Area Community College in Pennsylvania, who is conducting research on the intersection of Native American art and literature for her course, "Native American Literature from a Multidisciplinary Approach." Rhonda Knight of Coker College in Hartsville, South Carolina, received an award to conduct archival research on the staging of Elizabethan drama in England in order to improve her course on the plays of William Shakespeare.

In FY 2009, NEH awarded 147 fellowships and stipends from a pool of 2,185 applications. In FY 2010, NEH anticipates making 222 awards from an applicant pool of 2,385. In FY 2011, we expect to award approximately 228 grants from a pool of about 2,385 applications.

Collaborative Research Programs

Modern scholarly endeavors increasingly require the collaboration of many scholars working across a wide range of specialties or scholars working alongside one another in research centers and archives. The Endowment nurtures such collaborative efforts through four programs—Scholarly Editions and Translations, Collaborative

Research, Fellowship Programs at Independent Research Institutions, and Fellowships at Digital Humanities Centers.

Scholarly Editions and Translations

Scholarly Editions and Translations grants support the preparation of important texts and documents that would otherwise be inaccessible to the public.

Scholarly editions projects involving significant literary, philosophical, and historical materials are typical, with the majority being in U.S. history and literature. Most are produced in print editions, but increasingly also in a variety of digital formats. Recent grants have supported, for example, editions of the papers of such major historical figures as George Washington, John Adams, Dolley Madison, Abraham Lincoln, Andrew Jackson, and Thomas Edison, and such literary figures as Ralph Waldo Emerson, Elizabeth Barrett and Robert Browning, and Ernest Hemingway. Other projects are preparing editions of documents important to the nation's history. For example, the *Freedmen and Southern Society* project at the University of Maryland is documenting the transition from slavery to freedom in the American South in the years 1861-1867. The project will consist of nine volumes of some 50,000 documents selected by the editors from materials at the National Archives of the United States, including a large number of letters, affidavits, and other kinds of direct testimony by slaves and ex-slaves. Another scholarly edition, *The First Federal Congress Project*, is publishing the complete record of the First Federal Congress, 1789-1791, including unofficial records and primary material such as letters and diaries that document the actions, debates, and thoughts of that body and its members. The Papers of the War Department, 1784-1800, a digital open access edition at http://wardepartmentpapers.org/, is reconstructing an archive of documents that was destroyed by fire in that department in 1800. Copies of original documents have been located in over 3,000 European and American collections and some 45,000 of these will be made available online to scholars, students, and the general public.

United States history also comprises great literary achievement. Hofstra University in Hempstead, New York, in collaboration with the Institute for Advanced Technology in the Humanities at the University of Virginia, received NEH support to create the Melville Electronic Library. When completed, this open access site at http://www2.iath.virginia.edu/melville/ will include digital versions of Melville's fiction and nonfiction writings as well as a repository of scholarly criticism and resources on the author.

Translation projects likewise involve significant literary and historical material translated into English for English-speaking scholars and readers. NEH has a long history of supporting translations of works from a wide variety of languages. Recently, Professor María-Antonia Garcés at Cornell University in Ithaca, New York, received funding to produce an English/Spanish edition of a late-16th-century account of life in Algiers. Professor Donald Lopez at the University of Michigan received funds to translate *The Golden Chronicle,* a 20th-century Tibetan text written by the Tibetan monk,

philosopher, and artist, Gendün Chöpel (1905-1951). *The Golden Chronicle* is widely considered the most significant work of Tibetan scholarship of the 20th century. Ellen Frankel of the Jewish Publication Society in Philadelphia, Pennsylvania, received funding to complete a translation of Jewish Writings Related to the Bible from the Second Temple Period. A team of textual scholars and translators will create the first English-language edition of the writings by Jews in Judea and the Diaspora from roughly 300 BCE to 100 CE.

Collaborative Research

Collaborative Research grants support teams of researchers involved in a variety of large-scale domestic and international projects, including archaeological excavation and interpretation, scholarly conferences, and wide-ranging original and synthetic research that significantly adds to our understanding of historical issues and cultural concerns. The results of these projects are published increasingly in digital format on the Internet. Lynn Rainville's project, "Investigating Historic African-American Mortuary Traditions," for example, combines archaeological fieldwork and archival research to document enslaved, free black, and 20th-century African-American traditions in central Virginia. Rainville, an Assistant Professor of Archaeology at Sweet Briar College in Virginia, has not only posted the scholarly results at http://www2.vcdh.virginia.edu/cem/, but she has created a second site at http://www.locohistory.org/Albemarle/ to enable many American families to trace their ancestry through forgotten African-American burial sites. Owen Doonan, associate professor of art history at California State University, Northridge, received NEH support to survey, excavate, and analyze the Black Sea port of Sinop in modern-day Turkey, an important ancient Greek port and overland trading post. Doonan and an international team of scholars will use archaeological evidence to better understand settlement patterns, interaction between cultures, and ancient trade economies. Anthropologist Thomas Sheridan at the University of Arizona and collaborators at the Hopi Tribe of America received a grant for the Hopi History Project, an effort to publish the first complete historical account of early Hopi-Spanish relations in the Southwest. Sheridan and his colleagues will bring together previously unstudied and untranslated primary documents from both colonial Spanish and Hopi sources, many of which are nearly 500 years old. Art historian John Clarke at the University of Texas, Austin, with a team of American and European scholars, is developing "The Oplontis Project," a study and publication of the largest and best-preserved villa excavated in the area buried by the eruption of Vesuvius, Italy, in 79 CE. In addition to a multi-authored monograph, the project is creating a complete digital archive of records, photographs, and previous scholarship all linked to a navigable virtual computer model of the existing state of the site, the first of its kind to use avatars to test the social and functional uses of the villa's spaces.

Fellowship Programs at Independent Research Institutions

Grants through Fellowship Programs at Independent Research Institutions support residential fellowships at major U.S. research centers located at home and abroad as well as fellowships awarded under the auspices of U.S. organizations that facilitate

international research. NEH supports institutions as varied as the Newberry Library in Chicago, and the American Research Institute in Turkey, and funds individual research in China through the American Council of Learned Societies. The program was created partly in recognition of the fact that intellectual exchange and collaboration are vital to the scholarly enterprise and to advanced research in the humanities. These partnerships expand the access of American scholars to important research collections and scholarly communities, including many with rare and specialized expertise. NEH funds partially support the costs of fellowship stipends, while the partner institution covers such costs as meals, lodging, copying and library services, computer access, and, in the case of organizations supporting international research, assistance in securing the necessary visas and research permits. NEH grants to the institutions support approximately 75-80 fellows annually.

Awards through Fellowship Programs at Independent Research Institutions yield a wide range of published scholarship. For example, Peter Reed of the University of Mississippi held a NEH fellowship at the Library Company of Philadelphia. With his award, he produced *Rogue Performances: Staging the Underclasses in Early American Theatre Culture* (Palgrave Macmillan, 2009), which uses the extensive collections of the Library Company, America's oldest cultural institution, founded by Benjamin Franklin in 1731. Historian Glen Ames at the University of Toledo held an NEH fellowship at the American Institute of Indian Studies in Dehli to research and write *The Globe Encompassed: European Expansion and Conquest 1500-1700* (Prentice Hall, 2008). Ames argues that expansion efforts in places such as India, Indonesia, and the Americas by great European colonial powers set in motion today's experience of economic and cultural globalization. Albert Ascoli of the University of California, Berkeley, used his 2005 fellowship at the American Academy in Rome to research and write *Dante and the Making of a Modern Author* (Cambridge University Press, 2008), in which he offers the first treatment of Dante's conception and definition of modern authorship. Christopher Brown of Columbia University used his fellowship at the Omohundro Institute of Early American History and Culture in Williamsburg, Virginia, to produce *Moral Capital: Foundations of British Abolitionism* (University of North Carolina Press, 2006). The book received the Frederick Douglass Book Prize from the Gilder Lehman Institute of American History for the best book on slavery or abolition and the James A. Rawley Prize in Atlantic History from the American Historical Association.

Fellowships at Digital Humanities Centers

The Endowment recently introduced a new program, Fellowships at Digital Humanities Centers, to support residencies for humanities scholars at centers that specialize in the use of technology to advance humanistic understanding. Among the program's goals are increasing the general public's access to the humanities, enhancing the nation's cyberinfrastructure, nurturing digital literacy and expertise, encouraging innovative digital humanities projects, and promoting the work of digital humanities centers. Grants will have a wide range of potential outcomes—from building digital collections or displays to developing new analytical digital tools and innovative digital products. The program made its initial awards in FY 2009, including an award to the

Institute for Advanced Technology in the Humanities at University of Virginia to support the work of Gardiner Hallock, Director of Architectural Research at the Montpelier Foundation, who is creating the first online scholarly resource for the study of the architecture and construction of Montpelier, James Madison's family estate. The collaboration uses the Internet to make freely available a record of the complete restoration of the Montpelier mansion to its condition when James and Dolley Madison lived there in Madison's post-presidential years. Highly realistic and interactive 3-D digital models are linked to historical records, maps, and images for use at the new visitor center at Montpelier and for online users of the website, who can take "virtual fieldtrips" to Montpelier. The project will help further understanding of, for example, the economics of 18th-century estates and James Madison's finances, tastes, and values at different points in his career.

In FY 2009, the Endowment funded 54 large-scale collaborative research projects from a pool of 213 applications. In FY 2010, we expect to award approximately 62 awards from a pool of 218 applications. In FY 2011, 59 awards and 218 applications are anticipated.

OFFICE OF DIGITAL HUMANITIES

FY 2011 Request: $4,000,000

Programs/Grant Categories:

- Digital Humanities Start-Up Grants
- Institutes for Advanced Topics in the Digital Humanities
- Transatlantic Collaborative Grants
- NEH/German Research Foundation Bilateral Digital Humanities Programs
- Digging Into Data Challenge

The National Endowment for the Humanities supports projects that utilize or study the impact of digital technology on research, education, preservation, and public programming in the humanities. Through the Office of Digital Humanities, NEH is fostering the development of digital technology in the humanities and supporting a wide variety of projects including, for example, those that deploy technologies and methods to enhance our understanding of a topic or issue in the humanities; those that study the impact of digital technology on the humanities—exploring the ways technology changes how we read, write, think, and learn; and those that help train the next generation of scholars to use technology-based methods to increase our understanding of the world. Much of the work in the area of digital humanities is interdisciplinary and transnational. Funded projects often bring together scholars and scientists from across the United States, as well as from around the world. Hence, many of the programs supported represent partnerships with other funding agencies both domestic, such as the National Science Foundation, and international, such as the Social Sciences and Humanities Research Council of Canada, the Joint Information Systems Committee of the United Kingdom, and the German Research Foundation.

While the emergence of the Internet and information technologies has begun to transform the ways in which history, literature, philosophy, and other humanities subjects are studied, taught, and disseminated, the humanities disciplines have lagged far behind the sciences in promoting innovation and building the infrastructure needed to hasten and sustain this transformation. NEH's digital humanities programs are designed to address this need. They build on the Endowment's many years of contributions to the digital humanities. Indeed, NEH's experience in supporting humanities projects that employ electronic technologies has enabled the agency to define many needs and opportunities for expansion in this area that are being met with strategically targeted funds.

In 2006, the Endowment convened a special conference on the digital humanities, which brought together some of the best minds in the field. The conference produced a number of recommendations for how NEH could use its good offices to spur the growth of information technology and computer networks in humanities research, education, preservation and access, and public programming. Conferees identified gaps in the Endowment's programs and grant categories and suggested a number of ways the agency

could play a more prominent leadership role. Many of these recommendations are reflected in programs and special projects of NEH's Office of Digital Humanities.

The Endowment's work also is informed by the findings of a national Commission on Cyberinfrastructure for the Humanities and Social Sciences sponsored by the American Council of Learned Societies, a private nonprofit federation of 69 national scholarly organizations. The commission's report posits that greater investment in cyberinfrastructure is a matter of strategic priority for the United States and urges federal and private funding agencies, as well as the nation's colleges and universities, to increase their support for the digital humanities infrastructure.

The Endowment's Office of Digital Humanities features a number of cross-cutting grant categories and special programming emphases:

Digital Humanities Start-Up Grants. This innovative program invites proposals for the planning or early stages of digital projects in all areas of the humanities. The program provides seed grants that enable humanities practitioners with fresh ideas to get their projects off the ground quickly. Projects may involve research that brings new digital approaches to the study of the humanities; new digital modes of publication and dissemination of humanities scholarship; explorations of digital methods or approaches to preserve, archive, and make accessible traditional (i.e., analog) and "new media" resources in the humanities; and programs that address the innovative use of emerging digital technologies in formal and informal educational settings. These small grants (typically ranging from $25,000 to a maximum of $50,000) result in plans, prototypes, or demonstration models for long-term digital humanities projects. Successful Start-Up grants may later move on to receive larger implementation funding from other NEH programs. In terms of applications, this program has proven to be quite successful, with nearly 300 applications received and about 34 awards made each year. The large number of applications and the high quality of awards suggest that the Endowment has tapped an important unmet need in the humanities. Notable recent grants include:

- The Connecticut Humanities Council received a grant to develop a digital platform that will broaden access to the resources of local historical societies and cultural organizations. The platform will bring together digitized records, images, and documents; Connecticut-focused school curricula; media discussions of Connecticut history; indexes of museum exhibitions and events related to Connecticut; and essays and short entries written by scholars. This project may serve as a model to other states that wish to unite disparate humanities resources for the use of scholars, students, and the general public.

- The University of Kentucky received a grant to create digital, web-based tools to enable students to study medieval Latin legal manuscripts, many of which form the basis for modern-day law.

- The City College of New York received a grant to develop a series of courses on the life of poet Walt Whitman. The students, located at four different colleges near locations where Whitman lived, will visit places where Whitman wrote his poetry, and use Web technology to interact with each other and learn how geography impacted Whitman's work.

Institutes for Advanced Topics in the Digital Humanities. This grant category encourages the sharing of best technology practices among humanities scholars. More and more, humanities scholars are using sophisticated technology tools and techniques. This program sponsors training workshops that allow scholars to learn how to apply technology to the study of the humanities. This category has proven to be extremely popular with the field. For example, two recent training institutes reported that well over 100 people applied for the 30 available seats. Examples of recent awards include:

- The University of Southern California received support to host a four-week summer institute aimed at humanities scholars who are interested in learning to use new modes of scholarly communication. Participants will learn how to publish their work in multimedia formats, making use, for example, of audio, video, computer simulations, and interactive maps. The institute will include guest lecturers as well as hands-on collaborations between scholars and technologists.

- The University of Virginia received a grant to host an institute aimed at scholars, librarians, museum officials, and advanced graduate students, to explore how geospatial technologies like Geographic Information Systems (GIS) can be used for teaching, learning, and research in the humanities.

- The University of California, Los Angeles received a grant to host an institute aimed at bringing together humanities scholars, mathematicians, and computer scientists to explore how ideas are transmitted across networks of people over time and place. The scholars and scientists will learn from a distinguished set of lecturers who will be discussing this theme in many different contexts, including literature, language, art, and history.

Transatlantic Collaboration Grants. The NEH and the Joint Information Systems Committee in the United Kingdom are working together to offer support for digitization projects in the humanities. This program provides funding for development in any of the following areas: new digitization projects and pilot projects, the addition of important materials to existing digitization projects, or the development of infrastructure to support U.S.-England digitization work. Some examples of recent awards include:

- The Folger Shakespeare Library and the University of Oxford, with the Maryland Institute for Technology in the Humanities at the University of Maryland and the Shakespeare Institute at the University of Birmingham, England, plan to create the Shakespeare Quartos Archive, a freely-accessible, high-resolution digital collection of the seventy-five quarto editions of

William Shakespeare's plays. The project will also develop an interactive interface and toolset for the detailed study of the quartos, with full-functionality applied to all thirty-two copies of one play, Hamlet, held at participating institutions, including the British Library, the University of Edinburgh Library, the Huntington Library in California, and the National Library of Scotland.

- A team of scholars from the Digital Archaeological Archive of Comparative Slavery at the Thomas Jefferson Foundation in Virginia, the University of Southampton's Nevis Heritage Project, and the International Slavery Museum in Liverpool are working together on the St. Kitts-Nevis Digital Archaeology Initiative. Together, they plan to develop an integrated digital archive of diverse archaeological and historical data related to the experiences of slaves on sugar plantations in the Caribbean by digitizing and delivering on the Web information from two 18th-century plantations.

DFG/NEH Bilateral Digital Humanities Programs. The NEH and the German Research Foundation (DFG) are working together to encourage collaborative digital humanities projects between American and German institutions. The NEH and DFG are currently co-sponsoring two programs. The Symposia and Workshops program encourages American and German scholars to work together to exchange ideas and put plans in place for future collaborations. The Enriching Digital Collections program—a logical next step after the Symposia and Workshops—funds major digital scholarly projects for the purposes of research, education, preservation, or public programming. Some examples of recent awards include:

- A team of scholars from the American School of Classical Studies at Athens and the German Archaeological Institute are planning a project, called "The 'Big Digs' Go Digital," on the application of digital technologies to better preserve, study, and make accessible the data from large-scale, long-term archaeological digs.

- A team from the University of California, Los Angeles and the German Archaeological Institute of Cairo, is embarking on a major project to create a digital library of three-dimensional renderings of ancient Egyptian structures based on a variety of existing sources using CAD (Computer Aided Design) technology. This new library will enable not only scholars but also students of Egyptology, archaeology, and architecture to access this remarkable resource.

- Teams from the University of Virginia and the Universities of Paderborn and Detmold in Germany are planning a project called "Digital Music Notation Data Model and Prototype Delivery System," which will develop methods, standards, and software for a scholarly music notation system.

Digging into Data Challenge. This innovative new program, which made its initial awards in FY 2010, is an international collaboration that links NEH and the National Science Foundation of the United States, the Social Sciences and Humanities Research Council (SSHRC) of Canada, and the Joint Information Systems Committee (JISC) of the United Kingdom. The competition is open only to international partnerships involving research teams in at least two of the three participating countries: Canada, England or Wales in the United Kingdom, and the United States. The competition brings humanities and social science scholars together with scientists and technologists to explore how vast libraries of digitized books, newspapers, art, and music can be used for advanced scholarship. There was a huge response to the program in the first grant competition, with 87 international teams competing, indicating this is an area of great interest in the field. Some examples of recent awards include:

- A team of humanities scholars and computer scientists from the University of Nebraska, Lincoln, and the University of Portsmouth in the United Kingdom is embarking on a project to integrate a vast collection of textual, geographical, and numerical data about the development of the American railroads and its impact on our nation, concentrating initially on the Great Plains and Northeast United States.

- A team of scholars from George Mason University, the University of Hertfordshire in the United Kingdom, and the University of Alberta in Canada will be developing tools and models for comparing, visualizing, and analyzing the history of crime, using the Old Bailey Online, which contains extensive court records of more than 197,000 individual trials held over a period of 240 years in Great Britain.

- A team of humanities scholars, librarians, and computer scientists from Stanford University, the University of Oklahoma, and Oxford University in the United Kingdom are embarking on a project to develop new visualization techniques and tools for use with Oxford University's Electronic Enlightenment collection of 55,000 letters. The project will support research into the history of the "Republic of Letters" -- scholarly communities and networks of knowledge during the period 1500-1800.

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With the funds requested for the Office of Digital Humanities in FY 2011, the Endowment will be able to strengthen these innovative programs and enhance its leadership role in the digital humanities. We regard our digital programs as a logical extension of our commitment to using information technology and the Internet to transform the relationship between citizens and their government. While the Endowment has been on the forefront in implementing some of the federal government's recent digital initiatives—notably, as a leader in moving the government towards the use of the World Wide Web for grants processing—the Office of Digital Humanities takes this one step further by using our grant-making function to support digital projects that will increase Americans' access to important historical and cultural information and materials.

WE THE PEOPLE

FY 2011 Request: $11,500,000

We the People is an agency-wide program that seeks to enhance public understanding of America's historical and cultural heritage. The program supports exhibitions, films, library programs, and other lifelong learning opportunities for the public; provides content-rich professional development programs for teachers; encourages scholarly research on important topics in American history and culture; and preserves collections representing America's cultural heritage. Some notable highlights of the program include:

- Projects awarded throughout the agency are broadening our citizens' knowledge and understanding of the nation's history and culture. Notable awards include support for an authoritative documentary history of the transition from slavery to freedom in the American South, 1861-1867, and a digital archive of Abraham Lincoln's writings; television documentaries on Robert E. Lee, women reporters of World War II, and seven segments of PBS's *American Experience* television series on twentieth-century Presidents; a website, traveling exhibition, and reading and discussion programs on Mark Twain at 100 libraries nationwide; and a Challenge Grant to the National Underground Railroad Freedom Center in Cincinnati for long-term support for its humanities programs.

- All of the state humanities councils participate in *We the People*. The Endowment has provided a significant portion of funds appropriated for *We the People* each year to the state councils to help them develop local and statewide projects and programs on American history and culture. These funds are supporting expanded Chautauqua programs, reading and discussion programs on important events and themes in the nation's history, public symposia, and teacher institutes that enable humanities teachers to be more effective instructors of important topics in American history and culture.

- NEH established a special program, Landmarks of American History and Culture, to support enrichment workshops for K-12 teachers and two-year college faculty at important historical and cultural sites around the nation. At these workshops, teachers gain direct experience in interpreting significant historical sites, in using archival and other primary historical evidence, in connecting what they learn with what they teach, and in developing new teaching materials for their classrooms. Teachers participating in workshops during the summer of 2010, for example, will explore such topics as "Abraham Lincoln and the Forging of Modern America," "A Revolution in Government: Philadelphia and the Creation of the American Republic," "Inventing America: Lowell and the Industrial Revolution," and "The American Skyscraper: Transforming Chicago and the Nation."

- NEH and the Library of Congress forged a long-term partnership to support the National Digital Newspaper Program, which is converting microfilm of U.S.

- NEH has helped to expand opportunities for lifelong learning in American history and culture through programs for the general public. With NEH grants funds, for example, libraries and historical societies in more than 200 communities are hosting one of four separate traveling exhibitions on Abraham Lincoln; a new multiplatform media project will provide opportunities for the public to explore the stories of the 1961 Freedom Riders; and the visitors to the Jane Addams Hull-House in Chicago will benefit from enhanced interpretation of the site through a new exhibition and reinvigorated public programs. The Endowment also supports projects that use one or more historic sites to address themes and issues central to American history and culture; after-school programs on humanities topics; history exhibits at children's museums; and history camps for young people on civil rights and other significant chapters in American history.

- In partnership with the American Library Association, the Endowment annually makes available free sets of classic works of literature to public and school libraries through the *We the People Bookshelf* program. In addition to the books, NEH provides materials to help with publicity and the organization of public programs tied to the readings. In April 2010, NEH will award 4,000 sets of a new Bookshelf on the theme "A More Perfect Union," which libraries will use to conduct programs through April 2011. Including these awards, NEH will have distributed 17,000 sets of books since the program was launched in 2003.

- In 2008, NEH launched a major initiative, called Picturing America, which introduced young people to American history through images of the nation's art. The centerpiece of Picturing America was a set of high-quality, laminated color reproductions of forty masterpieces of American art. A teachers resource book accompanied the reproductions. The book, thumbnail representations of the images, and additional curricular resources are available on the NEH website.

  In its initial phase, Picturing America materials were awarded to more than 77,000 institutions, including 55,406 schools and libraries and 20,000 Head Start centers. In a second phase, launched in FY 2009, several of the Endowment's grant programs encouraged programming related to Picturing America. In 2010, the Endowment is supporting Picturing America School Collaboration Projects, which provide opportunities for school teachers to attend workshops focused on the art, artists, and themes contained in the initiative; summer seminars and institutes and Landmarks of American History and Culture workshops for teachers

related to Picturing America; and programs on Picturing America themes developed by state humanities councils. In FY 2011, the Endowment plans to enhance the program's website and pursue broad international outreach.

- In 1989, much of the American public found itself riveted by Ken Burns's compelling television series on *The Civil War*. NEH was an early funder of that series, and as we approach the 150th anniversary of the Civil War in 2011-2015, NEH again is poised to provide leadership in commemoration of this signal chapter in American history. As a participant in the key networks of sesquicentennial planners, NEH stays abreast of plans in the various states and invites proposals for projects that promise to enrich the commemoration intellectually and as a nationwide experience. Among the projects already funded are planning initiatives for public programs in Maryland, Missouri, Ohio, Pennsylvania, and Vermont; professional development programs for teachers on the abolitionist movement and the sectional battles leading up to the Civil War; a comprehensive electronic edition of Walt Whitman's Civil War writings; and planning for a one-hour television film on "Remembering the Civil War." NEH funds will also help extend the reach of a major exhibition to be mounted at the Virginia Historical Society and six other Virginia venues in 2011. "An American Turning Point: The Civil War in Virginia" illuminates the central role played by Virginia in the military and social revolution that framed the way Americans today approach the issues of freedom, liberty, patriotism, and nation. The NEH grant to the Virginia Sesquicentennial of the American Civil War Commission will help create and circulate two smaller versions of this exhibit beyond the state's boundaries and around the nation.

NEH will continue its efforts throughout 2010 and 2011 to attract high-quality projects that contribute to the commemoration of the Civil War. With the funds requested for FY 2011, the Endowment also will build upon successes of the *We the People* program by continuing to seek ways of enhancing Americans' understanding of their history and culture.

OFFICE OF CHALLENGE GRANTS

FY 2011 Request: $9,500,000

Support for Long-Term Institutional Development:

- Strengthening humanities education
  —Special Initiative for Two-Year Colleges and *Bridging Cultures* Challenge Grants
- Encouraging financial planning
- Facilitating humanities research and scholarship
- Preserving and increasing access to cultural resources
- Providing opportunities for lifelong learning in the humanities
- Enhancing infrastructure for digital humanities
- Challenge Grants in United States History and Culture

A small public library in Alaska constructs a new facility. A liberal arts college located in the Blue Ridge Mountains endows a new archivist position to greatly enhance programming and research based on their extensive collections in Southern Appalachian history and culture. A regional historical society in Arkansas expands its public programming by endowing a position of humanities educator. A major university in Indiana conducts research and public outreach on the place of religion in American civic life. An educational center for the study of the historic events surrounding the Underground Railroad enhances its core exhibits, distance learning, and workshops. A small college in Ohio creates a new Center for the Study of American Democracy. Consortia of research libraries join together to underwrite—and thus to ensure open access to—an online encyclopedia of philosophy.

This brief sampling of recent challenge grants awarded by NEH illustrates how the Endowment helps local, state, and national institutions secure their humanities resources and activities for the long term. Crucial to achieving this goal is the "multiplier effect": Recipients of a challenge grant must match every federal dollar with three nonfederal dollars. (Different matching ratios may obtain in special initiatives.) In fact, many grantees raise significantly more than the required amount.

Both the NEH challenge funds and the matched nonfederal funds can be used for a variety of long-term institutional purposes. The money may be used to purchase capital equipment and upgrade technology, renovate or construct facilities, and add to library holdings or museum collections. Challenge grants can also augment or establish endowments or spend-down funds that support basic humanities needs such as staff and programming. A wide array of nonprofit organizations have taken up the NEH "challenge," including museums, tribal centers, libraries, colleges and universities, scholarly research organizations, state humanities councils, public radio and television stations, and historical societies and historic sites.

In FY 2009 the Office of Challenge Grants received 117 applications and made twenty awards. The program anticipates receiving approximately 120 applications in FY 2010 and making twenty awards. In FY 2011, the program anticipates 140 applications and thirty awards.

Strengthening Humanities Education: Special Initiative for Two-year Colleges and Bridging Cultures Challenge Grants

In accord with a key element of the Endowment's strategic plan, the NEH Challenge Grants program helps strengthen humanities teaching and learning across the nation. For example, Swarthmore College received a $600,000 challenge grant (to match $2,400,000 in nonfederal funds) for teaching positions in Modern Standard Arabic. The college currently has substantial enrollments in Islamic and Middle Eastern Studies, and it seeks to address a national need by offering instruction in first- and second-year Arabic in a tri-college consortium with Haverford College and Bryn Mawr College. The consortium seeks to integrate language and cultural study and to encourage participation by its students in overseas residential programs. The grant will support a full-time faculty position at Swarthmore, a part-time faculty position to be shared with Haverford and Bryn Mawr, and Arabic language drill instructors.

Recognizing that the majority of postsecondary education in the humanities takes place at two-year colleges, the Endowment regards strengthening humanities education at these institutions as especially vital. The importance of these institutions to humanities education in the United States has been overlooked, and yet they have not applied for challenge grants in anything like the numbers we would expect from a cohort of over 1,100 institutions nationwide. Beginning in FY 2011, the Challenge Grants program will encourage two-year colleges to plan for ways to strengthen their activities, programs, capital resources, and endowments that support the humanities. Encouragement for applicant institutions that have been reluctant to apply for challenge grants will include a lower matching ratio of 2-to-1 (instead of 3- to-1), and an extended grant period to allow increased time to meet the NEH fundraising challenge.

The Endowment will particularly encourage applications from two-year colleges that respond to the agency-wide *Bridging Cultures* initiative. Two-year colleges are one of the most diverse educational settings in the nation, where student populations comprise persons of varied ethnicity and nationality, persons with low or moderate incomes who must work on average thirty hours a week to attend college, persons who are the first in their families to attend college and often are not adequately prepared for college-level study, and persons newly arrived in the U.S. who seek through education to establish their place in the American culture and economy. For these students, who are typically on a career track, the humanities alone among their educational experiences will provide direct contact with significant questions of cultural identity, national citizenship, and personal values. The humanities can lead students to understand their own and others' cultures not as inevitably conflicting value systems but as expressions of difference deserving of respect and understanding. Two-year colleges form the crossroads where cultures meet and mingle and where, in a humanities context, they can

be better understood. The Endowment will particularly invite applications for programs and curricula that compare two or more distinct cultures.

In line with Presidential Executive Orders #13256 on Historically Black Colleges and Universities and #13270 on Tribal Colleges and Universities, NEH also extends special encouragement to these types of institutions to apply for challenge grants. The encouragement includes a lower matching ratio of 2-1 (instead of 3-1), and an extended grant period to allow increased time to meet the NEH fundraising challenge.

Encouraging Financial Planning

The Endowment's enabling legislation calls for it to encourage "administrative and management improvements . . . particularly in the field of long-range financial planning." The Challenge Grants program fulfills this important mission—made especially vital by recent economic conditions—by requiring applicants to demonstrate how their planning for fund raising, for program development, and for resource allocation will sustain their endeavors well into the future. The benefits are clearly evident in the results of recently completed grants. For example, a challenge grant awarded in 2004 has allowed the Library Company of Philadelphia to establish permanent support for its Program in Early American Economy and Society. This program enables scholars to explore the economic foundations of our democratic society and helps to illuminate the critical role economics has played in America's development as a new nation and as a world power. The challenge grant of $1,000,000 (matching $3,000,000 in nonfederal gifts) created an endowment that supports research, public and educational programs, and publications in a subject fundamental to American history.

Facilitating Humanities Research and Scholarship

To help NEH achieve its strategic objective of facilitating basic research and original scholarship, challenge grants support institutions that serve humanities researchers and make scholarly resources available to the public. NEH awarded a grant of $500,000 (to match $2 million in nonfederal funds) to the Wisconsin Historical Society to endow the preservation of its important collections. The Society's North American history collections, which include four million library items and 130,000 cubic feet of archival materials, document the development of communities, nations, and social groups from the first contact between European and Native American cultures to the present. The challenge grant will provide continuing support for the protection of these valuable collections and making them accessible not only for research but also for classroom use, exhibits, and public programs.

Preserving and Increasing Access to Cultural Resources

The Challenge Grants program supports long-term institutional capacity to preserve manuscripts, art works, artifacts, documents, and other collections important to our cultural heritage. The North Haven Historical Society, which serves the community on a small island off the coast of Maine, used a $60,000 challenge grant (matched by

$180,000 in nonfederal gifts) to construct an archives building that now houses important documents and materials from the region's past. According to the project director, the challenge offer "was just the incentive the Society needed and a clear indication to our potential donors that we … meant to provide an archival safe haven for all that we had been given, for future acquisitions, and an enticement for community members (especially students) to explore their heritage."

Conservation facilities, programs, and staff have become an important part of a significant number of challenge grants. The Endowment has supported conservation education and training; it has helped museums establish conservation departments; and it has strengthened conservation centers across the nation. For example, NEH offered a Challenge Grant of $625,000 to the Asian Art Museum of San Francisco to help establish an endowment for its Conservation Department. The annual interest will yield funds to underwrite the Head of Conservation position. The museum will then use monies previously allocated for this position to expand staffing levels, address ongoing and specialized conservation needs, conduct analysis on individual objects, and make the entire collection more widely available for exhibition, educational purposes, and scholarly study and interpretation. The museum currently draws over 400,000 visitors each year. Spanning 6,000 years, the museum's collection of 15,000 objects enables it to provide an intensive introduction to all the major cultural and religious traditions in Asia over time.

Providing Opportunities for Lifelong Learning in the Humanities

Challenge grants also help institutions—such as libraries—enhance their capacity to facilitate lifelong learning. Throughout our nation's history, libraries have played a crucial role as repositories of knowledge and as resources for learning by members of the public. The City of Homer, in Alaska, used a recent NEH challenge grant of $400,000 to construct a new public library for the city and surrounding area. The NEH grant required as match $1,200,000 in nonfederal donations; the city utilized the leveraging power of the NEH challenge to raise over $400,000 more than required. Despite rising construction costs, the city completed fundraising for and construction of the new library ahead of schedule. The city reports that usage of the library's services and programs has increased dramatically with the new facility. Historical societies, large and small, constitute another source of lifelong learning for all audiences. An excellent example of the important role local historical societies play in educating Americans can be seen in the challenge grant of $575,000 awarded to Historic Cherry Hill, located in the South End of Albany, New York, for restoration of a 1787 wood-frame, Georgian-style farmhouse (listed on the National Register of Historic Places). The grant also provides endowment for the Curatorial and Research Department. Home to five generations of Van Rensselaers, Cherry Hill today tells a nationally significant story through the lens of an important family in the Albany area. Using the site's intact family collections of 20,000 objects, 30,000 manuscripts, 7,500 textiles, 5,000 books, and 3,000 photographs, the society educates its core audience of school children, college students, family groups, tourists, and researchers. The NEH challenge endowment will transform the society's

humanities activities through improved access to the wealth of information contained in these collections.

Enhancing Institutional Infrastructures for Digital Humanities

In FY 2011, the Office of Challenge Grants will continue its long-standing emphasis on digital humanities. The program invites applications to enhance the institutional infrastructure that makes sustained use of advanced technology possible. For example, the University of Virginia has been offered a $750,000 NEH Challenge Grant (to match $2,250,000 in nonfederal gifts) to support the university's highly regarded Institute for Advanced Technology in the Humanities (IATH). IATH has been the sponsor of some of the most defining projects of the digital revolution in the humanities, and scholars affiliated with IATH have been recognized for their efforts in the digital humanities as recipients of such prizes as the Richard W. Lyman Award of the National Humanities Center, E-Lincoln Awards, and the Modern Language Association Prize for a Distinguished Scholarly Edition. The challenge grant will support IATH's efforts to advance digital technology in the humanities through pioneering collaborative projects in multisensory 3-D user interfaces that integrate analytical tools with texts, images, maps, and dynamic 3-D representations. The grant will be used to support IATH's staff costs and the maintenance and enhancement of IATH's networking hardware and software.

Challenge Grants in United States History and Culture

February 2010 will be the final deadline for applications to a program—known as *We the People* Challenge Grants in United States History, Institutions, and Culture—that focuses exclusively on the United States. In FY 2011, NEH will begin accepting applications for a broadened form of American-oriented challenge grants, called Challenge Grants in United States History and Culture. Through this revised funding opportunity, NEH seeks to support a variety of approaches to the American experience, to events in its history, its democratic institutions, and its heritage as a complicated mix of peoples and their cultures. Proposals are particularly welcome that view the United States in international contexts rather than in isolation, that explore those relationships with other nations and cultures that have profoundly affected the course of U.S. history.

NEH awarded twenty-eight grants during the six years of the *We the People* Challenge Grants category. For example, the Endowment recently offered a challenge grant of $850,000 (to match $2,550,000 in nonfederal donations) to Knox College of Galesburg, Illinois in support of its Lincoln Studies Center. Founded by two retired teaching partners with the aim of making primary Lincoln resources more available, the center is known for its numerous publications and its partnership with the Library of Congress in transcribing and annotating Lincoln's personal papers for the Library's website. The offered challenge grant would endow the directorship of the center and develop a Web resource that provides access to full-text versions of significant Lincoln-related materials. The endowment resulting from the grant would also enhance a series

of educational initiatives that benefit the undergraduate academic program of Knox College, the general public, and scholars of the Lincoln Presidency.

TREASURY FUNDS

FY 2011 Request: $4,550,000

NEH uses Treasury funds to match nonfederal contributions in support of humanities projects. Encouraging private-sector support for cultural activities is an important goal of the Administration and of Congress, and NEH Treasury funds and Challenge Grants have proven to be an effective means of leveraging the contributions of the nation's businesses, foundations, and individuals on behalf of the humanities. From the establishment of the agency in 1965 through fiscal year 2009, Endowment matching grants for humanities projects have stimulated approximately $415 million in third-party donations. (NEH Challenge Grants have leveraged another $1.66 billion in institutional support.) In order to build on that record of success, we are requesting an appropriation of $4.550 million for the Endowment's Treasury funds program in FY 2011.

Matching grants are awarded in most programs of the Endowment. A matching award entails an offer of NEH funding that is conditioned on an equivalent amount of fund-raising by the recipient. A matching offer may comprise the entire amount of the Endowment's support. More often, however, it is combined with an outright grant that permits the project activity to begin while a fund-raising effort is being organized.

Although Treasury matching funds support discrete projects rather than basic institutional needs, matching grants awarded with Treasury funds generally have the effect of improving the financial stability of humanities institutions. By providing incentives for fund-raising, Treasury funds help humanities institutions establish long-term relationships with potential donors.

In FY 2009, NEH matching grants, leveraging an equivalent amount in nonfederal giving, supported humanities activities of every kind. Awards of Treasury matching funds included the following:

- $2.003 million awarded to the state humanities councils for projects conducted by local organizations and groups or by the councils themselves. These sums include $670,200 awarded in support of such NEH-approved *We the People* projects as the New Mexico Humanities Council's commemoration of one hundred years of statehood; *Virgin Islands Voices: A Spoken Word Celebration*, which will include writing and poetry workshops and a book festival; and a two-semester Clemente study course for low-income adults in Camden, New Jersey;

- $106,324 awarded to the New-York Historical Society to catalog, rehouse, and preserve 18,000 pamphlets, including speeches, political tracts, and sermons dating from the 19th and 20th centuries;

- $95,000 awarded to the Solomon R. Guggenheim Foundation in New York to create *American Art and the East*, a traveling exhibition, catalog, website, symposium, and related educational and public programs examining the impact of Asian art, literature, and philosophy on American art from 1860 to 1970;

- $50,000 to produce a two-hour television documentary on the life of Helen Keller and her place in American culture;

- $100,000 awarded to the American Council of Learned Societies to support four research fellowships a year for three years in international and area studies;

- $100,000 awarded to Yale University for a long-term project to edit and publish *The Papers of Benjamin Franklin*;

- $100,000 awarded to the University of California, Berkeley, to continue editorial work on electronic and print publication of *Mark Twain's Autobiography*, and to continue adding Twain's major works to the Mark Twain Project Online; and

- $44,952 awarded to the Illinois Historic Preservation Agency to digitizate Lincoln-related documents found in many different repositories, preparatory to making the documents available on a freely accessible website.

NATIONAL
ENDOWMENT
FOR THE
HUMANITIES

MISSION STATEMENT

Because democracy demands wisdom, the National Endowment for the Humanities serves and strengthens our Republic by promoting excellence in the humanities and conveying the lessons of history to all Americans.

NATIONAL ENDOWMENT FOR THE HUMANITIES

FY 2011 PERFORMANCE BUDGET

I. INTRODUCTION

The National Endowment for the Humanities is pleased to present the agency's FY 2011 Performance Budget. This document provides a measure of the Endowment's progress toward attaining the goals and objectives of the NEH multi-year strategic plan. In form and content, it conforms to the requirements of the Government Performance and Results Act of 1993 (GPRA) and to the published guidance of the Office of Management and Budget. The annual performance goals and indicators below are integrally connected to the long-range goals, objectives, and strategies detailed in the Endowment's FY 2007-FY 2012 strategic plan. FY 2011 performance data are consistent with the program activities currently planned at levels of the Endowment's FY 2011 Congressional request. FY 2010 performance data are consistent with the program activities currently planned at levels of the Endowment's FY 2010 Congressional appropriation. Also shown are actual performance results for fiscal year 2009.

II. PERFORMANCE GOALS AND INDICATORS

In the tables that follow, nine objectives of the Endowment's strategic plan are expressed as goals of the NEH performance budgets for FY 2011 and for the two preceding fiscal years. Annual progress toward the attainment of those goals is calibrated with reference to numerical or qualitative indicators. The integration of the Endowment's performance budget and its budget submission is fully evident in these tables. In each, the left-most column explicitly relates requested (or appropriated) funding for each of the major programmatic areas of the Endowment to a specific performance goal and to anticipated (or actual) performance levels. The nine strategic objectives/performance goals below all address the Endowment's primary strategic goal: **To advance knowledge and understanding in the humanities in the United States**. They are:

- To facilitate basic research and original scholarship in the humanities.
- To strengthen teaching and learning in the humanities in schools and colleges across the nation.
- To preserve and increase the availability of cultural and intellectual resources essential to the American people.
- To provide opportunities for Americans to engage in lifelong learning in the humanities.

- To create new program initiatives that respond to needs and opportunities in American society.
- To strengthen the institutional base of the humanities.
- To maintain and strengthen partnerships with the state humanities councils.
- To establish collaborative partnerships with individuals and institutions in support of the humanities.

II. Table A	NATIONAL ENDOWMENT FOR THE HUMANITIES FY 2011 PERFORMANCE BUDGET	
PROGRAM DIVISION/ REQUIRED FUNDING	PERFORMANCE GOALS AND INDICATORS	FY 2011 PLANNED PERFORMANCE LEVELS
RESEARCH PROGRAMS $16,000,000	A: To facilitate basic research and original scholarship in the humanities.	
	1) Support is provided that enables scholars--both those affiliated with educational institutions and those working independently--to devote a concentrated period of time to research and writing on significant subjects in all fields of the humanities.	Support would be provided for 224 individual scholars to make significant progress on important humanities research projects through fellowships and stipends.
	2) Support is provided for collaborative research projects that develop significant intellectual advances and resources for scholars, teachers, students, and the general public.	Support would be provided for 49 important long-term collaborative projects in the humanities such as scholarly editions, translations, archaeological excavations and analyses and other complex, large-scale undertakings. In addition, 20 previously awarded grants would receive ongoing support through NEH matching funds, in part through the use of *We the People* funding.
	3) Support is provided for overseas research in the humanities by American scholars and, where appropriate, encouragement offered for international collaboration in research on significant topics in the humanities.	Awards to 26 humanities fellowship programs at independent research institutions would support the work of 82 humanities scholars who would make significant contributions to scholarship in the humanities.
	4) Support is provided for humanities scholarship by faculty members at Historically Black, Hispanic-serving, and Tribal colleges and universities.	Support would enable up to 10 individual scholars who teach at historically black colleges and universities, at institutions with high Hispanic enrollment, and at tribal colleges and universities to make significant progress on important scholarly projects in the humanities through faculty research awards.

PROGRAM DIVISION/ REQUIRED FUNDING	PERFORMANCE GOALS AND INDICATORS	FY 2011 PLANNED PERFORMANCE LEVELS
EDUCATION PROGRAMS $14,750,000	B: To strengthen teaching and learning in the humanities in schools and colleges across the nation.	
	1) Teachers are provided opportunities to renew and deepen their knowledge of the humanities.	Support for 60 NEH summer seminars and institutes would enable 600 college teachers and 675 school teachers to revitalize their knowledge and teaching of the humanities. College teachers participating in seminars and institutes during the summer of 2012 would reach approximately 105,000 students annually; school teacher participants would reach approximately 84,375 students annually. Support for 22 "Landmarks of American History and Culture" workshops to take place in the summer of 2012 would enable approximately 1,760 school teachers to revitalize their knowledge and teaching of American history, particularly as it relates to the relationship between specific sites and the episodes in history, the writers, and/or the artists associated with that location. These teachers would annually reach approximately 220,000 students. Through a partnership of NEH and the U.S. Department of State, selected "Landmarks" workshops will invite participation by teachers from other nations. Support for 10 "Landmarks of American History and Culture for Community College Faculty" workshops to take place during the summer of 2012 would enable 500 community college teachers to reach 87,000 students annually with their revitalized knowledge, understanding, appreciation, and teaching of American History, particularly as it relates to the relationship between specific sites and the episodes in history, the writers, and/or the artists associated with them. Fifteen Teaching Development Fellowships would enable recipients to pursue research aimed specifically at deepening their core knowledge in the humanities to improve their undergraduate teaching. These teachers would reach 2,625 students annually. Support to 25 Enduring Questions award recipients would enable individual faculty members to develop a new course at the undergraduate level to grapple with the most fundamental concerns of the humanities, and to join with their students in deep, sustained programs of reading in order to encounter influential thinkers over the centuries and into the present day. These questions would include: What is the good life? What is justice? What is friendship? Is there a human nature, and, if so, what is it? These teachers would reach 4,375 students annually. Support for 8 Picturing America School Collaboration Projects would enable approximately 350 teachers in a local area or region to attend workshops that would enhance their incorporation of Picturing America into core curriculums. These teachers would reach 43,750 students annually.

PROGRAM DIVISION/ REQUIRED FUNDING	PERFORMANCE GOALS AND INDICATORS	FY 2011 PLANNED PERFORMANCE LEVELS
EDUCA -TION CONT'D	2) Support is provided for humanities education programming in Historically Black, Hispanic-serving, and Tribal colleges and universities across the country.	Humanities Initiatives at Presidentially Designated Institutions (a modification of the Humanities Initiatives for Faculty) would provide 10 grants to support faculty professional development activities for improvement in humanities instruction, as well as other capacity building activities at these institutions.
PRESERVATION AND ACCESS $16,250,000	C: To preserve and increase the availability of cultural and intellectual resources essential to the American people.	
	1) Support is provided to preserve and create intellectual access to humanities collections and resources. Supported activities include digitizing collections; arranging and describing archival and manuscript collections; cataloging collections of printed works, photographs, recorded sound, moving image, art, and material culture; preservation reformatting; deacidification of collections; preserving and improving access to humanities resources in "born digital" form; creating research tools and reference works; and developing technical standards, best practices, and tools for preserving and enhancing access to humanities collections.	Grants would be made to 12 projects to begin or continue work on the preparation of dictionaries, atlases, encyclopedias, and textbases central to knowledge and understanding of the humanities. Twenty-three projects would preserve and/or provide access to 4,000 hours of recorded sound and video collections; 4,000 linear feet of archival documents; and 600,000 manuscripts, broadsides, oversize volumes, and other non-print materials. Cooperative agreements supported through the We the People program would digitize hundreds of thousands of microfilm pages of historic newspapers. Support would be provided for 3 research and development projects concerned with standards and procedures.
	2) Support is provided to train staff from the nation's cultural repositories in the appropriate procedures for preserving and enhancing access to the humanities collections for which they are responsible.	Eight awards would be made for regional and national education programs that would provide training for 17,480 people in U.S. museums, libraries, archives, and historical organizations.

PROGRAM DIVISION/ REQUIRED FUNDING	PERFORMANCE GOALS AND INDICATORS	FY 2011 PLANNED PERFORMANCE LEVELS
PRESERVATION AND ACCESS $16,250,000	3) Support is provided to extend the useful life of fragile collections held by American museums, libraries, archives, historical organizations, and other cultural institutions and develop sustainable strategies for their care.	Projects supported would extend the useful life of collections at 16 institutions, making available approximately 200,000 historically significant objects, as well as 6 million photographs, books, and library collections, and 2 million feet of film.
	4) The Endowment extends its reach to institutions across the country by providing support for basic preservation activities to small and mid-sized libraries, archives, museums, and historical organizations.	Projects supported would assist in preserving collections at 120 institutions in all fifty states and two U.S. Territories. Approximately 40 percent of the awards would be to first-time NEH grantees.
PUBLIC PROGRAMS $14,750,000	D: To provide opportunities for Americans to engage in lifelong learning in the humanities.	
	1) Substantive media presentations, exhibitions, reading and discussion programs, and other public projects advance public understanding of the humanities.	Twenty-four television/radio projects would produce 81 broadcast hours and draw a cumulative audience of approximately 45 million people. Thirty-one exhibitions, reading, viewing, and discussion programs, web-based programs, historic site-specific interpretations, and other public education programs would employ various delivery mechanisms at venues across the country.
	2) High quality interpretative panel exhibitions and public programs are circulated to libraries through Small Grants to Libraries, and selected sites that receive smaller versions of NEH-funded exhibitions through the NEH on the Road cooperative agreement receive funds for additional public programming.	Sixty NEH on the Road grants would be made to museums, and approximately 80 Small Grants to Libraries would be awarded. In addition, through the *We the People* office, small grants for the *We the People Bookshelf* and the Picturing America project and for projects focusing on the Lincoln bicentennial celebration will be made.

	PERFORMANCE GOALS AND INDICATORS	FY 2011 PLANNED PERFORMANCE LEVELS
NEW PROGRAM INITIATIVES $18,000,000	E: To create new program initiatives that respond to needs and opportunities in American society.	
		• $2,500,000. A special initiative, *Bridging Cultures*, that will enhance Americans' understanding of their own rich cultural heritage, as well as the cultural complexity of the world in which we live. The initiative will sponsor a series of conferences across the nation that will involve scholars and members of the public to discuss issues that divide us as Americans and that will help us understand the history, heritage, and cultures of peoples in countries around the world. In addition, the Endowment will encourage scholars, educators, museums, libraries, and other individuals and institutions to develop humanities projects and programs that address the goals and objectives of the initiative. We will also make creative use of social and digital media to foster dialogue among people of diverse cultures in the United States and abroad. • $11,500,000. Through We the People, funding will be provided through to support the teaching, study, and understanding of American history and culture. These funds will help to support projects and programs throughout the agency, including, for example, special activities of the state humanities councils; Landmarks of American History and Culture workshops for schoolteachers and community college faculty; the National Digital Newspaper program; scholarly editions of the papers of U.S. Presidents and other figures important to our history; and the *We the People Bookshelf* program, which annually provides thousands of sets of humanities books to libraries and encourages young people to read great literature based on themes central to American history and culture. • $4,000,000. Funding would be provided through a major Digital Humanities program to support projects that utilize or study the impact of digital technology on research, education, preservation, and public programming in the humanities. Digital Humanities would support a variety of new funding categories or programmatic emphases: 1) Digital Start-Up Grants to encourage innovative work at the nexus of information technology and the humanities; 2) Institutes for Advanced Topics in the Digital Humanities to encourage the sharing of best practices among humanities scholars; 3) Transatlantic Collaborative Grants supported jointly by NEH and the Joint Information Systems Committee in the United Kingdom to offer support for digitization projects in the humanities; 4) DFG/NEH Bilateral Digital Humanities Programs jointly supported by NEH and the German Research Foundation (DFG) to encourage collaborative digital humanities projects between American and German institutions; and 5) Digging into Data Challenge, an international collaboration among research teams in Canada, England or Wales in the United Kingdom, and the United States to explore how vast libraries of digitized books, newspapers, art, and music can be used for advanced scholarship.

PROGRAM DIVISION/ REQUIRED FUNDING	PERFORMANCE GOALS AND INDICATORS	FY 2011 PLANNED PERFORMANCE LEVELS
NEW PROG. CON'T.	2) Agency-wide initiatives are developed in selected humanities areas.	"Rediscovering Afghanistan," will promote research, education, and public programs about Afghanistan and encourage U.S. institutions to assist that country in its effort to preserve and document its cultural resources. Additional awards would be made through the NEH/NSF "Documenting Endangered Languages" special initiative.
CHALLENGE GRANTS $9,500,000	F: To strengthen the institutional base of the humanities.	
	Support is provided for institutions to increase nonfederal contributions for their humanities activities and enhance their resources over the long term.	By FY 2014, NEH Challenge Grants awarded in FY 2011 would generate more than 30 million in nonfederal donations to recipient institutions in support of their humanities activities.
FEDERAL/STATE PARTNERSHIP $38,515,000	G: To maintain and strengthen partnerships with the state humanities councils.	
	Support is provided to the councils to encourage locally initiated, substantive humanities programs for the people in each state.	Support for the programs and operations of 56 state humanities councils would make possible high quality state and local humanities projects throughout the nation, including 16,600 reading and discussion programs, 3,000 exhibitions, 5,400 literacy programs, 5,000 speakers bureau presentations, 3,300 teacher institutes and workshops, 5,700 conferences and symposia, 2,500 Chautauqua events, 7,400 media program events, 1,375 technology projects, 1,100 preservation projects and 5,300 local history projects.

PROGRAM DIVISION/ REQUIRED FUNDING	PERFORMANCE GOALS AND INDICATORS	FY 2011 PLANNED PERFORMANCE LEVELS
PROGRAM DEVELOPMENT $500,000	H: To establish collaborative partnerships with individuals and institutions in support of the humanities.	
	1) Partnerships are forged to leverage new resources for the humanities and expand audiences for the humanities.	The Endowment would develop of a number of new partnerships with other institutions and organizations, leveraging approximately $1.5 million in new funding for humanities programs.
	2) New programming, funding, and administrative partnerships are established with other agencies, foundations, and organizations, both public and private.	NEH partnerships would include the following: 1) a program of fellowships to be sponsored jointly by NEH and the Library of Congress to support humanities scholars who wish to conduct research at the Library of Congress; 2) the National Digital Newspapers Program, a multi-year collaboration with the Library of Congress to digitize and make publicly available on the World Wide Web newspapers already preserved on microfilm through the United States Newspapers Program; 3) the Advanced Research Fellowships on Japan Program to be jointly sponsored by NEH and the Japan-United States Friendship Commission; 4) a "Cultural Diplomacy" partnership of NEH and the U.S. Department of State that would enable teachers from other nations to participate in "Landmarks of American History and Culture" workshops; 5) EDSITEment; and 6) programmatic collaboration with the National Trust for the Humanities.

II. Table A	NATIONAL ENDOWMENT FOR THE HUMANITIES FY 2010 PERFORMANCE BUDGET	
PROGRAM DIVISION/ REQUIRED FUNDING	PERFORMANCE GOALS AND INDICATORS	FY 2010 PLANNED PERFORMANCE LEVELS
RESEARCH PROGRAMS $16,866,000	A: To facilitate basic research and original scholarship in the humanities.	
	1) Support is provided that enables scholars--both those affiliated with educational institutions and those working independently--to devote a concentrated period of time to research and writing on significant subjects in all fields of the humanities.	Support would be provided for 222 individual scholars to make significant progress on important humanities research projects through fellowships and stipends.
	2) Support is provided for collaborative research projects that develop significant intellectual advances and resources for scholars, teachers, students, and the general public.	Support would be provided for 52 important long-term collaborative projects in the humanities such as scholarly editions, translations, archaeological excavations and analyses and other complex, large-scale undertakings. In addition, 20 previously awarded grants would receive ongoing support through NEH matching funds, in part through the use of *We the People* funding.
	3) Support is provided for overseas research in the humanities by American scholars and, where appropriate, encouragement offered for international collaboration in research on significant topics in the humanities.	Awards to 25 humanities fellowship programs at independent research institutions would support the work of 80 humanities scholars who would make significant contributions to scholarship in the humanities.
	4) Support is provided for humanities scholarship by faculty members at Historically Black, Hispanic-serving, and Tribal colleges and universities.	Support would enable up to 5 individual scholars who teach at historically black colleges and universities, at institutions with high Hispanic enrollment, and at tribal colleges and universities to make significant progress on important scholarly projects in the humanities through faculty research awards.

PROGRAM DIVISION/ REQUIRED FUNDING	PERFORMANCE GOALS AND INDICATORS	FY 2010 PLANNED PERFORMANCE LEVELS
EDUCATION PROGRAMS $15,616,000	B: To strengthen teaching and learning in the humanities in schools and colleges across the nation.	
	1) Teachers are provided opportunities to renew and deepen their knowledge of the humanities.	Support for 65 NEH summer seminars and institutes would enable 500 college teachers and 750 school teachers to revitalize their knowledge and teaching of the humanities. College teachers participating in seminars and institutes during the summer of 2011 would reach approximately 87,500 students annually; school teacher participants would reach approximately 93,750 students annually. Support for 22 "Landmarks of American History and Culture" workshops to take place in the summer of 2011 would enable approximately 1,760 school teachers to revitalize their knowledge and teaching of American history, particularly as it relates to the relationship between specific sites and the episodes in history, the writers, and/or the artists associated with that location. These teachers would annually reach approximately 22,000 students. Through a partnership of NEH and the U.S. Department of State, selected "Landmarks" workshops will invite participation by teachers from other nations. Support for 12 "Landmarks of American History and Culture for Community College Faculty" workshops to take place during the summer of 2011 would enable 600 community college teachers to reach 105,000 students annually with their revitalized knowledge, understanding, appreciation, and teaching of American History, particularly as it relates to the relationship between specific sites and the episodes in history, the writers, and/or the artists associated with them. Eighteen Teaching Development Fellowships would enable recipients to pursue research aimed specifically at deepening their core knowledge in the humanities to improve their undergraduate teaching. These teachers would reach 3,150 students annually. Support to 18 Enduring Questions award recipients would enable individual faculty members to develop a new course at the undergraduate level to grapple with the most fundamental concerns of the humanities, and to join with their students in deep, sustained programs of reading in order to encounter influential thinkers over the centuries and into the present day. These questions would include: What is the good life? What is justice? What is friendship? Is there a human nature, and, if so, what is it? These teachers would reach 3,150 students annually. Support for 11 Picturing America School Collaboration Projects would enable approximately 1,019 teachers in a local area or region to attend workshops that would enhance their incorporation of Picturing America into core curriculums. These teachers would reach 127,375 students annually.

PROGRAM DIVISION/ REQUIRED FUNDING	PERFORMANCE GOALS AND INDICATORS	FY 2010 PLANNED PERFORMANCE LEVELS
EDUCATION PROGRAMS $15,616,000	2) Support is provided for efforts to develop new teaching and learning resources, particularly in digitized forms.	The Teaching and Learning Resources (Materials Development and Curriculum Development) category has been discontinued in FY 2009.
	3) Support is provided for humanities education programming in Historically Black, Hispanic-serving, and Tribal colleges and universities across the country.	Humanities Initiatives at Presidentially Designated Institutions (a modification of the Humanities Initiatives for Faculty) would provide 10 grants to support faculty professional development activities for improvement in humanities instruction, as well as other capacity building activities at these institutions.
PRESERVATION AND ACCESS $17,116,000	C: To preserve and increase the availability of cultural and intellectual resources essential to the American people.	
	1) Support is provided to preserve and create intellectual access to humanities collections and resources. Supported activities include digitizing collections; arranging and describing archival and manuscript collections; cataloging collections of printed works, photographs, recorded sound, moving image, art, and material culture; preservation reformatting; deacidification of collections; preserving and improving access to humanities resources in "born digital" form; creating research tools and reference works; and developing technical standards, best practices, and tools for preserving and enhancing access to humanities collections.	Grants would be made to 14 projects to begin or continue work on the preparation of dictionaries, atlases, encyclopedias, and textbases central to knowledge and understanding of the humanities. Twenty-five projects would preserve and/or provide access to 4,650 hours of recorded sound and video collections; 4,387 linear feet of archival documents; and 693,402 manuscripts, broadsides, oversize volumes, and other non-print materials. Cooperative agreements supported through the We the People program would digitize hundreds of thousands of microfilm pages of historic newspapers. Support would be provided for 3 research and development projects concerned with standards and procedures.

PROGRAM DIVISION/ REQUIRED FUNDING	PERFORMANCE GOALS AND INDICATORS	FY 2010 PLANNED PERFORMANCE LEVELS
PRESERVATION AND ACCESS $17,116,000	2) Support is provided to train staff from the nation's cultural repositories in the appropriate procedures for preserving and enhancing access to the humanities collections for which they are responsible.	Six awards were made for regional and national education programs that provide training for 13,110 people in U.S. museums, libraries, archives, and historical organizations.
	3) Support is provided to extend the useful life of fragile collections held by American museums, libraries, archives, historical organizations, and other cultural institutions and develop sustainable strategies for their care.	Projects supported would extend the useful life of collections at 16 institutions, making available approximately 200,000 historically significant objects, as well as 6 million photographs, books, and library collections, and 2million feet of film.
	4) The Endowment extends its reach to institutions across the country by providing support for basic preservation activities to small and mid-sized libraries, archives, museums, and historical organizations.	Projects supported are assisting in preserving collections at 159 institutions in forty states, the District of Columbia, and Puerto Rico. Approximately 42 percent of the awards went to first-time NEH grantees.
PUBLIC PROGRAMS $15,616,000	D: To provide opportunities for Americans to engage in lifelong learning in the humanities.	
	1) Substantive media presentations, exhibitions, reading and discussion programs, and other public projects advance public understanding of the humanities.	Twenty-nine television/radio projects would produce 98 broadcast hours and draw a cumulative audience of approximately 50 million people. Thirty-four exhibitions, reading, viewing, and discussion programs, web-based programs, and other public education programs would employ various delivery mechanisms at venues across the country. Five historic site-specific interpretations and programming would attract over 800,000 people.
	2) High quality interpretative panel exhibitions and public programs are circulated to libraries through Small Grants to Libraries, and selected sites that receive smaller versions of NEH-funded exhibitions through the NEH on the Road cooperative agreement receive funds for additional public programming.	Sixty NEH on the Road grants would be made to museums, and approximately 80 Small Grants to Libraries would be awarded. In addition, through the We the People office, small grants for the We the People Bookshelf and the Picturing America project and for projects focusing on the Lincoln bicentennial celebration will be made.

PROGRAM DIVISION/ REQUIRED FUNDING	PERFORMANCE GOALS AND INDICATORS	FY 2010 PLANNED PERFORMANCE LEVELS
NEW PROGRAM INITIATIVES $19,366,000	E: To create new program initiatives that respond to needs and opportunities in American society.	
	1) New initiatives and programs that address important concerns and opportunities in the humanities are established.	Significant funding would be provided through We the People to support a variety of new programmatic initiatives: 1) We the People Bookshelf grants for up to 4,000 public and school libraries; 2) "Landmarks of American History and Culture" workshops for K-12 teachers and community college faculty, several of which will invite participation by teachers from other nations; 3) Picturing America, a special program that would provide educational materials on key works of American art ultimately to 77,000 school and public libraries; 4) public programs supported through the new "America's Historic Places" and "Family and Youth Programs in American History" categories; 5) additional awards through the NEH/Library of Congress National Digital Newspaper Program; 6) special NEH Challenge Grants for educational and cultural institutions working to advance knowledge of the founding principles of the United States; and 7) an Endowment-wide effort to contribute to national planning for the sesquicentennial of the Civil War in 2011-2015. Additional humanities projects supported by NEH core programs would receive We the People grants. The state humanities councils also would receive significant funding to support projects and programs related to the initiative. Funding would be provided through a major Digital Humanities program to support projects that utilize or study the impact of digital technology on research, education, preservation, and public programming in the humanities. Digital Humanities would support a variety of new funding categories or programmatic emphases: 1) Digital Start-Up Grants to encourage innovative work at the nexus of information technology and the humanities; 2) Institutes for Advanced Topics in the Digital Humanities to encourage the sharing of best practices among humanities scholars; 3) Transatlantic Collaboration Grants supported jointly by NEH and the Higher Education Funding Council for England; 4) Bilateral Symposia and Workshops jointly supported by NEH and the German Research Foundation to encourage collaborative digital humanities projects; and 5) Digging into Data Challenge, an international collaboration among research teams in Canada, England or Wales in the United Kingdom, and the United States to explore how vast libraries of digitized books, newspapers, art, and music can be used for advanced scholarship. Enhanced funding would also be provided for humanities projects supported by NEH core programs.
	2) Agency-wide initiatives are developed in selected humanities areas.	"Rediscovering Afghanistan," will promote research, education, and public programs about Afghanistan and encourage U.S. institutions to assist that country in its effort to preserve and document its cultural resources. Additional awards would be made through the NEH/NSF "Documenting Endangered Languages" special initiative.

PROGRAM DIVISION/ REQUIRED FUNDING	PERFORMANCE GOALS AND INDICATORS	FY 2010 PLANNED PERFORMANCE LEVELS
CHALLENGE GRANTS $9,500,000	F: To strengthen the institutional base of the humanities.	
	Support is provided for institutions to increase nonfederal contributions for their humanities activities and enhance their resources over the long term.	By FY 2013, NEH Challenge Grants awarded in FY 2010 would generate more than 32 million in nonfederal donations to recipient institutions in support of their humanities activities.
FEDERAL/STATE PARTNERSHIP $40,370,000	G: To maintain and strengthen partnerships with the state humanities councils.	
	Support is provided to the councils to encourage locally initiated, substantive humanities programs for the people in each state.	Support for the programs and operations of 56 state humanities councils would make possible high quality state and local humanities projects throughout the nation, including 17,700 reading and discussion programs, 4,600 exhibitions, 5,700 literacy programs, 5,800 speakers bureau presentations, 3,700 teacher institutes and workshops, 5,800 conferences and symposia, 2,300 Chautauqua events, 7,120 media program events, 660 technology projects, 700 preservation projects and 6,300 local history projects.
PROGRAM DEVELOPMENT $750,000	H: To establish collaborative partnerships with individuals and institutions in support of the humanities.	
	1) Partnerships are forged to leverage new resources for the humanities and expand audiences for the humanities.	The Endowment would develop of a number of new partnerships with other institutions and organizations, leveraging approximately $1.5 million in new funding for humanities programs.
	2) New programming, funding, and administrative partnerships are established with other agencies, foundations, and organizations, both public and private.	NEH partnerships would include the following: 1) a program of fellowships to be sponsored jointly by NEH and the Library of Congress to support humanities scholars who wish to conduct research at the Library of Congress; 2) the National Digital Newspapers Program, a multi-year collaboration with the Library of Congress to digitize and make publicly available on the World Wide Web newspapers already preserved on microfilm through the United States Newspapers Program; 3) the Advanced Research Fellowships on Japan Program to be jointly sponsored by NEH and the Japan-United States Friendship Commission; 4) the interagency Save America's Treasures initiative; 5) a "Cultural Diplomacy" partnership of NEH and the U.S. Department of State that would enable teachers from other nations to participate in "Landmarks of American History and Culture" workshops; 6) EDSITEment; and 7) programmatic collaboration with the National Trust for the Humanities.

II. Table B	NATIONAL ENDOWMENT FOR THE HUMANITIES FY 2009 PERFORMANCE BUDGET	
PROGRAM DIVISION/ REQUIRED FUNDING	PERFORMANCE GOALS AND INDICATORS	FY 2009 ACTUAL PERFORMANCE LEVELS
RESEARCH PROGRAMS $14,500,000	A: To facilitate basic research and original scholarship in the humanities.	
	1) Support is provided that enables scholars--both those affiliated with educational institutions and those working independently--to devote a concentrated period of time to research and writing on significant subjects in all fields of the humanities.	Support was provided for 147 individual scholars to make significant progress on important humanities research projects through fellowships and stipends.
	2) Support is provided for collaborative research projects that develop significant intellectual advances and resources for scholars, teachers, students, and the general public.	Support was provided for 52 important long-term collaborative projects in the humanities such as scholarly editions, translations, archaeological excavations and analyses and other complex, large-scale undertakings. In addition, 30 previously awarded grants would receive ongoing support through NEH matching funds, in part through the use of We the People funding.
	3) Support is provided for overseas research in the humanities by American scholars and, where appropriate, encouragement offered for international collaboration in research on significant topics in the humanities.	Awards to 25 humanities fellowship programs at independent research institutions supported the work of 77 humanities scholars who would make significant contributions to scholarship in the humanities.
	4) Support is provided for humanities scholarship by faculty members at Historically Black, Hispanic-serving, and Tribal colleges and universities.	Support enabled up to 2 individual scholars who teach at historically black colleges and universities, at institutions with high Hispanic enrollment, and at tribal colleges and universities to make significant progress on important scholarly projects in the humanities through faculty research awards.

PROGRAM DIVISION/ REQUIRED FUNDING	PERFORMANCE GOALS AND INDICATORS	FY 2009 ACTUAL PERFORMANCE LEVELS
EDUCATION PROGRAMS $14,500,000	B: To strengthen teaching and learning in the humanities in schools and colleges across the nation.	
	1) Teachers are provided opportunities to renew and deepen their knowledge of the humanities.	Support for 60 NEH summer seminars and institutes enabled 440 college teachers and 725 school teachers to revitalize their knowledge and teaching of the humanities. College teachers participating in seminars and institutes during the summer of 2010 will reach approximately 77,000 students annually; school teacher participants will reach approximately 90,625 students annually. Support for 21 "Landmarks of American History and Culture" workshops to take place in the summer of 2010 will enable approximately 1,680 school teachers to revitalize their knowledge and teaching of American history, particularly as it relates to the relationship between specific sites and the episodes in history, the writers, and/or the artists associated with that location. These teachers will annually reach approximately 210,000 students. Through a partnership of NEH and the U.S. Department of State, selected "Landmarks" workshops will invite participation by teachers from other nations. Support for 6 "Landmarks of American History and Culture for Community College Faculty" workshops to take place during the summer of 2010 will enable 300 community college teachers to reach 52,500 students annually with their revitalized knowledge, understanding, appreciation, and teaching of American History, particularly as it relates to the relationship between specific sites and the episodes in history, the writers, and/or the artists associated with them. The inaugural competition for the Teaching Development Fellowships yielded 10 awards. These projects enabled the recipients to pursue research aimed specifically at deepening their core knowledge in the humanities to improve their undergraduate teaching. These teachers will reach 1,750 students annually. Support to 19 inaugural Enduring Questions award recipients enabled individual faculty members to develop a new course at the undergraduate level to grapple with the most fundamental concerns of the humanities, and to join with their students in deep, sustained programs of reading in order to encounter influential thinkers over the centuries and into the present day. These questions would include: What is the good life? What is justice? What is friendship? Is there a human nature, and, if so, what is it? These teachers will reach 3,325 students annually.

PROGRAM DIVISION/ REQUIRED FUNDING	PERFORMANCE GOALS AND INDICATORS	FY 2009 ACTUAL PERFORMANCE LEVELS
EDUCATION PROGRAMS $14,500,000		The inaugural competition for the Picturing America School Collaboration Projects yielded 3 awards. These workshops enabled a 430 teachers to attend workshops that would enhance their incorporation of Picturing America into core curriculums. These teachers will reach approximately 53,750 students annually.
	2) Support is provided for efforts to develop new teaching and learning resources, particularly in digitized forms.	The Teaching and Learning Resources (Materials Development and Curriculum Development) category has been discontinued in FY 2009.
	3) Support is provided for humanities education programming in Historically Black, Hispanic-serving, and Tribal colleges and universities across the country.	The Humanities Initiatives at Presidentially Designated Institutions (a modification of the Humanities Initiatives for Faculty) provided 9 grants to support faculty professional development activities for improvement in humanities instruction, as well as other capacity building activities at these institutions.
PRESERVATION AND ACCESS $16,000,000	C: To preserve and increase the availability of cultural and intellectual resources essential to the American people.	
	1) Support is provided to preserve and create intellectual access to humanities collections and resources. Supported activities include digitizing collections; arranging and describing archival and manuscript collections; cataloging collections of printed works, photographs, recorded sound, moving image, art, and material culture; preservation reformatting; deacidification of collections; preserving and improving access to humanities resources in "born digital" form; creating research tools and reference works; and developing technical standards, best practices, and tools for preserving and enhancing access to humanities collections.	Grants were made to 9 projects to begin or continue work on the preparation of dictionaries, atlases, encyclopedias, and textbases central to knowledge and understanding of the humanities. Twenty-five projects are preserving and/or providing access to 3,553 hours of recorded sound and video collections; 6,178 linear feet of archival documents; and 359,592 manuscripts, broadsides, oversize volumes, and other non-print materials. Cooperative agreements supported through the We the People program will digitize hundreds of thousands of microfilm pages of historic newspapers. Support was provided for 4 research and development projects concerned with standards and procedures.

PROGRAM DIVISION/ REQUIRED FUNDING	PERFORMANCE GOALS AND INDICATORS	FY 2009 ACTUAL PERFORMANCE LEVELS
PRESERVATION AND ACCESS $16,000,000	2) Support is provided to train staff from the nation's cultural repositories in the appropriate procedures for preserving and enhancing access to the humanities collections for which they are responsible.	Seven awards were made for regional and national education programs that will provide training for 15,300 people in U.S. museums, libraries, archives, and historical organizations.
	3) The Endowment extends its reach to institutions across the country by providing support for basic preservation activities to small and mid-sized libraries, archives, museums, and historical organizations.	Projects supported will assist in preserving collections at 110 institutions in all fifty states and two U.S. Territories. Approximately 30 percent of the awards would be to first-time NEH grantees.
PUBLIC PROGRAMS $14,500,000	D: To provide opportunities for Americans to engage in lifelong learning in the humanities.	
	1) Substantive media presentations, exhibitions, reading and discussion programs, and other public projects advance public understanding of the humanities.	Fourteen television/radio projects are producing 54 broadcast hours that will draw a cumulative audience of approximately 35 million people. Twenty-one exhibitions, reading, viewing, and discussion programs, web-based programs, and other public education programs are employing various delivery mechanisms at venues across the country. Eight historic site-specific interpretations and programming are attracting over 1.5 million people.
	2) Support is provided for projects that use digital technologies to convey the humanities to the American public.	Awards were be made in conjunction with the Endowment's Digital Humanities program.
	3) High quality interpretative panel exhibitions and public programs are circulated to libraries through Small Grants to Libraries, and selected sites that receive smaller versions of NEH-funded exhibitions through the NEH on the Road cooperative agreement receive funds for additional public programming.	Thirty-five NEH on the Road grants were made to museums, and approximately 105 Small Grants to Libraries were awarded. In addition, through the We the People office, small grants for the We the People Bookshelf and the Picturing America project and for projects focusing on the Lincoln bicentennial celebration were made.

PROGRAM DIVISION/ REQUIRED FUNDING	PERFORMANCE GOALS AND INDICATORS	FY 2009 ACTUAL PERFORMANCE LEVELS
NEW PROGRAM INITIATIVES $19,800,000	E: To create new program initiatives that respond to needs and opportunities in American society.	
	1) New initiatives and programs that address important concerns and opportunities in the humanities are established.	Significant funding was provided through We the People to support a variety of new programmatic initiatives: 1) We the People Bookshelf grants for up to 3,000 public and school libraries; 2) "Landmarks of American History and Culture" workshops for K-12 teachers and community college faculty, several of which will invite participation by teachers from other nations; 3) Picturing America, a special program that would provide educational materials on key works of American art ultimately to 77,000 school and public libraries; 4) public programs supported through the new "America's Historic Places" and "Family and Youth Programs in American History" categories; 5) additional awards through the NEH/Library of Congress National Digital Newspaper Program; 6) special NEH Challenge Grants for educational and cultural institutions working to advance knowledge of the founding principles of the United States; and 7) an Endowment-wide effort to contribute to the celebration of the Abraham Lincoln Bicentennial in 2009. Additional humanities projects supported by NEH programs received We the People grants. The state humanities councils also would receive significant funding to support projects and programs related to the initiative. Funding was provided through a major Digital Humanities program to support projects that utilize or study the impact of digital technology on research, education, preservation, and public programming in the humanities. Digital Humanities supported a variety of new funding categories or programmatic emphases: 1) Digital Start-Up Grants to encourage innovative work at the nexus of information technology and the humanities; 2) Institutes for Advanced Topics in the Digital Humanities, a new program to encourage the sharing of best practices among humanities scholars; 3) Transatlantic Collaboration Grants supported jointly by NEH and the Higher Education Funding Council for England; 4) Bilateral Symposia and Workshops jointly supported by NEH and the German Research Foundation to encourage collaborative digital humanities projects; 5) Digging into Data Challenge, an international collaboration among research teams in Canada, England or Wales in the United Kingdom, and the United States to explore how vast libraries of digitized books, newspapers, art, and music can be used for advanced scholarship; and 6) an effort to support digital projects by the state humanities councils.
	2) Agency-wide initiatives are developed in selected humanities areas.	"Rediscovering Afghanistan," promoted research, education, and public programs about Afghanistan and encourage U.S. institutions to assist that country in its effort to preserve and document its cultural resources. Additional awards would be made through the NEH/NSF "Documenting Endangered Languages" special initiative.

PROGRAM DIVISION/ REQUIRED FUNDING	PERFORMANCE GOALS AND INDICATORS	FY 2009 ACTUAL PERFORMANCE LEVELS
CHALLENGE GRANTS $9,300,000	F: To strengthen the institutional base of the humanities.	
	Support is provided for institutions to increase nonfederal contributions for their humanities activities and enhance their resources over the long term.	By FY 2012, NEH Challenge Grants awarded in FY 2009 will generate more than $35 million in nonfederal donations to recipient institutions in support of their humanities activities.
FEDERAL/STATE PARTNERSHIP $35,000,000	G: To maintain and strengthen partnerships with the state humanities councils.	
	Support is provided to the councils to encourage locally initiated, substantive humanities programs for the people in each state.	Support for the programs and operations of 56 state humanities councils made possible high quality state and local humanities projects throughout the nation, including 16,400 reading and discussion programs, 4,350 exhibitions, 5,280 literacy programs, 5,400 speakers bureau presentations, 3,460 teacher institutes and workshops, 5,800 conferences and symposia, 2,600 Chautauqua events, 6,660 media program events, 560 technology projects, 650 preservation projects and 5,850 local history projects.
PROGRAM DEVELOPMENT $400,000	H: To establish collaborative partnerships with individuals and institutions in support of the humanities.	
	1) Partnerships are forged to leverage new resources for the humanities and expand audiences for the humanities.	The Endowment developed of a number of new partnerships with other institutions and organizations, leveraging approximately $1.5 million in new funding for humanities programs.
	2) New programming, funding, and administrative partnerships are established with other agencies, foundations, and organizations, both public and private.	NEH partnerships included the following: 1) a program of fellowships sponsored jointly by NEH and the Library of Congress to support humanities scholars who wish to conduct research at the Library of Congress; 2) the National Digital Newspapers Program, a multi-year collaboration with the Library of Congress to digitize and make publicly available on the World Wide Web newspapers already preserved on microfilm through the United States Newspapers Program; 3) the Advanced Research Fellowships on Japan Program jointly sponsored by NEH and the Japan-United States Friendship Commission; 4) the interagency Save America's Treasures initiative; 5) a “Cultural Diplomacy” partnership of NEH and the U.S. Department of State that ld enables teachers from other nations to participate in “Landmarks of American History and Culture” workshops; 6) EDSITEment; and 7) programmatic collaboration with the National Trust for the Humanities.

III. MEETING THE PERFORMANCE GOALS: REQUIRED RESOURCES AND OPERATIONAL PROCESSES

A. **Required Resources**. NEH promotes national progress in research, education, and lifelong learning in the humanities by awarding project grants to organizations and individuals in all parts of the United States. A program appropriation adequate to provide for a sufficient range of grant opportunities across all disciplines of the humanities is, thus, the Endowment's most important resource requirement. For each performance goal, we have estimated a sum that would be required to produce the desired outcomes in FY 2011:

Performance Goal	Funding Required*
1. To facilitate basic research and original scholarship in the humanities.	$16,000,000
2. To strengthen teaching and learning in the humanities in schools and colleges across the nation.	$14,750,000
3. To preserve and increase the availability of cultural resources for the American people.	$16,250,000
4. To provide opportunities for Americans to engage in lifelong learning in the humanities.	$14,750,000
5. To create new program initiatives that respond to emerging needs and opportunities in American society.	$18,000,000
6. To strengthen the institutional base of the humanities.	$9,500,000
7. To maintain and strengthen partnerships with the state humanities councils.	$38,515,000

* In FY 2011, the Endowment would allocate $127,765,000 in definite and Challenge funds among programmatic activities that address the above performance goals. Another $500,000 in definite funds would be provided for Program Development. During the year, Treasury funds totaling $4,550,000 would also be allocated among program areas of the Endowment as needed to match nonfederal contributions in support of NEH-sponsored projects.

Also important are the kinds of administrative resources that it make possible for the Endowment's staff to advance the agency's performance goals through our daily work. Chief among these resources are the following:

— A highly trained **staff**, many of whom are credentialed practitioners of a humanities discipline;

— access to the new **information technologies** that reinforce the agency's efforts to serve the public efficiently; and

— a **physical office environment** conducive to productive work.

B. **Operational Processes**. NEH support for the humanities is provided through an annual sequence of highly selective grant competitions. Because insuring the fairness and rigor of that award process is of paramount importance—both for the sake of taxpayer value and for the integrity of the humanities—the Endowment's most essential operational process is that of conducting a national, merit-based system of grant application review. Through this system, we annually draw upon the services of hundreds of humanities practitioners from outside the agency in order knowledgeably to evaluate each project proposal submitted to NEH. The judgments of panelists and reviewers from all humanities disciplines and every part of the country weigh heavily in the agency's funding decisions.

IV. MEANS OF VERIFYING AND VALIDATING PERFORMANCE MEASURES

The Endowment will use a variety of means to collect and verify information that measures our progress in attaining our performance goals:

- **Interim and final reports of grantees** will provide the most comprehensive source of information about the outcomes of NEH-supported work. From these reports the Endowment will regularly compile data about the results of activities undertaken in connection with each of our performance goals.

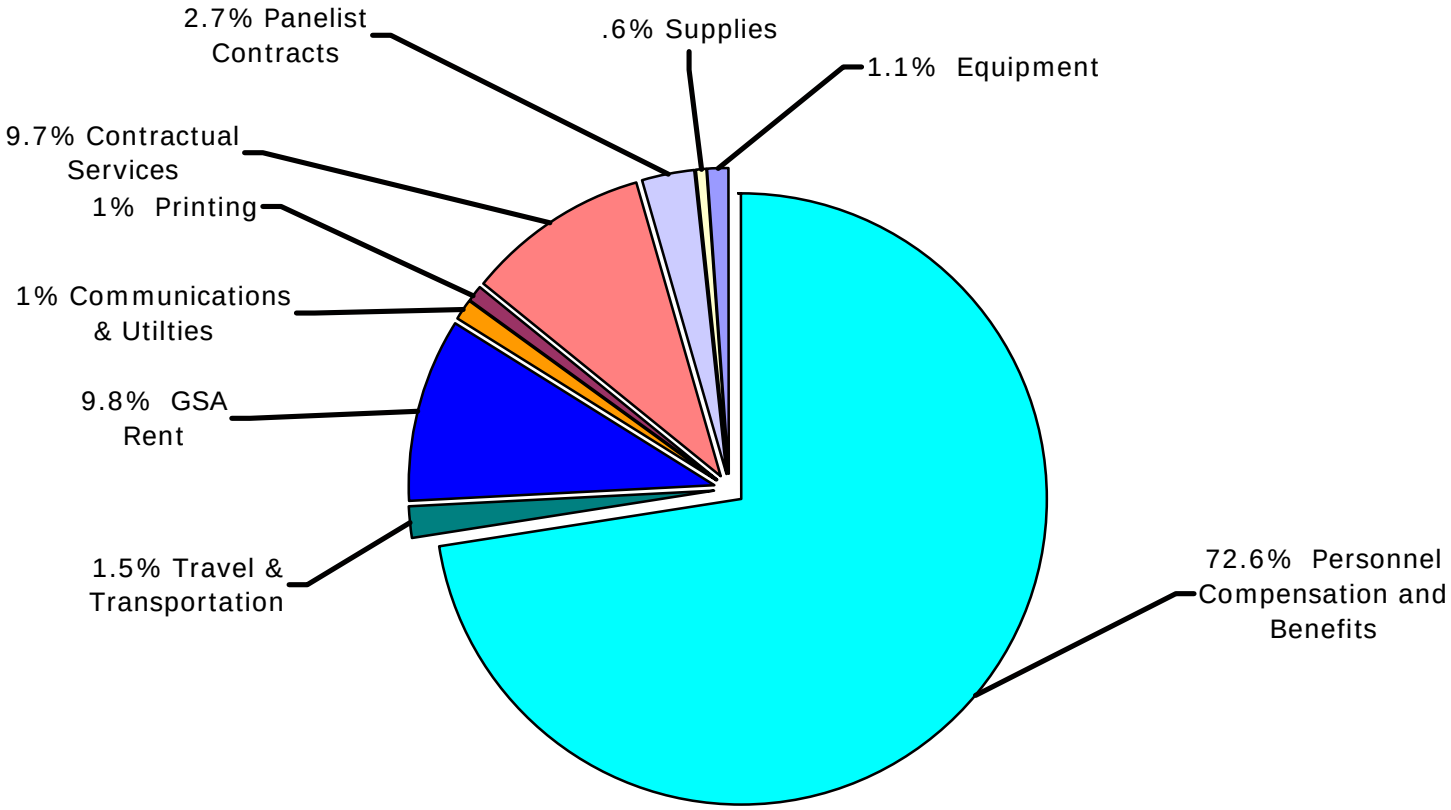
- To systematize the information collected from grantee reports, NEH continues to develop an **outcomes database** for its grant programs. This database is capturing information about the short- and long-term results of funded projects. At present, it links information about 5,921 humanities research projects in the Endowment's grant information database to bibliographic information about 4,016 published books that these projects produced between 1980 and 2009.

- The Endowment will conduct **site visits** as a means of documenting the progress and accomplishments of selected grantees.

- **Evaluations** of selected projects and programs will be conducted to determine whether program goals are being met.

- Information on **awards, prizes, and other forms of recognition**, such as favorable press articles, will be collected so that the agency can gain a better sense of the impact and quality of our funded projects.

FY 2011 Administrative Budget
$28,500,000



Administrative Budget by Object Classification
($ in thousands)

	OBJECT CLASSES	FY 2009 Actual	FY 2010 Estimate	FY 2011 Request
11.1	PERSONNEL COMPENSATION	15,027	15,984	16,554
12.1/13.1	BENEFITS	3,790	3,965	4,137
	TOTAL-PERSONNEL COMPENSATION	18,817	19,949	20,691
21.0	TRAVEL AND TRANSPORTATION	322	425	435
23.1	GSA RENT	2,675	2,734	2,791
23.3	COMMUNICATIONS AND UTILITIES	215	260	276
24.0	PRINTING	229	245	277
25.1	OTHER CONTRACTUAL SERVICES	2,533	3,235	2,765
25.9	PANELIST CONTRACTS	734	755	770
26.0	SUPPLIES	161	169	173
31.0	EQUIPMENT	417	300	322
	TOTAL	**26,103**[1]	**28,072**[2]	**28,500**

1 Includes appropriation, FY 2008 carry-over funds, and prior-year de-obligated funds.

[2] Includes appropriation and FY 2009 carry-over funds.

NEH ADMINISTRATIVE BUDGET

FY 2011 Request: $28,500,000

Introduction

The administrative budget for the National Endowment for the Humanities (NEH) supports staff salaries and benefits, rental of office space, building security at the Old Post Office Building, staff travel, contractual services, equipment, supplies, and related program support activities that enable the agency to achieve its legislated mission to support and advance high quality research, education, preservation, and public programming in the humanities. For fiscal year 2011, NEH is requesting $28,500,000 in administrative funds to support the agency's program request level of $132,815,000.

NEH Administrative Costs

The Endowment's grant-making function is the single most important factor shaping the agency's administrative budget. This function directly involves the majority of NEH's staff and includes such varied tasks as the planning of programs and special initiatives, the development of application guidelines, the receipt and processing of proposals, the review of applications, the awarding of grants, and the management and oversight of the grants and awards themselves. These labor-intensive tasks require a highly trained program staff that is knowledgeable about the content and methods of the humanities. Most NEH program staff hold Ph.D.s or other advanced degrees in the humanities. In addition to this highly specialized program staff, the Endowment retains the services of personnel needed to perform the everyday but essential activities involved in operating a federal agency, such as budgeting, accounting, and other financial functions; human resources; information resources management; legal counsel; public affairs and communications; and administrative services.

Personnel compensation and benefits account for over 72 percent of agency administrative expenses. A significant portion of our budget also is taken up with "fixed" costs—that is, items over which we have no control, including annual rent payments to the General Services Administration, building security payments to the Department of Homeland Security, mandated transfers to other agencies for various services, and annual increases to the federal general schedule pay scale.

As a grant-making agency, there are a number of items in NEH's administrative budget that are not typically included in other federal agencies' overhead. The most prominent of these is the annual cost of operating our system for evaluating grant applications. The Endowment currently operates more than three dozen discrete grant categories that receive approximately 4,500 applications annually, resulting in the awarding of approximately 900 grants each year. These applications are all processed and read by NEH staff and then evaluated by experts outside of the agency who are asked for their judgments about the quality and significance of the proposed projects.

Approximately 850 scholars, teachers, museum curators, filmmakers, and other humanities professionals and experts serve on the more than 175 panels we convene throughout the course of a typical year. Each of these evaluators receives a $250 honorarium. Since most of our panels are sitting panels—that is, are convened at the Endowment's offices here in Washington, to review applications to various grant programs—we also incur substantial travel, lodging, and per diem expenses for each attending panelist. In FY 2011, we estimate that these panelists will cost us approximately $770,000, or nearly three percent of our total administrative budget.

Another cost directly related to our grant-making function is the expense of convening the National Council on the Humanities. The 26-member Council—which is statutorily mandated to advise the NEH Chairman on all grant applications—meets in Washington four times annually. Each Council member is compensated for his or her service. The Endowment also incurs travel, lodging, and per diem expenses for the preponderance of Council members who live outside of the Washington metropolitan area. The annual cost of convening these meetings is approximately $80,000.

Other expenses that are directly related to our role as a grant-making agency include the cost of participating in the government-wide online grant application portal, Grants.gov. The associated transfer of funds to the Department of Health and Human Services, together with the administrative support provided internally for the Grants.gov program, will cost NEH approximately $175,000 in FY 2011.

Overall, more than $1.025 million of our overhead, or 3.6 percent of our administrative budget, is spent directly on expenses that are not typical of most other federal agencies.

Recent Administrative Highlights

NEH has an exemplary record of economizing and extracting the most value from its administrative resources, while providing quality service and productive results. We make every effort to reduce overhead and operating costs whenever possible, and we continually stress this priority among all our program and office managers. In the face of upward pressures in various cost categories in recent years, we have made a conscientious effort to limit other administrative cost increases. We have held the number of NEH staff level, and we have increased our use of nontraditional panel arrangements, such as mail panels and conference panels, as a means of keeping our panel costs in check.

Among our administrative achievements in recent years are:

- Our Grants Management System (GMS), developed in-house by NEH's talented programmers, has proven so successful that it has been adapted for use by other agencies as part of the Grants Management Line of Business.

- The acquisition of in-house printing capabilities has enabled us to more effectively handle the printing burden associated with the submission of grants applications through the government-wide Grants.gov portal.

- In an effort to increase the transparency of our operations, the Endowment recently developed and posted on our homepage a description of the work of each of our program divisions and offices. This material consists of a brief explanation of the work of the division or office, a "by the numbers" overview of program outcomes, and examples of notable recent grants. Prospective applicants will be well-served by this rich trove of information about our grant-making programs.

- In response to the Administration's call for agencies to be more transparent and accountable and to expand the public's access to information, NEH recently posted several decades worth of information about NEH grants online via the Data.gov portal (see: http://www.data.gov/catalog/raw/category/0/agency/139/filter//type//sort//page/1/count/25).

- The Endowment has developed a grant-search query form on the agency's website that is enabling the public to search our grant database for a wide-range of information about NEH grants. This easy-to-use feature has opened up access to grant records dating back to 1980.

- NEH has developed and tested an Electronic Grants Management System ("eGMS"), which will be used by grantees to submit their required financial and performance reports. This new system has enabled us to streamline and standardize current paper-based reporting procedures, making it much easier for grantees to prepare and submit their reports, while also enabling NEH to more closely monitor grantee reporting. The eGMS system was developed in close consultation with our two partner agencies in the Grants Management Line of Business—the National Endowment for the Arts and the National Historical Records and Publications Commission, which is affiliated with the National Archives and Records Administration—and both agencies plan to implement the system at a future date.

- The Endowment takes an active interest in the health and wellbeing of NEH employees. The agency hosts periodic health and wellness seminars for staff on such topics as "Eldercare" and "Memory Improvement," employees were encouraged to participate in the President's "Fitness Challenge." The agency also routinely makes staff aware of the many benefits available through our Employee Assistance Program, Health Unit, COPE, and the Federal Health Benefits Program. In addition, the Endowment recently conducted a survey to gauge the staff's thoughts regarding health and wellness issues in general. NEH management is now analyzing the results of the survey and expects to provide employees with additional information and programs tailored to the specific needs identified in the survey.

Budget Estimates in Detail
($ in thousands)

	FY 2009	FY 2010	FY 2011
PERSONNEL COMPENSATION AND BENEFITS	18,817	19,949	20,691

These object classes fund the salaries and benefits of all employees of the Endowment, including full-time permanent, part-time permanent, temporary, and intermittent appointments (for example, the members of the National Council on the Humanities). The estimates for personnel benefits provide for the Endowment's share of contributions toward employees' retirement, health, and life insurance plans. Included as well are all public transportation subsidies, which have increased considerably from their 2008 levels and will exceed $170,000 in 2011.

The FY 2011 request includes funding for the Administration's proposed 2.1 percent 2011 federal pay raise, as well as the final quarter of the 2.42 percent increase, adjusted for locality pay, in 2010. This funding level will provide for 164 FTEs in 2011.

	FY 2009	FY 2010	FY 2011
TRAVEL AND TRANSPORTATION	322	425	435

Travel funds support local and out-of-town travel of NEH staff to make site visits to perform grant audits, make presentations on Endowment programs, conduct outreach activities, attend conferences and training courses, and conduct other business critical to the mission of the agency. Also supported are the travel expenses for the members of the National Council on the Humanities, who come to Washington four times per year to discuss Endowment policies, review applications, and provide advice to the NEH Chairman concerning funding of specific projects.

The projected increase in travel costs for 2010 and 2011 reflects the cost of travel related to the new *Bridging Cultures* initiative and Chairman Leach's nationwide Civility Tour campaign. Note that 2009 travel expenditures were lower than in prior years, principally because the Endowment's travel-intensive Picturing America program came to a close in December of 2008 and also because the agency was operating for a significant portion of the year without senior political appointees.

	FY 2009	FY 2010	FY 2011
GSA RENT	2,675	2,734	2,791

The FY 2011 space rental estimate reflects the third-year continuation of the occupancy agreement that NEH negotiated with GSA. The estimate includes GSA's recent reassessment of local commercial values for business space, which increased the Endowment's rental costs starting in 2009 by more than twenty-five percent from previous rent levels. The 2011 expense is nearly thirty percent higher than FY 2008 and two percent higher than the 2010 rent level.

	FY 2009	FY 2010	FY 2011
COMMUNICATIONS AND UTILITIES	215	260	276

This object class primarily funds telecommunications and postage expenses. NEH telecommunications costs include local call message units, telephone lines, instruments, installation/service, Federal Telecommunication Service, mobile phones, and Internet line access fees. Our FY 2011 request will fund a customary level of expenditures for telephones, postage and courier charges, and continued charges for Internet access.

	FY 2009	FY 2010	FY 2011
PRINTING	229	245	277

This object class covers the costs of printing NEH publications such as the Endowment's award-winning, bimonthly periodical *Humanities;* posters, program announcements, and program brochures; and stationery and envelopes. Budget increases in this area in prior fiscal years were largely due to costs incurred for outside printing services related to Grants.gov application submissions. Due to the acquisition of a new in-house copier, these costs were reduced significantly starting in FY 2009. A modest increase in FY 2011 will cover higher anticipated printing costs associated with *Humanities* magazine.

	FY 2009	FY 2010	FY 2011
OTHER CONTRACTUAL SERVICES	2,533	3,235	2,765

The Endowment supports a wide range of contractual services under this object classification. This includes contracts that are required for running the agency but are not covered in any of the preceding categories. These services include, for example: (1) support, maintenance, and improvement of information technology systems; (2) annual contract and maintenance of the agency's Oracle accounting system; (3) employee training; (4) fees for site visitors who evaluate selected NEH grants; (5) security guards at the Old Post Office building; (6) agency contracts for temporary personnel assistance; (7) support of humanities-related activities of the President's Committee on the Arts and the Humanities; (8) maintenance and repair of IT equipment; and (9) interagency transfers in support of the Grants Management Line of Business program.

The Endowment is also planning an upgrade of the agency website in 2010, which will increase contractual expenses. In contrast, 2011 will be a return to our historical contract services levels, with a few added cost pressures:

- Continued participation in the Grants.gov program, which is managed by the Department of Health and Human Services.

- Resources needed to support slight increases in ongoing service expenses, such as IT support, mail services, office equipment maintenance service contracts and repairs, consultation services and maintenance for the Oracle accounting system, and the like.

	FY 2009	FY 2010	FY 2011
PANELIST CONTRACTS	734	755	770

These funds provide for the contract costs (travel, subsistence, honoraria) of panelists. NEH convenes approximately 175 panels each year to evaluate the quality of grant applications submitted to our programs. Panelists are selected from a database that includes scholars, teachers, historians, archivists, curators, media producers, and other humanities professionals. NEH panelists represent a diversity of disciplinary, institutional, and regional backgrounds. Sufficient funding for panel reviews of grant applications is one of the Endowment's highest priorities. We strive to recruit the most competent panelists and to give them the time needed to perform an expert evaluation of all grant proposals. Our overriding goal is to ensure that the projects ultimately receiving federal support will be those most likely to make significant contributions to the humanities. Slight increases in travel and per diem costs for panelists have been added into cost projections for FY 2011.

	FY 2009	FY 2010	FY 2011
SUPPLIES	161	169	173

The estimates in this object class provide for the cost of expendable supplies required to carry on the daily business of the Endowment. In addition to supporting the purchase of routine office supplies, funds in this category cover IT supplies, including disks and toner cartridges for printers, and the cost of maintaining the agency's subscriptions to essential periodicals.

	FY 2009	FY 2010	FY 2011
EQUIPMENT	417	300	322

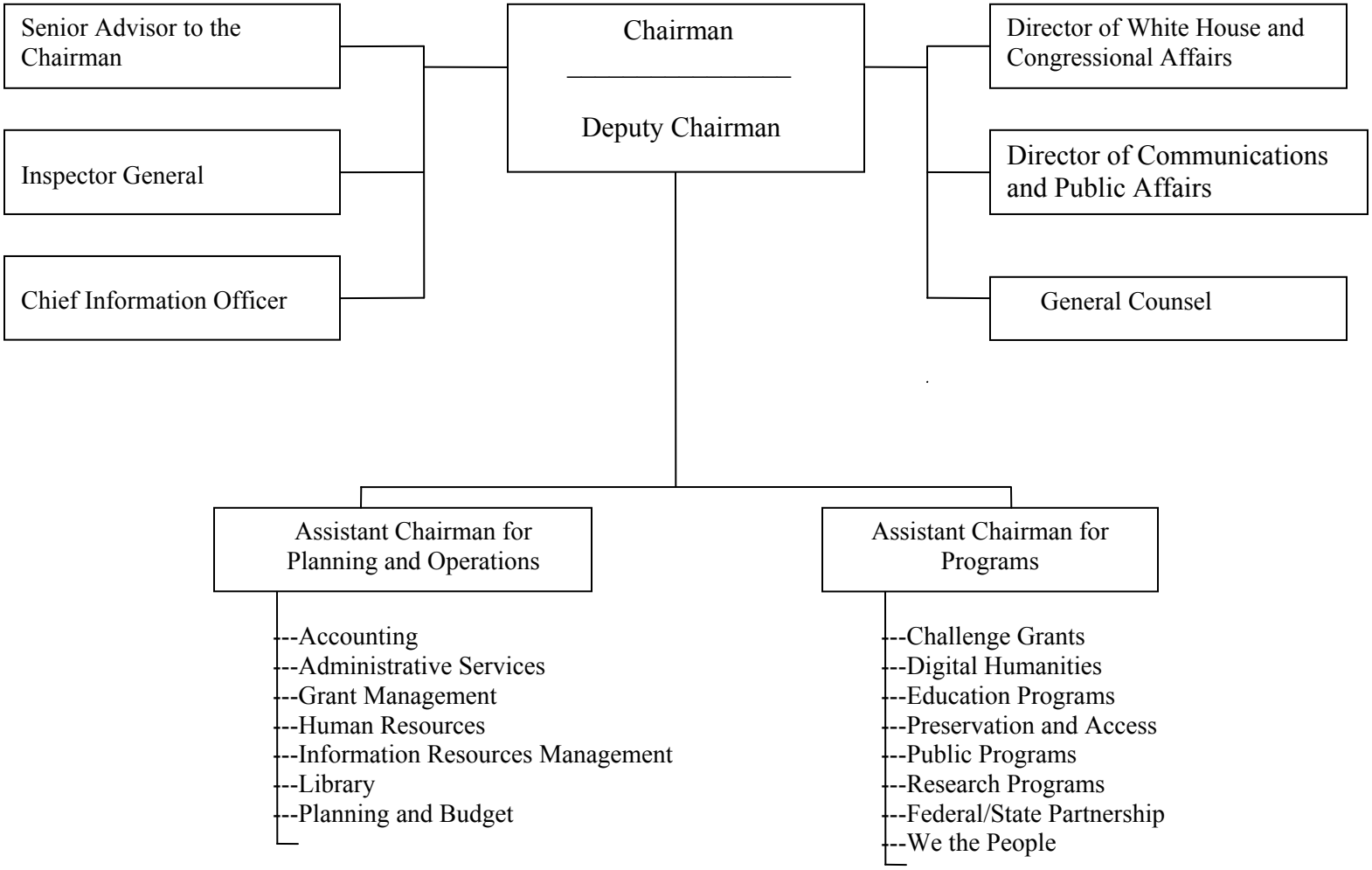
This spending category provides for all equipment, furniture, and office machines having a useful life in excess of one year--items such as chairs, desks, file cabinets, computers, software, and miscellaneous equipment. Increased operating levels for FY 2009 occurred because we opted for a purchase of new agency computer workstations to replace our rapidly aging desktop computers. Equipment purchases expectations for 2010 are returning to more recently realized fiscal year levels. For 2011, we are factoring in additional equipment costs for IT network security measures and to support modest increase in the cost of non-IT equipment.

NEH Inspector General Budget Request

The budget for the operations of NEH's Office of the Inspector General (OIG) is subsumed within the agency's general administrative budget. In accordance with Section 6 of the Inspector General Act of 1978, NEH is identifying the following cost estimates for OIG operations in FY 2011:

- An aggregate budget for the OIG of $721,000, which will support all staff salaries and allow for full administrative and legal support of this office, including:
    - A travel budget of $9,000, which will allow for audit support and attendance at IG conferences and training seminars;
    - A training budget of $10,500 to allow for staff recertification as mandated by the IG Act; and
    - $1,700 in continued support from NEH for the Council of Inspectors General on Integrity and Efficiency (CIGIE).

National Endowment for the Humanities



February 1, 2010